IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF IOWA CENTRAL DIVISION

IOWA PRIMATE LEARNING SANCTUARY d/b/a GREAT APE TRUST and APE COGNITION AND COMMUNICATION INSTITUTE,		
Plaintiffs,		
Tidilitiis,		
v.	Case No. 4:10-c	ev-00052
	Hon. Magistrate.	Judge Ross Walters
ZOOLOGICAL FOUNDATION OF	C	
GEORGIA, INC. d/b/a ZOO ATLANTA,		
DEMOCRATIC REPUBLIC OF CONGO,		
JAPAN MONKEY CENTRE INSTITUTE		
AND MUSEUM OF PRIMATOLOGY, SUE		
SAVAGE-RUMBAUGH, Ph. D., and		
BONOBO HOPE INITIATIVE, INC.,		
Defendants.		

DEFENDANT SUE SAVAGE-RUMBAUGH'S AND INTERVENOR DEFENDANT BONOBO HOPE INITIATIVE'S REPLY TO PLAINTIFFS' POST-HEARING BRIEF

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Defendant Sue Savage-Rumbaugh ("Dr. Savage-Rumbaugh") and Intervenor Defendant Bonobo Hope Initiative, Inc. ("BHI") (collectively, the "Movants") file this Reply to Plaintiffs' Post-Hearing Brief (Dkt. 133) in further support of Dr. Savage-Rumbaugh's Motion for Specific Performance and Related Relief ("Motion") (Dkt. 69).

INTRODUCTION

After three days of testimony, Plaintiffs Iowa Primate Learning Sanctuary d/b/a Great Ape Trust ("IPLS") and Ape Cognition and Communication Institute ("ACCI") remain unable to refute any of Movants' claims. In their Post-Hearing Brief, Plaintiffs mostly plow the same ground as in their pre-trial submissions and attempt to shoehorn the testimony to those contentions. When that does not work, Plaintiffs throw up a variety of smokescreens in order to confuse the issues and divert attention away from the sheer lack of evidence supporting their positions. Moreover, Plaintiffs completely evade the reality that Yerkes National Primate Research Center – to mix metaphors – is the 600-pound gorilla in the room that, by their own admission, precipitated IPLS's and ACCI's breaches of the Main Agreement¹ and the Side Agreement² (collectively, the "Settlement Agreements").

¹ This refers to the *Agreement for Settlement and Acknowledgment of Ownership*, by and between the Iowa Primate Learning Sanctuary, Zoological Foundation of Georgia, Democratic Republic of the Congo, and Dr. Savage-Rumbaugh, last to sign on February 17, 2013 (Dkt. 69-2).

² This refers to the *Supplemental Agreement for Settlement and Acknowledgement of Ownership*, by and between Iowa Primate Learning Sanctuary, Emily Sue Savage-Rumbaugh, and Bonobo Hope Initiative, Inc., signed on January 31, 2013 (Dkt. 69-3).

ARGUMENT

I. PLAINTIFFS' ARGUMENT THAT IPLS CONTINUES TO EXIST AS A MATTER OF LAW IS DEEPLY FLAWED

Plaintiffs argue that IPLS has not ceased to exist as a matter of law because it could, at some unspecified future time, seek reinstatement. (Plaintiffs' Brief ["Pls.' Br."] at 14-15.) As explained in Movants' Post-Hearing Brief, Plaintiffs' argument fails for several reasons.

First, Plaintiffs' argument ignores the actions that IPLS took after it was administratively dissolved. In support of their argument, Plaintiffs cite a sentence fragment from Iowa Code § 504.1422(3): "[a] corporation that is administratively dissolved continues its corporate existence" Plaintiffs omit the rest of that sentence, which reads, "but shall not carry on any activities except those necessary to wind up and liquidate its affairs pursuant to section 504.1405 and notify its claimants pursuant to sections 504.1406 and 504.1407." (Iowa Code § 504.1422(3).) The clear implication of this language is that an administratively dissolved corporation continues its existence solely for purposes of winding up its affairs. Once the corporation has completed that process, such as by selling off all of its assets, it ceases to exist. See Smith v. Vill. Enters., 208 N.W.2d 35 (Iowa 1973) (finding that, because it sold all of its assets, a corporation had "practically cease[d] to exist"). That is precisely what happened here. After it was administratively dissolved, IPLS sold all of its assets to ACCI. (See Ex. 59 at 1.) At that point, IPLS ceased to exist. In fact, Dr. Taglialatela admitted as much. (See Ex. 105 at 1 ("Moving forward, IPLS will no longer exist.").) 3

³ The suggestion that ACCI or IPLS intends to pay off the tax lien at some indeterminate point in the future also is patently false. The entire rationale behind forming ACCI was to obtain a new corporate shield <u>without</u> having to pay off the tax lien. (*See* Transcript of Hearing at 262:24-263:4 (explaining that IPLS could form a new corporation <u>or</u> pay off the lien).)

Second, Plaintiffs' argument violates basic principles of contract interpretation. If, as Plaintiffs argue, IPLS has not ceased to exist, despite selling off all of its assets and openly acknowledging that it has ceased to exist, then it is unclear that IPLS could ever "cease to exist." As a result, the provisions in the Settlement Agreements providing relocation rights to Dr. Savage-Rumbaugh and BHI in the event that IPLS "ceases to exist" have no legal effect. Such a result would be contrary to the basic principle that an agreement should be interpreted so as to give effect to the language of the entire agreement, and not in such a way that would render any term or provision superfluous. See Dickson v. Hubbell Realty Co., 567 N.W.2d 427, 430 (Iowa 1997).

Third, Plaintiffs' argument would produce an inequitable result. As explained in Movants' Post-Hearing Brief, ACCI has benefitted substantially from the transaction by which it acquired all of IPLS's assets. (*See* Movants' Brief [hereinafter "Mvts.' Br."] at 19-20.) Now that BHI and Dr. Savage-Rumbaugh seek to exercise the relocation rights that the Settlement Agreements afford them, Plaintiffs turn around and point to the continued existence of IPLS for the sole purpose of denying those rights. Plaintiffs' argument that they are free to obstruct Movants' relocation rights forever must be rejected as a matter of sheer equity.

A. <u>Plaintiffs' Argument that Dr. Savage-Rumbaugh is Trying to Benefit from Her Own Wrongdoing is Patently Absurd</u>

As a fallback position, Plaintiffs argue that the Court should find that IPLS has not ceased to exist because Dr. Savage-Rumbaugh "precipitated the administrative dissolution of IPLS" and should not be able to benefit from her own "wrongful acts." (Pls.' Br. at 15.) In support of this argument, Plaintiffs present a theory by which Dr. Savage-Rumbaugh appointed herself the registered agent for IPLS, fully understanding the responsibilities of that position, conditioned the execution of the Settlement Agreements on the inclusion of a provision providing herself and

BHI with relocation rights over the bonobos in the event that IPLS should cease to exist, then, "[c]onveniently, and at the first opportunity," intentionally failed to file IPLS's biennial report with the Secretary of State, knowing about IPLS's tax liability and the fact that it would prevent IPLS from being reinstated, thus triggering the relocation rights for herself and BHI. (*See id.*)

On its face, Plaintiffs' theory strains credulity. If Dr. Savage-Rumbaugh's goal was to gain complete control over the bonobos, as Plaintiffs allege, it makes no sense that she would try to do so by employing the Rube Goldberg-like mechanism that Plaintiffs describe. Plaintiffs' theory is based on the premise that Dr. Savage-Rumbaugh created a back-door mechanism in the Settlement Agreements in order to attain control over the bonobos (i.e., that if IPLS "ceased to exist," she and BHI would get relocation rights). Plaintiffs, however, ignore the fact that in signing the Settlement Agreements, Dr. Savage-Rumbaugh relinquished her ownership rights to the Bonobo 4. (See Ex. 2 at ¶ 5.) It makes no sense that Dr. Savage-Rumbaugh would sign an agreement relinquishing her rights to the Bonobo 4 when her real purpose was to gain control over them. If that was Dr. Savage-Rumbaugh's goal, she simply would not have signed the Settlement Agreements.

Further, if her goal was to gain complete control over the bonobos, it makes no sense that Dr. Savage-Rumbaugh would have stepped back from her role as Director of Science and arranged for Drs. Taglialatela and Hopkins to assume complete control over the bonobos and the science in which they are involved, as Plaintiffs assert.

Beyond its patent ridiculousness, Plaintiffs' theory is simply not substantiated by the facts. It would belabor the point to try and describe every way in which this theory fails on the facts, so Movants will endeavor to outline only the more glaring examples.

<u>First</u>, Dr. Savage-Rumbaugh did not "appoint herself" as the registered agent for IPLS, as Plaintiffs contend. At the end of 2011, Ted Townsend pulled his funding for the facility.

(Transcript of Hearing [hereinafter "Tr."] at 40:13-14.) Without money to pay the employees' salaries, Dr. Savage-Rumbaugh had to assume a wide range of new responsibilities just to keep the facility running. (*See id.* at 44:14-45:1.) At one point, she was given a form to sign by Susan McKee, the administrative assistant and accountant to Jim Aipperspach for the Great Ape Trust (and for Ted Townsend), without any explanation, and she signed it, along with several other forms that were presented to her at the time, none of which she had ever seen before. (*Id.* at 46:5-15.)

Second, contrary to Plaintiffs' assertions, Dr. Savage-Rumbaugh did not know what was required of her as registered agent. Dr. Savage-Rumbaugh explicitly stated as much in her testimony. (*Id.* at 46:24-47:4.) While Plaintiffs point out that ignorance of the law is no excuse (Pls.' Br. At 16.), it requires a Herculean leap of logic to go from the position that Dr. Savage-Rumbaugh cannot rely on her lack of knowledge about the responsibilities of a registered agent in order to avoid those responsibilities, to Plaintiffs' theory that Dr. Savage-Rumbaugh intentionally installed herself as registered agent in order to bring about the end of IPLS. To the contrary, Dr. Savage-Rumbaugh's obvious lack of knowledge about the role of a registered agent negates any such inference.

<u>Third</u>, Plaintiffs' assertion that Dr. Savage-Rumbaugh "had to have known about and received letters from Iowa Workforce Development asserting that IPLS owed money to the State of Iowa" is completely unsupported. Plaintiffs cite only to Simpson's testimony in which he explains that he – a trained lawyer with decades of experience – knew about IPLS's outstanding tax obligation because he saw copies of statements from Iowa Workforce Development showing

⁴ For the Court's convenience, Movants are attaching all three volumes of the transcript to this Reply Brief. *See* Transcript of Hearing, Volume I, attached hereto as Exhibit A; Transcript of Hearing, Volume II, attached hereto as Exhibit B; Transcript of Hearing, Volume III, attached hereto as Exhibit C.

that they had made unemployment payments on behalf of IPLS. (Pls' Br. at 15 (citing Tr. at 263:6-21).) Plaintiffs offer no evidence that Dr. Savage-Rumbaugh knew that IPLS owed money to the State of Iowa. In fact, she explicitly testified that she did not. (Tr. at 47:5-7.) Even assuming that Dr. Savage-Rumbaugh was aware that IPLS owed a debt to the State of Iowa, Plaintiffs fail to provide any evidence that she understood the legal implications of failing to pay that debt such that she could use it as the basis for the scheme that Plaintiffs envision. In fact, even Simpson testified that, although he knew about IPLS's outstanding tax obligation as early as March 2012, he did not know that the State of Iowa had imposed a lien on IPLS until he received notice that IPLS had been administratively dissolved and unsuccessfully tried to get the corporation reinstated. (*Id.* at 263:24-264:2.)

Fourth, Plaintiffs overlook the fact that both IPLS and BHI were administratively dissolved. (*See id.* at 261:7-9.) As pointed out in Movant's Post-Hearing Brief, this fact alone undermines any argument that Dr. Savage-Rumbaugh intentionally orchestrated IPLS's administrative dissolution. (*See* Mvts.' Br. at 18 n.6.) The only logical inference is that Dr. Savage-Rumbaugh simply failed to file biennial reports for both corporations because, as explained above, she was not aware that she had been appointed registered agent for either one or that her responsibilities included filing biennial reports. In fact, Simpson conceded that it was Dr. Savage-Rumbaugh who brought the administrative dissolutions to his attention so that he could rectify the situation. (Tr. at 261:7-10.) The reason IPLS was not reinstated – and has not been reinstated to date – was that the State of Iowa had imposed a lien on IPLS as a result of unpaid unemployment taxes. (*Id.* at 261:23-262:16.) IPLS's failure to pay unemployment taxes was solely the responsibility of Ted Townsend (*id.* at 262:4-16), and the resulting lien had nothing to do with Dr. Savage-Rumbaugh's failure to file a biennial report (*id.* at 263:18).

<u>Fifth</u>, it was Simpson, not Dr. Savage-Rumbaugh, who advised IPLS to sell all of its assets to ACCI. (*Id.* at 262:24-263:4.) IPLS ceased to exist not solely because it had been administratively dissolved but because it subsequently sold off all of its assets. Dr. Savage-Rumbaugh played no role in that decision and had no knowledge of it until months later,⁵ thus severing any causal connection between any action that she took on behalf of IPLS and the fact that IPLS has ceased to exist.

Putting aside the numerous gaps and errors in Plaintiffs' theory as to Dr. Savage-Rumbaugh's culpability, Plaintiffs make <u>zero</u> allegations that BHI was involved in any way in the events that led to IPLS's administrative dissolution or the fact that it has ceased to exist. Plaintiffs try to shoehorn BHI into the argument at the end, arguing that "Dr. Rumbaugh cannot be allowed to benefit from her wrongful acts by invoking her contingent rights under the 'cease to exist' clause, <u>nor should those benefits flow to BHI</u>." (Pls.' Br. at 15-16 (emphasis added).) However, Plaintiffs provide no explanation as to why Dr. Savage-Rumbaugh's actions should be imputed to BHI. BHI is a separate party to these proceedings with its own interests, and in fact, BHI intervened in these proceedings specifically to protect those interests. (*See* Dkt. 73.)

II. PLAINTIFFS FAIL TO REFUTE THE CLAIM THAT THEY HAVE VIOLATED BHI'S RIGHTS AS JOINT OWNERS OF THE BONOBOS

Plaintiffs pretend that ACCI has complied with its obligations relating to its joint ownership of the bonobos with BHI because it has stated that it is "willing to work with BHI." (Pls. Br. at 17.) Plaintiffs drastically misconstrue the nature of BHI's rights as joint owners under the Side Agreement.

⁵ Indeed, according to the Plaintiffs, Dr. Savage-Rumbaugh was no longer a member of the IPLS board at the time, and therefore, did not vote on the proposal to sell all of IPLS's assets to ACCI. (*See* Ex. 54 at 2; Ex. 55 at 2.)

As explained in Movants' Post-Hearing Brief, at the time the Side Agreement was executed, BHI and IPLS were in the process of splitting into two separate boards with distinct responsibilities. Under Simpson's "Plan of Action," IPLS was to become the "business board," responsible for fundraising and managing the facility, and BHI would become the "science board," with sole responsibility for managing and protecting the bonobo colony and developing and directing the science. (See Ex. 23 at 2; Tr. at 234:12-235:2.) The joint BHI-IPLS board unanimously adopted the "Plan of Action" in December of 2012. (See Ex. 23 at 7-9.) On January 31, 2013, BHI, IPLS and Dr. Savage-Rumbaugh executed the Side Agreement. In May of 2013, the joint BHI-IPLS board passed another set of resolutions effectuating the split of BHI from IPLS that Simpson had recommended. (See Ex. 26; Tr. at 239:15-240:1.) Read in this context, it is clear that BHI's rights as joint owners of the bonobo include the right to direct the science in which the bonobos are involved. See Fausel v. JRJ Enters., 603 N.W.2d 612, 618 (Iowa 1999) ("any determination of meaning or ambiguity should only be made in the light of relevant evidence of the situation and relations of the parties, the subject matter of the transaction, preliminary negotiations and statements made therein, usages of trade, and the course of dealing between the parties." (quoting Restatement (Second) of Contracts § 212 cmt. b (1979)). At the very least, the Side Agreement affords BHI an equal voice in determining "the residence, breeding, activities and use" of the bonobos. (See Ex. 2 at ¶ 5 (describing at least some of the "claims of ownership" contemplated by the Settlement Agreements).)

In support of the argument that they have complied with their obligations to BHI as joint owners of the bonobos, Plaintiffs offer examples of ACCI's sporadic efforts to "work with BHI." (Pls.' Br. at 17.) The examples cited are underwhelming and essentially demonstrate no more effort than ACCI would make for any member of the scientific community who wished to conduct research involving the bonobos. For example, Plaintiffs point to the fact that they

provided BHI with information about the bonobos at a joint board meeting in June 2014. (Id.) No subsequent meeting has ever been scheduled. Next, Plaintiffs point to the fact that ACCI has "facilitated" visits to the Des Moines facility by certain members of the BHI board. (*Id.*) However, Plaintiffs cite only a handful of instances, many of which occurred around the time of the hearing. Plaintiffs also give lip service to having provided Professor Wildman with access to all of ACCI's research protocols and supporting documents when he visited the facility. (*Id.*) Professor Wildman testified that those papers were voluminous and that he did not have an opportunity to review them. (Tr. at 328:22-24; 340:12-17.) Further, Dr. Taglialatela testified at the hearing that, while he had offered to email the documents to Professor Wildman, he now believes that the information related to ACCI's research protocols is confidential, implying that the information will not actually be made available to any members of the BHI board. 6 (Id. at 518:4-8.) Finally, Plaintiffs point to the fact that ACCI has "expressed a willingness" to allow BHI board members to "play a role" in the research, "to the extent that it can be done safely, is consistent with the organization's mission and is approved by the Institutional Animal Care and Use Committee." (Pls.' Br. at 17.) ACCI's willingness to allow BHI board members to merely "play a role" in the research not only falls far short of recognizing BHI's right to direct the science in which the bonobos are involved, but is an open repudiation of the research trajectory required by the Settlement Agreements. Even if the Settlement Agreements only afford BHI the right to have an equal voice in determining the "residence, breeding, activities and use" of the bonobos, the fact that ACCI has unilaterally set the terms under which BHI can "play a role" in

⁶ Notably, Plaintiffs make no reference to the single-page list of active protocols that it provided to BHI. (*See* Ex. 1006.) As Professors Dubreuil and Wildman testified, that list is insufficient to provide BHI with any understanding of the research being conducted at the facility. (Tr. at 195:24-196:22, 324:13-25.)

the research clearly violates BHI's rights as joint owners to have an equal say in "use," <u>i.e.</u>, research.

Furthermore, as explained in Movants' Post-Hearing Brief, Plaintiffs have taken actions that directly violate BHI's rights as joint owners of the bonobos. Those actions include forming a new Institutional Animal Care and Use Committee and a scientific advisory committee, banning Dr. Savage-Rumbaugh from the Des Moines facility in direct contravention of BHI's expressed preferences regarding the direction of the research, purporting to dismiss Dr. Savage-Rumbaugh from the IPLS board, failing to inform BHI that Dr. Savage-Rumbaugh had been banned from the facility or dismissed from the IPLS board, failing to inform BHI about the formation of ACCI and its role as the legal successor to IPLS, and implementing protocols that reverse Dr. Savage-Rumbaugh's research trajectory. (*See* Mvts.' Br. at 36-44.) Plaintiffs' assertion that ACCI is "willing to work with BHI" (in addition to being untrue) does not negate the fact that each of these actions or omissions constitutes a violation of BHI's rights as joint owners of the bonobos, for which this Court must fashion a remedy.

A. <u>Plaintiffs' Argument About BHI's Own Fundraising Efforts Misconstrues the</u>
<u>Division of Responsibilities Between BHI and IPLS and Is Factually Incorrect</u>

To raise doubts about BHI's willingness to live up to its own obligations as joint owners of the bonobos, Plaintiffs argue that BHI "has not contributed a single dollar to ACCI's efforts to care for the bonobos and has not even attempted to obtain a single grant to support research with the bonobos." (Pls.' Br. at 17.) This argument is misplaced for several reasons.

<u>First</u>, BHI is not primarily responsible for fundraising. As explained above, under Simpson's plan to split the joint BHI/IPLS board into two separate boards, IPLS (and later ACCI) was to be the "business board" and would be responsible for fundraising and managing the facility. (*See* Tr. at 52:22-53:2; Ex. 23 at 2.) BHI, on the other hand, was to be the "science

board" and would be solely responsible for managing and protecting the bonobo colony as well as developing and directing the science. (Tr. at 234:24-235:1. *See also* Ex. 23 at 1 (explaining that "it is the best use of the academic leadership [*i.e.*, BHI] for them to focus on the science and not have to manage the daily activity").)

Second, Plaintiffs' assertion that BHI has "not contributed a single dollar to ACCI's efforts to care for the bonobos" is simply not true. Dr. Savage-Rumbaugh contributed \$140,000 of her own money for the care of the bonobos while she was an officer of BHI (Tr. at 423:25-424:12), and Professor Dubreuil testified about a contribution that Bill Greaves, a BHI board member, made in the amount of \$40,000 (*id.* at 211:15-17). Additionally, in response to a direct question from Plaintiffs' counsel about "financial contributions from the purported co-owners of the bonobos," Dr. Taglialatela testified that BHI contributed between \$1,000 and \$1,500 in 2014 to pay for the bonobos' medical expenses. (*Id.* at 599:10-16.) As far as obtaining grants since ACCI was formed, Professor Dubreuil explained at the hearing that the members of the BHI board have been unable to apply for grants to support research with the bonobos specifically because ACCI has largely excluded them from conducting research with the bonobos. (Tr. at 210:10-211:3.) Indeed, it would be difficult for BHI board members to participate in fundraising of any kind, given that ACCI has made only a token release of information about the research that is being performed at the Des Moines facility.

Furthermore, Plaintiffs acknowledge that, since 2013, BHI has focused much of its time and attention on assisting Ryan Sheldon with the establishment of a new facility for the bonobos

⁷ Further, as discussed *infra*, IPLS/ACCI is responsible for the "complete and competent care" and "safety" of the bonobos under the Settlement Agreements. (*See* Ex. 1 at ¶ 5, Ex. 2 at ¶ 3.)

⁸ Professor Dubreuil also pointed out that BHI has also contributed to the care of the bonobos in ways that do not involve a direct exchange of money, such as by sending graduate students to serve as interns at the facility. (Tr. at 212:17-23.)

in Missouri, believing that the bonobos' long-term interests are not served by keeping them in Des Moines. (See id. at 211:19-21.) Plaintiffs' description of the facility, however, is misleading, and their characterization of the plan to relocate the bonobos to the facility as "piein-the-sky" is completely unfounded. For example, Plaintiffs assert that the facility has "no functional utilities." (Pls.' Br. at 17.) The reason why the utilities are not yet functional, as Mr. Sheldon clearly explained to Plaintiffs' counsel at the hearing, is that the facility is not yet complete. (Tr. at 381:19-382:11.) No one is proposing to move the bonobos to Missouri before the facility has been completed or the utilities turned on. In fact, Mr. Sheldon has graciously offered to fund the bonobos' upkeep at the Des Moines facility until they can be safely moved to Missouri. (Id. at 382:9-11.) Plaintiffs also warn that the Missouri facility will be "backed by a wood-burning furnace." (Pls.' Br. at 17.) Again, as Mr. Sheldon carefully explained to Plaintiffs' counsel, the wood-burning furnace is only intended to be used in emergencies, when the regular propane furnace is not operational. (Tr. at 284:10-14.) Far from being a "glorified Morton building," the Missouri facility will be fully USDA-compliant and is based on the facility at which the bonobos were housed at Georgia State University. (Id. at 358:5-12, 381:24-382:3.)

B. <u>Plaintiffs' Argument That Joint Ownership Does Not Grant Dr. Savage-</u> <u>Rumbaugh Unrestricted Access to the Bonobos or Control Over the Bonobo</u> <u>Research is a Straw Man</u>

Plaintiffs submit that "the crux of the issue continues to be whether joint ownership requires ACCI to allow Dr. Rumbaugh to have unrestricted access to ACCI's facilities and control over the research being conducted." (Pls.' Br. at 17-18.) That is decidedly not the case. The question is, and has always been, whether Plaintiffs have violated the Settlement Agreements, which includes, among other things, whether they have violated BHI's rights as joint owners of the bonobos. Plaintiffs' attempt to narrow the scope of the issue to be decided in

these proceedings is nothing more than an attempt to set up a straw man, and should be disregarded as such. Nevertheless, there are several problems with the factual underpinnings of Plaintiffs arguments:

First, Plaintiffs argument that the Settlement Agreements do not contemplate unrestricted access for Dr. Savage-Rumbaugh is based on an incomplete and overly narrow reading of the Agreements. Plaintiffs correctly point out that, under Iowa law, the primary focus in interpreting a contract is the parties' intent at the time the contract was executed, and courts discern the intent of the parties by looking at the entire agreement. See NevadaCare, Inc. v. Dep't of Human Servs., 783 N.W.2d 459, 466 (Iowa 2010); Lewis Cent. Educ. Ass'n v. Lewis Cent. Cmty. Sch. Dist., 559 N.W.2d 19, 22 (Iowa 1997). Having laid out that standard, Plaintiffs proceed to focus their entire argument on paragraph 1 of the Side Agreement, which states only that BHI and IPLS "jointly are owners" of the Bonobo 4. (See Pls.' Br. at 18.) Plaintiffs completely ignore paragraph 4 of the Main Agreement and paragraph 2 of the Side Agreement, which outline the research trajectory that must be followed. (See Ex. 1 at ¶ 4; Ex. 2 at ¶ 2.) Plaintiffs acknowledge that Dr. Savage-Rumbaugh was a primary author of the language in those paragraphs, and as she testified, her intention was to describe her specific research trajectory and to ensure that that trajectory would continue for generations. (Tr. at 62:12-19.) As explained in detail in Movants' Post-Hearing Brief, Dr. Savage-Rumbaugh's research trajectory cannot continue and will be compromised if she is entirely denied access to the bonobos in the near term. (See Mvts.' Br. at 28-29 (citing Tr. at 197:24-198:1, 321:18-322:13).)

Plaintiffs also ignore paragraph 1 of the Side Agreement, which establishes BHI as a joint owner of the bonobos. (*See* Ex 2. at ¶ 1.) As was made clear at the hearing, the members of the BHI board have denounced Plaintiffs' ban on Dr. Savage-Rumbaugh's access to the Des Moines facility and dispute the claim that the research trajectory can continue without her involvement.

(*See*, *e.g.*, Tr. at 197:2-198:23, 320:19-322:13, 626:9-627:1.) Thus, even if the Settlement Agreements do not explicitly guarantee a right of unrestricted access for Dr. Savage-Rumbaugh, 9 neither do they permit ACCI to unilaterally ban her from the facility.

Second, Plaintiffs argue that Dr. Savage-Rumbaugh's unrestricted access poses safety concerns. (Pls.' Br. at 18-19.) In support of this assertion, Plaintiffs cite a portion of Movants' counsel's opening statement, which Plaintiffs claim describes a pattern of Dr. Savage-Rumbaugh being removed from the bonobos because of safety concerns. (*Id.* at 19.) In fact, an honest reading of the opening statement reveals that the pattern that counsel was describing was one in which allegations are leveled against Dr. Savage-Rumbaugh by jealous rivals and other individuals with hidden agendas, investigated and found to be completely baseless. (*See* Tr. at 9:14-10:22.) Dr. Gilmore – an ACCI and, formerly, IPLS board member – confirmed this assessment, explaining that many of the allegations leveled against Dr. Savage-Rumbaugh over the years have been "unfair." (Tr. at 397:3-14, 448:10-20.) Importantly, all of the allegations were known in May of 2013, when the IPLS board passed a resolution granting Dr. Savage-Rumbaugh "unfettered permanent access to the bonobo colony." (*See* Ex. 27 at 1.)

In fact, Plaintiffs' assertion that Dr. Savage-Rumbaugh has been banned from the Des Moines facility due to safety concerns is a mere pretext to camouflage the crucial role that

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⁹ Paragraph 5 of the Side Agreement emphasizes that Dr. Savage-Rumbaugh's release of her ownership claims is "<u>without prejudice to her rights</u>, responsibilities or professional judgments, as a member of the boards of the Trust and Bonobo Hope and as <u>Director</u> of <u>Science</u> of Bonobo Hope" (Ex. 2 at ¶ 5 (emphasis added).) Plainly, the parties contemplated a continued substantial role at the Lab for Dr. Savage-Rumbaugh.

¹⁰ Any objective observer of the videos introduced into evidence showing Dr. Savage-Rumbaugh's intensive interaction with the bonobos (*see* Ex. 98), as well as her demeanor on the witness stand, would be hard pressed to conclude that she poses any physical risk to the bonobos. Movants challenge ACCI to permit the court to interview the bonobos and ask them themselves.

¹¹ Dr. Gilmore also testified that she filled out a questionnaire as part of the investigation into some of these allegations, in which she stated that she was satisfied with the bonobos' diet and feeding program, that the injuries to Teco and Kanzi that formed the basis for some of the allegations were not the result of negligence or mistreatment, and that Dr. Savage-Rumbaugh has a good relationship with the bonobos and clearly cares about their wellbeing. (*Id.* at 446:12-448:20; Ex. 107.)

Yerkes National Primate Research Center ("Yerkes") has played in that decision. As multiple witnesses testified, Yerkes is willing to pay ACCI to house some of its chimpanzees in the Des Moines facility, but has conditioned its funding on Dr. Savage-Rumbaugh no longer being involved with the facility. (*See, e.g.*, Tr. at 546:25-550:18, 653:7-12, 659:10-16. *See also* Ex. 51 at 1 (Simpson email to Dr. Savage-Rumbaugh explaining that they need to tell Yerkes that she has retired).) Yerkes' condition has nothing to do with safety, and everything to do with the fact that Dr. Savage-Rumbaugh's research showing that bonobos are self-reflective beings capable of thought and true symbolic/syntactic human language is creating serious problems for organizations, like Yerkes, that perform medical research on apes. (*See* Tr. at 93:18-94:15, 283:10-18.)

Finally, Plaintiffs fall back on the position that Dr. Savage-Rumbaugh should be equitably estopped from imposing authority or control over the bonobos. (Pls. Br. at 19.) In support of their argument, Plaintiffs rely exclusively on a single email that Dr. Savage-Rumbaugh wrote to the members of the BHI and IPLS boards on October 26, 2013 recommending Dr. Taglialatela for the position of Director of Research and Dr. Hopkins for the position of Director of Science. (*Id.* at 19-20.) Plaintiffs' interpretation of that email as ceding complete control over the research to Drs. Taglialatela and Hopkins is based on a highly selective and self-serving reading. For example, Plaintiffs highlight the language in the email in which Dr. Savage-Rumbaugh said that Drs. Taglialatela and Hopkins should "control all aspects of their program." (*Id.* at 20.) As Dr. Savage-Rumbaugh testified, that statement was directed at the members of the "business board," i.e., IPLS, in order to prevent a recurrence of the situation in which she lost control of the research program because the business people took over and allowed money to dictate what could be done. (Tr. at 136:17-23.) Dr. Savage-Rumbaugh did not intend to suggest that Drs. Taglialatela and Hopkins should be completely free to set the

direction of the research as they saw fit without her involvement, and certainly not without oversight from BHI, which had been charged with sole responsibility for directing the science, per the Settlement Agreements and the resolutions implementing Simpson's "Plan of Action." (See id. at 136:23-137:3.) Plaintiffs also highlight Dr. Savage-Rumbaugh's statement that she would "absent [her]self from the lab." (Pls.' Br. at 20.) As Dr. Savage-Rumbaugh testified, she did not intend this statement to mean that she would never return at any point or that she would play absolutely no role in the research going forward. (Tr. at 133:17-25 (describing a conversation with Dr. Taglialatela in which she discussed the possibility of absenting herself from the lab for a period of about two months, during which time she would work in the background).) Indeed, later in the same email, Dr. Savage-Rumbaugh explicitly stated that she hoped to continue doing research with the bonobos. (See Ex. 1005 at 3.)

Furthermore, Plaintiffs' interpretation of Dr. Savage-Rumbaugh's October 26, 2013 email is contradicted by the overwhelming weight of the evidence. For example, Simpson explicitly told both the BHI and IPLS boards that he did not intend for Dr. Savage-Rumbaugh to simply step away, and that she "must continue to have a vital role in the success of the Trust." (See Ex. 30; Ex. 36.) In addition, when the IPLS board passed a resolution appointing Dr. Savage-Rumbaugh to the position of Director Emeritus of Scientific Research, it granted her "unfettered permanent access to the bonobo colony." (See Ex. 27 at 1.) The reason Dr. Taglialatela did not incorporate any of these documents or communications into his understanding of the positions that he and Dr. Hopkins (whom he chose to serve as Director of Science) were accepting was not because they were "concealed" from him, as Plaintiffs claim, but rather because he simply did not read them. (See Tr. at 539:24-542:11 (testifying that he had not seen the Settlement Agreements before accepting his position as Director of Science), 564:14-567:7 (testifying that he had no recollection of reading Simpson's July 1, 2013 email to

the BHI board), 593:9-12 (testifying that he did not know about the IPLS board's resolution granting Dr. Savage-Rumbaugh "unfettered permanent access").) Because they did not avail themselves of readily accessible information that would have affected their understanding, Drs. Taglialatela and Hopkins cannot assert a claim of estoppel. (*See Shellhorn v. Williams*, 244 Iowa 908, 918-919 (Iowa 1953); 28 Am. Jur. 2d *Estoppel and Waiver* § 79 (2015) ("A party asserting estoppel cannot disregard obvious facts, or neglect to seek information that is easily accessible, and then charge his or her ignorance to others.").)

Clearing away all of Plaintiffs' misleading arguments, the fact remains that Plaintiffs' evidence of ACCI's willingness to "work with BHI" is woefully insufficient to demonstrate that ACCI has complied with its obligations relating to its joint ownership of the bonobos with BHI. Furthermore, Plaintiffs offer no justification for the numerous acts or omissions that constitute direct violations of BHI's rights as joint owners of the bonobos.

III. PLAINTIFFS FAIL TO REFUTE THE CLAIM THAT THEY HAVE VIOLATED THE SETTLEMENT AGREEMENTS BY DISCONTINUING DR. SAVAGE-RUMBAUGH'S RESEARCH TRAJECTORY

Plaintiffs argue that they have complied with the requirement in the Settlement

Agreements to involve the bonobos in research. (Pls.' Br. at 20.) However, Plaintiffs' argument
is based on an incomplete and overly broad reading of the provisions of the Settlement

Agreements outlining the areas of research in which the bonobos are to be involved.

To demonstrate compliance, Plaintiffs cite the language in paragraph 4 of the Main Agreement and paragraph 2 of the Side Agreement, which provides that the bonobos shall continue to reside at the Trust at least so long as they "continue to be involved in research in the fields of experimental psychology; use of language and tools; and ape intelligence and human cultural modes. . . ." (*See id.* at 20-21.) Plaintiffs then list the <u>titles</u> of some of ACCI's active research protocols and cite testimony from Drs. Taglialatela and Hopkins explaining that those

protocols fall within those three categories. (*See id.* at 21-22.) However, Plaintiffs conveniently omit the second half of each of the relevant paragraphs, which lists the specific types of activities that qualify for inclusion in those three categories. Plaintiffs concede that Dr. Savage-Rumbaugh was the author of that language. As she testified at the hearing, her intention was to describe her <u>specific</u> research trajectory, not to suggest <u>broad</u> parameters for research that could be conducted with the bonobos. (*See* Tr. at 62:12-19.)

Plaintiffs only acknowledge the role that Dr. Savage-Rumbaugh played in drafting paragraph 4 of the Main Agreement and paragraph 2 of the Side Agreement in support of the argument that, because of the role that she and BHI played in the drafting process, any ambiguity in those paragraphs should be interpreted against them. ¹³ In other words, having previously explained that the "cardinal rule of contract interpretation" is to determine the parties' intent at the time the contract was executed, Plaintiffs about-face and argue that the Court should interpret the Settlement Agreements contrary to the stated intent. ¹⁴ Importantly, Plaintiffs provide no evidence that the language that Dr. Savage-Rumbaugh drafted is ambiguous; they simply ignore it. Plaintiffs offer the testimony of Drs. Taglialatela and Hopkins, in which they state their belief that ACCI's research is consistent with the <u>first half</u> of paragraph 4 of the Main Agreement and paragraph 2 of the Side Agreement, but that conclusion is not the result of any ambiguity in the language of those paragraphs. It is, instead, a post hoc rationalization of their decision to alter

¹² The activities listed are "art, music, tools, agriculture, fire, animal domestication, habitat construction, use of water, hunting, mimi[c]s, sociological role construction, normative child rearing practices, play, or normative religious/mythological practices." (Ex. 1 at \P 2, 4; Ex. 2 at \P 2.)

¹³ In fact, there is no evidence that BHI was involved in drafting the paragraphs in question. Once again, Plaintiffs are attempting to shoehorn BHI into an argument about Dr. Savage-Rumbaugh.

¹⁴ It bears noting that paragraph 15(b) of the Main Agreement and 11(b) of the Side Agreement admonish that the language shall "not [be] strictly construed for or against any of the parties." (Ex. 1 at ¶ 15(b); Ex. 2 at ¶ 11(b).) Further, BHI was not a party to the litigation at the time the Settlement Agreements were executed and as such had no independent counsel involved in their negotiation.

the trajectory of the research. As Dr. Taglialatela testified, he had not even seen the Settlement Agreements when he accepted his position as Director of Science, and his belief at the time was that he and Dr. Hopkins were free to "take the research in the direction that we saw fit." (*See* Tr. at 539:24-541:4.)

To the extent that they can discern the nature of the research that ACCI is conducting from just the titles of ACCI's active research protocols, Dr. Savage-Rumbaugh and the members of the BHI board have asserted that ACCI's research does not fall within the specific confines of Dr. Savage-Rumbaugh's research trajectory. (*See id.* at 196:7-13, 324:20-25. *See also id.* at 621:20-23.) Moreover, as explained in detail in Movants' Post-Hearing Brief, Plaintiffs have taken other actions that have had the effect of discontinuing Dr. Savage-Rumbaugh's research trajectory, including banning Dr. Savage-Rumbaugh from the Des Moines facility, refusing to carry on the rearing techniques that are crucial to her research trajectory, discontinuing the culture of language at the facility, banning direct human interaction with the bonobos, and implementing a protocol that requires anyone who interacts with the bonobos to wear facemasks and gloves. (*See* Mvts'. Br. at 27-34.) Plaintiffs do not dispute any of those allegations. (*See*, *e.g.*, Tr. at 404:20-405:1, 523:4-9.) Accordingly, Plaintiffs have failed to refute the claim that they have violated the Settlement Agreements by discontinuing Dr. Savage-Rumbaugh's research trajectory.

IV. PLAINTIFFS FAIL TO REFUTE THE CLAIM THAT ACCI IS IN BREACH OF ITS OBLIGATION TO PROVIDE ADEQUATE CARE FOR THE BONOBOS

While Plaintiffs assert that ACCI has taken all reasonable precautions to ensure the bonobos' complete safety (*see* Pls.' Br. at 23), they offer absolutely no support for this assertion.

At the hearing, multiple witnesses raised concerns about the bonobos' safety in light of the flooding that has occurred at the Des Moines facility, and which will continue to occur in the future. (See, e.g., Tr. at 41:10-42:24, 144:15-24, 612:4-613:25. See also Ex. 91.) Plaintiffs offer no response to these concerns. Instead, Plaintiffs meekly assert that Dr. Savage-Rumbaugh "made no effort to move the bonobos from the facility," and "affirmatively resisted subsequent efforts to move them from the facility when such a move would not allow her to continue research with the bonobos." (Pls.' Br. at 23.) Once again, Plaintiffs seek to divert attention away from the issue at hand. Furthermore, Plaintiffs mischaracterize the facts. As Dr. Savage-Rumbaugh testified, she has been concerned about the safety of the bonobos for quite some time, and has repeatedly voiced those concerns, but she has not been in a position to order any formal analysis to assess the flooding situation. (Tr. at 87:17-88:3.) Furthermore, she had received assurances that expanding the flow-rate through the opening in the bypass, which serves to partially inhibit the speed of the natural flow of water during flooding, would solve the problem, thus negating the need to relocate the bonobos. (*Id.* at 84:21-23, 144:3-11.) She only recently learned that it will not have the desired effect. (Id. at 144:12-14.) Moreover, until now, there was no viable relocation option. (Id. at 143:2-5.) In 2012, for example, when the idea of relocating the bonobos was raised, the only option would have been to move them to a nonaccredited zoo. (Id. at 143:5-7.) As Plaintiffs concede, moving the bonobos to a zoo would have prevented Dr. Savage-Rumbaugh from continuing her work with them. (*Id.* at 143:7-8.) Plaintiffs' own witness, Dr. Hopkins, testified that moving the bonobos to a zoo would have been a "tragedy." (*Id.* at 651:18-23.)

Plaintiffs similarly offer no response to any of the other concerns that were raised by Movants' witnesses about the effects that Plaintiffs' decision to ban Dr. Savage-Rumbaugh from the Des Moines facility or their efforts to reverse her research trajectory will have on the bonobos' psychological and physical wellbeing. The expertise and credibility of these witnesses is undisputed. For example, Professor Dubreuil testified that it is "inhumane" to deprive the

bonobos of the language that Dr. Savage-Rumbaugh's research has given them. (*Id.* at 167:21-169:17.) He also expressed concern about the effect that Dr. Savage-Rumbaugh's banishment would have on the bonobos due to the very strong emotional bond that bonobos form with the individuals who raise them. (*Id.* at 197:2-20.) Professor Tuttle testified that removing Dr. Savage-Rumbaugh from the facility deprives the bonobos of their enrichment (*id.* at 626:9-627:1), and Professor Wildman compared it to removing a parent from a human family (*id.* at 320:22-321:3). Not surprisingly, Professors Dubreuil and Wildman each testified that they encountered an alarming scene when they visited the Des Moines facility. Professor Dubreuil testified about the bonobos engaging in uncharacteristic behaviors and described a "heavy silence" that hung over the facility (*id.* at 171:15-175:14), and Professor Wildman described the facility as a "ghost town" (*id.* at 330:4-16). Plaintiffs have failed to address any of these allegations. Accordingly, Plaintiffs have failed to refute Movants' showing that ACCI is in breach of its obligation to adequately care for the bonobos, or to demonstrate this to a certainty.¹⁵

CONCLUSION

For all of the reasons outlined above, Plaintiffs have failed to refute the claims that they repeatedly and knowingly violated the Settlement Agreements. Accordingly, Dr. Savage-Rumbaugh and BHI respectfully request that this Court remedy IPLS and ACCI's breaches by:
(i) granting Dr. Savage-Rumbaugh and BHI their right to relocate Maisha and the Bonobo 4; or, alternatively (ii) ordering that BHI be allowed to make all decisions regarding the research in which the bonobos are involved, including having regular access to the bonobos and having at least an equal say in other decisions affecting the bonobos, and that Dr. Savage-Rumbaugh be

⁻

¹⁵ Obviously, BHI having had its access to the Lab severely circumscribed, and Dr. Savage-Rumbaugh eliminated altogether, it is impossible to proffer proof to a certainty regarding the poor health of the bonobos. That is not the point. BHI has the right to a proactive role at the Lab, and need not rely on the conclusory assertions of persons it has grown to distrust. Neither should this Court.

allowed to participate in this research; and (iii) granting any other relief this Court deems just and equitable.

DATED: July 24, 2015 FAEGRE BAKER DANIELS LLP

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on the memorandum

ATTORNEYS FOR DR. SUE SAVAGE-RUMBAUGH, PH.D. and BONOBO HOPE INITIATIVE, INC.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that a true and correct copy of the **DEFENDANT SUE** SAVAGE-RUMBAUGH'S AND INTERVENOR DEFENDANT BONOBO HOPE INITIATIVE'S REPLY TO PLAINTIFFS' POST-HEARING BRIEF was served upon the following parties through the CM/ECF system of the United States District Court for the Southern District of Iowa, Central Division, on July 24, 2015.

> /s/ Ross Neihaus Ross Neihaus

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EXHIBIT A

IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF IOWA CENTRAL DIVISION

IOWA PRIMATE LEARNING SANCTUARY:
d/b/a GREAT APE TRUST AND:
APE COGNITION AND COMMUNICATION:
INSTITUTE,:

Plaintiffs, : Case No. 4:10-cv-00052

vs.

ZOOLOGICAL FOUNDATION OF :
GEORGIA, INC. d/b/a ZOO ATLANTA, :
DEMOCRATIC REPUBLIC OF CONGO, :
JAPAN MONKEY CENTRE INSTITUTE :
AND MUSEUM OF PRIMATOLOGY, and :
SUE SAVAGE-RUMBAUGH, Ph.D., :

Defendants, : TRANSCRIPT OF HEARING : VOLUME I

and

BONOBO HOPE INITIATIVE, INC.,

Intervenor-Defendant. :

Fourth Floor, South Courtroom United States Courthouse 123 East Walnut Street Des Moines, Iowa 50309 Wednesday, May 27, 2015 9:26 a.m.

BEFORE: THE HONORABLE ROSS A. WALTERS, Magistrate Judge.

Terri L. Martin, CSR, RPR, CRR United States Court Reporter Room 189, U.S. Courthouse 123 East Walnut Street Des Moines, Iowa 50309

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23 - 12/4/12 plan of action	183	183
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5
 1
                        PROCEEDINGS
 2
              (In open court.)
              THE COURT: Please be seated, everybody.
 3
              Good morning to you all. Of course, I had seen the
 4
 5
    lawyers, but good morning to the rest of you. This, of course,
 6
    is the Iowa Primate Learning Sanctuary, doing business as Great
7
    Ape Trust, and Ape Cognitive and Communication Institute versus
    Dr. Sue Savage-Rumbaugh and -- I will probably mispronounce this
 8
   because around here we've said "boenobo" and "baunobo."
10
              How is it correctly pronounced or is there a consensus
11
    on that point?
12
              MR. STAMBAUGH:
                              It's "boenobo," Your Honor.
13
              THE COURT: It's bonobo. Thank you.
14
              MR. ZIFCHAK: We all agree.
15
              THE COURT: Well, good. Take a lesson from that.
16
    Bonobo Hope Initiative, which, of course, is an intervenor.
17
    We're here today for evidentiary hearing on Savage-Rumbaugh's
18
    motion for specific performance and related relief to which the
19
    Bonobo Hope Initiative has joined. Of course, the matter as we
20
    know, concerns the enforcement of the settlement agreement and a
21
    side agreement, the latter of which -- with respect to the
22
    latter which the court has raised a jurisdictional issue. But
    as I said in my final pretrial order, we're going to go ahead
23
24
    and make the record here today because there's similarity in the
25
    issues involved in both of those things.
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I think we're ready now for opening statements, and under the pretrial order those are limited to 15 minutes. And I think that -- Mr. Zifchak, you've risen. Does that mean you're going to do it?

MR. ZIFCHAK: Yes, Your Honor.

THE COURT: If you would, please.

MR. ZIFCHAK: Good morning, Your Honor.

THE COURT: Good morning.

MR. ZIFCHAK: May it please the court. My name is William Zifchak. I'm special counsel to the law firm Kaye Scholer in the New York office. I retired in 2012 as a partner after 30 years. I believe Your Honor has met the other members of my trial team, and I'll get on with my opening.

Here today is a determined group of lawyers pitched for conflict on a courtroom battle field not over money, indeed all counsel here are serving pro bono; but as at the battle of Gettysburg over an idea. Here the idea being fought over is not the morality of human bondage or even ape bondage. I note that this morning the Court of Appeals of my home state is hearing argument on the issue of habeas corpus for chimpanzees in that state; but we're not here for that issue today.

There can be no dispute that the primate research trajectory that Dr. Sue Savage-Rumbaugh initiated four decades ago in Georgia established that certain of the bonobos now in residence here in Des Moines have the capacity to communicate

with humans in human language about their environment and their feelings.

A recent flier for the plaintiff, Ape Cognitive and Communication Institute, has a picture of Kanzi on it, and it says, I know 3,000 words. For this reason, these bonobos are unique in the history of mankind.

Let me briefly illustrate the research with a three-minute excerpt from one of the videos at Exhibit 98 in this case, a video prepared at Dr. Rumbaugh's direction at the Language Research Center at Georgia State University.

Todd.

(Video excerpt from Exhibit 98 was played.)

MR. ZIFCHAK: Your Honor, the idea we're battling over here today is whether this research should be continued with the hope that it will unlock even greater discoveries about the origins of man or whether that research trajectory should be short-circuited by the current custodians of the bonobos who were entrusted with their care and in so doing maligning and persecuting the protagonist of that research,

Dr. Savage-Rumbaugh, and banning her from all access to the bonobos that she nurtured.

These conditions have been interposed without any regard for the mental health and welfare of the bonobos. The current custodians have drawn a shroud of secrecy over the bonobos and the facility and denied all but token access to the

co-owners of the bonobos, Bonobo Hope Initiative. They have driven out Sue; her sister, Liz Pugh, who was featured briefly in the excerpt and who worked with the bonobos since Atlanta; and most recently Sue's niece, Heather, who as an infant had her diaper changed by the bonobo you saw in the video, Panbanisha.

More to the point, the current custodians are doing all of this we contend in violation of the two settlement agreements which are before the court. These agreements, among other things, impose Dr. Savage-Rumbaugh's research trajectory on the current custodians, and the supplemental agreement strongly implies that Bonobo Hope as co-owners has an equal say in the, quote, residence, breeding, activities, and use of the four bonobos subject to that side agreement.

Now, Your Honor, lest you think I am a crazed animal rights activist from the upper west side of Manhattan, I am not. None of my team are. Eight years ago I did not even know what a bonobo was. I was introduced to this matter initially as an employment dispute because by trade I'm an employment lawyer; but what I've learned these past eight years is this. Sue, a primatologist, had worked with her then husband, Duane Rumbaugh, for 25 years at the Ape Language Research Center in Georgia studying chimps and then bonobos.

While Duane first created the touch screen board system for chimps and his own history is memorialized in a very recent book called "With Apes in Mind," Sue discovered that the

bonobos, specifically Kanzi and his half-sister, Panbanisha, had far more aptitude for language and went on to learn thousands of words. They also played the piano, lit fires with matches and lighters, made tools and knots, opened padlocks with keys and were potty trained on a regular toilet.

Sue owned several of the Georgia bonobos, one of which was a gift from the people of Japan. Sue, who by this time already had an honorary Ph.D. from the University of Chicago, in addition to her own Ph.D., gave up her tenured professorship at Georgia State and in 2004 donated her ownership interest to the Great Ape Trust, in exchange for a promise from Ted Townsend of a job for life and to care for the bonobos for life. Sue asked for no money for these priceless creatures.

With proper approvals Sue drove the bonobos to Iowa in a school bus in 2005. Ted Townsend spent 20 million dollars building a facility on donated city land that he acknowledged was in a floodplain. Jealous rivals forced Sue out after two years. This was the start of a pattern. Sue was reinstated full time in May 2008 but supervised by a non Ph.D. named William Fields. A major flood in June 2008, in Townsend's own words, was the, quote, death nail for his master plan for expansion at the trust. After the financial crash, he made plans to pull out and relocate the bonobos.

The U.S. Army Corps of Engineers has banned further construction at the facility and the groundwater may well be

toxic to the apes and to humans. Four bonobos, the one that was a gift from Japan, named P-Suke, Nathen, Panbanisha, and Matata, the original bonobo from Africa, have died in Iowa. Infant Teco was born in 2010 with chronic health conditions.

In August 2011 Sue was selected as one of Time Magazine's 100 most influential people in the world. To my knowledge, she is the only Iowa resident ever so honored, and yet in October 2011 she was locked out by Fields in the face of allegations by disgruntled workers that she, herself, was a danger to these bonobos. These allegations were discredited and a new leadership team replaced Townsend who left the trust saddled with debt.

Lyle Simpson, Professor Ken Schweller, and many distinguished scholars wrote letters of support to the bonobo research and for Sue to the Governor of the State of Iowa, its senators, and its university presidents. These identical allegations from 2011 were served up as new to Ken Schweller when he became the new board chair, and he used them as an excuse to place Sue again on administrative leave and then work to relocate the bonobos. Sue was cleared once again after a comprehensive investigation and things moved along until May 2013 when she suffered a fall and concussion at the lab. In the interim, George Caudill, at Lyle Simpson's urging, was elected the chair of IPLS.

In May 2013, the Caudill and Simpson led board,

including Tom Watson who is the CEO of Hy-Vee, resolved to grant Sue, quote, unfettered permanent access to the bonobos. And I've displayed the resolution on the screen. It's Exhibit 27 in the exhibit binder.

The current custodians have been in breach of this pledge since November of 2013 when on Sunday, November 9, Sue was suddenly banned from the lab by George Caudill. She has been denied access since that time. There is no dispute that Sue and her husband, Duane, were banned at the insistence of Yerkes Primate Research Center, a private lab that specializes in invasive research on chimps and which is disserved by the implications of the research trajectory.

Now, to bring things back to the instant motion, this action was brought in interpleader, as Your Honor knows, to resolve ownership claims to Matata and her son, Maisha. Sue was invited to participate in this action because she had owned Maisha and she asked me to represent her in the litigation. The lawsuit was settled on the basis where ownership of the other five bonobos, including the late Panbanisha, would be shared 50/50 with Bonobo Hope Initiative. The supplemental agreement was the quid pro quo for Sue signing the main settlement agreement. A provision common to both agreements we will show mandates that whether Sue was actively involved or not, Sue's research trajectory with the bonobos based on interspecies immersion in a symbolic culture, which cultivates language and

other forms of communications in the bonobos, be continued as a condition of leaving the bonobos in the care of the Iowa Primate Learning Sanctuary.

Paragraph 5 of the supplemental agreement, at the same time that that agreement awarded Bonobo Hope co-ownership, identifies the trappings of ownership that Sue was releasing and that we contend Bonobo Hope acquired as follows:

Quote, Savage-Rumbaugh acknowledges and agrees that relinquishing her claim to ownership includes, but is not limited to relinquishing any and all rights to determine the residence, breeding, activities, or use of the Bonobo 4.

Bonobo Hope released no claims on to that side agreement, Your Honor. It was awarded co-ownership as part of the overall settlement. By implication, this clause fleshes out the rights inherent in Bonobo Hope's co-ownership of the bonobos.

The settlements require an express written understanding between the parties in order to be modified. There is no such amendment. The settlements also award relocation rights to Bonobo Hope working in conjunction with Dr. Savage-Rumbaugh should IPLS cease to exist.

Now, Jared Taglialatela and William Hopkins were installed as Sue's successors allegedly by a vote of the Bonobo Hope Board with Sue and Duane's endorsement and at Lyle Simpson's urging. The successor to IPLS, the plaintiff ACCI,

contends that by that vote Bonobo Hope somehow put itself out of business. However, neither Sue nor Bonobo Hope was aware when it voted on that resolution that Sue allegedly had been dismissed from her position on the IPLS Board of Directors or that Jared, a former student of Sue's in Georgia, had done an about-face on his decade long support of Sue's research trajectory.

Sue was not permitted by Lyle to discuss with Bonobo
Hope her banishment from the lab by George Caudill on
November 9th before the vote. Lyle did not volunteer to Bonobo
Hope, which happened to be his client, that Yerkes had imposed a
ban on Sue's access as a condition of doing business with IPLS.
Lyle did not volunteer that ACCI had been created to stand in
the shoes of IPLS because it had been rendered inactive by the
Secretary of State of Iowa.

Since November 2013, ACCI has refused to volunteer any information about the conditions of the bonobos or detail on any research initiative. Rather it has made clear that it disavows and has discontinued Sue's research trajectory, including the regular language immersion with the bonobos.

I have put on the screen, and I'll just display it here, Your Honor, the single piece of paper that we've received from ACCI since November 2013 that describes the research that purportedly is going on at the lab. We've asked for detail. They've refused to give it to us. We would like to know -- and

that's one purpose of the hearing -- exactly what the research is that the bonobos are and are not being subjected to.

This is not only a breach of the settlement agreements and the resolutions preceding it, it is inhumane. It is the equivalent of cutting out the tongue of a human being. ACCI has ignored concerns that the lab and the campus are environmentally dangerous to the bonobos. We intend to prove, therefore, that the settlements mandate continuation of the interspecies immersion research trajectory, that IPLS and ACCI have breached this mandate, that Bonobo Hope's express and inherent rights of ownership have been abrogated, that IPLS has ceased to exist within the meaning of the settlements and breached them when it failed to notify this court of that fact, and the bonobos, therefore, should be relocated in accordance with the relocation clause. We also intend to show that Bonobo Hope has a viable relocation site in neighboring Missouri.

Your Honor, in closing, let me say this. In the course of this hearing, you will hear two perhaps diametrically opposed interpretation of events whose occurrence for the most part is undisputed. The court may find the interpretation of the motives of various well meaning professionals almost impossible. I suggest to you that such an exercise is not necessary. The focus of the inquiry, with all due respect, is whether the research trajectory should be sustained because that was invariably the intention of the parties and, if not, whether

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Bonobo Hope nevertheless because of its undisputed ownership
interests has an equal say in the destiny of these precious
creatures.

We hope that the court will rule in favor of Sue and
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We hope that the court will rule in favor of Sue and Bonobo Hope on both counts.

Thank you for your courtesy, Your Honor.

THE COURT: Thank you, Mr. Zifchak.

Opening statement on behalf of the plaintiffs.

MR. MILLER: Good morning, Your Honor.

I'm Bill Miller here on behalf of IPLS and ACCI. I'm here with my colleague, Brian Melhus, who will be trying the case and this hearing with me, and Jared Taglialatela, Dr. Jared Taglialatela, who is the director of research at ACCI and is serving as our corporate representative.

May it please the court. Your Honor, this is a case about boundaries is what it comes down to. It's the boundaries of the ability of humans and the ability of humans to respect those boundaries. Now, in the background are some very fascinating issues about the boundaries of the human mind, and Dr. Sue -- and I refer to her with that respectfully, Dr. Rumbaugh -- there may be a reference to her husband, so I'll just say Dr. Sue just so we're clear. Dr. Sue has done some innovative work in the past with these bonobos. The court shouldn't be distracted by those issues, however, because the focus here, the real boundaries to be concerned with are more

mundane, and they're focused on the settlements and the side agreement and focused on subsequent activity by Bonobo Hope, by Dr. Rumbaugh and by others that bring us to this point of conflict that we're discussing in the courtroom.

Now, Dr. Sue has been asked time and time again to live within boundaries, and those boundaries have been directed by legal proceedings, by colleagues, by circumstances, and the fact of the matter is she refuses. And as this court knows, just by example, this case started out as an interpleader action and it's morphed into something different.

One of the issues that you'll be deciding -- and I'll mention it here and not again, I don't think -- is the jurisdictional issue with respect to what claims are at issue here. We have two jurisdictional issues. We have the supplemental agreement, and I think there's an agreement that that will be addressed by the court, or at least the parties are in agreement; but we have other claims about personal injury and the like. I didn't hear mention of them this morning. They shouldn't be discussed.

The focus is on the main and side agreements that settled that interpleader action and the conduct subsequent to them. Those agreements define a plan going forward for the operation of the Great Ape Trust, a facility that ACCI is now operating. Dr. Sue agreed it was time for her to step aside, and she handpicked the next generation that would take over that

operation. Then, for whatever reason, she decided to reassert herself and in doing so created havoc. She jeopardized the very plan that she helped to implement. She was then called on that. It was brought to her attention. She withdrew, and then since then she's concentrated on this litigation.

Meanwhile, ACCI and IPLS have continued the work of Great Ape Trust. They've restored order to the organization. They've put protocols in place and continued the research. They've raised funds for the facility and to support the research that they were doing, and they set boundaries for a sustainable path going forward. I think it will be clear and evident as you hear testimony in this matter that Dr. Sue doesn't like those boundaries. She thinks they interfere with her own plans and her work, Bonobo Hope agrees; but she gave up control, she wants it back.

You do have a legal issue in front of you with respect to this question of whether IPLS ceases to exist. The fact of the matter is no; the same operation from IPLS to ACCI. In fact, ACCI has improved what IPLS has been doing. The prior entity was administratively dissolved. You'll hear testimony from Mr. Simpson regarding that, from Dr. Rumbaugh and from others. That entity can be reinstated if necessary, continues to exist, and ACCI continues at work. We've briefed those issues, and the evidence that you'll hear in the next couple of days will support that IPLS does not cease to exist.

The real crux is how ACCI continues this operation going forward while recognizing the co-ownership of BHI. ACCI has worked with BHI. It's briefed them on events. It hosted members and meetings to discuss what's occurring at the facility. It's occurred in a context, however, in which Bonobo Hope had previously stated that Dr. Taglialatela and Dr. Hopkins would be in charge of the plan going forward.

Now, BHI has now cast its lot with Dr. Rumbaugh for reasons that I don't know why exactly, but that's what they've done. But the fact of the matter is that there's no agreement that guarantees Dr. Sue access to the facility, and any indication that might happen in the future evaporated when she refused to withdraw like she had promised. Furthermore, she's admitted over time that she's not capable of overseeing things including the operation and safe health of the bonobos, and she shouldn't be permitted to come back in now.

In sum, Your Honor, ACCI has continued to work the Great Ape Trust here in Des Moines. It's caring for the bonobos, carrying on research consistent with prior agreements between the parties. It's not doing biomedical research. The bonobos aren't at risk from flooding. These are all contrived excuses.

The parties tried to erect boundaries that permitted the continuation of Great Ape Trust. We're here because ACCI can respect those boundaries that Dr. Sue and BHI cannot. At

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    the end of this matter, we're going to ask you to restore order
    by confirming that ACCI may continue its work without
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    interference and demanded access by Dr. Sue.
              Thank you.
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              THE COURT: Thank you, Mr. Miller.
              Mr. Stambaugh, you may call your first witness.
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              MR. STAMBAUGH: Thank you, Your Honor.
              The claimants first call Dr. Sue Savage-Rumbaugh.
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              THE COURT: Ma'am, if you would come forward, please.
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              THE CLERK: Please raise your right hand.
11
            SUE SAVAGE-RUMBAUGH, DEFENDANTS' WITNESS, SWORN
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              THE COURT: You can take a seat right there.
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              You might want to adjust the microphone so you can
14
    speak into it conveniently.
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              THE WITNESS: Okay.
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              MR. STAMBAUGH: May I proceed, Your Honor?
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              THE WITNESS: Can you hear me just fine?
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              MR. STAMBAUGH: Yes. May I proceed?
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              THE COURT: Yes.
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DIRECT EXAMINATION

2 BY MR. STAMBAUGH:

- 3 Q. Dr. Savage-Rumbaugh, we've heard some of the counsel talk
- 4 about the reasons for this proceeding. Can you explain to the
- 5 | court in your own words briefly why you're here today?
- 6 A. Yes. I'm here because a settlement agreement, in my view,
- 7 has been breached and access to the bonobos from both the
- 8 | scientists and myself has been precluded. I established the
- 9 | Bonobo Hope Board and the scientists on that board to look after
- 10 | the future of the bonobos, and I would like to see that
- 11 | continue.
- 12 Q. What do you want specifically for the lives of these bonobos
- 13 | going forward?
- 14 A. I want the research trajectory to go forward with
- 15 | responsible scientists looking after that trajectory, and I want
- 16 | the Pan/Homo culture and the rearing environment to continue.
- 17 | This includes not only art, music, apes, it includes the family
- 18 | that goes along with the bonobos, the human family as well as
- 19 the bonobo family, because that's produced the results of the
- 20 research.
- 21 Q. Dr. Savage-Rumbaugh, we've already heard the phrase
- 22 | "research trajectory." Can you explain to the court what is the
- 23 | nature of your research?
- 24 A. The research trajectory, in my view, is something that a
- 25 | scientist establishes when they have a question, a long-term

question and they spend their life looking at it from many different ways. Some scientists bounce around and look at different topics. I have taken the topic, the origin of the human mind. As a young child, my father used to always ask why are all my seven children so different. And I guess I started to wonder, how did we get different and how did we get to be the way we are.

So when I had a chance to for the first time in my life meet apes, I was very fortunate. I met them at the University of Oklahoma where apes were raised in radically different conditions. Some were raised in human homes from the date of birth. Some were raised in peer groups from the date of birth. Some were raised by their mothers from the date of birth. Some were wild caught. And I could immediately see, having had a background in psychology, that these apes were radically different, and apes are our closest living relatives, and I saw then that what happens in one's lifetime and the cultures that one is in makes a huge difference, and it makes a difference even prior to birth and it certainly makes a difference in the period of time after birth.

So I wanted to study that for my career, and I had been on my way to Harvard University to work with B.F. Skinner.

I was very impressed with his book "Walden Two" in which he shows how a full understanding of behavior and reward and reinforcement and learning theory could shape a better world,

and I had been admitted to Harvard. When I saw these apes, I realized that learning theory was not the answer. It couldn't explain what I saw. Rearing was explaining what I saw, but that rearing went far beyond any reinforcement techniques and any classical conditioning techniques, something else was going on.

So I vowed to work with apes the rest of my life. At that point many people told me, you won't get a job, it will be difficult, you won't be accepted, nobody is doing that. The evidence in front of my eyes was overpowering, and I decided to do it. So I finished my Ph.D. at the University of Oklahoma, and then I went to Yerkes under the direction of Dr. Duane Rumbaugh, whom I later married, although I hardly knew him at the time, and we've had and continue to have a wonderful relationship since. He's 20 years my senior, so he's residing with a daughter in Jersey and would love to be here but is not able to do so at this point in time because of his age.

But I have continued and he has urged me to continue, and we had a very, very interesting research trajectory at the Yerkes Primate Center. When I first arrived, I was shown around the center. I looked up and down the wing where there were 130 or 150 chimpanzees, each two to a cage. I walked up and down that wing, and those chimps were crazy. They were crazy. They were throwing shit, they were screaming, they were banging their heads on the walls, they were poking their eyes, they were pulling their hair out. And I cried, and I said, as a

- 1 | scientist, this is wrong. I have to do something about this,
- 2 | but I can't do anything about it as an animal rights activist.
- 3 All I can do is help people understand that these apes are more
- 4 intelligent than we have learned before.
- 5 And as I said, I had seen apes reared in human homes,
- 6 | and I knew that they could go for rides in cars with me, they
- 7 | could go to A & W with me and sit right there and order a
- 8 | hamburger. That was done at the University of Oklahoma. It was
- 9 my training as a graduate student.
- 10 So I decided to do research that would help understand
- 11 | the intelligence of these apes.
- 12 Q. Thank you.
- For the sake of our kind madam court reporter, Yerkes
- 14 is Y-E-R-K-E-S.
- Can you explain to us what Yerkes is?
- 16 A. Yerkes is one of a number of facilities operated by the
- 17 | Federal Government that keeps primates mostly for the purpose of
- 18 | biomedical research and certain kinds of restricted behavioral
- 19 research.
- 20 Q. Dr. Savage-Rumbaugh, when did you first start working with
- 21 bonobos?
- 22 A. I first started working with bonobos in 1975 when Matata,
- 23 the matriarch of this group, was imported from the Congo under a
- 24 | loan-lease agreement to determine whether bonobos were actually
- 25 a different species than chimpanzees. That was in 1975 and that

was the same time I arrived at the Yerkes Primate Center.

The other people there were doing biomedical assays and I was to do the behavioral assay, comparing the behavior of bonobos and chimpanzees to determine if they were or were not different species.

- Assay is A-S-S-A-Y, is that correct?
- 7 Α. Yes.

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- 8 After Yerkes where did your research take you next? Q.
- My research took me to Georgia State University, and there we began with Kanzi in 1980 when he was just six months old. 11 was assigned to us and brought to us from the Yerkes Primate 12 Center, along with his mother, because I refused to separate him 13 from his mother or cause him any trauma by so doing. And the previous work I had done at the Yerkes Center had been -- tried to determine whether or not apes could learn language, use 16 symbols, comprehend symbols, but it had all been based on learning principles and conditioning principles. And while the work had been very successful, what I realized was that as long as we could tell the world that we had trained these apes, we

So the real question was, could an ape pick up language if it were in a situation in which a human child could pick up language. As long as we were assuming it had to be trained, we couldn't really answer that question.

weren't in the same ballpark with human beings.

So I designed an environment for Kanzi, not to make

him a human because I knew that would be wrong. Even if Kanzi could become human, his body wasn't going to be human even if his mind were. He needed to live with bonobos and understand bonobo language and culture. So I kept him with his mother, and I designed an environment in 50 acres of forest that Georgia State provided, and Kanzi's day was spent wandering around that forest finding food, foraging much as he would do if he were in the wild. But each place where there was food, it had a name, and we could talk about where we were going to go and we could talk about what we were going to do. And in that environment, without any training, Kanzi acquired knowledge of that forest faster than the humans, he could lead people who were lost, and he learned how to use the symbols, and he learned the human language, the spoken language. Even though he couldn't speak it clearly, he tried to emulate it.

So he learned a written language and a spoken language. By the time he was two, two-and-a-half years of age, he began to be competent. And by the time he was three or four, it became clear that he didn't need any kind of training whatsoever. He was on -- he was a bit delayed, but he was on the same trajectory that a human child would be on if he were in a loving, caring environment where he has respect and dignity every moment and has the opportunity to do what he wants and say what he wants. That was the opportunity that was available to Kanzi.

- Q. Doctor, in order to conduct this type of research trajectory, what sort of contact with the bonobos is required?
- 3 A. The same as you would have if you raised a child in a
- 4 loving, caring home. You have free contact. The child goes
- 5 places with you. The child does things with you. You explain
- 6 to the child what you're going to do in advance. You tell the
- 7 | child when there are ways to be polite and ways to be kind and
- 8 | the proper words to say. There has to be a moral attachment and
- 9 | a bond that's established. It's through that bond of love that
- 10 | a young bonobo will want to emulate certain things; but it would
- 11 be wrong to do that with all humans around, as I said, so you
- 12 need bonobos and humans and the attachment needs to be both
- 13 ways.

- 14 Q. After GSU, Georgia State University, where did you go next?
- 15 | A. After Georgia State University, at the request of Ted
- 16 Townsend, I came to Iowa, Des Moines, Iowa.
- 17 | Q. At the time you came to Iowa, Dr. Savage-Rumbaugh, what sort
- 18 of notoriety did Kanzi have?
- 19 A. Well, Kanzi had gained worldwide recognition very rapidly
- 20 | because he was the first nonhuman ever to be acquire language
- 21 | without being trained and to understand spoken sentences, even
- 22 | novel sentences that he had never heard before, even narrations
- 23 and stories that he had never heard before. His comprehension
- 24 | was deep and it grew deeper; but the accolades were -- I think
- 25 | he was widely talked about in the New York Times. He appeared

- 1 | in newspapers around the world, he appeared in video clips
- 2 | around the world, and he was on the first satellite that was
- 3 | sent beyond the solar system, which had the treasures of
- 4 information we would share with the rest of the solar system if
- 5 | they were to find that information, description of the work with
- 6 Kanzi was included there.
- 7 | Q. I want to take a step back before we talk about the Great
- 8 Ape Trust, Dr. Savage-Rumbaugh.
- 9 Have you received any awards or accolades for this
- 10 groundbreaking work that you were doing?
- 11 A. Yes. I've received an honorary doctorate at the University
- 12 of Chicago; an honorary doctorate at my alma mater, Southwest
- 13 Missouri State University; and I've received a Sigma Xi
- 14 | lectureship; and I was nominated by Time Magazine as one of the
- 15 | 100 most influential people in the world.
- 16 Q. What is a Sigma Xi lectureship?
- 17 A. Sigma Xi is an honorary society for scientists, and they
- 18 | select some scientists to travel around the United States and
- 19 tell students and colleges about the particular work they are
- 20 doing.
- 21 | Q. And have you been nominated for any other awards?
- 22 A. I think that's the sum total.
- 23 Q. Have you ever heard of the Templeton award?
- 24 A. Oh, I've been nominated, but I don't know that I've received
- 25 other awards. I was told that I was nominated for a Nobel, but

- 1 | you never really know. I don't know how many things I've been
- 2 | nominated for.
- 3 | Q. How did you come about to be named one of Time Magazine's
- 4 | 100 most influential people?
- 5 A. I don't know.
- 6 Q. What year was that?
- 7 A. I think that was 2010 or 2011 -- 2010.
- 8 Q. Let's go ahead and talk about how the bonobos came to Iowa.
- 9 How did that come about?
- 10 A. Ted Townsend heard about the bonobos through a book called
- 11 "Monkey Wars." He was interested in building the Iowa Child
- 12 | facility as I understand people here in Iowa know, and he read
- 13 | in the book "Monkey Wars" about chimpanzees and bonobos in
- 14 | Iowa -- I mean in Missouri that had language, and that research
- 15 | happened to be done by an Iowan. My husband, Duane Rumbaugh,
- 16 | was born in Iowa, so because he was an Iowan -- I guess you do
- 17 | this in Iowa -- he called up my husband and asked to come and
- 18 | visit and see what our lab was really like.
- 19 Ted described having his father interest him in
- 20 Africa, and he traveled there many times, and he had seen all
- 21 | the African animals. He had them mounted at the Townsend
- 22 | facility, and he had read about chimpanzees, and I don't think
- 23 | he knew anything about bonobos; but he was interested in the
- 24 origins of humans and the whole evolutionary story.
- So he came to our lab to see if it was for real, and

- 29 1 we showed him around, and he wanted to include us in the Iowa 2 Child project because he wanted children in Iowa to have the experience of seeing apes with these capabilities. He thought 3 it would inspire them to strive for greater heights themselves. 4 Q. What was the plan for the bonobos when they first came to 5 6 Iowa? The plan was to build the ideal facility in which the 7 research trajectory could expand and continue in perpetuity. 8 9 Did your research trajectory continue after the bonobos 10 moved to the Great Ape Trust in Iowa? 11 The research trajectory continued in many ways and it had 12 difficulties in other ways. We were brought to Iowa, along with a group from the Smithsonian Zoo, and they had an orangutan
- 13 14 named Azy, which it's interesting that his name Azy was sort of 15 like Kanzi. And in terms of PR there was a desire to present 16 Azy and Kanzi in a similar manner, that they had similar skills. 17 They both used the keyboard. Azy was not reared in an 18 environment like Kanzi, and Azy could not understand spoken 19 English and Azy could not understand narratives and Azy could 20 not understand novel sentences. In fact, all Azy could do was 21 if you held -- if I held up my glasses, he could say glasses. 22 If I held up Kleenex, he could say Kleenex. He could do that for seven items. Beyond that -- that's called paired associate 23 24 in psychology. It means event X happens, you say A; event Y 25 happens, you say B. So all you have to learn is to pair two

things.

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2 Language is very different. Language is symbolic. So

3 if I say M&M and I hit a symbol, you usually do that if you're

4 | an ape because you want an M&M; but Kanzi can understand no M&M.

5 He can understand M&M's for Matata, M&M's yesterday, M&M's

6 tomorrow. When a symbol becomes freed from that paired

7 association, you can go backward and forward in time. It can be

8 put in a sentence in all different ways, and words can modify it

in all different ways. Then you have language.

10 Azy didn't have language; but the PR department -- Rob

11 was young, Rob was hoping to the future, and they wanted to

12 | equate Sue with Rob --

13 Q. And I'm sorry, I'm going to stop you there. When you say

14 "Rob," who you referring to?

15 A. Rob Shumaker.

16 Q. Can you spell that?

17 A. S-H-U-M-A-K-E-R.

18 Q. Thank you.

19 Please continue.

20 A. And I had hoped to work with Rob. Ted told me that his goal

21 | was that Rob would learn from me and be willing to work with me.

22 | The only way you can learn is if you actually rear an ape

23 | yourself and put it in the kinds of rearing environments that

24 | the child has, and it needs to be a by-species rearing

25 environment as I mentioned. You have to as a scientist do what

others have done to follow in their footsteps.

Rob wasn't really willing to do that with orangs, and it caused him great consternation and the PR department some consternation when Kanzi made fires, when Kanzi drew, when Kanzi made music, when Kanzi did the things that culturally we do.

When Kanzi went on long walks out in the woods with Panbanisha, it caused consternation because Rob couldn't do that with Azy.

So there was some conflict between myself and Dr. Shumaker. I welcomed Dr. Shumaker to the lab. I hoped that Ted's original idea that he would be able to learn and take the science forward would be helpful. At that time Rob had no publications in his field, and there were probably 400 in the other fields.

But in many other ways the trajectory went positively forward. The bonobos grew in abilities immensely, possibly as a result of actually relocating so they got to see that the world was a bigger place. It was a very, very different environment here in Iowa. The weather was different. We had the caves outside. We had a really big river. We had a flood that almost drowned us. We had some of the things that happen in life, and from all of those, the bonobos learned a great deal.

And in particular the bonobo Panbanisha, who passed away very recently, became fascinated with all of the documentaries on television that compared humans and apes and talked about how intelligent apes were relative to humans. She

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wanted to study all of those and watch them over and over and began to be very reflective. Kanzi also increased in his ability to be reflective.

And they were very aware that there were people in Iowa who were very scared of apes and if they got out of the facility would shoot them. We had to explain this to them. they were very cautious. They were very respectful of everyone. They were very careful. In a sense they grew up here. became adults. Morality that I didn't think could show up began to show up here, and this was one of -- it really changed here, even though the research trajectory as I had originally imagined it wasn't perfectly positive, because they are such intelligent beings, they continued in their own minds, they continued the trajectory. And this was one of the things that I had very much hoped to convey to Dr. Taglialatela had I had the chance. Q. You mentioned just a minute ago about the development of Kanzi at the time he arrived in Iowa. Dr. Savage-Rumbaugh, after three decades of pursuing your research, can you explain to the court in more detail what Kanzi was able to do at the time you arrived at the Great Ape Trust? A. Kanzi learned on his own how to comprehend human language. He could understand a sentence that he had never heard before. There were sentences such as, can you give the doggie a shot? Kanzi had never been asked to give a dog a shot, but in a test situation, he could pick up a syringe and a toy dog and give

1 that dog a shot when there were many other multiple objects 2 there. Kanzi could understand sentences like, let's not do it now, let's do it later where the "it" is referenced by the 3 So Kanzi understood referential words, he understood 4 symbolic words, he understood abstract sentences and abstract 5 syntax. Kanzi could follow a narrative. Kanzi could watch a 6 7 videotape and, at the level of at least an eightor ten-year-old, understand what was going on in a video. Kanzi 8 on his own -- his favorite movie was "Quest for Fire." And each 10 bonobo has always had a favorite movie, and it determines their character. Kanzi's was "Quest for Fire," and he began to make 11 12 fire, and by the time he got here he was an accomplished fire 13 maker. You have to be sure that you don't burn yourself and 14 that you gather the sticks right and that you put them right and 15 that you add sticks at the right time. Not all the other bonobos wanted to do that, but Kanzi did. 16

Kanzi also became an accomplished stone tool napper before he came here, and no other bonobos has ever made stone tools, no other bonobo has ever made fire, no other bonobo has ever understood language at that level. And Kanzi had played and demonstrated ability to have rhythm and synchrony with Peter Gabriel, and many other things, but those are the ones that are best documented.

24 | Q. Their favorite movie was not "Planet of the Apes"?

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25 A. They feel the "Planet of the Apes" -- well, they've watched

1 all the different versions, and they don't like the intermediary 2 versions where the apes were so aggressive. They did like the Charleston Heston version, but they had focused on -- each one 3 is different. Kanzi focused on "Quest for Fire" because humans 4 were very ape-like in that movie and they were trying to become 5 6 more human-like in that movie, which I guess is what Kanzi was trying to do; but Kanzi would watch that movie over and over and 7 over and, at certain points it was very scary, and at the scary 8 points he would turn -- there was a reflection in the lexigram. 10 When the video would shine on the lexigram, Kanzi would turn and 11 look the other way at the scary part, so he wasn't actually 12 watching the video.

Panbanisha's favorite movie was "Harry and the Hendersons," which is about a bigfoot that is struck by a family car and is carried home in the station wagon and the family is trying to protect this ape in their house and nobody else understands the plight of the ape, and that really became Panbanisha's preoccupation.

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And I could explain the others, but it is like a child. They have their own personality and they develop in their own way.

- Q. Dr. Savage-Rumbaugh, how much time were you spending with the bonobos at the trust at this time?
- A. I had somebody with the bonobos 24 hours a day. It wasn't always me. When Panbanisha and Kanzi were little, I did stay

- 1 | with them 24 hours a day, three days a week, and somebody else
- 2 stayed with them the rest of the time. I tried to as much as I
- 3 | could do writing and presentations and keep the trust running
- 4 and to work -- I didn't work an eight-hour day schedule. I
- 5 | worked at the project all the time but not always at the lab. I
- 6 | had my sister, Liz Pugh, and others if I weren't there who could
- 7 | continue the proper environment.
- 8 Q. Dr. Savage-Rumbaugh, did you take any photographs of the
- 9 activities that you were conducting with the bonobos at the
- 10 | trust at this time?
- 11 A. Yes. Yes, I did.
- 12 Q. Did you bring them here today?
- 13 A. Yes, I did.
- 14 Q. Do you think it would assist in your testimony to talk about
- 15 | those pictures?
- 16 A. I would just like to show a few pictures.
- MR. STAMBAUGH: Your Honor, at this time I would ask
- 18 | to publish these pictures for purely demonstrative purposes, and
- 19 I've already shown them to opposing counsel.
- THE COURT: You may do so.
- MR. STAMBAUGH: Thank you.
- 22 | With the court's permission, I'll be using the
- 23 | document camera.
- 24 Just one moment, Your Honor. I apologize. I stand
- 25 | corrected. We will be using the screen.

1 Thank you.

- 2 BY MR. STAMBAUGH:
- 3 Q. Dr. Savage-Rumbaugh, as we put up these pictures, I'm just
- 4 going to ask you to describe briefly for the court what is the
- 5 | picture of?
- 6 A. This is a picture of Kanzi. He's just asked to see the
- 7 | picture that we've just shown him on the screen. We had a
- 8 | photographer come who was going to take pictures of Kanzi for a
- 9 | newspaper, I believe in England, and Kanzi -- prior to the shot
- 10 | Kanzi asked if he could pose in front of the icicles which were
- 11 coming into his tunnel, and he posed in front of those and then
- 12 he asked to see what he looked like in the picture.
- 13 Q. How did he ask to pose under the icicles?
- 14 A. He has picture on his keyboard. He can say picture and
- 15 | gesture to the icicles and point to the photographer that is
- 16 | already taking his picture and then go sit there.
- 17 Q. Are those the lexigram keyboards that we've heard about?
- 18 | A. Yes.
- 19 Q. Can you describe those briefly to the court?
- 20 A. Yes. There are over 450 symbols on the lexigram keyboard,
- 21 and some of them were -- Kanzi learned six when he was very,
- 22 very young. At the time we thought he had to be trained, and we
- 23 | found out he learned them, and then we slowly added them in
- 24 | Kanzi's life. We may see a picture, if we go ahead, we'll see a
- 25 picture of what a keyboard looks like; but they're geometric

symbols or in some cases printed words because Kanzi does try to speak, and Kanzi will try to say things. For a word like peanut, he will try to say (sound by witness) and for banana he'll try to say (sound by witness). And, in fact, that was some of Dr. Taglialatela's earliest work, it was fantastic work, and I really hoped he would continue it.

But because it's very hard for us to understand

English, Kanzi would also point to a symbol, and this work has
been extended to children with retardation that have similar

problems. If you can't understand them, they need a symbol. So
that's what the symbol board does. The symbol board itself is
not the language. It's a means of expressing when you're -when you're blocked in other ways, like I am right now. I'm
going to have a drink of water.

Q. Please, please do.

And for the record, Dr. Rumbaugh's impression of peanut was two high speaking symbols and banana was three high speaking symbols, although I don't speak bonobo so that's my best interpretation.

Please have a drink of water.

Let's go to the next picture.

Dr. Rumbaugh, this looks like one of the bonobos looking at a small, perhaps compact mirror? What is this photograph?

25 A. Yes. Kanzi is grooming his eye. He's using the mirror to

- 1 groom his eye, and the mirror is very special because it shows
- 2 that Kanzi has self-recognition. Self-recognition matters
- 3 | because if you know who you are and you can reflect upon who you
- 4 | are, it is out of that capacity you can develop a moral system,
- 5 | the kind of system that's much more highly involved that we're
- 6 using here today. And apes are the only creatures that have
- 7 actually demonstrated this ability. Many others can use mirrors
- 8 to find dots on themselves or use mirrors to look behind, but
- 9 | they don't know who it is in the mirror. Kanzi does.
- 10 Q. Let's go to the next picture.
- 11 A. This is Kanzi making a painting with me, and you can see,
- 12 | unlike Azy who was given a brush and had to paint outside the
- 13 | cage with whatever color they gave him and wiggle it through the
- 14 wire, Kanzi can actually create pictures. He can create
- 15 representational art. He chooses his own color. He paints as
- 16 he wishes. This is just to show the process.
- 17 | Q. Are you aware of any other ape in the world who can do that?
- 18 A. Yes. Koko and Michael can do that. They're gorillas that
- 19 Penny Patterson has raised, and they can create representational
- 20 art, and she raised them almost the same way that I raised Kanzi
- 21 and Panbanisha.
- 22 Q. Following a similar research trajectory?
- 23 A. Yes.
- 24 | Q. So Kanzi is one of three who is able to do these things on
- 25 | the planet?

- 1 A. Well, Panbanisha can do it, Nyota can do it, and Matata was
- 2 | starting to do it, and Teco can do it.
- 3 Q. All of those were raised by you, right?
- 4 A. Yes.
- 5 Q. Let's go to the next picture.
- 6 A. This is Kanzi using the keyboard, and Panbanisha is getting
- 7 | ready to turn around and look at him. They can communicate at a
- 8 | very complex level vocally; but if they want to be quiet, they
- 9 can also use the keyboard to communicate with each other.
- 10 Q. Let's go to the next picture, please.
- 11 A. This is Kanzi and Sue communicating both vocally and with a
- 12 | keyboard to some people outside the cage where, as you saw in
- 13 | the little videos, the vocalizations are constant and they're
- 14 | interdigitated as though the bonobos were talking to you. They
- 15 | are talking to you because they can understand you or me, but we
- 16 | can't understand them. So they're interspersing their sounds in
- 17 | an appropriate way at a more rapid pace than any human could do.
- 18 | Dr. Taglialatela has demonstrated that in very fine work and,
- 19 again, it was what I was hoping that I would be able to foster
- 20 | in the proper direction.
- 21 So here Kanzi and I are talking with vocal talk
- 22 | (sounds by witness), and we're talking on the keyboard at the
- 23 | same time to people outside the caged area.
- 24 Q. Let's look at one more picture.
- 25 A. Well, this was an attempt by ACCI to raise money. Kanzi was

- 1 | supposed to be a pie-eating contest judge at the local state
- 2 fair. I found this rather demeaning for Kanzi. In the recent
- 3 pictures and things of Kanzi and Nyota and the others that have
- 4 published they look quite stressed, and I'm sure this was very
- 5 | stressful for Kanzi.
- 6 Q. This was not a picture of your work while you were at the
- 7 trust?
- 8 A. No. This was how they hoped to raise funds.
- 9 Q. Let's go ahead and take the pictures down.
- 10 Dr. Savage-Rumbaugh, we've heard about the plans for
- 11 | the trust and about your work at the trust. Did Mr. Townsend
- 12 | follow through on his lifetime commitment of funding?
- 13 A. Mr. Townsend withdrew his funding at the end of 2011 and we
- 14 | were sort of left in the lurch.
- 15 | Q. What happened after that?
- 16 | A. I was appointed an interim director, and we began to try to
- 17 go forward and raise funds. Lyle Simpson became the legal
- 18 | counsel, and he wrote to many people throughout the state,
- 19 | including the Governor and including senators and including his
- 20 clientele, to describe the research. He was very, very positive
- 21 about the research and he wanted to help us raise funds, and so
- 22 from that point forward Dr. Schweller and I listened to his
- 23 | quidance.
- 24 Q. Let me take a step back in time.
- 25 What led to Mr. Townsend withdrawing his funding?

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1 MR. MILLER: Objection; hearsay.
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THE COURT: I'll receive it, subject to the objection.

MR. STAMBAUGH: You may answer.

4 A. It is my understanding that it was the flood and his

5 | inability to raise enough money and the reverse in the stock

6 market that happened in 2008.

7 BY MR. STAMBAUGH:

- 8 Q. When was the flood?
- 9 A. I mean, the flood was in 2008.
- 10 Q. What was the impact of that flood on the trust?
- 11 A. The impact was hard. The flood -- we were told even up
- 12 until the minute water was coming in the building, the Corps of
- 13 | Engineers said, the building will not flood, the building will
- 14 | not flood, stay there, stay there. So the waters came really
- 15 | fast, they came really high, they were really cold, they were
- 16 really toxic. And you could look through the glass doors and
- 17 | see fish all around, like you were in an aquarium. And many of
- 18 | the staff, of course, did not want to risk those waters. My
- 19 sister and I risked those waters day and night to take care of
- 20 the bonobos and allow them to survive through the flood. They
- 21 | had to stay up high in the tunnels, and we had to bring food to
- 22 them, and it took about two weeks I guess for the water to fully
- 23 recede. We had to, with assistance, clean out the entire
- 24 building. There were problems in the drain system, of course,
- 25 and during that period of time, we had no electricity. We had

- 1 to bring the food in by boat. We had to go back and forth daily
- 2 by boat. We had to wear waders up to here (indicating) the
- 3 entire time. Oftentimes you would slip and fall and water would
- 4 get in your waders so you were exposed to toxic water. We had
- 5 | to ask the bonobos, please, don't go in the water because we had
- 6 no way to prevent them. There was only one bonobo that really
- 7 | liked the water. He kept playing in it, and he soon got cancer.
- 8 | And my sister who slipped repeatedly in her waders got cancer.
- Q. Did you stay in the building the whole time?
- 10 A. I stayed in the building the whole time. I mean, I might
- 11 have gone out for food or things like that, but I stayed there.
- 12 | I slept on the table so I wasn't -- you couldn't sleep on the
- 13 | floor or you would be in the water.
- 14 Q. What was the subsequent impact of that flood on the bonobos,
- 15 other than the cancer that you already mentioned?
- 16 A. Well, I thought that the grounds were toxic, but there was
- 17 | no test of that to be sure. So I don't know for sure what the
- 18 | biological effects of the flood were; but the bonobos and myself
- 19 certainly respected the power of the Des Moines River, as I
- 20 guess anyone in Iowa does, and prior to that time they did go
- 21 down and put their toes in the water, but they were much more
- 22 hesitant to do that after the flood. And if we spoke about
- 23 | flood, they clearly remembered it and were -- you know, paid
- 24 attention to the flood reports, shall we say.
- 25 Q. And what was the impact of that flood? What is your

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1
    understanding of the impact of that flood on Mr. Townsend's
    funding of the Great Ape Trust?
 2
    A. Well, I can tell you that Mr. Townsend put a lot of money
 3
    and a lot of hope into that building. I know that he wanted to
 4
    expand it. I know that he wanted to add at least four other
 5
 6
   buildings. We had had repeated architectural meetings about
7
    adding other buildings from the start, even before that building
    was built, and they continued. I know Ted was really looking
 8
 9
    for funding from outside to expand and to have gorillas and
10
    chimpanzees there, which we didn't have at that time, and to
    have a visitor center.
11
12
              And with the flood Ted knew that those plans could not
13
    continue. I don't know how much Ted knew before that time, but
14
    it became clear that the Army Corps of Engineers had never given
15
    a building permit to the site and it would certainly not give
    any new building permits to the site. That became very clear.
16
17
    So Ted's plans had to be abandoned for the site, and I can
18
    remember him staying away during the early days of the flood and
19
    everybody wondering where's Ted, where's Ted, where's Ted. And
20
    then I saw him, and he came and stood way, way back, and he
21
    looked and he put his hands like that (indicating) and he looked
22
    all around, and I felt very sorry for him. He was very --
23
        The witness was putting her hands on her waist.
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24 I'm sorry. Go ahead.

25

A. Yeah, I think his dream was lost. I think his hopes were

- 1 | lost. I think he thought we really didn't -- couldn't pull out.
- 2 But those are my subjective impressions of watching the
- 3 expression on Ted's face.
- 4 So we had to -- not too long after that he had a
- 5 meeting where he indicated he was going to drop his funding by
- 6 one million a year until it was down to zero and we needed to
- 7 make other plans.
- 8 Q. When did he indicate that?
- 9 A. It was some months after the flood, after the cleanup and
- 10 after the flood.
- 11 | Q. When was the funding eventually pulled completely?
- 12 A. It was pulled completely at the end of 2011, so between
- 13 | 2008 2011, we had three years.
- 14 Q. Dr. Savage-Rumbaugh, what was your role in the lab at the
- 15 | end of 2011 when Mr. Townsend pulled his funding?
- 16 A. My role was to keep the lab going. I had to pay all the
- 17 | bills. Not only find the money to pay the bills, I had to raise
- 18 | the money to pay the bills. I had to actually pay the bills. I
- 19 | had to do all of the administrative work. I had to check into
- 20 all the insurance and administrative issues. I had to set up a
- 21 new IACUC because the IACUC resigned. I had to find a new vet
- 22 because the Iowa State vet resigned. I had full care,
- 23 | 24-hour-a-day care of Teco without very much relief at all at
- 24 | that point. I had to bring in new volunteers and train them. I
- 25 | had to do the majority of the feeding and cleaning. I had to

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1 pull us through.
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- 2 Q. You mentioned that you had to set up a new IACUC. That's
- 3 I-A-C-U-C in all caps.
- What is an IACUC, Dr. Savage-Rumbaugh?
- 5 A. An IACUC is an Interinstitutional Animal Care and Use
- 6 | Committee. It's a committee that governs the use and research
- 7 | and the standard operating procedures of any animal facility in
- 8 | the United States.
- 9 | Q. You mentioned that you had new administrative duties at the
- 10 end of 2011, early 2012. Was one of those administrative duties
- 11 to serve as executive director?
- 12 A. Yes. I was the interim executive director. I wasn't
- 13 | clearly informed of that at the time, but Dr. Schweller later
- 14 informed me that was his view of my position. Dr. Schweller had
- 15 | gone to the previous IACUC and told them that we had a million
- 16 | to a million-and-a-half dollars to operate. Then in a few weeks
- 17 he stated in the paper that we really didn't have that money. I
- 18 | believe he thought Ted would provide that money. I'm not sure
- 19 where Dr. Schweller thought the money would come from; but he
- 20 then made it clear that we didn't have it and that IACUC
- 21 resigned. It had voted to continue the project based on
- 22 Dr. Schweller's report.
- Dr. Schweller then turned to me and informed me that
- 24 | it was my job to raise money and that I should contact Peter
- 25 | Gabriel, who had made the musical video that I think you'll see

- 1 at some point in time, and at that point I was to keep the lab
- 2 | running and to raise the money and to establish an IACUC.
- 3 Q. Were you asked to sign any forms on behalf of the company as
- 4 | the executive director?
- 5 A. I was asked to sign a form. I was not told that I was
- 6 | signing it particularly as the executive director, but it does
- 7 say that I believe on the bottom of the form. I was asked to do
- 8 that by Susan McKee. I don't recall any particular explanation
- 9 of that form. I was having to sign a lot of forms that were new
- 10 to me at that time, insurance forms, employee forms, all kinds
- 11 of forms. And before she resigned as the accountant and
- 12 administrative assistant, she had worked with Jim Aipperspach,
- 13 and she was transitioning through Heidi Lyn, who was there for
- 14 awhile, and then me, and I certainly trusted her and I signed
- 15 | whatever she asked me to sign.
- 16 Q. Let's take a step back. Who is Susan McKee?
- 17 A. Susan McKee was the administrative assistant and accountant
- 18 to Jim Aipperspach for Great Ape Trust.
- 19 Q. What was the form that she asked you to sign, if you can
- 20 recall?
- 21 A. I understand now it was a registered agent form. I didn't
- 22 know what a registered agent form was at that time, but I knew
- 23 | it was something to do with IPLS and it needed my signature.
- 24 | Q. Did you know what that change of agent form required of you
- 25 at the time?

- 1 A. No. I was not told what was required of me.
- 2 | Q. Were you aware at the time that you signed this that you had
- 3 to file any documentation on behalf of the entity?
- 4 A. No.
- 5 | Q. Dr. Rumbaugh, were you aware of any liens against IPLS at
- 6 | the time you signed this change of agent form?
- 7 A. No. Nothing was described to me of that sort.
- 8 Q. I want to return and talk about what was going on at the
- 9 | Great Ape Trust in early 2012, which is where we left off. What
- 10 | was your responsibility at the trust in early 2012?
- 11 A. My responsibility in early 2012 was to pull the trust out of
- 12 | the chaos that it was in, take the research trajectory forward
- 13 and get funding.
- 14 | Q. In addition to administrative tasks, what else were you
- 15 | tasked with at the trust at that time?
- 16 A. I was tasked with all of the operational, the daily
- 17 operations of running the lab, training the staff, food, care.
- 18 | I was there 24 hours, and I was making the facility operate.
- 19 Everybody else came and went.
- 20 Q. Was that different from your responsibilities a year prior?
- 21 A. Yes. Prior to that time there were security people there
- 22 | watching over it at night. There were people that prepared the
- 23 | food. There were people to do the cleaning. There were people
- 24 | to handle the accounting. There were people to handle the PR.
- 25 | There were people to handle the administration. All of those

- 1 people left.
- 2 Q. How did that affect you in early 2012?
- 3 A. It was tiring and I felt that I needed some additional
- 4 assistance.
- 5 Q. Did you explain to folks that it was tiring and you needed
- 6 additional assistance?
- 7 A. Yes. I explained to the board. I explained to the board
- 8 | that we were going to have to hire a new vet, we were going to
- 9 have to have a new IACUC, we had many issues that needed to be
- 10 resolved, and I sought Dr. Schweller's assistance.
- 11 | Q. Were you able to accomplish what you wanted to accomplish
- 12 | with the bonobos at this time when you were overworked,
- 13 | overtired?
- 14 A. Yes. I was able to pull them through, make sure that the
- 15 | lab was clean, passed all of the USDA inspections, get the bills
- 16 | paid in the interim, and continued Teco's daily life making it
- 17 as much like Kanzi's as possible and kept Teco integrated in
- 18 | with the bonobo group in the same way that Kanzi had been
- 19 integrated with the bonobo group when he was a baby.
- 20 Q. You mentioned taking care of Teco earlier. Please tell the
- 21 | court, who is Teco?
- 22 A. Teco was the son of Elikya. He was born at Ted Townsend's
- 23 | request. Ted Townsend wanted to have an Iowa bonobo. His
- 24 | mother, Elikya, was the daughter of Matata, the matriarch who
- 25 came from the Congo, so Teco was a third generation captive born

bonobo. He was also a third generation bonobo in this language group, which was really different both in terms of its genetic history and cultural history.

When Teco was born, his delivery was difficult. It took -- probably two days Elikya was in labor. She would go into labor, and when some staff would arrive, she would often get nervous and go out of labor because she didn't trust -- there were staff members she didn't trust. I stayed with her around the clock, and the delivery, as is often the case, because bonobos don't like to deliver when there are many people around, the delivery occurred very early in the morning. Teco was not really able to move. I thought that he was not a live born baby. We had had three babies already born that were not live born in the lab. We never had this happen in Georgia. It was a big problem in Iowa.

I stayed with Teco, and after maybe 15 or 20 minutes, I saw his tongue barely move, and I realized that he was alive. Prior to that time I did not think he was alive. After three hours he finally vocalized and began to move.

Teco was very, very unusual for a bonobo in that he came with the reflexes of a human baby, and by that I mean that he clung with his hands but he didn't cling with his feet. Baby bonobos and chimpanzees have a reflex, so if you're holding them and you start to move, they immediately grab with their feet and their hands, and they cling on to the hair of their mother or

- they can cling onto your clothes. So if you're climbing a tree or you're out in the wild and you're an ape mother, you don't need to keep that baby with you. That baby will stay with you.

 When it's very young, you might support it a little bit; but by the time it's three or four months of age, it clings.
 - Teco didn't have that reflex, so when Elikya tried to move around the cage, he would hold with his hands but his feet would hang down, and instead of his feet being gripped on her, they kicked. A human baby kicks with its feet. So what we got in Teco's case is we got a change in the software of the neurological system in this baby by the third generation. He had the neurological software that a human baby comes with, and it made it difficult for Elikya to climb around the higher areas of the cage. So she began to leave Teco with Kanzi, with Matata, with Panbanisha while she climbed up around the higher areas of the cage, and Teco began to try to nurse from Kanzi and the others and became very, very weak. So Elikya finally brought Teco out to me and asked for me to help take care of him, and I did.

 Q. How old was Teco during this very difficult time in early
- 22 A. That was the first two months of his life.
- 23 Q. So during all of the experiences that you were just
- 24 describing?

2012?

25 A. What I just described were the first two months of his life,

yes.

Q. Dr. Savage-Rumbaugh, after this period in early 2012, what happened at the trust after that?

A. Well, in May of 2013, I fell and had a concussion. Prior to that concussion, we had tried to work out a way for me to have some assistance and the project to go forward. And Lyle Simpson had suggested that the best way would be for us to establish a business board and a science board. We had one board that was composed of scientists. While the trust had been operating, I felt that many of the people on the board of the Great Ape Trust who were business people had difficulty understanding the conflict of being people who came from the zoo and people who came from the field of science. So I wanted a board that was composed of scientists so the scientific research trajectory could continue. That was my premise coming here.

So we started out with the IPLS board after
Mr. Townsend left, and the board was composed of scientists.
Mr. Simpson's view was that business people did not want to be
on the same board with scientists, and he sat me down and he
said, Sue, you really need to have two boards, one with business
people and one with scientists. And what the business people
will do, they won't bother you, they won't cause any trouble,
they won't do anything; they'll just bring in the money, and
myself and others will work with them, and we'll fund your work,
we'll see that the trajectory goes forward. He even suggested

- that maybe he would help me obtain guardianship for the bonobos
 because he knew I was concerned.
- So he was very supportive and he offered to establish two boards, and we passed resolutions to that effect in the end of 2012, and from that -- and then in May, we effected those resolutions by actually establishing two boards. The resolution
- 7 set out how the boards would act, and in May we established
- 8 | those two boards.
 - Q. Let's pick it up in late 2012 then.
- 10 First of all, for the court's benefit who is Lyle
- 11 | Simpson?
- 12 A. Lyle Simpson was the legal counsel for Bonobo Hope and for
- 13 IPLS. He took up Jaki Samuelson's role.
- 14 Q. And you mentioned that there was a proposal made for
- 15 | splitting the two boards, is that right?
- 16 A. Yes.
- 17 Q. What was the purpose of the IPLS board?
- 18 | A. The first board, which was the IPLS board, that was the
- 19 | board of scientists, and its purpose was to carry the research
- 20 trajectory forward. Lyle proposed splitting that board into two
- 21 boards.
- 22 | Q. What were those two boards going to look like?
- 23 | A. One was going to be composed entirely of business people,
- 24 | hopefully most of them local in Des Moines that Lyle knew.
- 25 Q. What was the name of that business board?

- 1 A. That business board was going to carry forth the Iowa
- 2 | Primate Learning Sanctuary name at that time.
- 3 Q. And what was the purpose of the second board?
- 4 A. The second board was to be composed of scientists. They
- 5 | were to have oversight over the science. They were to operate
- 6 the facility, which was to be leased to them for a nominal
- 7 amount, and they were to be responsible for the health and
- 8 | welfare of the bonobos and full ownership was to be transferred
- 9 to the board of scientists.
- 10 | Q. What is the name of that board of scientists?
- 11 A. Bonobo Hope Initiative.
- 12 Q. Is that sometimes referred to as BHI?
- 13 A. Yes.
- 14 Q. Was there any discussion among the board members regarding
- 15 | the purpose for these two separate boards?
- 16 A. There was a lot of discussion after Lyle made the proposal.
- 17 | There was a great deal of discussion between myself and Lyle
- 18 | prior to the proposal. Many board members worried, as did I,
- 19 that the business board might take charge, that at some point I
- 20 might be removed from the bonobos. There were lots of e-mails
- 21 back and forth about that. Lyle reassured us that that could
- 22 never happen, I could never be removed from the facility, that
- 23 | the business board would not take over, that I would be a member
- 24 of the business board, that the chair of BHI, Carmen, would be a
- 25 member of the business board, and that its sole function would

- 1 be to raise funds for the science and the operation of the
- 2 | facility and otherwise it would be hands off.
- 3 Q. Who is Carmen?
- 4 A. Carmen is the chairperson of Bonobo Hope Initiative.
- 5 Q. Carmen Mate?
- 6 A. Yes.
- 7 Q. M-A-T-E?
- 8 A. It's "Matae".
- Q. Excuse me; Mate.
- 10 How did Mr. Simpson accomplish this division of the
- 11 | two boards; one into business, one into scientists?
- 12 A. He sent around a lengthy e-mail to the board explaining his
- 13 desire, and he answered questions from the board, and then he
- 14 | invited the board to vote on resolutions in December of 2012
- 15 | that stated that at some point in the future time, this action
- 16 would take place, and the board so voted and passed the
- 17 resolutions that I just described.
- 18 | Q. How did those two boards operate after they were divided?
- 19 A. They weren't divided in 2012. What happened initially was
- 20 | that Mr. Simpson set up what he called a pro board or pre board
- 21 or something like that. He brought George Caudill on board and
- 22 | a few other people, and they had meetings even though they
- 23 | weren't yet the IPLS board.
- 24 And then in May he asked the scientists to all resign
- 25 | from the IPLS board and nominate and place George Caudill and

- 1 | Tom Watson and the other people that he wanted to have on the
- 2 | board at that time, and that the scientists would then go on
- 3 | what he termed the science board.
- 4 Q. After they were split in 2013, did BHI, in fact, oversee the
- 5 | science?
- 6 A. Yes. BHI moved to oversee the science. At the time, even
- 7 | before they were split, George Caudill asked me not to
- 8 | communicate directly with the science board, that I needed to go
- 9 | through him before I communicated with the science board. Lyle
- 10 advised George that the science board suggested that he, George,
- 11 decide it. So there was definitely an indication that the
- 12 | science board was not operating in quite the way that we had
- 13 | envisioned it would operate.
- 14 Q. When was BHI actually formed?
- 15 | A. BHI was formed when we learned that Ted Townsend was going
- 16 to stop funding. And I formed that with another party who
- 17 advised me to do it over the computer, and I -- Lyle asked me to
- 18 | meet with him and indicated that he could be of some assistance
- 19 to me, and he asked me what I was doing given that Ted was
- 20 resigning, and I explained that I was establishing my own
- 21 | nonprofit and I would try to raise money. Lyle said that he
- 22 | would offer assistance and he would offer help and he would
- 23 | offer guidance, and I gave him the paperwork that I had, and he
- 24 | said he would take charge and he would help me from that point
- 25 on with BHI.

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responsibility.

- Q. So to be clear, Dr. Savage-Rumbaugh, during that period of separating the two boards, what was your understanding of the purpose of the BHI board?
 - A. The purpose of the BHI board was to carry on what the IPLS board had left off, was to look after the trajectory of the science, the welfare of the bonobos, and it was to operate the facility. I think everybody was in agreement that we would at some point bring in some assistance and that there would be a transfer of my senior role to a different role and that I would go forward. Lyle always indicated that that would be a co-direction of the facility for three to four years and at a proper time and whoever came forward would take more
- 14 Q. Before we talk about that transition period, was there also 15 a dispute over the ownership of the bonobos around this time? 16 A. At that time this case was already in the interpleader 17 court. So as we were coming to change over from the Townsend 18 administration to what was the Schweller administration, Lyle 19 was very, very anxious that the lawsuit be resolved. Lyle 20 indicated to me that the judge would be very angry if I didn't 21 resolve it, that I needed to resolve it as soon as possible, 22 that it would be impossible to raise funds if I didn't resolve 23 that, and I needed to do that and that we could go forward from 24 that point.
 - Q. How many settlement agreements were there?

- A. There were two settlement agreements.
- 2 | Q. Were you a party to both of those settlement agreements?
- 3 A. Yes.

- 4 Q. Who represented the parties during the negotiations of those
- 5 | settlements?
- 6 A. Paul Burns represented IPLS and the board, the IPLS board,
- 7 of which Ken Schweller was the chair at that point in time.
- 8 Bill Zifchak represented me, and there were other lawyers
- 9 | representing I guess Atlanta and other places.
- 10 | Q. As a party to both agreements, what was your understanding
- 11 of the purpose of the main settlement agreement?
- 12 A. The purpose of the main settlement agreement --
- 13 THE COURT: Just a second.
- 14 MR. MILLER: Your Honor, objection; relevance.
- THE COURT: I'll receive it, subject to objection.
- MR. STAMBAUGH: Thank you, Your Honor.
- 17 You can continue.
- 18 | A. The purpose was to resolve the ownership that had been
- 19 | brought before the court and to do it in a way that everybody
- 20 agreed and could go forward.
- 21 BY MR. STAMBAUGH:
- 22 Q. As a party to the supplemental settlement agreement, what
- 23 | was your understanding as to the purpose of that agreement?
- MR. MILLER: Objection; relevance. Objection to the
- 25 extent it calls for a legal conclusion.

THE COURT: And I will receive it, subject to the objection. The question calls simply for her understanding, not a legal understanding.

MR. STAMBAUGH: Thank you, Your Honor. Yes, it does.

A. My understanding was that the ownership of the bonobos was to be split between the IPLS board and the BHI board. I was concerned about that and I discussed that with Lyle, and that's when he suggested that perhaps guardianship would be a solution. I think Mr. Zifchak didn't feel that that was really the appropriate solution.

Then the resolution was passed that after the board was split, the IPLS board would transfer its ownership to the BHI board, so I -- the science and the work that I had done could go forward under the guidance of that board, and Dr. Taglialatela was a member of that board.

16 BY MR. STAMBAUGH:

- Q. Did you have any involvement in the drafting of any provisions in the settlement agreements?
- A. At one point in time when the settlement agreements were still being drafted by Jaki Samuelson and Ted Townsend was in charge, Bill Fields brought a paragraph to me that he wanted to insert into the document to protect the research trajectory.

We had been very aware that we were in a very unusual position. We wouldn't own the bonobos the way you could own a dog or a pet. We couldn't have any guardianship the way you

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   have over a child. We were in sort of no man's land and whoever
    owned the bonobos determined the future. So he felt the only
 2
   protection to keep the bonobos in the kind of life they had had
 3
    and to see that the research could actually go forward was to
 4
    insert a paragraph about the research trajectory itself. So he
 5
 6
    wrote that paragraph and he brought it to me and I added
7
   portions to that paragraph.
              MR. STAMBAUGH: Your Honor, at this time I would like
 8
    to publish what's been marked as Exhibit 1. Pursuant to the
10
    pretrial order, it's been deemed admitted as a category A.
11
              THE COURT: You may. But before we do that, who was
12
    it that brought this paragraph to you?
13
              THE WITNESS: William Fields. He was the director of
14
    the bonobo research project at that time.
15
              THE COURT: Thank you.
16
    BY MR. STAMBAUGH:
17
    Q. Dr. Savage-Rumbaugh, we've published now for the court and
    counsel what's been marked as Exhibit 1. Is this what has been
18
19
    termed the main settlement agreement?
20
   A. Yes.
       And I would like to draw your attention down to paragraph 4,
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- 21
- 22 which I believe is on page 2. If you would go ahead and take a
- 23 moment to read that paragraph 4 to yourself.
- 24 (Pause.)
- Yes, I'm familiar with it. 25

- 1 | Q. Is this the research trajectory paragraph that you were just
- 2 | referring to?
- 3 A. Yes, it is.
- 4 | Q. Did you add or edit language in this paragraph?
- 5 A. Yes. I added where it says, "including but not limited to"
- 6 and then all of the things underneath down to the end of the
- 7 parentheses.
- 8 Q. Explain to us what that language means in this paragraph.
- 9 A. Well, I would like to start by explaining the language prior
- 10 to it because it's just an extension of that. So it says to
- 11 | continue to be involved in research of the fields of
- 12 experimental psychology, use of language and tools, and ape
- 13 | intelligence and human cultural modes. This is actually a
- 14 description of the trajectory of the research, which started out
- 15 | in experimental psychology and finally led into the field of
- 16 | cultural anthropology. And within the field of cultural
- 17 anthropology where Kanzi is showing he could learn without the
- 18 | kind of training he would have in an experimental paradigm, I
- 19 was trying to list all of the things that typically are
- 20 considered uniquely human that had set us apart in time, that
- 21 apes might be able to do if we continue the research trajectory
- 22 and that these things might fall out of language. We had
- 23 | already seen that we got for free music, we got for free tool
- 24 use, we were getting for free art, and we needed to follow up
- 25 and see how much further they could go.

So I included art, music, tools, agriculture, fire, animal domestication, habitat construction, use of water for swimming, and things of that sort, fishing, hunting. Mimics means being able to mimic something, so if you -- if you want a cup of coffee, I can show you like this, would you like a cup of coffee (indicating), and I don't have to speak to do that.

Kanzi and many others began to be able to use gestures in that complex kind of way. So we wanted to see how far they could take that.

Sociological role construction, we were very -- it became very clear that it was no longer a matter of the dominant ape. Each ape had a different role, and that role as in human society it can change. In one case -- excuse me. In one case you might be a judge, in another case you might be a father, in another case you might be an uncle, in another case you might be a brother, and you behave differently in all of those roles. It was clear that the bonobos were doing that and they were doing that in a way that linked into our linguistic concepts of those roles. We used language to define those roles in society.

Normative child rearing practices, I think this is probably the most important one. One of the things that you find when you begin to allow humans to rear apes is that humans get one shot at rearing children, and they don't really study about how to do it and they don't really go to school about how to do it for the most part unless they're psychologists. They

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1
    do it the way their parents did it. And when you have people
 2
    working in your lab trying to help you rear your children, you
    realize that they come with very different backgrounds and they
 3
    rear children in very different ways and they have very
 4
    different concepts and practices. And you begin to suddenly see
 5
 6
    that this rearing that we really haven't studied in detail in
    human society is causing -- it can cause lots and lots of good
7
    things and it can cause many difficulties. So I wanted to look
 8
    at the practices in rearing that produced the very best kind of
10
    environment, the most competent kind of apes, the most moral
11
    apes, the least aggressive apes, the most loving apes.
12
    Q. Dr. Savage-Rumbaugh, and I'm sorry, I'm going to interrupt
13
    you for just a second. As the co-drafter of this provision,
14
    what is your understanding of the purpose for including it in
15
    this settlement agreement?
    A. To see that the research trajectory continued for many
16
17
    generations beyond me.
18
       And you're referring to your research trajectory?
19
    Α.
       Yes.
20
    Q.
       What would happen -- strike that.
21
              What is your understanding of what would happen if the
22
    trust no longer exists or wasn't able to care for the apes and
    follow that research trajectory?
23
24
              MR. MILLER: Objection; relevance.
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THE COURT: I'll receive it, subject to the objection.

- 1 MR. STAMBAUGH: You may answer.
- 2 A. Would you state that question again?
- 3 BY MR. STAMBAUGH:
- 4 Q. Sure. We just described that the purpose of that
- 5 paragraph -- you just testified that the purpose is to continue
- 6 | your trajectory at the trust, is that correct?
- 7 A. Well, the purpose was to continue the trajectory as long as
- 8 | they resided at the trust. The purpose was to continue the
- 9 trajectory for a long time.
- 10 Q. And so my question to you is, what is your understanding
- 11 under the settlement if they no longer resided at the trust
- 12 | vis-a-vis your research trajectory?
- 13 MR. MILLER: Objection; relevance.
- 14 THE COURT: Same ruling. Received, subject to the
- 15 | objection.
- 16 A. The paragraph was put in there to see that the research
- 17 | trajectory continued wherever they were located. Is that the
- 18 | question?
- 19 BY MR. STAMBAUGH:
- 20 Q. That's the answer to my question.
- 21 A. Okay.
- 22 Q. Thank you.
- 23 Dr. Savage-Rumbaugh, does BHI exist today?
- 24 A. Yes.
- 25 Q. Are you a board member of BHI?

A. Yes.

- 2 | Q. I want to draw your attention to paragraph 6 that is now on
- 3 the screen. This is a paragraph that begins, should the trust
- 4 | cease to exist or otherwise.
- 5 Do you see where that's written?
- 6 A. Right at the top.
- 7 Q. Correct. And it goes on to talk about what might happen
- 8 | should the trust cease to exist or otherwise.
- 9 Did you have an understanding at the time that you
- 10 | signed this main agreement that Bonobo Hope Initiative would
- 11 | have relocation rights to Maisha should the trust cease to
- 12 exist?
- 13 A. Yes.
- 14 Q. What was the purpose of giving BHI relocation rights?
- 15 A. BHI owned Maisha and it should make the decisions regarding
- 16 Maisha.
- 17 Q. What sort of research trajectory does BHI follow?
- 18 | A. The one I just described.
- 19 MR. STAMBAUGH: Your Honor, at this point I would ask
- 20 to publish Exhibit 2, which is also a category A, and pursuant
- 21 to the pretrial order has been deemed admitted.
- THE COURT: You may do so. And with regard to any
- 23 | category A exhibit, they're already considered received in
- 24 | evidence, and you don't have to ask permission to publish them.
- MR. STAMBAUGH: Thank you, Your Honor.

- 1 BY MR. STAMBAUGH:
- 2 Q. Dr. Savage-Rumbaugh, you mentioned earlier that there were
- 3 | two settlement agreements, correct?
- 4 A. Yes.
- 5 | Q. There was a main agreement and a supplemental agreement, is
- 6 | that correct?
- 7 A. Yes.
- 8 Q. Was what the purpose of the supplemental agreement?
- 9 A. The purpose of the supplemental agreement was the ownership
- 10 was going to be split and we were going to go forward.
- 11 Q. Which bonobos does the supplemental agreement relate to?
- 12 A. It relates to the bonobos that were transferred to BHI.
- 13 Q. Can you name them for us?
- 14 A. I believe it's every bonobo except Maisha.
- 15 Q. So that's Kanzi, Nyota --
- 16 A. -- Panbanisha, Elikya, Teco.
- 17 Q. Okay. Let me draw your attention to paragraph 2 in this
- 18 | supplemental agreement. I'm going to ask you to take a quick
- 19 | look at paragraph 2. And my question to you will be, is this
- 20 the same research trajectory paragraph that we just saw in the
- 21 | main settlement agreement?
- 22 A. Yes.
- 23 | Q. Did you have a role in drafting this provision?
- 24 | A. I drafted the other paragraph and I assumed that it was put
- 25 | in the supplemental agreement.

- 1 | Q. If you take a look at this paragraph, does it appear to be
- 2 | the same as the one we just saw on the main agreement?
- 3 A. Yes, it's the same.
- 4 Q. And as a co-drafter of this provision, what was the purpose
- 5 of this research trajectory provision?
- 6 A. To continue --
- 7 | MR. MILLER: Objection; relevance.
- 8 THE COURT: It's --
- 9 A. To continue the research --
- 10 THE COURT: Just a minute. When he says that, I have
- 11 to say something.
- 12 THE WITNESS: Oh, I'm sorry.
- 13 THE COURT: Which is, it is received, subject to the
- 14 | objection.
- THE WITNESS: I'm sorry.
- 16 THE COURT: You may proceed.
- 17 A. Yes, it's the same.
- 18 BY MR. STAMBAUGH:
- 19 Q. Dr. Savage-Rumbaugh, what was the interplay between the
- 20 proposal to split the boards and these two settlement agreements
- 21 | that we've just looked at?
- 22 A. They were presented to me together by Lyle Simpson, and they
- 23 were my assurance that the concerns I had expressed to
- 24 | Mr. Simpson would be fully resolved and that no one could remove
- 25 me from this facility or remove the bonobos from the facility

- 1 | without the approval of BHI.
- $2 \mid Q$. So returning to the supplemental agreement, what would
- 3 | happen if the Bonobo 4 -- these other bonobos -- no longer
- 4 resided at the trust? What is your understanding of what would
- 5 happen?
- 6 A. That BHI would determine where they were located.
- 7 | Q. And which research trajectory would be used for the Bonobo
- 8 4?
- 9 A. The one that's in that paragraph.
- 10 Q. That was drafted by you?
- 11 A. Yes.
- 12 | Q. And that's consistent with your research trajectory?
- 13 A. Yes.
- MR. STAMBAUGH: Thank you.
- You can take the exhibit down.
- 16 BY MR. STAMBAUGH:
- 17 Q. Dr. Savage-Rumbaugh, at some point was there a transition,
- 18 | we'll call it, of your role at the Great Ape Trust in 2013?
- 19 A. Yes.
- 20 Q. What was that transition? What was your understanding of
- 21 | the transition?
- 22 A. The transition began when BHI advised Lyle that I needed
- 23 | more assistance, and Lyle was working to help fundraise because,
- 24 as Lyle wrote, I was pretty much a one-person band. And I
- 25 remember lecturing to the Prairie Club and many of the women

coming up after and saying, we finally understand your research. The research is something that oftentimes women can understand because women play a great role in rearing in our culture, and under the leadership of Ted Townsend, Rob was really the main one describing to Des Moines about the research, and I really never had had an opportunity until the Prairie Club to really present my research in detail. And about five or six women came up to me afterward and they said, we really finally understand and we want you to stay here in Des Moines, and we realize that since you're doing this cross generational research, you need help. Where are you going to get help?

BHI had already told me I needed help. I already knew I needed help. So there was no opposition to having other people come and assist me or the transition. When the boards were -- when the boards officially split in May, shortly after that I fell and had a concussion, and at that point in time, Julie Gilmore and my sister wanted me to come back and continue working, and I really felt that I was unable to do it.

So I continued to keep contact with the laboratory. I continued to write e-mails and work through Liz and through Gaila. But I also at Lyle Simpson's request began to look for somebody to follow through, and one of the things we had hoped to do was to move ahead with the Federal Sanctuary System. The chimp pack had just passed at that time, and all of the chimpanzees in biomedical research were going to move to

sanctuaries, come out of biomedical research. And you will
remember that I many, many years earlier had stood on the wing
at Yerkes and saw the pain and the distress, and I had wanted to
help those chimps.

So I thought if we could bring chimpanzees into a sanctuary out of the federal system and there would absolutely be a good situation for them, we could learn how to rehabilitate them.

- 9 Q. What was your understanding of what your role would be during this transition period?
- A. My role would be to help it come about in any way that it could and to use my knowledge of chimpanzees and to try to build a better environment for them while continuing the research trajectory and working with additional colleagues.
- 15 Q. You mentioned that you believed that additional help might 16 be needed, is that correct?
 - A. Yes. I knew if we were -- certainly I needed additional help, but taking on -- they were asking for 30 or 40 additional chimpanzees. The requests that the administration was making at that time were basically -- they computed how many dollars they could get from the Federal Government, and from the number of dollars they computed the number of chimpanzees. I indicated that I thought that was a lot of chimpanzees to start with; but my role would have been to take that -- to help take that forward.

- 1 Q. Did you understand that you would continue to have access to
- 2 | the bonobos during this transition period?
- 3 A. Definitely.
- 4 Q. I would like to go ahead and publish for court and counsel
- 5 Exhibit 27.
- Dr. Savage-Rumbaugh, if you could please go ahead and
- 7 take a look at what has been marked as Exhibit 27. This is the
- 8 | board minutes of the IPLS on May 22, 2013. I want to draw your
- 9 attention to the bottom of this first page. There's a
- 10 resolution, and it reads, "Resolved, that Dr. Sue
- 11 | Savage-Rumbaugh be elected director emeritus of scientific
- 12 research of the Iowa Primate Learning Sanctuary with unfettered
- 13 permanent access to the bonobo colony."
- Dr. Rumbaugh, when is the first time that you learned
- 15 of this resolution?
- 16 A. Yesterday.
- 17 Q. Was it your understanding that during this transition you
- 18 | would have unfettered permanent access to the bonobo colony?
- 19 A. Yes. But I was not at that board meeting because I had a
- 20 concussion and I did not receive any invitations to that board
- 21 | meeting. And I had been assured in a number of e-mails and
- 22 conversations with Lyle that this was the case. I went forward
- 23 | throughout the summer assuming this was the case. I went
- 24 | forward looking for a successor assuming that this was the case.
- 25 | I didn't know it was an official resolution until yesterday.

Q. Did you talk to --

- 2 A. I should have been on that board and at that meeting.
- 3 | Q. Did you talk with other BHI members about how you
- 4 | anticipated that this would be the case, that you would have
- 5 | access, continued access to the colony?
- 6 A. Lyle had written them indicating that I had had some
- 7 difficulties and he wanted me to look for a successor and that I
- 8 | would have continued access to the colony and I would co-direct
- 9 with whoever was selected for three to four years. He had
- 10 | written that with them. So he didn't discuss if it would
- 11 | happen. We assumed that if Lyle said it, it would happen.
- 12 | Q. You mentioned just a minute ago that you made a
- 13 recommendation for someone to assist you during this transition
- 14 period, is that right?
- 15 A. Yes, I did.
- 16 Q. Who did you recommend?
- 17 A. I recommended Dr. Taglialatela.
- 18 Q. Why did you recommend Dr. Taglialatela?
- 19 A. He had been a student of mine in the past and he had helped
- 20 | supervise the staff. He was interested in the social behavior
- 21 and the linguistic behavior of the bonobos. I wanted to select
- 22 | someone who could potentially work with the Federal Sanctuary
- 23 | System, and I wanted to select someone who had a history of
- 24 direct interaction and contact with the bonobos and that I
- 25 | believed understood and supported the research and who had

- 1 | coauthored the work with me and that believed in the research
- 2 | trajectory and would take it forward.
- 3 | Q. Did you write a letter to both boards with your
- 4 recommendation of Dr. Taglialatela?
- 5 A. Yes, I did.
- 6 Q. What was your understanding of your role in the research
- 7 | going forward when you wrote that letter of recommendation?
- 8 | A. My understanding was that I would co-direct the facility for
- 9 | the next three or four years and I would have unfettered access
- 10 | with the bonobos beyond that time.
- 11 Q. What was that understanding based on at the time that you
- 12 | wrote the letter?
- 13 A. It was based on Lyle Simpson's letters to me and Lyle
- 14 | Simpson's phone calls with Duane and his phone calls with me,
- 15 and it was based on the comments that I had heard, both e-mail
- 16 and over the phone from George Caudill and Julie Gilmore, and it
- 17 | was based on my phone conversations with Dr. Taglialatela.
- 18 | Q. When did you write that letter of recommendation?
- 19 A. I'd have to look at the date. I think it was October 26th.
- 20 Q. It was in the fall of 2013?
- 21 A. Yes.
- 22 | Q. Dr. Savage-Rumbaugh, when you wrote that letter of
- 23 | recommendation, what was your understanding of the research
- 24 | trajectory -- easy for me to say -- that Dr. Taglialatela would
- 25 | continue with?

- A. The one that was in the settlement agreement.
- 2 | Q. That had been signed earlier that year?
- 3 A. Yes, when he was a member of the board.
- 4 MR. STAMBAUGH: Your Honor, if I may just have one 5 moment, please.
- 6 (Pause.)
- 7 MR. STAMBAUGH: Thank you, Your Honor.
- 8 I would like now to publish what has been marked as
- 9 Exhibit 42.

- 10 BY MR. STAMBAUGH:
- 11 Q. Dr. Savage-Rumbaugh, you just testified that in October of
- 12 | 2013 you recommended Dr. Taglialatela, you had sent that letter
- 13 of recommendation with an understanding based upon the
- 14 settlements and your discussions. And I have just published for
- 15 | the court and counsel Exhibit 42, which is an e-mail on
- 16 November 10, 2013 from George Caudill, and it reads:
- "Sue, in the next few minutes, when you depart, please
- 18 leave your access card and any keys with whomever is on
- 19 duty right now.
- 20 "Thank you.
- "George."
- What happened between October and November 10, 2013?
- 23 A. I can only speculate and offer some documentation to that
- 24 | speculation. I later on was to learn that Yerkes had placed
- 25 | some constraints on my being at the facility, but I did nothing

- 1 | between that time except come back to help take care of Teco who
- 2 | had become ill.
- 3 Q. And after you had made this recommendation for
- 4 Dr. Taglialatela to assist you, what happened to your role in
- 5 | the lab?
- 6 A. I was asked to leave the lab and I was told that unless I
- 7 | left Des Moines and said nothing, I would never be allowed to
- 8 | see the bonobos again in my life. I was told basically to shut
- 9 up and get out of town.
- 10 Q. You said you were banned from the lab?
- 11 A. Yes.
- 12 Q. By whom?
- 13 A. By Dr. Caudill, on authority of Lyle Simpson.
- 14 | Q. Was that your understanding of what would happen after you
- 15 | wrote a letter of recommendation?
- 16 A. No, it definitely was not.
- 17 | Q. Would you have written that letter if someone had told you
- 18 | that you were going to be banned from the lab?
- 19 A. I certainly would not.
- 20 | Q. Would you have written that letter if someone had told you
- 21 | that Yerkes was demanding that you be removed from the lab?
- 22 A. No.
- 23 \mid Q. Were you actually kicked off the premises on November 10th?
- 24 A. Yes.
- 25 Q. How did that happen?

- 1 A. I got an e-mail from George Caudill asking me to leave.
- 2 | indicated that someone needed to come and take care of Teco
- 3 because he was still ill, and they had trouble finding anybody
- 4 | to come and take care of Teco. It took some time before finally
- 5 | my sister had rested up enough, she had been taking care of him
- 6 | five days and nights until I got there to help her, and when she
- 7 | rested up enough, she came to take care of Teco and I left the
- 8 lab.
- 9 Q. Was this consistent with the transition as it had been
- 10 explained to you in mid October of 2013?
- 11 A. It was the strangest thing that had ever happened to me in
- 12 | my life. It was completely inconsistent.
- 13 Q. Was this consistent with the settlement agreements that you
- 14 | had helped draft and sign earlier that year?
- 15 A. No. It was not consistent with anything on paper or
- 16 anything in my experience in my entire history of interaction
- 17 | with Lyle Simpson. I didn't know George Caudill very well. He
- 18 | had just came onto the scene.
- 19 Q. Dr. Savage-Rumbaugh, were you ever told that you were
- 20 removed from the IPLS board prior to December 2013?
- 21 | A. No. I was -- every indication I had from George and Lyle
- 22 was that I was on the board.
- 23 | Q. Did you ever quit the board?
- 24 A. No.
- 25 Q. Did you ever indicate in any manner that you wanted to be

- 1 removed?
- 2 A. I would not have done so. I knew that being on both boards
- 3 | was critical, and I had talked with Lyle about that many times.
- 4 Q. Did you ever agree, as suggested by Mr. Miller in his
- 5 opening, that it was time for you to step aside completely?
- 6 A. No, I did not.
- 7 Q. Did you as a BHI board member have any notice of this, being
- 8 removed, prior to voting Dr. Taglialatela or Dr. Hopkins on to
- 9 the board?
- 10 A. No. I assumed that I was a member of the IPLS board.
- 11 Q. Do you know when Dr. Taglialatela and Dr. Hopkins were voted
- 12 on to the IPLS board?
- 13 A. They were voted on as we were transiting the request of
- 14 Dr. Schweller to move the bonobos to Mississippi when he thought
- 15 | we were low on funding. At that point in time I believe was
- 16 when Dr. Taglialatela came on the board. I would have to check
- 17 | the notes for the exact date, but it was certainly prior to the
- 18 other events we're discussing.
- 19 Q. Were you made aware of that vote?
- 20 A. Oh, you mean on the IPLS board?
- 21 Q. On the IPLS board, correct?
- 22 | A. No. I don't know when he came on the IPLS board. I thought
- 23 | you meant the BHI -- well, the IPLS -- he first came on the IPLS
- 24 | board before it was split into two boards; but then when it was
- 25 | split into two boards and there was the business board, he was

- not on it at that time. So he had to be added to it because all of the scientists resigned from it.
- So when he was added back to it by Lyle and George and others on the board, I was not made aware of that and I don't
- 5 know when it occurred -- I didn't know at that time when it
- 6 occurred.
- 7 Q. Do you know if any other BHI members, to your knowledge,
- 8 were aware of the fact that you were allegedly kicked off the
- 9 board prior to voting Dr. Taglialatela and Dr. Hopkins on to the
- 10 board?
- 11 A. I was told that I would not be allowed to tell them that or
- 12 to talk to them or to write any e-mails to them and the only way
- 13 | I would be allowed to see the bonobos again was if I followed
- 14 those orders.
- 15 | Q. Was that surprising to you --
- 16 A. Yes.
- 17 Q. -- after the settlement agreements and the resolutions that
- 18 | we've talked about?
- 19 A. Yes.
- 20 Q. Dr. Savage-Rumbaugh, we talked earlier a little bit about
- 21 | the research trajectory that you've accomplished over four
- 22 decades of your life. I want to ask you a few follow-up
- 23 | questions about that.
- Is wearing masks and gloves around the bonobos
- 25 consistent with that research trajectory?

- 1 A. If a person were ill, they would probably wear masks or
- 2 | gloves if they were a person that wasn't very, very close to the
- 3 | bonobos the whole time, but otherwise we would not have masks
- 4 and gloves.
- 5 Q. Is having indirect contact with the bonobos consistent with
- 6 your research trajectory?
- 7 A. I'm not really sure what you are implying by indirect
- 8 | contact.
 - Q. Let me ask a better question.
- 10 Does your research trajectory require that you have
- 11 | direct contact with the bonobos?
- 12 A. Yes.
- 13 Q. What sort of direct contact?
- 14 A. The same kind of contact you would have in a family
- 15 | situation. It doesn't require that everyone working with the
- 16 | bonobos have that kind of contact. It does require that some
- 17 | individuals have that level of contact.
- 18 | Q. I want to return briefly to when you were kicked out of the
- 19 | lab, Dr. Savage-Rumbaugh. Did you agree to, quote, give up
- 20 | control as Mr. Miller suggested in his opening?
- 21 A. No, I did not. I resisted it even at the moment I was
- 22 | kicked out, and I told them that it was wrong.
- 23 | Q. Have you agreed to live within the boundaries of the
- 24 | settlement agreements and the resolutions as they were explained
- 25 to you?

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1
   A. Yes.
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              MR. STAMBAUGH: Your Honor, I have no further
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    questions, subject, of course, to redirect.
              THE COURT: Thank you.
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              We're just before the noon hour, Counsel. I think
   we'll take our noon recess at this time. We'll be in recess
6
7
    until 1 o'clock this afternoon.
8
              Thank you.
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              MR. STAMBAUGH: Thank you, Your Honor.
              (Recess at 11:53 a.m., until 1:00 p.m.)
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                     AFTERNOON SESSION 1:05 p.m.
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              (In open court.)
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              THE COURT: Please be seated, everybody.
                        SUE SAVAGE-RUMBAUGH,
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 5
    resumed her testimony as follows:
              THE COURT: And, Mr. Miller, you may cross-examine or
 6
7
    your colleague may, whoever is going to do it.
 8
              MR. MILLER: Thank you, Your Honor. I will go ahead
    and proceed.
10
              Thank you.
11
                           CROSS-EXAMINATION
12
   BY MR. MILLER:
13
       Dr. Rumbaugh, can you hear me okay?
14
       Yes, I can.
   Α.
15
    Q. I don't believe that I'm going to be referring to your
16
   husband, Duane Rumbaugh, today; but I may refer to calling you
17
    Dr. Sue. I mean no offense by that, okay?
18
   A. Yes.
19
    Q. Okay. Thank you.
20
              Dr. Sue, I first want to talk to you a little bit
21
    about flooding, and you testified about some concerns about
22
    flooding at the site. You've known that flooding could happen
    at the site of the facility since before you moved here with the
23
    colony, right?
24
25
   A. I knew that they were concerned enough that they had to
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- 1 build the building out of the floodplain. I was assured that
- 2 | the building would never ever flood. Even as the water was at
- 3 | the door, I was assured the building would never flood.
- 4 Q. And it flooded in 2008?
- 5 A. Yes.
- 6 Q. And you experienced that, I know you've told the court.
- 7 At that time there was no contingency plan for
- 8 flooding, for moving the animals at that time, right?
- 9 A. We were assured that the building would not flood. We
- 10 worked on a few contingency plans, but the director was an
- 11 engineer and was in close contact at every moment with the Corps
- 12 of Engineers, said we did not have to execute. We did have some
- 13 emergency plans, but they were said to be not needed.
- 14 Q. And you weathered the storm, so to say, and the apes stayed
- 15 | there after 2008, correct?
- 16 A. I don't think we actually would have weathered the storm if
- 17 | Liz and I hadn't been there to tell you the truth.
- 18 Q. Well, that's actually not my question.
- 19 The apes stayed there after the flood, correct?
- 20 A. They stayed there after the flood, yes.
- 21 Q. They stayed there in 2009?
- 22 A. Yes.
- 23 Q. 2010?
- 24 A. Yes.
- 25 Q. 2011?

- 1 A. Yes.
- 2 Q. They're still there today, correct?
- 3 A. Yes. It's flooded twice more since then, but not gone into
- 4 | the building, but blocked the access to the building.
- 5 Q. In 2012 there was a discussion about relocating the apes to
- 6 a new site, correct?
- 7 A. Correct.
- 8 Q. You objected to that quite vociferously, correct?
- 9 A. Yes, I did.
- 10 | Q. And --
- 11 A. Because it wouldn't have allowed me to continue the research
- 12 trajectory.
- 13 Q. Okay. 2013 you applied for a grant from Prairie Meadows to
- 14 | continue to support the operation, is that right?
- 15 A. Yes, I did.
- 16 | Q. And that grant was premised on creating an artist colony at
- 17 | the facility, right?
- 18 | A. It wasn't premised on creating a complete artist colony, but
- 19 | that was part of the grant, yes.
- 20 Q. Okay. And, in fact, your brother would have been an artist
- 21 in residence to work with the bonobos?
- 22 | A. He wouldn't have stayed there, no. He has a studio in
- 23 | Springfield, Missouri, and he has several other grants. He
- 24 | would have assisted us but, no, there was no plan for my brother
- 25 to stay as an artist in residence.

- 1 | Q. And around this same time frame, you were out talking to the
- 2 public about the facility and about the work of Great Ape Trust,
- 3 | correct?
- 4 A. I was very rarely out talking with the public because I was
- 5 | basically taking care of Teco and the other apes and cleaning
- 6 and feeding and doing other duties.
- 7 Q. Do you remember appearing on Iowa Public Radio for an
- 8 | interview?
- 9 A. I've appeared on a number of Iowa Public Radio shows,
- 10 | including one last week.
- 11 | Q. All right. And do you recall at this time that you were
- 12 interviewed by Charity Nebbe in 2012?
- 13 | A. Yes, I believe I was interviewed by Charity Nebbe.
- 14 Q. You and Dr. Gilmore participated in that, too, correct?
- 15 | A. I believe Dr. Gilmore was there. She was the veterinarian.
- 16 Q. Right. And Ms. Nebbe during that interview asked you again
- 17 | a backup plan for moving the bonobos, is that right?
- 18 A. Yes.
- 19 Q. At that point in time, you said, no, that's not going to
- 20 happen?
- 21 A. Yes, I did. I thought it was not going to happen. I had
- 22 been told that if they built the bypass, it would no longer
- 23 | flood, and I was trusting of that.
- 24 | Q. And you testified earlier, if I understand you correctly,
- 25 | that there was some concern that the water was toxic, is that

- 1 | correct?
- 2 A. We had repeated reports to the staff in the building that we
- 3 | had to be very, very careful, we shouldn't touch the water, we
- 4 | shouldn't be in it, we should have our waders, the water was
- 5 toxic, we had to keep the bonobos out of the water.
- 6 Q. And from 2008 through the time you were at the facility, you
- 7 | never had any testing done on that water to substantiate that
- 8 | alleged claim?
- $9\mid A$. I wasn't in a position to direct such testing.
- 10 | Q. And you did not have the testing done, correct?
- 11 A. It wasn't up to me to have any testing done. That would
- 12 have been up to Dr. Gilmore.
- 13 Q. Okay.
- 14 A. I did discuss the problem several times, and when I was
- 15 | initially director, I had the water in the building tested
- 16 | because I was concerned that it was toxic, and the Water Works
- 17 | changed how the water in the building was directed because it
- 18 | was -- it did turn out to be toxic and they had to make major
- 19 changes because of that.
- 20 Q. Well, you certainly don't have any proof that the water
- 21 caused illness to any animal or to your sister, correct?
- 22 A. I haven't sought to obtain any proof.
- 23 | Q. Now, you did, however, have a report put together ostensibly
- 24 | for this proceeding about flooding at the facility, is that
- 25 right?

- 1 A. One of our board advisors offered to have an engineering 2 agency who specializes in that provide us a report.
- 3 Q. Okay. So seven years after the flooding in 2008 that you
- 4 have such concern about and only when you're about to have a
- 5 | hearing in front of the judge do you get a report, is that
- 6 right?
- 7 MR. STAMBAUGH: Objection; argumentative.
- 8 A. No, that's not right.
- 9 BY MR. MILLER:
- 10 Q. Okay.
- 11 THE COURT: Let's back up. Just a minute. I will
- 12 receive it, subject to objection.
- 13 A. No, that's not right. I've been calling for such a report
- 14 | for some time. Basically it was my understanding that if they
- 15 expanded the bypass, it would not flood. The bypass has been
- 16 sufficiently expanded now that one can go stand and look there
- 17 and see if there's enough water on the other side. Expanding
- 18 the hole in the bypass is not going to make any difference.
- 19 In addition, my neighbors who lived around there have
- 20 | had a lot of time to talk to me in the last two years since I'm
- 21 | not with the bonobos, and they've all informed me that in 1993
- 22 | the whole area was under flood, way up to the railroad tracks,
- 23 and there was no bypass built at all.
- 24 So it just seemed to me that I needed to reconsider.
- 25 It wasn't because I was concerned that ACCI is there. I'm

- 1 | concerned about the health and wellbeing of the bonobos.
- 2 BY MR. MILLER:
- 3 Q. Right. They told you about 1993, and that was the exact
- 4 same way you found the site in 2008 when you moved the bonobos
- 5 off, potential for flooding, correct?
- 6 A. But I didn't know how high the water had gone in 1993. I
- 7 | was not told. I just knew the site had a potential for
- 8 | flooding. In Atlanta we built the building on a site that had
- 9 | potential for flooding. The university told us they would build
- 10 | the building out of the floodplain, and they did. We had water
- 11 up to the building, but it didn't come in, and they built the
- 12 road up so that we could always have access.
- I assumed we were in a similar situation here, they
- 14 | would build the building as they said, completely out of the
- 15 | floodplain, and they would build the road high enough so we
- 16 | would have access. That did not happen.
- 17 | Q. And the only time you've analyzed the situation with
- 18 | flooding, actually had an expert look at it was a month ahead of
- 19 | this hearing?
- 20 A. I wasn't in the position to direct such a call. I couldn't
- 21 tell Jared, "Jared, you really need to check out the flood." I
- 22 | think I have written some e-mails to other board members that
- 23 | concern has been expressed repeatedly for a very long time in my
- 24 e-mails. And I wasn't in a position when Dr. Gilmore was
- 25 director to direct such a question, and I wasn't in a position

- 1 | when Dr. Fields was -- Mr. Fields was there; but certainly after
- 2 | 2008 had I been in the position to have that authority, I would
- 3 have done so.
- 4 Q. Stepping back a moment to the Prairie Meadows grant,
- 5 | certainly you've been out and about talking with the public
- 6 about the bonobos over time, correct?
- 7 A. Not extensively, but I have been. I was not the foreperson
- 8 for the Great Ape Trust. They attempted to send Rob Shumaker
- 9 out. As I said before, the only time I have really been able to
- 10 explain my research to the public was at that Prairie Club
- 11 meeting.
- 12 Q. And at that Prairie Club meeting, did you have Teco with
- 13 | you?
- 14 A. No, I did not.
- 15 | Q. Okay. You've been out and about at events where you brought
- 16 one of the bonobos with you to meet others?
- 17 A. I had IACUC approval one time under the auspices of the
- 18 | Great Ape Trust and the veterinarian from Iowa State to take
- 19 | Teco to a specific public gathering, and there were people there
- 20 to assist me, and he was I think about six months of age.
- 21 | Q. Was that the visit to the church on 42nd Street?
- 22 A. I believe so.
- 23 | Q. What about the visit to the Ray Society?
- 24 | A. I didn't take Teco to the Ray Society. I know you have a
- 25 document that -- the Ray Society came to visit the center. I

- 1 | didn't take Teco any place out to the Ray Society ever.
- 2 | Q. You discussed earlier with the judge, with your counsel
- 3 | about Mr. Townsend's vision for the Great Ape Trust.
- 4 A. Yes.
- 5 Q. And you feel you had some sense for his vision, is that
- 6 correct?
- 7 A. Yes.
- 8 Q. And one of the aspects of the vision was to share the
- 9 | bonobos with the public?
- 10 A. No.
- 11 Q. To not introduce them to others through things like an
- 12 | artist colony or through meetings with the public?
- 13 A. Well, we were going to construct small domes with glass in
- 14 between and there would be trails that the public could go on
- 15 | completely separate from the bonobos, and they would enter a
- 16 | small round building with a glass wall in between, and the
- 17 | public could do tool making on one side, the bonobos would do
- 18 | tool making on the other side of the glass, or the public could
- 19 make music on one side and the bonobos would make music on the
- 20 other side. It wasn't to literally introduce, to take Teco into
- 21 | the public. That was never his intent.
- 22 Q. You also got an exhibitor's license while you were the
- 23 | executive director, correct?
- 24 A. Not while I was executive director. Al Setka got an
- 25 exhibitor's license, and when we were placed in charge of the

- 1 | facility, the USDA agent came actually while I had the Ray
- 2 | Society there, she arrived and announced to me that that
- 3 exhibitor's license had been cancelled I believe by Susan McKee
- 4 and that I needed to reapply for the exhibitor's license if I
- 5 | was going to have anyone in the building, such as at the bike
- 6 | ride where you now need an exhibitor's license, I guess, and you
- 7 | have a bike ride and you have people in the building. To have
- 8 | people in the building such as you've recently done, I would
- 9 need an exhibitor's license.
- 10 Q. Right.
- 11 A. And the trust had always had one.
- 12 Q. Very good. So you've moved with the bonobos on various
- 13 occasions, correct?
- 14 A. No. I made one move here.
- 15 Q. You moved facilities, I believe, in Georgia, or did I
- 16 | misunderstand that?
- 17 A. I began the project with the bonobos in 1980 after I moved
- 18 | to this facility. Prior to that my work was with Sherman and
- 19 Austin, and I initially moved the chimpanzees, Sherman and
- 20 Austin as well, to the language research center.
- 21 Q. Thanks for that clarification.
- 22 When the Iowa opportunity arose, there were some other
- 23 | locations that you considered as well, correct?
- 24 | A. I was considering them. I was not aware that Mr. Townsend
- 25 | had already gone to the Iowa State administration and

- 1 | contributed \$450,000, which was essentially a purchase of the
- 2 bonobos. There was really no consideration after he had done
- 3 that.
- 4 | Q. And you thought the Iowa proposal that he put forth was a
- 5 | good one?
- 6 A. My preference was to work with Penny Patterson and take the
- 7 bonobos to Hawaii.
- 8 Q. But, nonetheless, you came up here to Iowa at Mr. Townsend's
- 9 behest apparently?
- 10 | A. I really didn't have any choice. Once the university
- 11 administration accepted his \$450,000, I could have stayed, and I
- 12 | had a tenured position. I could have taught, but the bonobos
- 13 were coming here. They were bought and sold quite simply.
- 14 Q. And I think you told me that you liked the Iowa location
- 15 | because it was pretty similar to the Georgia location you were
- 16 at?
- 17 A. Well, I was very worried about the size of the Des Moines
- 18 | River. We had the little small South River. The Des Moines
- 19 River, when it runs into the Raccoon River, it's something that
- 20 | I -- you know, it's really powerful. And I was very worried
- 21 about the cold, and many, many people that wanted to fund me
- 22 were very, very worried about the cold, and many people,
- 23 | including Peter Gabriel, and Steve Woodruff of the Coca-Cola
- 24 | Foundation, and Mr. Hyashibara of Japan who would have funded me
- 25 | had I gone either to Hawaii or Japan, pulled out because they

- 1 | did not think the bonobos should be in Iowa.
- 2 Q. Okay. Townsend stated -- and he sunk 20 million dollars?
- 3 A. He was the only one in. He didn't stay in; one of the only
- 4 ones.
- 5 Q. Fair enough. But at least at the time when he moved you
- 6 here and then for the next several years, over time he put 20
- 7 | million dollars in the operation?
- 8 A. Yes, he did.
- 9 Q. And when you moved up here, you helped design the facility,
- 10 | is that correct?
- 11 A. Yes, I did.
- 12 Q. And it was state of the art?
- 13 A. I designed the facility with architects who were working
- 14 | with a zoo-based mentality, and they were really influenced by
- 15 | Rob Shumaker and Ben Beck, and there was quite a bit of
- 16 | competition over whether we were going to have a zoo or whether
- 17 | we were going to have a research facility. My original request
- 18 | was for a small butler building. Duane indicated to Ted over
- 19 and over that we needed a small butler building to get here, get
- 20 on the ground, show that we could continue to do research and we
- 21 | would have access to the outdoors and the trajectory could
- 22 | continue and to put the money into an endowment, not a building.
- 23 | It was Ted that chose to make a 20 million dollar building and
- 24 | Ted that wanted an edifice that would be state of the art. I
- 25 | would say there was an attempt to make it state of the art to my

- 1 | research, but that attempt was compromised many, many times
- 2 during design sessions by Rob Shumaker and Ben Beck.
- 3 Q. I don't know if -- who is Ben Beck? I don't know that we've
- 4 talked about him.
- 5 A. He came with Rob Shumaker. He was the former curator at the
- 6 National Smithsonian. He has worked with tools. He's well
- 7 known for a book on toolmaking in nonhuman primates. His
- 8 | background is in anthropology, and he has worked with
- 9 Dr. Tuttle.
- 10 Q. Now, up until the move to Des Moines, you were a research
- 11 associate with Yerkes, which you referred to before, is that
- 12 | correct?
- 13 A. I was a research associate with Yerkes. At the time during
- 14 | the five years I was with Yerkes and I was a research associate
- 15 | for, I would have to check another three to five or six years
- 16 | while I was at Georgia State, but Yerkes removed that research
- 17 | associate position at some point after I was at Georgia State.
- 18 | Q. And I think you, when I talked to you in your deposition,
- 19 | told me that you felt you had somehow run afoul of Yerkes and
- 20 | they were upset with your research; is that a
- 21 | fair approximation?
- 22 A. I hadn't run afoul with Yerkes. I had done research that as
- 23 | it became known became problematic for Yerkes because if it's
- 24 | understood that apes have the ability that have been
- 25 demonstrated for Kanzi, it would be very difficult to continue

- 1 to keep apes in the kind of caging and biomedical situation that
- 2 | I described earlier.
- 3 Q. And, in fact, I think you told me that your husband,
- 4 Dr. Duane, thought he was threatened in some way by Yerkes?
- 5 A. He reported to me that at the time that NHK came and filmed
- 6 and was going to go worldwide on the documentary of Kanzi's
- 7 abilities, the director came to the center and he watched the
- 8 | video, and he took me aside and he said, I'm going to shut your
- 9 research down. He then talked to Duane. He and Duane often
- 10 | talked, and he told Duane that in the past if he gave orders to
- 11 do something, they needed to be carried out and people had, in
- 12 | fact, not stayed around on the planet if they weren't followed.
- 13 Q. Eventually your research associate position with Yerkes was
- 14 | terminated?
- 15 A. Yes.
- 16 Q. And you don't have any personal knowledge about whatever
- 17 | relationship may or may not exist between Yerkes and ACCI?
- 18 A. I have the knowledge that Lyle has transmitted to me and I
- 19 have the knowledge from Jared's deposition.
- 20 | Q. Now, Lyle didn't transmit any information to you.
- 21 A. He transmitted a lot of information to me.
- 22 Q. Okay. Again, you don't have any direct information
- 23 | yourself, you have never spoken to anybody at Yerkes?
- 24 | A. After I transmitted to Bill Zifchak Lyle Simpson's request
- 25 | that I could no longer talk with him and I had to contact a

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    lawyer, Bill Zifchak instructed me not to contact Yerkes or
    anybody else but to remain silent. So I learned from Lyle what
 2
    was happening, and I was astonished, and I've not been allowed
 3
    to try to find anything else out. I've been asked to be quiet,
 4
    and I have.
 5
 6
    Q. Okay. I think to change gears a little bit, too, you were
7
    telling the court earlier about the Bonobo 12. What is the
    Bonobo 12?
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 9
              MR. STAMBAUGH: Objection; misstates earlier
10
    testimony.
              THE COURT: Well, the question just asked what is
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12
    Bonobo 12. So that's what I'm interested in if you can tell us.
13
              Thank you.
    A. There were a group of people that claimed to be employees
14
15
    who worked under me. Only a very few of them actually worked
16
    with me. None of them worked under me. During a period of
17
    three years while Mr. Fields was in charge of the lab, these
18
    employees worked with me. When it came time to transition and
19
    we knew there were not going to be funds from Ted, one of these
20
    employees who was paid by Mr. Lauridsen and had a special
21
    scholarship through Mr. Lauridsen brought Mr. Lauridsen to the
22
    lab and hoped to obtain funding for the continuation of the lab.
    I showed Mr. Lauridsen around.
23
              The Bonobo 12 continued to work with him and with
24
25
    others, and they had a desire to essentially do what
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- 1 | Mr. Taglialatela has done -- or Dr. Taglialatela, pardon me.
- 2 They wanted their research to go forward and have me excluded.
- 3 | So a variety of complaints were filed against me with the IACUC,
- 4 | and those complaints were later investigated by two special
- 5 panels and found to be completely false.
- 6 BY MR. MILLER:
- 7 | Q. Now, out of that process, I think, is the time frame when
- 8 | there's a question of whether the bonobos are going to need to
- 9 be relocated because the funding is in jeopardy, is that
- 10 | correct?
- 11 A. It was a time of transition of power from Ted's authority to
- 12 | whoever else would be in authority. It turned out to be Ken
- 13 | Schweller, and nobody knew where the funding was going to come
- 14 | from when Ted's money ran out.
- 15 | Q. And at that time, we're talking late 2011, early 2012,
- 16 | correct?
- 17 A. Late 2011.
- 18 | Q. Okay. And at that time there's one board called IPLS,
- 19 | correct?
- 20 A. Yes.
- 21 Q. And it includes several of the individuals who are on the
- 22 | board of the current BHI, including --
- 23 A. No.
- 24 Q. No. Okay. How am I wrong about that?
- 25 A. At that time the board was Connie Wimer, Sue Coop, Suku

- 1 | Radia, Ted, Margo Blumenthal. Jim Aipperspach may have been an
- 2 auxiliary member. I don't know if he was an official member. I
- 3 guess Jim Aipperspach was an official member of the board. I
- 4 | think that was the board.
- 5 Q. And that's the IPLS board?
- 6 A. That was the IPLS board during the time that the transition
- 7 | was underway near the end of 2011. Is that the time you're
- 8 asking me about?
- 9 Q. Correct. So does Bonobo Hope, BHI exist at that time?
- 10 A. I had begun to form BHI and I had asked a number of people
- 11 to serve on BHI, and we had had some initiating meetings which I
- 12 believe took place around that time.
- 13 Q. Okay. So one of the people you invited to that board was
- 14 Dr. Taglialatela, correct?
- 15 A. No. I didn't invite Dr. Taglialatela to that board at that
- 16 time, no.
- 17 Q. You eventually invited him to the Bonobo Hope board?
- 18 | A. Much later, yes.
- 19 Q. At this 2011 period was Dr. Dubreuil on that board, Bonobo
- 20 Hope?
- 21 A. No, I don't think so.
- 22 | Q. Okay. Are any members of the current Bonobo Hope at the
- 23 | party that's involved in this lawsuit, were they members of the
- 24 BHI board at that point in time?
- 25 A. Derek Wildman was a member, Nancy Howell was a member of the

- 1 board at that time. Sally Coxe was a member and I believe Itai 2 Roffman was a member.
- 3 | Q. Thank you. And I apologize for the confusion.

What I wanted to be clear about is those board members

at that time in 2011 were encouraging you to relocate the

bonobos, correct?

A. No. What had happened was that Ted had indicated that the bonobos were going to need to be relocated, and I had met with Ted and his board, Connie and Margo, several times, and my position was that, Ted, if you're out of money, let's first turn to your board and ask these board members to help us to try to get through a difficult time, and if it goes forward the way that I hope it will, then we can start to ask Peter Gabriel and

others for money, we can start to get grants again, because by

15 that time we had animal assurances, which themselves cost about

a million dollars to get, and we're required to even apply for a

17 | federal grant.

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So we had really gotten in a position where if the rest of the board members, Margo and Connie and others, had supported us and gone forward, we could have done fine; but Ted was adamant that the bonobos move, and at board meetings, he said, these bonobos are moving, you know, when I leave. And I, you know, didn't know really what was going to happen.

Q. Did I understand you correctly that you would have taken bonobos out to A & W in the past?

- 1 A. At the University of Oklahoma before there were IACUCs, yes.
- 2 Q. Well, and you would put them in the car and would take them
- 3 | to Wendy's here in Des Moines, correct?
- 4 A. No. Unless I had approval from Dr. Gilmore, I didn't put
- 5 | them in any place here in the car and take them anywhere.
- 6 Q. You went out to a Buddhist temple or to visit a relative
- 7 | with Teco, is that correct?
- 8 A. I didn't take any bonobos anywhere unless I had the approval
- 9 of Dr. Gilmore to take them there.
- 10 Q. Did you have any --
- 11 A. But let me just say that across the years, the IACUCs became
- 12 | in place. When I began my work in 1975, there was not an IACUC
- 13 | and there were no rules and regulations, and I was working as a
- 14 graduate student and it was part of my job, my paid job to drive
- 15 | the bonobos to different places. I was ordered to do that by my
- 16 | faculty supervisor.
- One of the things I did regularly was take a bonobo,
- 18 | Lucy, who had been reared in a human home five or ten miles away
- 19 to 60 acres of land that they owned, and Lucy was allowed to go
- 20 on their land. And oftentimes I wouldn't even see Lucy. And I
- 21 was instructed when I was ready to go back to hit the horn and
- 22 Lucy would come and jump in the car, and she did.
- 23 | I could tell many more things that happened at the
- 24 University of Oklahoma, but in part because of my work, IACUCs
- 25 and protocols came into being while I was at Yerkes, not only

- 1 | because of my work but basically because animal rights were also
- 2 | breaking into places. And once those rules and regulations
- 3 began to try to govern how a person is able to do research, I
- 4 had to go through a whole series of rules and regulations to get
- 5 approval to do anything, and I didn't skirt those intentionally
- 6 ever.
- 7 Q. Correct. You understand rules and regulations are important
- 8 for working with bonobos?
- $9 \mid A. \quad Yes, I do.$
- 10 Q. Right. And I think you told me -- well, first off, was Lucy
- 11 a bonobo?
- 12 A. Lucy was a chimpanzee.
- 13 Q. Did Lucy come with you from Georgia?
- 14 A. No. Lucy was reared in a human home. There's a book on
- 15 | Lucy that was written by a clinical psychologist that describes
- 16 | how humanized an ape can become when it's raised from the day of
- 17 | birth in a human home. That was part of the research project of
- 18 | my major professor William Lemmon.
- 19 Q. What happened with Lucy? She wasn't part of your research
- 20 trajectory?
- 21 A. I was a graduate student like Jared was a graduate student
- 22 | working under me, and so at that time I was learning about what
- 23 | happens if an ape is reared in a human home, what happens if an
- 24 | ape is reared with nonspecifics, what happens if an ape is
- 25 reared with its mother, what happens if an ape has had traumatic

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- 1 experiences, and I was seeing all the different apes to which I
- 2 was exposed.
- 3 Q. Okay. You didn't bring Lucy with you, though?
- 4 A. Lucy did not come here, no.
- 5 Q. What happened to Lucy?
- 6 A. Her parents decided to try to reintroduce Lucy to the wild,
- 7 and Lucy was not a member of a chimpanzee group. Lucy was never
- 8 | really able to integrate into a chimpanzee group. It was
- 9 | because of Lucy that I made the vow to never raise a Kanzi or a
- 10 | Teco or a Panbanisha without constant contact with other bonobos
- 11 and their mothers because I saw in Oklahoma what happens when
- 12 you do that.
- So because Lucy was never exposed to other chimpanzees
- 14 growing up, she really wasn't able to integrate with other
- 15 | chimpanzees, and when she couldn't integrate at Oklahoma, they
- 16 | tried to introduce her to an island with other chimpanzees in
- 17 | the wild. She survived for a while, but she eventually passed
- 18 away.
- 19 Q. So if I'm following you correctly, she was human reared or
- 20 reared with humans and taken back to the wild, so to say?
- 21 A. You're not following me correctly, exactly.
- 22 Q. Okay.
- 23 | A. She was reared without any contact with any other
- 24 | chimpanzees by experimental design. I have never replicated
- 25 | that procedure.

- 1 Q. Okay. I understand. But eventually she went back to the wild, as it were, and was killed or harmed or died? 2
- MR. STAMBAUGH: Objection; relevance as to this whole 3 It's irrelevant. 4 line of questioning.
- 5 THE COURT: Well, it's a follow-up. I'll receive it, 6 subject to the objection.
- 7 A. The problem with apes, as with humans, if you're going to be
- in a different environment, you need family and you need a 9 tribe. An ape put out in the wild alone, no ape is going to be
- 10 survived that way. So it really wasn't her human rearing that
- caused her failure to survive in the wild. It was her lack of 11
- 12 exposure to other chimpanzees that caused her failure to be able
- 13 to integrate into a culture or another group of chimpanzees.
- 14 BY MR. MILLER:

8

- 15 Q. You'd acknowledge that the use of personal protective
- 16 equipment -- or the lack of use of it can expose the bonobos to
- 17 disease, correct?
- 18 A. If there's someone with a disease around them, yes.
- 19 Q. Right. So if you're going to have an individual or a human
- 20 in contact with an ape, there should be personal protective
- 21 equipment?
- 22 A. It depends on whether that person is ill or not. As I
- explained, the rearing involves a very familial like situation. 23
- 24 If you -- I didn't wear personal protective equipment with my
- son, Shane, who is sitting there in the back. I didn't wear 25

- 1 personal protective equipment with Kanzi or with Nyota or with
- 2 | Teco, and all three of them are alive and here today and well.
- 3 Q. And I think you were testifying earlier that one part of
- 4 | your research trajectory is not using masks and gloves or
- 5 personal protective equipment?
- 6 A. Not for everyone. In a familial situation where you're
- 7 | sharing the same biome, the same skin biome, you're sharing
- 8 | food, in a familial situation, there's enough sharing and
- 9 | immunology developed that apes and human children can be quite
- 10 healthy. Human children often experience problems, for example,
- 11 when they first start in a nursery, they might be fine, but they
- 12 start in public school or a nursery and they experience
- 13 problems.
- 14 One of the problems we experienced was that
- 15 | Dr. Gilmore brought her young daughter who was just in nursery
- 16 | school and allowed her young daughter to interact with Teco
- 17 | without -- even when she knew that the daughter was ill and even
- 18 | when I objected to that. So you can have a problem, but you
- 19 have to be -- you have to use good common sense the way you
- 20 | would with your own children.
- 21 | Q. And Governor Branstad, in fact, even interacted with Teco
- 22 when you were together with him when he was ill?
- 23 | A. With Dr. Gilmore's permission.
- 24 Q. All right.
- 25 | A. I don't know whether Governor Branstad was ill or not, but I

- 1 did not make those decisions.
- 2 Q. And this was during the time that you were executive
- 3 | director?
- 4 A. Whether I was executive director or not, all decisions about
- 5 | who could interact and the health and welfare of the bonobos
- 6 | were made by Dr. Gilmore and I would not countermand those.
- 7 Q. And I think you also testified you believe as part of your
- 8 research trajectory that there be direct contact with the
- 9 | bonobos, is that correct?
- 10 A. Would you repeat the question?
- 11 Q. It's important to your research trajectory that there be
- 12 direct contact between humans and the bonobos?
- 13 A. With certain people; not all people, not the general public.
- 14 | The same as you would do with your child, except when the
- 15 | bonobos get to be three or 4, five, you can't send them to
- 16 | public school. You can't really improve their immunology. So
- 17 | you really have to be careful because they're going to have a
- 18 | more restricted immunological profile than say your son or
- 19 daughter would.
- 20 Q. And so you would decide who could meet or be directly in
- 21 | contact with the bonobo and who wouldn't be?
- MR. STAMBAUGH: Objection; misstates the testimony.
- 23 | THE COURT: I'll receive it, subject to the objection.
- 24 | A. You always have to make that decision just as you do with
- 25 | your children or your pets. It's nothing unusual.

- 1 BY MR. MILLER:
- 2 Q. Bonobos are certainly -- they're capable of inflicting harm
- 3 to people, to humans, correct?
- 4 A. Humans are far more capable than bonobos of inflicting harm
- 5 on other humans and do so far more often.
- 6 Q. But you would agree with me that the bonobos are capable of
- 7 | inflicting harm on humans?
- 8 A. They are capable of it.
- 9 Q. And other animals?
- 10 A. They are capable of it.
- 11 | Q. All right. In fact, you've witnessed circumstances where
- 12 | somebody was injured by a bonobo, correct?
- 13 A. Yes.
- 14 Q. Bonobos have bitten other individuals in your presence, for
- 15 | instance?
- 16 A. In bonobo society, biting is a way of disciplining just like
- 17 | spanking is a way of disciplining sometimes in human societies.
- 18 | In other human societies, cutting off a finger is a way of
- 19 disciplining. Bonobos have a cultural pattern of biting, but
- 20 | that can be changed. They don't do that just because they're a
- 21 | bonobo. They do that because they're a cultural being.
- 22 | Q. In spring 2013, you got hurt, you went back to New Jersey,
- 23 | correct?
- 24 | A. I didn't get hurt and go back to New Jersey. I got hurt and
- 25 | I stayed there quite awhile. I had constant interchange with

- 1 | Gaila and Liz who was working there every day. I knew -- I was
- 2 | asked to help find a successor, and I knew they wanted to have
- 3 | funding from the Federal Sanctuary System, so I met with George
- 4 | Caudill, and I told him if we were going to talk about getting
- 5 assistance, I needed to go back and talk with Duane because
- 6 Duane started the whole project. So I went back to discuss what
- 7 | they asked me to discuss, which was to bring a successor for the
- 8 | future, and George -- I went with his blessing.
- 9 Q. I mean, that visit with Duane, Dr. Rumbaugh, was in New
- 10 Jersey, correct?
- 11 | A. He lives in New Jersey, so I had to go there to talk with
- 12 him.
- 13 Q. Right. You were there for a matter of weeks or months even?
- 14 A. A couple of months. I hadn't visited him in three or 4
- 15 | years since Teco was born.
- 16 Q. And you went through periods of time when you have been here
- 17 | in Des Moines where you're not engaged in research or in the
- 18 operations of the facility, correct?
- 19 A. I don't think there's been any time where I haven't been
- 20 cognizant and concerned about the operations of the facility,
- 21 | writing e-mails on safety procedures, asking everybody what's
- 22 going on, providing any assistance or help I could; but there
- 23 | have been times where I was injured. I had a cracked wrist, I
- 24 | had a cracked ankle. I worked at the facility straight through
- 25 those. I've had some infections, and I've worked at the

- 1 | facility straight through those. I was working 24/7. I was
- 2 | working very long and very hard hours, and there have been times
- 3 | where I've needed to get away from the facility where -- as when
- 4 | I fell and hit my head, and many of those times people have
- 5 | wanted me back at the facility. And I'm glad they do, I'm glad
- 6 | they felt that I was valuable; but it was very clear to me, even
- 7 | before Teco was born and I took on the obligation of rearing him
- 8 because his mother was having difficulty, that I needed more
- 9 help. I certainly had more help in Atlanta the whole time I was
- 10 | rearing Kanzi or Panbanisha or Nyota.
- 11 Q. So in 2012 you took over as executive director for a period
- 12 of time?
- 13 A. I'm not really sure if Dr. Schweller gave me that title or
- 14 not.
- 15 | Q. I think that's what you testified to earlier, and the record
- 16 | will reflect what it does; but you certainly also testified that
- 17 | there was a period of time when you took care of all of the
- 18 | administrative functions. Do you recall that?
- 19 A. Yes.
- 20 Q. All right. Okay. And during that time Mr. Stambaugh asked
- 21 | you questions about the fact that you were a registered agent
- 22 | for IPLS. Do you recall that?
- 23 A. Yes.
- 24 Q. And you --
- 25 A. And I would just like to say I took over all administrative

- 1 functions in the lab at the time. Dr. Schweller was taking over
- 2 as many as he was willing to take. I wasn't grabbing them.
- 3 Q. But you understood that you were the registered agent for
- 4 | the organization?
- 5 A. I didn't know what registered agent meant at that time. I
- 6 had no idea.
- 7 Q. All right. I want to go ahead and look at Exhibit 1004.
- 8 Dr. Rumbaugh, if you would find it in front of you. It's in a
- 9 | notebook that's in front of you, plaintiffs' exhibits. It
- 10 | should be right in front.
- 11 A. You want me to look in the book?
- 12 Q. Yes.
- 13 A. What number is it?
- 14 Q. 1004.
- 15 A. Is it in this smaller one?
- 16 Q. Yes, ma'am.
- 17 A. Okay. I have it.
- 18 | Q. And that's a statement of change of registered agent or
- 19 registered agent form.
- Do you see that there?
- 21 A. Yes.
- 22 Q. Okay. And is that your signature at the bottom of that
- 23 | page?
- 24 A. Yes, it is.
- 25 Q. As executive director?

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1
        That's written under my name. I can't tell you that that
 2
    was on there when I signed it.
        You did sign this document, right?
 3
    Q.
       I did sign this document, yes.
 4
 5
              MR. MILLER: All right. Your Honor, we offer Exhibit
    1004.
 6
 7
                                   (Plaintiffs' Exhibit 1004 was
                                   offered in evidence.)
 8
 9
              MR. STAMBAUGH:
                              Your Honor, we renew our objections as
10
    in the pretrial order; relevance, authentication; 1005; copy of
    public record conditions not satisfied.
11
12
              THE COURT: Exhibit 1004 is received, subject to that
13
    objection.
14
                                   (Plaintiffs' Exhibit 1004 was
15
                                   received in evidence.)
16
              MR. MILLER: Your Honor, may I publish 1004?
17
              THE COURT: Yes.
18
              MR. MILLER: Your Honor, just for the record and to
19
   make a little record with respect to 1004, I have a copy here
20
    that I offered and would give to the court attendant at the end
21
    of this testimony that has an authentication or certification
22
    from the Secretary of State that I think will resolve some of
23
    the issues; but we don't have that copy for your file, excuse
24
    me.
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25 Is it part of the official record? THE COURT:

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                                                                   110
 1
              MR. MILLER: Well, I'm holding that copy here, but I
 2
    guess it's -- a copy is in the binder, and I would switch it out
    if that's -- when they raised the objection, we got a certified
 3
    copy to try and resolve those issues.
 4
 5
              THE COURT: Well, you can switch it out; but I
 6
    think -- I assume that the exhibits before the witnesses are the
7
    official court record --
 8
              MR. MILLER: I apologize.
 9
              THE COURT: -- or would be the original exhibits.
    we all understand that? Yes?
10
11
              MR. STAMBAUGH: Yes.
12
              MR. MILLER: Yes.
13
              THE COURT: So if you want to change the official
14
    exhibit, you can. I don't need it, but you can do it if you
15
    want to.
16
              MR. MILLER: Okay. I appreciate that, Your Honor.
17
    didn't have this handy when I put that up there at the desk, at
18
    the witness box today, so I'm sorry.
19
    BY MR. MILLER:
20
    Q. Dr. Rumbaugh, if you would again refer back to 1004, I think
21
    I just would ask you if this is your signature here at the top
22
    of that page, is that correct?
```

- 23 A. Yes.
- 24 Q. Okay. And I think you testified earlier this was provided
- 25 to you to sign by Ms. McKee, correct?

- 1 A. That's my recollection.
- 2 Q. But you did review it and sign it for her?
- 3 A. I don't -- I just signed it because she asked me to, to do
- 4 it. I didn't really understand what the implications of it were
- 5 and I didn't really know why she was asking me to sign it.
- 6 Q. You would have received mail for Great Ape Trust at the
- 7 address reflected on this 1004, correct, the 4200 Southeast
- 8 44th?
- 9 A. Yes.
- 10 Q. Okay. So that's a correct address for the organization?
- 11 A. That's a correct address.
- 12 Q. And you don't deny that you never filed a biennial report?
- 13 | I think Mr. Stambaugh asked you about that earlier.
- 14 A. When Lyle Simpson took over as the legal counsel, he told me
- 15 | he would handle all of those things for BHI and IPLS, so I never
- 16 | had any indication from either Susan McKee or Lyle Simpson that
- 17 | that was my responsibility, and I had not really handled a
- 18 | nonprofit before and Lyle was handling BHI for me and he filed
- 19 the reports for BHI, so I had no reason to suspect that he would
- 20 not handle the reports for IPLS.
- 21 | Q. Well, did you give him this document when it came in?
- 22 | A. Lyle wasn't the legal counsel when this document came in.
- 23 | Jaki Samuelson was still the legal counsel at that time.
- 24 Q. I'm sorry, I misheard you. Who was that?
- 25 A. Jaki Samuelson.

- 1 | Q. Okay. Did you give it to Ms. Samuelson?
- 2 A. I gave it to Susan McKee.
- 3 Q. Okay.
- 4 | A. She took it. I don't know what she did with it.
- 5 Q. You later tried to reinstate the IPLS entity, is that
- 6 | correct?
- 7 A. No. I didn't even -- I mean, Lyle tried to; I didn't. I'm
- 8 not a lawyer. Unless a lawyer is there to explain these things
- 9 | to me, I have no knowledge, I don't know what to do.
- 10 Q. I'm going to show you Exhibit 39. Can you find that in the
- 11 | bigger notebook behind you?
- 12 A. 39?
- 13 Q. Or you can look right behind you actually.
- 14 A. Oh, okay.
- 15 Q. That's a document -- do you see that at the top --
- 16 application for reinstatement?
- 17 A. Yes.
- 18 | Q. And it says the name of the business entity, the date of
- 19 | administrative dissolution of the Iowa Primate Learning
- 20 | Sanctuary. Do you see that there?
- 21 A. Yes.
- 22 Q. And that's your signature at the bottom on November 6, 2013,
- 23 | correct?
- 24 A. I don't recall signing that document on November 6, 2013.
- 25 | That would have been right before I came -- or I guess as I came

- 1 back to take care of Teco.
- 2 Q. Right. You don't deny you signed this on that date?
- 3 A. If Lyle asked me to sign the document, I probably would have
- 4 done what Lyle asked because I really believed he was operating
- 5 | in my best interests until I had a source to conclude otherwise.
- 6 Q. Okay. Let's talk about Lyle. Lyle is not a board member of
- 7 IPLS, correct?
- 8 A. No, sir.
- 9 Q. Or BHI?
- 10 A. No, sir.
- 11 | Q. To your knowledge, of ACCI?
- 12 | A. No, sir.
- 13 | Q. Of any organization?
- 14 A. I've invited him to be a board member of those
- 15 organizations, but he's turned me down.
- 16 Q. Politely declined I assume?
- 17 A. Yes.
- 18 | Q. Now, I think you just said, if I understand you correctly,
- 19 at some point in time, you became concerned about the advice you
- 20 received; is that accurate?
- 21 | A. After I was removed from the building and told to leave town
- 22 | and that I would never see the bonobos again, I did become
- 23 | concerned; but Duane encouraged me not to cause a problem, to
- 24 | follow Lyle's advice, to not explain any of this to the BHI
- 25 | board and to work with Lyle, that somehow, some way if we worked

- 1 with Lyle we would work these things out. So I continued to 2 work with Lyle to try to do that until such point as Lyle told me to leave his office and contact a lawyer. And right before I 3 was told that, I finally concluded that what was happening was 4 simply wrong, and I turned to him and I said, Lyle, you have 5 6 tricked the Bonobo Hope science board, and I don't appreciate it. And Lyle asked me to leave his office and get a lawyer. 7 Okay. So you said you came to this conclusion and concern 8 when you were removed in November 2013, correct? A. Correct.
- 10
- 11 You kept talking to Lyle after that?
- 12 A. Yes, I did to try and resolve it. I thought Lyle didn't 13 understand the situation because George Caudill told me that I 14 was removed because I wrote an e-mail to Jared, and in that 15 e-mail I asked Jared if chimpanzees were coming soon to the 16 facility because Jared and I had discussed the chimpanzees from 17 Yerkes might come; but Jared had indicated that there were big 18 hurdles to those chimpanzees coming. At that time Jared didn't 19 tell me that the hurdle was me and I had no idea that the hurdle 20 was me. I thought six to seven chimpanzees were coming, and I 21 thought it would be some time before they arrived. I was told 22 that they were arriving very soon, there could be as many as 30 and that I needed --23
- 24 Q. I don't want to interrupt you, I apologize, but I think 25 we're getting a little off course.

```
1
              What I would like to understand is November of 2013
 2
    you say I'm distrustful of Lyle; is that what you're saying?
 3
              MR. STAMBAUGH: I'm going to object as argumentative
    and request that the court allow the witness to finish her
 4
 5
    answer.
 6
              THE COURT: Oh, I think it was time for another
7
    question, and your objection to the current question is -- I'll
 8
    receive it, subject to the objection.
 9
              Do you recall the question?
10
              Why don't we get a fresh start.
   BY MR. MILLER:
11
12
    Q. Okay. When you're removed, when you're asked to leave in
    2013, November of 2013, your testimony is you became concerned
13
14
    about Lyle's advice?
15
   A. Lyle was concerned that I had written an e-mail to my
16
    potential successor, and he threw me out because I wrote an
17
    e-mail to my potential successor. That's what I was told why I
18
    was being thrown out. I was concerned, and I went to discuss
19
    that with him.
20
    Q. Right. Several months later you went to discuss that with
21
   him?
   A. No, no. I went and discussed it with him immediately.
22
23
    Q.
       Okay. Did you fire him?
24
       Fire Lyle?
   Α.
```

25

Q.

Right.

- 1 A. No, I didn't fire Lyle. Duane's advice was to work with
- 2 Lyle, Lyle is our friend and to try and work with Lyle, and Lyle
- 3 | had been a friend and that the best thing to do was to try to
- 4 | talk with him.
- 5 Q. And I think you testified Lyle was Bonobo Hope's lawyer?
- 6 A. Lyle was the lawyer for Bonobo Hope.
- 7 Q. And did Bonobo Hope fire him?
- 8 A. I was given explicit instructions by George and Lyle not to
- 9 | tell anyone on Bonobo Hope what had happened. It was indicated
- 10 to me that Lyle and George were lawyers and I wasn't and I could
- 11 be put in jail, many bad things could happen to me. And Duane
- 12 | and I were both very frightened, and Lyle had been a longtime
- 13 | friend and we tried to work it out with him until such time as I
- 14 was told to get out of his office and get a lawyer.
- 15 | Q. By working it out, did that mean continuing to write e-mails
- 16 to Lyle for the next several months?
- 17 A. It meant talking with Lyle and explaining to him that I had
- 18 | not violated any particular rule or regulation that he or George
- 19 | had laid down because I wrote an e-mail to Jared, I should be
- 20 able to write an e-mail to Jared and to discuss whether
- 21 | chimpanzees were coming to the lab or not. I see absolutely
- 22 | nothing illegal or wrong with that. And I was told I could no
- 23 | longer communicate with Jared, with Bill, with George, with the
- 24 | board, with anyone or with anyone in the lab, no one on the
- 25 | staff, not my sister, not my niece, not my family, I had to sit

- 1 | there and be quiet and the best thing to do was to get out of
- 2 town.
- 3 | Q. Now, at that time you were -- actually you were out of town,
- 4 | right?
- 5 A. No. I'm in Des Moines. I've just been kicked out of the
- 6 | lab and Teco was ill and I'm very concerned about him, and I was
- 7 | writing a few members of the board, against Lyle's objection,
- 8 explaining to them that we had a little bit of a problem, but I
- 9 | thought I would resolve it with Lyle.
- 10 | Q. Okay. So you're writing e-mails even though you supposedly
- 11 | were told not to?
- 12 A. I didn't write e-mails and explain everything that was going
- 13 on, but I did indicate that we had some kind of issue as regards
- 14 Teco.
- 15 Q. If you have a problem, you never stopped from e-mailing
- 16 | somebody relating to one of these issues, correct?
- 17 A. I stopped sending e-mails in March when George took over and
- 18 | told me that any e-mail I sent to the Bonobo Hope board needed
- 19 to be reviewed by him. I thought that was wrong. I sent far,
- 20 | far fewer e-mails to the board from that point on until I was
- 21 asked to step out of Lyle's office. I was in a legal gray area.
- 22 I was working with two lawyers. They were in charge of the
- 23 | facility and I did not understand what was happening.
- 24 | Q. Now, you have these concerns apparently with Mr. Simpson.
- 25 You would have reviewed documents that he gave you and asked to

- 1 | sign before you signed them, correct?
- 2 A. Would you rephrase that?
- 3 Q. Sure. Notwithstanding your concerns with Mr. Simpson or
- 4 perhaps because of those concerns, if he gave you a document and
- 5 | said, I need you to review and sign this, you would have done
- 6 that? You would have reviewed that document before you would
- 7 have signed it, correct?
- 8 | A. I believe I arrived in Iowa to take care of Teco on the 5th
- 9 of November or the 4th of November, and I believe that I was
- 10 | thrown out on the 10th of November. And I did meet with Lyle,
- 11 | had dinner with Lyle. He took me to the Wakonda Country Club,
- 12 and at that point he told everyone at the country club the most
- 13 | wonderful things he could tell them about me, probably around
- 14 | November 6th or around that time. I had no idea Lyle would kick
- 15 | me out of the facility. He couldn't say good enough things
- 16 about me when he was buying my meal. He had been my longtime
- 17 | friend, and he had written to everyone about the research. I
- 18 | had no reason not to trust Lyle.
- 19 Q. But what I'm asking is, if you had a document that Lyle
- 20 asked you to review and sign, you would have reviewed it before
- 21 | you signed it, correct?
- 22 | A. I wouldn't have reviewed it with the idea that Lyle might be
- 23 asking me to do something that I should not do. I mean, if he
- 24 | tells me, we need this signed for IPLS, yes, I would have signed
- 25 | it for Lyle at that time. I wouldn't have reviewed it and said,

- 1 | what about this, what about this, are you going to stick with
- 2 | the settlement agreements, are you going to throw me out. I
- 3 | would have never even thought to do that.
- 4 Q. About that dinner at the Wakonda Club, you were actually
- 5 | back and had Thanksgiving dinner with them, correct?
- 6 A. No. Thanksgiving dinner was later. I was back to take care
- 7 of Teco, and Lyle asked me to take an evening off, and I got my
- 8 | sister to come in and take care of Teco, and I went to dinner
- 9 | with Lyle at the Wakonda Country Club.
- 10 Q. What I'm asking, if I understand your testimony, so
- 11 November 6th, or thereabouts, you have dinner with Lyle at
- 12 Wakonda, correct?
- 13 A. It could have been the 7th. I don't --
- 14 Q. Okay. And then you're asked to leave, and you leave on the
- 15 | 9th, is that correct?
- 16 A. I wasn't asked to leave by Lyle at the dinner. I was asked
- 17 to leave on the 9th or 10th by George.
- 18 | Q. Yeah, I appreciate that, Dr. Sue. I understand you
- 19 | weren't -- but on November 9th or 10th is when you were asked to
- 20 | leave and you leave, correct?
- 21 A. Correct.
- 22 MR. STAMBAUGH: Objection; argumentative.
- 23 THE COURT: Overruled.
- 24 BY MR. MILLER:
- 25 Q. And then, despite this betrayal and this deception that

- you've been talking about, you come back a couple of weeks later and you have Thanksgiving dinner with Lyle and George, correct?
- 3 MR. STAMBAUGH: Same objection.
- 4 A. George --
- 5 THE COURT: Overruled.
- 6 A. George wrote me and talked to me and said, Sue, this is
- 7 | going to be the last time you're ever going to see the bonobos
- 8 | in your life, and I feel that I need to do something in order to
- 9 allow you to say good-bye. If I allow you to come to the
- 10 | facility, will you leave, you know, at the appropriate time, and
- 11 do you understand that this is the last time you'll ever see the
- 12 bonobos? I didn't agree that it was the last time I would ever
- 13 see the bonobos, and I said, I don't agree to that; but yes, I
- 14 | will come to the facility and I will spend Thanksgiving dinner
- 15 | with the bonobos and thank you very much for giving me that
- 16 opportunity, George.
- 17 Q. Right. Again, that's a couple of weeks after this supposed
- 18 | event, the betrayal and all of that?
- 19 A. But I had been talking with George and Lyle every single day
- 20 | from the point I was out complaining about the problem at every
- 21 point they would allow me to discuss it.
- 22 Q. When you came in to deal with Teco there in November, you
- 23 | came in unannounced?
- 24 | A. No. That's not true. I wrote to people. I wrote to Julie
- 25 | Gilmore, and Heather knew I was coming and one other person knew

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- 1 | I was coming.
- 2 | Q. You came in and you had called meetings with staff and
- 3 | volunteers?
- 4 A. I did not.
- 5 Q. Okay.
- 6 A. At one point George Caudill asked to see me, and I asked,
- 7 | will any of the volunteers be able to take Teco or will Heather
- 8 or Liz be able to take Teco, and I asked the people to get
- 9 | together so that I could make sure that Teco's coverage was --
- 10 | somebody was there to take care of Teco while I went to see
- 11 George, and that was called as me getting the volunteers
- 12 | together and trying to organize them and gather them. That was
- 13 | not it at all. Because I was asked to meet George, I had to be
- 14 responsible and find somebody to take care of Teco, who was ill,
- 15 until I could come back.
- 16 And when George asked me to meet with him, which was
- 17 on a Saturday morning, he had me go to the Caribou coffee shop
- 18 and stay there the entire day waiting for him and then he still
- 19 didn't show up, and then he said he would meet with me the next
- 20 morning and I had to get somebody else to cover, and he didn't
- 21 | meet with me then either.
- 22 | Q. And, again, this is when you come back to take care of Teco?
- 23 A. Yes.
- 24 | Q. And by this point in time, you had gone back to New Jersey?
- 25 A. I'm not sure what point in time you're referencing.

- 1 Q. I apologize. Where were you there in -- where did you come
- 2 from to take care of Teco?
- 3 A. I came from New Jersey where I had gone to talk to Duane.
- 4 Duane and I had talked to Jared over the phone, and we had
- 5 | written the letters at Lyle's request and we were doing
- 6 everything we could to facilitate the transfer the way Lyle had
- 7 | laid it out, which was a three- to 4-year transition with Sue
- 8 | and Jared co-directing the center and unfettered access to the
- 9 bonobos thereafter.
- 10 Q. Okay. And after this scenario where you come in and take
- 11 | care of Teco, it was actually Dr. Gilmore talked to you and
- 12 | said, Sue, we need you to leave, correct?
- 13 A. No. Dr. -- Mr. Caudill wrote me the letter, the document
- 14 | that you showed up there.
- 15 | Q. And that was followed by a meeting with Dr. Gilmore where
- 16 | she asked, you need to go, and you said, I'm going to go?
- 17 A. There were many other e-mails from Caudill. I don't know if
- 18 | you've seen them or not. There were other e-mails from Lyle.
- 19 There were phone calls from Lyle. I kept implying that no one
- 20 had come forward to take care of Teco and, in fact, until Liz
- 21 was rested up, she really was not able to come forward and take
- 22 | care of Teco.
- 23 At one point George or someone sent Julie Gilmore in
- 24 | to ask me to leave. I was already -- I had already agreed to
- 25 | leave because I had been ordered out of the facility by the

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legal counsel and the chair of the board, and I was -- it was intimated that, you know, the authorities would come and remove me from the facility. I wasn't trying to grandstand.
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And Dr. Gilmore came, and I was preparing things, getting my stuff together so that I could leave. And my sister, Liz, went over and started to talk to Dr. Gilmore and asked about why I was being forced to leave, and Dr. Gilmore turned to my sister and said, Liz, this isn't about anything other than money. This isn't about Sue and Teco or their relationship. This is only about money.

And at that point Teco got very upset and threw himself on the floor and started having a temper tantrum and slapping himself all over, and my sister felt he went into a very traumatic event; but I left as I was ordered to do by Lyle, Steve Boers, Julie Gilmore, and George Caudill.

- 16 Q. Okay. So apparently Julie said something about it being the money; is that what you said?
- 18 A. That's what I was told.

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- 19 Q. Okay. And you, obviously, brought that up with Caudill and 20 Simpson when you came back for that Thanksgiving dinner?
- 21 A. They weren't there when I went to the Thanksgiving dinner.
- 22 | The Thanksgiving dinner was to be had with the bonobos in the
- 23 lab. It was a private family affair. People from my family
- 24 | could go, Duane could go, Heather could go, Liz could go. The
- 25 pan/homo people could go. No one outside the pan/home group was

- 1 allowed. It was the Thanksgiving dinner that we would have had
- 2 | with George. We had it with the bonobo family instead. And I
- 3 | had not left -- between the time I was kicked out on the 10th
- 4 | and the 15th, I had not left Iowa.
- 5 Q. Sitting here today, you have no direct evidence yourself of
- 6 ACCI's current operations, correct?
- 7 A. I have not been allowed in the facility.
- 8 | Q. Anything that you believe is occurring there, you've heard
- 9 from others?
- 10 A. I've seen videos.
- 11 Q. Okay. What videos have you seen?
- 12 A. I've seen videos on television and I saw a video taken by
- 13 | Sheila a couple of weeks ago at the bike event.
- 14 Q. Okay. And Sheila is your counsel?
- 15 A. Yes.
- 16 | Q. Okay. And what were the other videos, I'm sorry, you saw on
- 17 | television?
- 18 | A. I saw videos on television not long after Matata died that
- 19 were made by ACCI.
- 20 Q. Okay. You followed and watched documentaries of the like?
- 21 | A. Pardon?
- 22 Q. You've been watching documentaries or videos or something
- 23 | like that; is that -- I'm just trying to understand what you're
- 24 referring to.
- 25 A. I do watch documentaries, but it's not because I watch

- 1 documentaries or follow videos that I saw the video of Nyota on
- 2 television. It was a newscast.
- 3 Q. Okay. And that was after Matata died?
- 4 A. Right after Matata died, and I've seen some video that Liz
- 5 has taken.
- 6 Q. What video did Liz take?
- 7 A. I don't know how to answer that question.
- 8 Q. Why? Why can't you answer that?
- 9 | A. What do you mean "what video"? I don't understand the
- 10 | syntax of your question.
- 11 Q. Okay. You've watched a video that your sister, Liz, a
- 12 | volunteer at ACCI, took, correct?
- 13 A. Yes, I have.
- 14 Q. What was the video that you viewed?
- 15 A. There are several videos. I recall one video of Nyota that
- 16 | she took. I have one short video of Teco that she took. I
- 17 | don't think I've seen any videos with Kanzi.
- 18 Q. When did she take these videos?
- 19 A. I don't know.
- 20 Q. Did she tell anybody she was taking those videos?
- 21 | A. Probably not.
- $22 \mid Q$. Did she tell the bonobos she was taking the videos?
- 23 A. Oh, I'm sure she would.
- 24 Q. And what about this video that Sheila took, what was that
- 25 | video?

- 1 A. I don't understand when you say "what was that video." Do
- 2 | you want to know what's on the video?
- 3 Q. Yeah.
- 4 A. Is that what you're asking me?
- 5 Q. Yes. Please describe for me what video your counsel took by
- 6 coming to this bike event.
- 7 A. She was on a bike event, and she took a video of whatever
- 8 | she saw.
- 9 Q. And what does the video depict?
- 10 A. It depicts bonobos. It depicts outdoor cages. It depicts
- 11 | indoor cages. It depicts people walking past with masks and
- 12 gloves and pushing food carts.
- 13 Q. It depicts the facility as it currently is?
- 14 A. Yes, some parts of it. I mean, I can't say that it depicts
- 15 | the entirety of the facility as it entirely is. It exhibits
- 16 | what's on the video.
- 17 Q. You asked her to go to this event, which I take it was the
- 18 | Biking for Bonobos event about a week ago, is that right?
- 19 A. Yes.
- 20 Q. You asked her to go there and take video?
- 21 A. Yes.
- 22 Q. Why?
- 23 | A. Because I wanted to know if the bonobos were okay.
- 24 | Q. Now, members of Bonobo Hope have been at the facility and
- 25 seen the bonobos?

- 1 | A. They had a terrible time getting into the facility.
- 2 | Q. They've been at the facility and seen the bonobos within the
- 3 past couple of months, correct?
- 4 MR. STAMBAUGH: Objection; compound and misstates the
- 5 evidence.
- 6 THE COURT: I'll receive it, subject to the objection.
- 7 A. Members of the Bonobo Hope board have asked and asked and
- 8 asked and been turned down, turned down and turned down. Derek
- 9 | Wildman was able to spend -- the only BHI member that's been
- 10 allowed to go is the one that's on ACCI's board as well as the
- 11 BHI board, and he was allowed to go to the facility.
- 12 BY MR. MILLER:
- 13 Q. Okay. He's a Bonobo Hope member, he was there at the
- 14 | facility, he observed the bonobos, correct?
- 15 A. Yes.
- 16 Q. And that was within the past couple of months?
- 17 A. Yes.
- 18 | Q. Still you thought, boy, I've got to have my lawyer go out
- 19 and take video of these people?
- 20 MR. STAMBAUGH: Objection; argumentative,
- 21 | inappropriate.
- 22 THE COURT: That is argumentative.
- 23 | MR. MILLER: I withdraw the question.
- 24 BY MR. MILLER:
- 25 Q. You suggested bringing chimps into the facility as part of

- 1 | the operation of the Great Ape Trust in the past, correct?
- 2 A. Would you state the question again?
- 3 Q. Sure. You, yourself, Dr. Rumbaugh, suggested or supported
- 4 bringing chimps to the facility, correct?
- 5 A. Yes, I did, and so did the BHI board. We voted on that.
- 6 Q. And you have no objection to chimps coming at this time?
- 7 A. At that time I did not have the flood report.
- 8 Q. Now, because of this flood report that you've got, you say
- 9 no, no chimps?
- 10 A. I think unless there's a way to move apes really easily and
- 11 quickly because the water rises so fast, it's just not possible
- 12 to do because the access to the building can be shut off very,
- 13 very rapidly. I think you would need a tunnel going from that
- 14 low area over to the high area on the other side. You would
- 15 | have to buy some land on the other side, and if you could do
- 16 | that and they had a way to move quickly, I wouldn't object to
- 17 | it; but under the current circumstances, I think that their
- 18 lives would be in jeopardy at some point.
- 19 Q. I skipped over one thing, Dr. Sue, I want to go back over
- 20 | with you. In front of you in the small binder there's an
- 21 Exhibit 1005. Can you find that for me?
- 22 A. Yes.
- 23 | Q. Do you have that there in front of you?
- 24 A. Yes, I do.
- 25 | Q. Do you agree with me that that's an e-mail that you wrote

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   Mr. Caudill with a cc to several individuals, including
 2
    Dr. Taglialatela, Mr. Roffman, your sister, Dr. Wildman,
   Dr. Dubreuil, correct?
 3
       That's correct.
   Α.
 5
   Q.
       In fact, there are others listed there, correct?
 6
   Α.
       Yes.
7
       And that's an e-mail you wrote on October 26, 2013?
 8
   Α.
       Yes.
       Re: Iowa Primate Learning Sanctuary, correct?
   Q.
10
   A. Yes.
    Q. And this e-mail you're sending to the board members of IPLS
11
12
    and Bonobo Hope, correct?
13
   A. Yes.
14
              MR. MILLER: Offer Exhibit 1005, Your Honor.
                                   (Plaintiffs' Exhibit 1005 was
15
16
                                  offered in evidence.)
17
              MR. STAMBAUGH: Just renew our objections in the
18
   pretrial order; foundation and hearsay, Your Honor.
19
              THE COURT: The Exhibit 1005 is received, subject to
20
    the objection.
21
                                   (Plaintiffs' Exhibit 1005 was
22
                                  received in evidence.)
23
   BY MR. MILLER:
24
    Q. If you would bear with me one second. I have a lot of paper
25
    in front of me.
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130
1
   Α.
       Sure.
 2
              MR. MILLER: Your Honor, may we publish Exhibit 1005?
 3
              THE COURT: You may. But as I'm looking at it, it is
    an e-mail string, isn't it?
 4
 5
              MR. MILLER: An e-mail string, Your Honor.
   BY MR. MILLER:
 6
7
    Q. In fact, just to be clear, Dr. Sue, if you go through it, in
    the bottom right-hand corner of that document there's page
 8
   numbers.
10
              Do you see that?
   A. Yes.
11
12
    Q. If you look at page 4, that's a response from Mr. Roffman,
13
    Ph.D. student, correct?
14
   A. Yes.
15
    Q. "I fully support Sue letter."
16
   Α.
       Yes.
17
   Q. Do you see that?
18
              And from page 5 is from Dr. Dubreuil?
19
       Yes.
   Α.
20
    Q.
       "I want to express my very strong support."
21
              Do you see that?
22
   Α.
       Yes.
23
    Q. And then page 6, "I fully support the transition that Sue
24
    recommends."
25
              Do you see that there?
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- 1 A. Yes.
- 2 Q. Again, from Bonobo Hope board members, is that correct?
- 3 A. Yes.
- 4 Q. Okay. Let's go back to page 1. Why don't you read along
- 5 | with me because this first e-mail, the first line says, "I am
- 6 | writing to each of you to strongly support and to
- 7 | enthusiastically endorse Jared Taglialatela and Bill Hopkins."
- 8 Do you see that there?
- 9 A. Yes.
- 10 Q. And this is an e-mail that you wrote, correct?
- 11 A. Yes, yes, sir.
- 12 Q. "It is my hope that they will find a means of working with
- 13 the bonobos and of facilitating the transition from the current
- 14 operational status to one that is sustainable for the long term,
- 15 | along with the necessary structural transitions," correct?
- 16 A. Yes.
- 17 Q. All right. And you meant that when you wrote that e-mail,
- 18 | correct?
- 19 A. I had no idea that they would dissolve the BHI board,
- 20 appoint their own advisory board that has no voting power and
- 21 remove me from the lab.
- 22 Q. Okay.
- 23 | A. I would have never supported them under those circumstances.
- 24 | Q. Okay. You say further on there in the fourth paragraph,
- 25 | "They," Dr. Taglialatela and Dr. Hopkins, "can be of far more

- 1 | benefit to the center and can do far more than I can do in many
- 2 | fields," is that correct?
- 3 A. That's correct.
- 4 | Q. And you believed that then?
- 5 A. I have not changed that. They have expertise that I don't
- 6 | have, but I have expertise that they really need.
- 7 Q. Okay. Well, in fact, you go on to say in the next
- 8 | paragraph, "They," Taglialatela and Hopkins, "can do hands on
- 9 research, observational research, computer based tasks,
- 10 | neurological research, social and communicative comparisons,
- 11 etc."
- Do you see that there?
- 13 A. Yes.
- 14 Q. Okay. So you understood that's what they would be doing
- 15 | when they came in?
- 16 A. No. I mean, I assumed they would be doing hands on
- 17 | interaction with the bonobos, but they have declined to do that.
- 18 At least at the meeting in June, they declined to do it and to
- 19 do it without any assistance and without any continuation of the
- 20 pan/homo family is not a continuation of the research
- 21 trajectory.
- 22 | Q. Right. That's the research trajectory that you described in
- 23 | that paragraph that you drafted in the supplemental and
- 24 | settlement agreement that you discussed earlier, correct?
- 25 A. That's correct.

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1 Q. If you look at the second page of this exhibit, the fourth
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- 2 paragraph down, the last sentence, "It became increasingly clear
- 3 | that the best thing I could do to improve the chances for IPLS
- 4 | funding and to decrease the level of objections going to the
- 5 USDA about IPLS would be to absent myself from the lab," correct?
- 6 A. Fourth paragraph down?
- 7 Q. Right.
- 8 A. The fourth paragraph starts with, "I supervised both for a
- 9 | time" --
- 10 Q. No. I'm sorry, I may have lost you there. I'm looking at
- 11 | the second page of that exhibit, the last sentence of the fourth
- 12 paragraph.
- 13 A. Yes, okay.
- 14 | Q. And it did become increasingly clear to you that the best
- 15 | thing for you to do would be to absent yourself from the lab,
- 16 | correct?
- 17 A. Well, I had discussed with Jared absenting myself from the
- 18 | lab for about two months while they -- I simply would be there
- 19 | full time for two months and come in and sort of take charge,
- 20 and then I would give them the opportunity for the publicity and
- 21 | that I would work in the background and be helpful to them. I
- 22 knew Jared couldn't be there very much. He had told me that.
- 23 So we had had numerous discussions on the phone about
- 24 | what my assumptions were, and they were not at all what happened
- 25 once Jared and Bill were voted on the board.

- 1 | Q. Yes. Let's talk about that whole prospect of them and the
- 2 amount of time they would spend there. In this e-mail you say
- 3 | you fully acknowledge that the center is equipped with computer
- 4 connections and cameras that can enable them to monitor all
- 5 aspects of the operation and to communicate with anyone at any
- 6 time should they need to do so, correct?
- 7 A. Yes. If Jared wasn't going to be there, I would hope he
- 8 | would be able to monitor with cameras at all times, but that
- 9 doesn't mean that he can do something about Teco if he's ill.
- 10 | He can call me if I don't know and I can come in and help him.
- 11 If a bonobo has escaped, if we need to have the bonobos going
- 12 out on walks or doing things in the caves or making fire or
- 13 things that would continue the research trajectory, he could
- 14 | monitor those over the video, but that doesn't mean you can do
- 15 | them over the video.
- 16 Q. Well, that may be, but certainly you would have staff or
- 17 | volunteers that you could have to do that type of work, right?
- 18 A. No, that wasn't the case.
- 19 Q. Okay.
- 20 A. It takes people many years to learn how to do that, to
- 21 establish that long term kind of relationship that can go in and
- 22 do that, sometimes 4, five, six years.
- 23 | Q. Many years like Heather, correct?
- 24 A. Heather was born into the group.
- 25 Q. Right. And Heather is still working at the facility at this

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1 | time, correct?
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- 2 | A. At the time we wrote this letter, Heather was at the
- 3 | facility and Liz was at the facility and I was planning to be
- 4 returned to the facility.
- 5 Q. You were going to leave the operation in the hands of Jared
- 6 and Bill and you knew that Liz and Heather were at the facility?
- 7 A. That wasn't my intent, no.
- 8 MR. STAMBAUGH: Objection to this testimony and --
- 9 THE WITNESS: That was never my intent.
- 10 THE COURT: Just a moment. Now the record is all
- 11 | messed up. Ask your question again.
- 12 BY MR. MILLER:
- 13 Q. When you wrote this e-mail, you knew Heather and Liz
- 14 | continued to work at the facility, correct?
- 15 A. Yes, they did.
- 16 Q. And if you continue on that second page, at the very bottom
- 17 paragraph, you acknowledge -- and this is an e-mail again you're
- 18 | sending the Bonobo Hope board -- "While Jared and Bill are
- 19 familiar with language work, their focus is on investigating the
- 20 effects of language acquisition as well as comparing and
- 21 | contrasting bonobos and chimpanzees."
- Do you see that sentence?
- 23 | A. Which page?
- 24 Q. Bottom of the second page, Exhibit 1005.
- 25 A. Yes.

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- Q. You see that there?
- 2 A. Yes.

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- 3 Q. And, in fact, you say, "This is appropriate and the
- 4 direction that should now be taken"?
- 5 A. Yes, within the research trajectory, within the oversight of
- 6 | the Bonobo Hope board was to make sure that across time no drift
- 7 occurred. The Bonobo Hope board wasn't to be dissolved even if
- 8 I had dropped dead at that moment.
- 9 | Q. Okay. I mean, you even say in this e-mail to Bonobo Hope,
- 10 They, Taglialatela and Hopkins, Tmust be able to control all
- 11 | aspects of their program, for the lives of bonobos cannot be
- 12 | separated from the success of the research."
- Do you see that there or do you recall writing that?
- 14 | A. I definitely recall writing that, and I would like to
- 15 | explain why.
- 16 0. Sure.
- 17 A. Because I had lost control of the research program once it
- 18 came here to a board of business people. The money determined
- 19 what could be done. And I told Jared on the phone that you
- 20 | don't want to have happen to you with a business board what
- 21 | happened to me and that's why I've set the facility the way I
- 22 | have and that's why the science board is going to be the guiding
- 23 | hand in the future. Jared took that to mean, oh, well, we'll
- 24 just kick Sue out and the science board out, and we'll take over
- 25 | the business board and Jared and Bill will vote and we'll

- 1 determine the future. That wasn't my intent. I guess -- I
- 2 | mean, I didn't think he understood it that way, but I guess he
- 3 did.
- 4 Q. Okay. Let's talk about your intent, okay? Because I think
- 5 earlier you said you believed you were going to have unfettered
- 6 access going forward.
- 7 A. I was repeatedly reassured by Lyle and George that that was
- 8 going to be the case. Before I ever even spoke with Jared on
- 9 the phone, we had that assurance.
- 10 Q. Okay. Page 3 of Exhibit 1005, can you look at that for me,
- 11 | the top of that page?
- 12 | A. Page 3 of 1005?
- 13 Q. Yes.
- 14 A. Okay. Yes.
- 15 Q. Are you there, Dr. Sue?
- 16 A. Yes.
- 17 | Q. You write to the Bonobo Hope board and IPLS board, "It is my
- 18 | hope that the coming essential transition will proceed smoothly
- 19 and rapidly. I also hope that, as appropriate, and under
- 20 | Jared's direction, I will continue to do some research with the
- 21 bonobos" --
- Now "under Jared's direction," right, you wrote that
- 23 | there?
- 24 A. Yes, I did.
- 25 Q. -- "once the needed structural changes and funding are

- 1 | firmly in place."
- 2 Do you see that?
- 3 A. Yes, I do.
- 4 Q. Then you finish off there, "I will do nothing to disrupt
- 5 | this critical and necessary next step."
- 6 A. Yes, I do. I clearly didn't interpret the next step the way
- 7 | that Jared did, and I did not know about the issue of Yerkes
- 8 placing a constraint on my presence in the lab. I assumed that
- 9 I would be on the IPLS board and that this kind of thing could
- 10 | not happen because Lyle had assured me that it could not happen
- 11 under any stretch of the imagination.
- MR. MILLER: No further questions, Your Honor.
- 13 THE COURT: Redirect?
- 14 MR. STAMBAUGH: Thank you, Your Honor. Just a few
- 15 | questions.
- 16 REDIRECT EXAMINATION
- 17 BY MR. STAMBAUGH:
- 18 | Q. Dr. Savage-Rumbaugh, I want to talk about that same exhibit
- 19 | we were just looking at, Exhibit 1005.
- 20 A. Can I look at it again?
- 21 Q. Please. We'll also have it published.
- 22 A. Okay.
- 23 Q. Now, the last paragraph that we were just looking at, at the
- 24 | top of this page 3 in which you made very clear that you hoped
- 25 to do further research with the bonobos, is that correct?

- 1 A. That's correct.
- 2 Q. Have you been able to do any further research with the
- 3 | bonobos under Jared's direction?
- 4 A. No.
- 5 | Q. To your knowledge, has anybody at Bonobo Hope Initiative
- 6 been able to do further research under Jared's direction with
- 7 the bonobos?
- 8 A. No.
- 9 Q. Mr. Miller pointed out that there are some responses to your
- 10 | letter further on in this exhibit from an Itai Roffman?
- 11 A. Yes.
- 12 Q. And from Laurent Dubreuil?
- 13 A. Yes.
- 14 Q. To your knowledge, was Mr. Roffman aware that Yerkes had
- 15 | made a mandate of not having you at the lab before writing their
- 16 response?
- 17 A. No. No one on the BHI board was aware of that.
- 18 Q. Is that the same for Professor Dubreuil?
- 19 A. That's the same.
- 20 Q. And to your knowledge, were they aware that you had been
- 21 banned from the lab?
- 22 A. No, they were not.
- 23 | Q. Neither Mr. Roffman nor Professor Dubreuil?
- 24 | A. That's correct. I was told by Lyle Simpson that if I
- 25 proceeded with this vote and it went well that I could discuss

- 1 | this before the meeting had ended and let them know what the
- 2 | situation was. So I agreed to that, thinking that once we
- 3 | talked with the BHI board, perhaps we could talk about
- 4 rescinding the vote or putting some qualifications on it; but
- 5 | Lyle went against his word and he did not allow me to have that
- 6 discussion. He cut it off with e-mails to Carmen cutting that
- 7 discussion off.
- 8 Q. This paragraph that we were just looking at at the top of
- 9 page 3, you wrote this to Dr. Taglialatela, correct?
- 10 A. That's correct.
- 11 Q. That you had an expectation to do further research?
- 12 A. Yes.
- 13 Q. Was it your expectation that he would read your e-mail?
- 14 A. Yes.
- 15 \mid Q. All of the e-mail, including the expectation to do further
- 16 research?
- 17 A. Yes.
- 18 Q. Why did you have that expectation?
- 19 A. I was of the opinion that I was sort of handing over the
- 20 mantle of 40 years of research to a successor and Jared had
- 21 | brought on the second successor, Bill Hopkins, who was a student
- 22 of mine, and I had suggested to Jared that we also need to
- 23 | include very much Itai Roffman who has focused more than any of
- 24 | the others on the cultural relationships of the work and also
- 25 | Heidi because we do need other females in the work and I didn't

- want it to become exclusively male. I thought Jared had
 basically agreed to that, and Jared had worked with me closely
 and well as a student, and we had continued to publish together
 beyond that, even up to 2010, and Jared had said to me that he
 spoke about my research in his classes, he spoke about it very
- 6 positively. He was very positive about continuing the working
- 7 | relationship with me in every conversation I had with him. He
- 8 never indicated anything else and he never wrote me an e-mail
- 9 otherwise.
- And in the field of professional scientists, if you're
- 11 | handing the mantle over to another colleague and they don't like
- 12 your work and they don't intend to do it, they should at the
- 13 very least so inform you.
- 14 Q. Dr. Savage-Rumbaugh, do you know, is Liz working at the lab
- 15 | currently?
- 16 A. Liz has volunteer status. I don't know whether she's able
- 17 to be admitted to the lab currently or not.
- 18 | Q. Is Heather working at the lab currently?
- 19 A. I believe Heather has resigned.
- 20 Q. Do you know why?
- 21 A. No, I don't.
- 22 | Q. Do you know of any BHI member other than Derek Wildman who
- 23 | has been allowed access to the lab?
- 24 A. With Mr. Zifchak I was briefly allowed access to the lab,
- 25 and Sally Coxe was briefly allowed access in June of 2013, I

- 1 believe.
- 2 Q. Almost two years --
- 3 A. 2014.
- 4 Q. Almost a year ago?
- 5 A. Yes.
- 6 | Q. Has anyone other than Derek Wildman been allowed in the last
- 7 | year to visit the lab under Jared's direction, to your
- 8 knowledge?
- 9 A. Laurent was allowed to visit the lab maybe nine months ago.
- 10 | I don't know exactly how long ago.
- 11 Q. Dr. Savage-Rumbaugh, Mr. Miller asked you some questions
- 12 about the flooding and relocation efforts.
- 13 A. Yes.
- 14 Q. Do you recall that discussion?
- 15 A. Yes.
- 16 Q. At some point you were presented with a relocation plan, is
- 17 | that right?
- 18 A. Pardon?
- 19 Q. At some point there was a suggestion that the bonobos be
- 20 relocated, is that right?
- 21 A. Yes, yes.
- 22 Q. And you opposed that relocation, is that correct?
- 23 | A. You're talking about the relocation that Dr. Schweller
- 24 proposed?
- 25 Q. Correct.

- 1 A. Yes. I opposed that, yes.
- 2 Q. And at the time that you opposed it, was there a viable
- 3 | alternative option for the bonobos to be relocated consistent
- 4 | with your research trajectory?
- 5 A. No. They would have had to have been sent to a zoo in
- 6 either Alabama or Mississippi. It wasn't even an accredited
- 7 | ACCI zoo, and I would not have been allowed to be in with the
- 8 bonobos.
- 9 Q. Is there a viable alternative relocation plan for the
- 10 | bonobos today to be taken out of the floodplain?
- 11 A. Yes.
- 12 MR. MILLER: Objection. Your Honor, objection. This
- 13 exceeds the scope of the direct testimony. We're into new
- 14 issues.
- THE COURT: It probably does. If we were in a jury
- 16 | trial, I would probably sustain that objection, but I want to
- 17 | hear from everybody. So it's received, subject to the
- 18 | objection.
- MR. STAMBAUGH: Thank you, Your Honor.
- 20 THE COURT: Go ahead.
- 21 MR. MILLER: Your Honor, if I may then reserve the
- 22 | right to cross-examine Dr. Rumbaugh if we're going to get into a
- 23 | new issue?
- 24 THE COURT: Of course.
- MR. STAMBAUGH: And that would be my only question on

- 1 | that topic, Your Honor. I do want to follow up on the flooding.
- 2 BY MR. STAMBAUGH:
- 3 Q. Dr. Savage-Rumbaugh, at the time that you opposed the
- 4 relocation of the bonobos from Dr. Schweller, did you know about
- 5 | the inability to build the bypass to the facility?
- 6 A. There's an ability to build the bypass. Whether or not the
- 7 | bypass will solve the problem is the question.
- 8 Q. Did you know whether the bypass would solve the problem at
- 9 | that point?
- 10 | A. I had been informed that it would, that it would solve the
- 11 flooding problem.
- 12 Q. Has that understanding changed?
- 13 A. Yes, my understanding has changed.
- 14 Q. What is the understanding today?
- 15 | A. That the bypass does not solve the problem and that flooding
- 16 | is -- we're not even out of the 100-year floodplain, much less
- 17 out of the 500-year floodplain, and with climate change, it's
- 18 | highly unpredictable. Even within the 100-year floodplain,
- 19 | we've had three floods since we've moved here, three floods
- 20 | since 2008. So I think that the bonobos are in jeopardy at that
- 21 site.
- 22 | Q. After working with these animals for 40 years, do you feel
- 23 | that they are safe staying in that floodplain today?
- 24 A. They are not.
- MR. STAMBAUGH: No further questions at this time,

would show you that this building is susceptible to flooding in

24

25

the 100-year floodplain?

- 1 A. I would have to look on the map and ask that. I don't think
- 2 | my report would necessarily say that. I would have to ask the
- 3 engineers.
- 4 Q. Yeah.
- 5 A. The way the water is directed through Des Moines, it can be
- 6 | rather tricky, so I -- I don't think because of my report you
- 7 | could say that this building would also flood. I don't think
- 8 | that follows.
- 9 Q. Yeah. But when you say your report, let's be abundantly
- 10 | clear, this is a report that you had prepared in the past month
- 11 by some outside expert who is opining about the risk of flooding
- 12 | at the site and the facility?
- 13 A. Well, I think they did a lot of research. They've worked on
- 14 | it for quite some time and this is their job.
- 15 Q. No doubt that that's --
- 16 A. I mean, you could have another expert look and counter the
- 17 data, I don't know. I think that there is a real risk of
- 18 | flooding.
- 19 Q. It is not your report?
- 20 A. I didn't put the report together, no.
- 21 | Q. And on October 26, 2013 when you wrote this e-mail, there
- 22 | was no being banned from the facility, correct?
- 23 A. On October --
- 24 | Q. Right. Exhibit 1005 was written on October 26, 2013,
- 25 | correct?

- 1 | A. You're asking if I was banned from the facility?
- 2 Q. Right.
- 3 A. At this time?
- 4 Q. Right.
- 5 A. Jared had not been nominated yet.
- 6 Q. You just told Mr. Stambaugh that somehow some issue relating
- 7 to you being banned was not recorded in this e-mail that you
- 8 | wrote or was not of general knowledge; but you agree with me
- 9 | there's no banning as of this date, correct?
- 10 | A. There couldn't be because BHI was still in charge of the
- 11 | facility. I think that the banning happened when George Caudill
- 12 | decided that I was to be removed. I was -- I had letters
- 13 telling me I was welcome to come back, please come back anytime.
- 14 | The facility doesn't function without you. We need you, Sue.
- 15 | Sue, please, please come from Lyle and George and everybody. I
- 16 | had no idea that I would not be welcome in the facility if
- 17 | that's your question.
- 18 | Q. Right. Not until you came back and decided to reassert
- 19 | yourself?
- 20 A. I came back to take care of Teco. I wasn't trying to
- 21 reinsert myself. It was always assumed that I would come back
- 22 | and that I would continue in some capacity, and that's all I
- 23 | did.
- 24 | Q. Well, what you assumed, what you wrote was, I also hope that
- 25 | as appropriate and under Jared's direction I will continue to do

- 1 | some research with the bonobos, once the needed structural
- 2 changes and funding are firmly in place, correct?
- 3 A. That was if the board and everyone voted on his proposal.
- 4 At that time on October 26th, we hadn't done that yet. So
- 5 | coming back before any of that is voted, I had still been
- 6 invited back and asked to come back.
- 7 Q. Right. And they went ahead and voted for him, correct?
- 8 | Bonobo Hope did, right?
- 9 A. Yes, because I was not allowed to explain that I was not
- 10 | being allowed in the facility.
- 11 Q. And, again, this is simply because you say you were told
- 12 | that you couldn't e-mail anybody?
- 13 A. I was told that I could not e-mail the board and tell them
- 14 | this or I would never see the bonobos again. I was ordered to
- 15 | leave Des Moines. I was told that if I knew what was good for
- 16 | me, I needed to get out of Des Moines, and that if I didn't, I
- 17 | would end up in a situation like this and my reputation would be
- 18 trashed.
- 19 Q. And you were told that on November 9th?
- 20 | A. I was told that after November 9th.
- 21 | Q. Was that Thanksgiving dinner?
- 22 | A. George was not in the lab at Thanksgiving dinner. Only the
- 23 | bonobos and their human family were there.
- 24 MR. MILLER: No further questions, Your Honor.
- THE COURT: Anything further?

THE COURT: Why don't we -- you're going to have

somebody else testify about the report; yes?

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with hearsay.

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1 MR. STAMBAUGH: Your Honor, we may have --THE COURT: Do you have the person that prepared it 2 3 here to testify? MR. STAMBAUGH: I don't know if we'll have the person 4 5 that prepared it. We would submit it under the official records or public records exception of the hearsay rule; but also 6 consistent with Your Honor's advice, we would all ask that it be 7 received, subject to ACCI's objections at this time. 8 report that opposing counsel spoke about at length. 10 clearly relevant to the issues in this case, including whether or not the current facility is safe for the bonobos. 11 12 THE COURT: Well, I'll receive it, subject to the 13 objection. My only hesitation is as we go on, the examination 14 of this witness is becoming an hourglass rather than a funnel as 15 we go back and forth. So this is a detailed new subject area; but I'll receive it, subject to the objection. 16 17 (Defendants' Exhibit 91 was received in evidence.) 18 19 MR. STAMBAUGH: Thank you, Your Honor. 20 And with that, I have no further questions subject to 21 further questions or rebuttal. 22 THE COURT: Mr. Miller, any questions? 23 MR. MILLER: No, Your Honor. 24 THE COURT: All right. Thank you. 25 You may step down.

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                                          (Witness excused.)
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              MR. STAMBAUGH: May we call our next witness, Your
 3
    Honor?
              THE COURT: Yes. We'll be taking our afternoon recess
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    in about 20 minutes.
 6
              MR. STAMBAUGH: Thank you, Your Honor.
7
              The claimants call Dr. Laurent Dubreuil, and
8
   Mr. Zifchak will be doing the examination.
 9
              THE CLERK: Please raise your right hand.
10
              LAURENT DUBREUIL, DEFENDANTS' WITNESS, SWORN
11
              THE COURT: Please be seated right there.
12
              Mr. Zifchak.
13
              MR. ZIFCHAK: Thank you, Your Honor.
14
                           DIRECT EXAMINATION
15
   BY MR. ZIFCHAK:
16
    Q. Good afternoon, Professor Dubreuil.
17
              Would you please state your full name and business
18
    address for the record.
19
    A. My name is Laurent Dubreuil. My professional address is 314
20
    Morrill Hall, Cornell University, Ithaca, New York.
21
              THE COURT: Would you spell your name for us, please?
22
              THE WITNESS: Yes, I will do that, and I will do my
   best with my French accent. So my last name is D-U-B-R-E-U-I-L.
23
24
              THE COURT: Thank you.
25
   BY MR. ZIFCHAK:
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- 1 Q. Professor, may I call you Laurent?
- 2 A. You may.
- 3 Q. I have a suggestion. If you sit back a little from the
- 4 | mic --
- 5 A. Okay.
- 6 Q. -- I think we can pick up the accent just fine.
- 7 Is it correct that you are a professor, a tenured
- 8 | professor at Cornell University in New York State?
- 9 A. It is correct.
- 10 Q. And is it correct that you are currently a member of the
- 11 | board and the secretary of Bonobo Hope Initiative?
- 12 A. It is correct.
- 13 Q. You gave a deposition in this matter as the representative
- 14 of Bonobo Hope, correct?
- 15 A. Yes.
- 16 Q. Would you kindly summarize for the court your professional
- 17 | background.
- 18 | A. So I hold two doctorates from France where I studied, one is
- 19 | in literature and the other one is in philosophy, and at Cornell
- 20 | University I am currently a professor of romance studies, of
- 21 | comparative literature and of cognitive science.
- 22 Q. Have you provided your curriculum vitae for purposes of this
- 23 | hearing?
- 24 A. Yes.
- MR. ZIFCHAK: That is Exhibit 95 in the record, Your

- 1 | Honor. I would merely ask the witness to identify it.
- 2 BY MR. ZIFCHAK:
- 3 Q. Laurent, when did you last prepare a CV?
- $4\mid A$. This CV is pretty recent, so I guess in March or April.
- 5 Q. Exhibit 95.
- 6 A. Yes, I have it all the time. I assume the date of the
- 7 | revision is at the bottom, the last page of my vitae.
- 8 Q. Let's move on. We can find out.
- 9 A. Yes.
- 10 | Q. What courses did you teach this past semester that you deem
- 11 relevant to this hearing?
- 12 A. So this past semester I taught a graduate seminar, a
- 13 research seminar that was entitled "Poetry and Mind," and it was
- 14 | a graduate seminar presenting one of my current and new research
- 15 | projects, combining literary theory and primitive science,
- 16 | including experimental psychology, in order to get a better
- 17 appreciation of the mental experience of poetry as announced and
- 18 of poetry as the case for creation in general, intellectual
- 19 creation.
- 20 Q. What other responsibilities do you have in conjunction with
- 21 | your professorship at Cornell?
- 22 | A. So beyond the classes I teach, I am supervising
- 23 | undergraduate students, but mostly graduate students, for their
- 24 | Ph.D. dissertations, and I am the current editor of Diacritics,
- 25 Diacritics being one of the leading journals in the world in

- 1 | literary theory and what we call continental philosophy, which
- 2 | is my background --
- 3 Q. How long --
- 4 A. -- initially.
- 5 Q. I'm sorry. Go ahead.
- 6 A. My background initially.
- 7 Q. How long have you been the Editor in Chief?
- 8 A. Five years now, I believe.
- 9 | Q. Is it correct that you have been recently appointed to what
- 10 | is called the graduate field of cognitive science?
- 11 A. A few years ago, yes, I have been appointed to the graduate
- 12 | field, maybe 4 years ago.
- 13 Q. Have we submitted the letter of appointment as an exhibit in
- 14 | this matter?
- 15 A. Yes, you did.
- MR. ZIFCHAK: I believe that's Exhibit 10, Your Honor.
- 17 BY MR. ZIFCHAK:
- $18 \mid Q$. Who was it that appointed you to that position?
- 19 A. The director, Morton Christiansen, who's a colleague of
- 20 | mine, who's a professor of experimental psychology at Cornell,
- 21 | who is the co-director of the Cognitive Science Program in the
- 22 | graduate field.
- 23 | Q. What is the significance of your work in the graduate field
- 24 of cognitive science as it pertains to this hearing?
- 25 A. So when you are a member of a graduate field at Cornell,

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that means that you are entrusted by the field since your appointment is based on nomination first and election second by other members of the field, in this case election based on the 3 research proposal that you do. So once you have been appointed to that field, you have the ability to be the examiner for graduate students and that's also in the field of study, which means that you can, I can as a member of the graduate field in 7 cognitive science fail a student or admit a student at each of the different steps of his or her career in graduate studies. Q. Now, I stayed as far away as I could from science when I was in college. Can you explain for us what cognitive science is? So cognitive science is an interdisciplinary endeavor, 13 scholarly endeavor that mainly began in the 1960s. And the idea on the outset was to go beyond the paradigm of behavior that was 15 the paradigm ruling psychology at the time. The idea was that 16 by combining knowledge of experimental psychology, philosophy, linguistics as it had been recently redesigned especially, and 18 computer science, you could consider the mind, you could 19 certainly inquire about what is going on within a mind, and the 20 attached idea to that is that the mind could be the mind of a 21 human, of course, but also the mind of an animal, plus it could be robotic or electronic, digital computerized mind. 22 23 So that field of endeavor began in the 1960s, and in 24 the 1980s there were many changes in it; but let's say that it's 25 now one of the most rapidly growing interdisciplinary fields in

the sciences in the U.S. but beyond as well.

- Q. How is it that you came to know Dr. Savage-Rumbaugh?
- 3 A. So I came to know parts of her work when I was still a
- 4 graduate student, mainly because of my interest in language as a
- 5 | theoretician of literature and as a philosopher. And then in
- 6 2009, I got a major award given by the Mellon Foundation, the
- 7 | award being called a New Directions Fellowship. That's allowed
- 8 | me to be free from teaching and other responsibilities to study
- 9 | in more depth the intersections between the theory of language
- 10 and the theory of mind I had and the current shape and current
- 11 field of science.

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- 12 At that point on I immersed myself with a very
- 13 different kinds of research and objects, and since one of my
- 14 hypotheses was that language as we know it, human verbal
- 15 | language is not only a window or a means of expression but that
- 16 language is also changing the way you think, the way you invent,
- 17 | then, of course, I came to be extremely interested in any
- 18 | experiment that would revolve about providing language to young
- 19 human agents.
- 20 So quite clearly Sue Savage-Rumbaugh's work, body of
- 21 | work was quoted everywhere and is still quoted everywhere in all
- 22 of those discussions, and I became more familiar with it through
- 23 the review of some of the literature. And at that point since I
- 24 | was relieved of teaching duties, I visited several labs. I met
- 25 | with several scientists both in France and in the U.S., and

- 1 | that's when I got in touch with Sue Savage-Rumbaugh and asked
- 2 | her if she would work with me for a few days at the facility
- 3 | where she was working.
- 4 | Q. Approximately when was that, Laurent?
- 5 A. I guess I got in touch with Sue Savage-Rumbaugh in March or
- 6 April of 2010.
- 7 Q. Could you summarize your understanding of the research or
- 8 | what's been called the research trajectory of Dr. Savage-Rumbaugh
- 9 at that time?
- 10 A. So at that time my understanding was based on my study of
- 11 all of the books that she authored and co-authored, was based on
- 12 | the study of many articles, not all the articles because she
- 13 | co-authored hundreds of them, but many articles about the apes,
- 14 and I had been able to review most of the documentary movies and
- 15 | short clips that you could find on You Tube documenting the
- 16 abilities of the apes.
- I wasn't of the impression that especially when she
- 18 | published that monograph about Kanzi's linguistic competence and
- 19 afterwards, that she had clearly demonstrated that there was
- 20 command of language that was going on with at least Kanzi and
- 21 then the other apes, and I wanted both to meet with
- 22 | Dr. Savage-Rumbaugh in the same way I had met with people who
- 23 | are doing neuroscience, for instance, to discuss with her and
- 24 | benefit from discussions, in depth discussions about the topics
- 25 | we were sharing; but I was also much elated by the kind of --

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    there was a small lack of perception on my part when I was
    reviewing the evidence of about the linguistic abilities of the
 2
    apes, mainly because of the ways scientific research is being
 3
    communicated. So I wasn't completely clear about the place of
 4
    language for the apes. So to put it in spacial terms, I didn't
 5
 6
    know if for these bonobos language was out there (indicating)
7
    far away from them or inside of them or in between. So I wanted
    to have kind of a subjective experience, because I believe also
 8
 9
    in subjective experience. I'm a humanist as well as a
10
    scientist, so I believe in the subjective experience of being
    immersed within a conversation to determine myself what kind of
11
12
    space as I mentioned language would be, in what kind of space
13
    dimension language would appear for those bonobos in
14
    interactions with humans.
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        Did there come an occasion when you did just that?
16
    Α.
        Oh, yes, yes.
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        When was the first occasion?
18
        The first occasion was certainly during my first stay.
19
    my first stay was a week, a bit less than a week in September
20
           During this first stay I was not staying all of my
21
    daytime with the bonobos. It was half and half I would say.
22
    first, for instance, gave a lecture in an anthropology class
23
    that Bill Fields, who was in charge of the trust at that point,
24
    was teaching at Simpson College, and I was invited to social
25
    events as well; but when I began spending a bit more time beyond
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that arena, at that point I believe the first interaction I had was involving lexigrams or words and with just one ape in front of me and nobody around us, was when I was playing with Nyota, who was at that point of a very energetic nature, always jumping and wanting to play chase with Teco. So we were doing this, we were racing each other from outside of the glass, that we were separated by a barrier of glass, and at one point when we were together and looking at each other and Nyota had two pieces of paper, a paper keyboard, not the real computer with the computer on, and he signed to mask. So far when he wanted to have me playing with him, he was looking at me straight in the eye and then point to chase or sometimes to Teco, but names of games I would say. But my interaction at that point had been limited to names of games.

Then he was playing -- he was deciding to play a new game with me, so he was hiding his face and then covering, uncovering his face with a piece of cloth that he had with him and at the same time saying mask, which I understood to be an invitation on my end to do the same, so I did the same. So we were doing this kind of thing. That was the very first interaction maybe the third day of my stay in September of 2010.

Q. Can you give us examples of other interactions you had

- during that first visit and what preliminary conclusions, if any, you drew from your experience?
- 25 | A. So during my first visit Sue was also introducing to members

of the community Teco, who was very young, very young, sleeping all the time. And so from that arena, I participated in this introduction to some people of the local community, and for the first time I saw the bonobos using their keyboard with the computer on. I remember, for instance, that they were asking to go outdoors and that there was the discussion about the flood. So we were in 2010; flood being performed as big water because they don't have floods on their keyboard. So I remember that.

I remember as well that I spent a half day with Sue inside of the enclosure with Kanzi and Kanzi showing me some knowledge that he had of the words. So Sue was doing kind of language tasks showing either pictures or pictures of the lexigram and then he had to correlate those. He did something, kind of a construction game that I saw.

And then the very last day of my visit, half day because I was leaving on a Saturday afternoon, on Saturday morning I came back, and Sue at the end of the half day told the bonobos that I was about to leave. And they all turned their back to me and at least two of them began to urinate, which is usually a sign, anxiety sign to separation that you find also in the wild, after which point Sue said, maybe you should say hello in a nicer way -- good-bye in a nicer way, and they moved toward me and began screaming or squeaking or yelling, if you want, depending on the term that you want to pretend that you heard Panbanisha doing those sounds in the video clips.

- 1 | Q. Just so we're clear, during this first visit to the lab in
- 2 Des Moines, did you have direct contact with the bonobos? And
- 3 | if you want to find define contact yourself, please do.
- 4 A. In the sense of touching them?
- 5 Q. Yes.
- 6 A. No, no, and I didn't wish to be --
- 7 | Q. What was the form of barrier between you and the bonobos
- 8 other than glass?
- 9 A. Most of the time it was glass because I was not -- I was
- 10 allowed to go beyond certain limits rather late in my stay, and
- 11 | in the last two-and-a-half days, I believe, then it was the mesh
- 12 that you have. Of course, you cannot be close to the mesh. So
- 13 | you need to be as far away from the mesh as you can be. All of
- 14 | those things were being initiated with Liz mainly who was
- 15 | telling me what I should do. I mean, the tricky part is when
- 16 | Kanzi, for instance, which happened to me, is giving you
- 17 | something through the mesh, so you need to accept it, but you
- 18 | cannot take it because it wouldn't be safe. So I was being told
- 19 those things at that point.
- 20 Q. The second part of my question was, what preliminary
- 21 observations or conclusions did you draw from the visit as an
- 22 academic?
- 23 | A. So I need to make clear also that I was doing something that
- 24 | would be called kind of observational and anthropology kind of
- 25 | things you would do with humans. When you approach members of

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another culture and you are with them, you interact with them; but at the same time, of course, if you are a scholar, you are thinking about what they would do and what you do; but it would interrupt the flow of the information, if you were, taking your notebook out of your pocket and noting everything. So I was taking notes of everything I saw each night, so I have a relatively complete description on which I can rely of what happened to me on those days in my first visit and second visit. I wanted to have the subjective experience of meaning making or meaning sharing, I would say, and I got it. I came back with the idea that the apes had a very strong relationship to human verbal language in their own symbolic language, but also that, contrary to most of us, they were not so much using language to break the ice, I mean, when you don't know someone and you begin speaking with someone, the more they know you nonverbally and the more they speak to you, which is exactly what happened to me during the course of my first stay and the course of my second stay, and I remember having said to Savage-Rumbaugh at the end of my stay that to me the point was no longer do they have language, because I was intellectually convinced -- objectively convinced before with doubts. I was subjectively convinced that they had some language, certainly not the kind of command of the language that I have, no doubt. That's not the point. And I told Sue now the real problem is what they -- I mean the real thing is what they do with that language, how they think through

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    that language, are they describing their world with that kind of
 2
    language, are they expressing thoughts, emotions with that
 3
    language.
              THE COURT: Why don't we take our mid afternoon recess
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 5
    at this time.
 6
              MR. ZIFCHAK: Thank you, Your Honor.
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              THE COURT: We'll be in recess for 15 minutes.
              (Recess at 3:00 p.m., until 3:14 p.m.)
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              THE COURT: Please be seated.
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10
              Mr. Zifchak.
11
   BY MR. ZIFCHAK:
    Q. Laurent, before we describe your second visit to the lab, I
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    just wanted to ask you a couple of questions about your earlier
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    visit. Were you in the courtroom this morning during my opening
15
   statement?
16
   Α.
       I was, yes.
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       Did you see the short video we showed of one of the bonobos?
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       I did.
   Α.
19
       Who was that bonobo?
   Ο.
20
   Α.
       Oh, it was Panbanisha.
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        Did you have any interaction with Panbanisha during your
22
    September visit to the lab?
23
       2010?
   Α.
24
   Q. Yes, 2010.
25
   Α.
       Yes.
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Q. Just describe it.

A. So I had the collective interactions I described in the presentation to the people and then at the end the good-bye, but I spent a few hours with her watching video of her in the past, so she was not — it wasn't direct interaction with her, of course, but she was — the TV screen was on my side, so she was directing me and she was indicating the kind of video clips that she wanted to see. And so most of the videos that she preferred were pertaining to this mythical character that Sue Savage-Rumbaugh had invented that was called Bunny. Some of you may have seen that when Anderson Cooper visited the facility, Panbanisha asked him to dress like Bunny because there was a bunny suit. So I can testify that Panbanisha was really into her sweet memories about Bunny. And the time when she was raised at the beginning of her life with Panzee, sometimes called Panpanzee, who was a chimpanzee.

So that's what we did mainly. We also had tea together. One of the things that she liked to do was to not only share food but decide who will get what kind of food. So this is something that she would do from her side with the computer, and people may perhaps leave or perhaps another caretaker -- there were lots of caretakers in my first visit -- would give me the M&M that she had selected or the tea that she had selected for me. So we spent that time together.

Q. I gather that you had a second visit to the lab in

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1 Des Moines, correct?
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A. Yes.

- 3 | Q. What was the purpose of that visit?
 - A. At the end of my first visit, as I have said, I came back with the idea that my subjective curiosity was piqued and was satisfied to a large extent. From that point on, for several months I began working on the edition of the special volume for an interdisciplinary journal in France, in French as well, that is called "L'eloquence" that is somewhere in my vitae, page 4, item [iiv] in Roman numerals, "L'eloquence des singes," which means the eloquence of the apes, to provide an additional dissertation. So that was a special issue devoted to ape language research in general. We published one French version of an article by Sue Savage-Rumbaugh and William Fields, but there were other contributors as well. There was one article that I wrote mainly incorporating my observations of the first visit, and so that's a collective issue that I edited with a reflection on the long-term history of ape language research.

The actual practice of ape language research begins in the early 20th Century, the 1910s, mainly in the United States, by the way, not only, but late in 19th Century if you include other attempts. But the idea that apes would have the ability like deaf children to be taught some form of human language is to be found already formulated in some theoretical work in the 17th and the 18th Century especially, especially for the 18th

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Century since this is the moment when you have several new methods to teach sign language to deaf children who were repeatedly not able to speak or to understand language before they were being taught that way.

So that work on that special issue gave me a new depth about the historical time line that is much deeper than what most scientists would believe because usually when you study the sciences, you don't study the history of your own discipline.

So when I'm coming back in October 2011, I believe it was October, when I'm coming back in 2011, I'm coming back with this deeper knowledge not only of the ape language research in the last few decades but of the whole trajectory behind the research trajectory of Sue Savage-Rumbaugh herself, and I'm coming with the idea that now that I know them more, I will have more interactions possibly with them using language and that these other things that I mentioned before that I said I had told Savage-Rumbaugh that I thought that there was something beyond language now that should really be of immediate concern I thought that maybe this something more could be seen. to us. I mean, I was not coming with the idea of collecting data in the crude sense of the term. I was coming back with the idea of going deeper into the research work I had done before. Q. By the way, did you eventually publish in any form

- reflections on the second visit to the Des Moines lab?
- 25 A. So when I got the Mellon Award for my work in primitive

- 1 | science, the idea was that I would be able to publish a book at
- 2 | some point. We as scholars take time to publish books, but the
- 3 | book has been out that is based on that particular line of
- 4 research. My concerns about language and the mind are under the
- 5 | title of "The Intellective Space." The subtitle is "Thinking
- 6 | Beyond Cognition." That's my most recent book published in
- 7 | March of last year, and it's the item No. II in Roman capitals
- 8 on page 3 of my vitae.
- 9 In this book that is really a broad theoretical book
- 10 | in constant dialogue with the sciences and especially the
- 11 primitive sciences. In this book you will find some references
- 12 to Sue Savage-Rumbaugh's work as such and some references to
- 13 personal observations that were gathered during my two stays, my
- 14 two visits, and one was in 2010, the other 2011.
- 15 | Q. Looking back over your first two visits to the lab, did you
- 16 consider that the manner of the research was inherently
- 17 | dangerous to humans?
- 18 A. No. No, I did not.
- 19 Q. Did you consider it to be inherently inhumane to the animals
- 20 themselves?
- 21 A. No, certainly not. What is inhumane is that -- is depriving
- 22 | the apes from their own additional language that the research
- 23 | has given them.
- 24 Q. Why do you say that?
- 25 A. Because it's -- so it's not that through the use of the

keyboard certainly the apes could just translate what is going on inside of them. It's more than that. It's that by the addition of something else, that is, the language keyboard, the symbolic language in general, they are not only able to express what they think, but what they say is also changing how they think profoundly and more superficially. I could explain that more deeply, but I'm not sure you're here for a lecture.

Because of that, the apes who have been inserted into the strong cultural and symbolic world through a constant immersion, through that experience the apes are changing in their mind. They are evolving. That's how you could have through the injection of language certainly new symbolic competence appearing such as playing music or drawing or painting.

So if you take all of this back, since they cannot speak really, even though Kanzi certainly tries to utter words but very few people are able to understand them, the only way they can express themselves in progress I would say actually is through the constant use of keyboard and constant interactions because language, as I was saying at the beginning, language to them is maybe not completely -- it's not completely within them. Maybe it's a bit in front of them. So if you -- they need to be in situations where they will regularly commonly be led to use that language. And in the same way you have in the late 18th Century and early 19th Century in this country and other

countries reflections about how should you do with prisoners, maybe a penitentiary where there would be no language, where there would be very limited contact with people, where people would wear masks all the time to repent about what they had I mean, that was something that was being experimented in the sense in the U.S. You have several penitentiaries that are very important in the history of the U.S. What we know is that this isolation was not coming with a good progress for the prisoners. It was often leading people to forms of mental disabilities or madness.

For those very same reasons -- and I could digress on that; but for those very same reasons, the apes who have always been in a world where they could change, not only receive orders, not only receive commands, but could change. By the way of the changing, changing the world they are in, if they are being deprived of that, they would strongly be impacted both intellectually and emotionally.

- Q. As an academic, based on your studies of the ape language thus far, what is it that you would like to research further in the future with these bonobos or what would you like to see researched by scientists if it's not someone like you? And please be brief.
- A. Okay. I would certainly welcome more work on the verbalizations; that is, both the signals, the screams and signals that they use as bonobos, but also all the added and new

- 1 verbalizations that they have that seem to imitate or reproduce,
- 2 to some extent, words. I would be extremely interested because
- 3 | I believe that there is a link between language, mine, if we can
- 4 speak of language, mine, my language, and then some new use of
- 5 language and then creativity in general. I would be interested
- 6 | in seeing them be more associated with the arts, with the idea
- 7 of creation that could involve many different forms.
- 8 I would certainly welcome my philosophical side, which
- 9 I would be very interested in going a bit further in terms of
- 10 morality. They have good and bad, for instance, on their
- 11 keyboard, and some articles have been published on this, but I
- 12 | would like to see exactly what is going on there.
- 13 Q. Thank you.
- I would like to jump out of sequence.
- 15 | A. Okay.
- 16 Q. Because I think it would sharpen the contrast to it now.
- 17 Is it correct that you have visited the lab in
- 18 | Des Moines a third time; that is, after October of 2011?
- 19 A. It is correct.
- 20 Q. And when was that?
- 21 A. It was in August of last year. It was a brief visit of
- 22 | maybe 4 or five hours.
- 23 | Q. And what initiated the visit?
- 24 A. Members of the BHI, including me, would like to see the
- 25 | bonobos. When Julie Gilmore was in charge, I believe in 2013, I

asked her if I could visit either in June or August of 2013, and she said yes; but then that led me nowhere because I wanted to have a new stay -- I mean, following my own trajectory of interaction with those bonobos and deepening things. So I had tried to make -- to have that when Judy Gilmore was in charge, and that led me nowhere.

I tried again last year and this year actually with Jared, and with the intervention of lawyers, both Bill Miller and you, Bill Zifchak, I was allowed not to stay for a few days and to observe the bonobos, but I was allowed to stay a few hours in the facility in August.

So because of that, the purpose of the visit was more about checking how things were rather than going into any kind of deep interaction with the people there.

- Q. With respect to the bonobos, what did you observe during that visit?
- A. That was a very disturbing visit to me, to be honest. With respect to the bonobos, what I perceived was a tremendous and extremely sharp contrast between the behavior of Teco, Nyota and Kanzi, Panbanisha having passed away since the last time I had visited the lab, and what I had seen in both 2010 and 2011.

So Nyota who was this extremely energetic teenager, jumping and walking everywhere, hopping everywhere was extremely despondent, exhibiting all signs pertaining to depression and was also exhibiting what is usually called in primatology,

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exhibiting stereotypies. So stereotypies are --
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MR. MELHUS: Objection, Your Honor. I think we lack foundation for this particular witness to testify about sort of primatology-related issues.

THE COURT: I'm going to receive it, subject to the objection. I think he's giving his own observations of what he saw and the impressions that he had based solely on his observations.

Is that correct, Mr. Zifchak?

MR. ZIFCHAK: Correct, Your Honor.

THE COURT: And he may proceed to answer.

A. So I will rephrase that. Nyota was exhibiting behaviors with no meaning at all, such as head shaking repeatedly (indicating). I know that is not in the transcript, but it's something like this, when you shake your head for maybe 30 seconds in a row with no real reason to do that.

In the same way, Teco, who was also playful -- I mean, Teco is very young, so he is playful in many respects. When he was alone in his own enclosure from the regular area, I could see that he was licking and biting the mesh, two behaviors that I had never seen before.

The change -- the strong change for Nyota was really that he would have difficulties walking and that he would be very slow in his movements, which is absolutely, absolutely not the case before, and it's not the question of age because he

didn't move magically from the age of 15 to the age of 200. So it's something else.

Kanzi was -- I asked Kanzi in English if he remembered me, at which point he -- and I was showing him a few pictures, and he nodded with his head, but he was relatively aloof I would say. When Steve Boers, who was the Director of Operations -- I don't know exactly what his title was -- left the lobby, Kanzi checked his surroundings and seen that Steve Boers was no longer there, he was on the other area of the glass, he waved his hands at me.

11 BY MR. ZIFCHAK:

- 12 Q. Kanzi waved his hand?
- A. Yes, Kanzi waved his hand to me so I would be closer to him and so that I would be able to at least speak in English to him through the glass.

What I can say, which was certainly the most striking -- and observed also Maisha and Elykia, but I could not have -- I could not see Elykia in the eyes. I couldn't see her face. What I can say is that in none of the enclosures I saw, which is most of the enclosures, a keyboard was there. So there was no longer any kind of paper keyboard. The computer keyboard was off. Back in the time both in 2010 and 2011 all of the volunteers and the caretakers were wearing all of the time T-shirts with the lexigrams printed on the T-shirts which helps -- which means if you don't have the keyboard on, the

computer keyboard on, or if you don't carry with you a keyboard, then you still have the T-shirt and the apes are able to point at the lexigrams on your own body, I guess. Even though the T-shirts with the lexigrams were on sale in the lobby, none of the volunteers and the employees I saw were wearing those T-shirts.

So language was no longer there. The similar culture was no longer there in none of the enclosures I could see, except one where you had a paper keyboard with one panel missing, and that enclosure in the 4 hours that I was there was not used with the apes or by the apes.

I can also say that the volunteers had to go through kind of a routine with the apes in case they would be examined physically and that during this routine the volunteers and employees had apparently been instructed to show -- to ask the apes to show their body parts but not through the use of language but just by pointing at a shoulder or pointing at the arm.

And the last very sharp contrast was this very heavy silence within the facility. You have seen -- we have seen the videos, but it's even more than that for real. I mean, the apes are screaming all the time, they are speaking or responding all the time. I stayed for 4 hours, maybe almost five, but certainly 4 within the bonobo building, and there was just silence. The only moments when the bonobos expressed vocally

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anything was when I was at the gate leaving the facility, at the outdoor gate, and Steve Boers coming back in his car, and that's when I heard them very clearly screaming, which tells you something. I'm not sure everybody is familiar with the geography there, but the gate is relatively far away from the facility, and if you can hear them screaming from the gate, it's really that they scream very loud, which is one of the physical difficulties when you stay for long with them. But during my stay with them, that was pure silence. It is usually considered that bonobos are silent in the wild, according to observations that I have not done myself; that when bonobos are silent, it is because they are -- they believe they are under threat. That's why they don't vocalize so that they would not be attacked. I saw a sign of distress according to the literature. Q. Did you draw any conclusions during that 4- or five-hour visit as to whether any research effort was being conducted? A. My insight would be twofold. On the one hand, since this whole research is based on the immersion within symbolic culture and language, I can say that this side of the research was no longer in place. That I can say extremely clearly with no doubt because you cannot, you cannot say, okay, let's use language from 9:00 to 5:00 -- no; not from 9:00 to 5:00, but from 9:00 to 10:00 or 9:00 to 12:00, and then let's go back to something else. So the fact that for 4 hours in a row language was not used and could not be used in any way by the apes was a sign

- 1 | that at least half, if not more than half, of the very
- 2 | foundations of the research was being shut down. Then I have
- 3 been told that Kanzi and Nyota were foraging from time to time
- 4 and that -- I believe that was Jared's graduate student who put
- 5 | food into containers with the lexigram on the container, but I
- 6 saw the empty containers. I didn't see the experiment, and I'm
- 7 | not sure it's exactly the most relevant experiment I have. So
- 8 that's what I could say.
- 9 So in my view the main impetus of the research was
- 10 | already being at least diminished, possibly shut down.
- 11 Q. In August of 2014, were you a member of the BHI Board of
- 12 | Directors?
- 13 A. Yes, I was.
- 14 Q. And you have remained a board member until today, is that
- 15 | correct?
- 16 A. Yes, it is correct.
- 17 Q. Since August of 2014, have you made any other efforts to
- 18 | visit the bonobos in Des Moines?
- 19 A. Yes.
- 20 Q. Please describe them.
- 21 A. So I sent messages to Jared asking him to allow me to see
- 22 | the bonobos in the way that I had seen them in August; that is,
- 23 | just for kind of a checkup, and also I asked to be at the
- 24 | facility for a longer period of time. So I had those
- 25 | interactions back and forth, usually -- not recently, but I

- 1 would say until the last few weeks Jared was responding in a
- 2 | polite way, telling me that I could come and, of course, each
- 3 | time I was suggesting a date, the date didn't work. So I tried
- 4 | that several times. The most striking example of that is that
- 5 on March 30th we agreed on a visit that would have taken place
- 6 yesterday. So we agreed on that on March 30th. I had suggested
- 7 any date from May 18th to the beginning of the trial, and Jared
- 8 | said May 26th, but he informed me on Sunday or Monday, last
- 9 Sunday or last Monday that this visit would be cancelled.
- 10 Q. Laurent, who was it that invited you to join the board of at
- 11 | the time I believe it was called Bonobo Hope Great Ape Trust?
- 12 A. My understanding was that it was the Great Ape Trust, IPLS
- 13 | when I had been invited by Sue Savage-Rumbaugh.
- 14 Q. And when was that?
- 15 A. So that was in November 2012.
- 16 | Q. And do you recall any particular issues that the board was
- 17 | confronting at that point in time?
- 18 | A. That was a critical moment because of the recent death of
- 19 Panbanisha. And that's, by the way, how I wrote back to Sue
- 20 when I learned about the death of Panbanisha in the press. We
- 21 | had been in touch here and there but not constantly in touch.
- 22 | The pressing issue beyond that -- but that was kind of a strong
- 23 | issue. The pressing issue beyond that was relocation because I
- 24 understood that there was -- from Savage-Rumbaugh and then I
- 25 understood more completely with the records that there was a

- 178 1 discussion about relocating the apes to facilities where the research trajectory would not be maintained at all since we were 2 speaking about zoos. And as a kind of counter project, Sue 3 Savage-Rumbaugh was suggesting that some of the ways of moving 4 forward would be to expand on the artistic side of things for 5 6 the bonobos, playing music, maybe doing some narration or at 7 least subjecting them to that, doing more art. And since I had -- I was familiar with the bonobos, I was very familiar with 8 9 the history of ape language research and I was one of those rare 10 people who with the training both in the humanities and 11 especially in literature and in the community of science, Sue 12 Savage-Rumbaugh approached me as someone who would present these 13 kind of expanded interests, and I accepted precisely because of 14 that idea. 15 Q. Was Dr. Taglialatela a member of the board at that time as 16 well? 17 A. He was. As of November 2012, had you had occasion to read any of his 18 19 published works? A. Yes. At that point in time, yes, mainly in the moment after -- just after or before my first visit in 2010.
- 20 21 22 was a former graduate student of Sue Savage-Rumbaugh. that. I had seen the paper where he was a co-author on 23 24 language, speech, tools and writing, I believe it was an early, 25 early paper, certainly 2001. And I had read and I had copies of

- 1 | those in my hard drive, my computer. I had read his first --
- 2 | what I believe to be the first article that he published as a
- 3 | first author on verbalizations, of Kanzi's verbalizations, the
- 4 | way that you will have kind of semantic connection between
- 5 verbalizations and words.
- 6 Q. Based on whatever it is you had read to that point in time
- 7 of his published works, did you have any impression that his
- 8 approach to ape language research was in conflict with that of
- 9 Dr. Savage-Rumbaugh?
- 10 | A. No, certainly not. He was building on the foundation of the
- 11 | work because those organizations would never have appeared
- 12 | without the method of Savage-Rumbaugh, and then those
- 13 organizations were present as one of the next frontiers that
- 14 | when we had established that some language through the keyboard
- 15 | could be used across humans and apes, then maybe Kanzi would
- 16 | begin trying to speak. So that was really the kind of next
- 17 | step. That's how I saw it at that point.
- 18 | Q. When academics publish papers, they sometimes publish works
- 19 | with co-authors, correct?
- 20 A. Yes, especially in the sciences.
- $21 \mid Q$. And what is the protocol as far as the order of the names?
- 22 A. So when you are the co-author, that means that you agree
- 23 | with what is in the article, and that doesn't depend on if
- 24 you're the first author or second author or third author. If
- 25 | you disagree with the article, then you're not an author.

There's no way you could be in disagreement with the content of an article and be a co-author.

Then the order of priority is usually -- you have different situations, but usually the first author will do most of the work in an article. Sometimes in the sciences you have notes of who wrote what. When you don't have that, it is usually assumed that the first author is the main author. In the case of Jared Taglialatela co-signing with Duane Rumbaugh and Sue Savage-Rumbaugh who are -- I mean, Savage-Rumbaugh is the committee chair, it is understood that this research is being done because the graduate student had access to the lab and to the experiment that the advisor had designed, but that most of the article, if not all of the article, is being written by the first author. We don't have the same practice in the humanities.

- 16 Q. All right. Well, we'll accept that.
- 17 A. Yes.

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- 18 Q. Going back to November 2012, did there come a point in time
- 19 when the, I'll refer to it as the Great Ape Trust board voted on
- 20 a resolution concerning the division of the board into two
- 21 | boards?
- 22 A. Could you tell me the date again?
- 23 Q. December 2012.
- 24 | A. Yes. In December 2012 -- I need to say -- I'm answering
- 25 | your question, but I need to say that one of the very first

communications I got when I was appointed to the board, I was appointed to the board in the midst of a meeting in November of 2012. One of the first communications was coming from Lyle Simpson explaining many things, but explaining the legal issues with the settlement agreement and documents of that kind, I was not aware of that honestly before signing, and his strong desire to create two boards.

So since the very beginning, I believe he sent first message maybe November 26th or 27th, you had this person who was presented to me as the counsel of the board who was arguing in favor of splitting the current board into two boards, and then what he was saying as well, which was -- it's a complicated matter, but that Bonobo Hope existed independently at one point and then had been merged and the board of Bonobo Hope had been merged with the board of Great Ape Trust or IPLS, and he was suggesting that we could in the operation consisting in splitting the current board into two, a science board on one hand and a business board on the other hand, we could say that the business board was the IPLS board and the Bonobo Hope board would absorb the scientists, who were the majority of the people, if not all of the people, currently at that point on the Great Ape Trust, IPLS board.

- Q. Was that board meeting conducted on the telephone or by e-mail or by a combination of the two?
- 25 A. By at least dozens, maybe more, maybe 100, 200 e-mails.

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    Q. And thereafter as you served on the board, was that
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    typically the way the board would conduct its meetings?
    A. Yes. We -- yes. In the last year, I mean approximately,
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    the Bonobo Hope board decided to still retain this way of
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    communicating over e-mail while also having -- taking advantage
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    of Webinar or Skype facilities so that we would be live at the
7
    same time for a period of time. But for the -- that was the
 8
    common practice for IPLS, yes.
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              MR. ZIFCHAK: Your Honor, I just want to confer with
    Mr. Stambaugh for a split second.
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              THE COURT: All right.
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              (Pause.)
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              MR. ZIFCHAK: Your Honor, I would like to put on the
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    screen page 7 of Exhibit 27, which I'm advised is --
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              MR. STAMBAUGH: It's already admitted.
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              MR. ZIFCHAK: -- admitted into evidence.
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              MR. LANGEL: Exhibit 23, Bill.
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              MR. ZIFCHAK: Oh, it's 23? No. That's the one.
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              MR. LANGEL: All right. What's published is page 7 of
20
    Exhibit 23.
21
              MR. ZIFCHAK: Okay. All right.
   BY MR. ZIFCHAK:
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    Q. Laurent --
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   Α.
       Yes.
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       -- I'm showing you what --
    Q.
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              MR. MELHUS: Your Honor, before we get into this
    exhibit, I just wanted to renew our objections based on the
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   pretrial order to hearsay on Exhibit 23.
              THE COURT: I thought somebody just indicated it's a
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    category A exhibit. It's not?
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              MR. STAMBAUGH: That's our mistake, Your Honor.
                                                                This
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    is Exhibit 23, which has not yet been admitted.
                                   (Defendants' Exhibit 23 was
 8
                                  offered in evidence.)
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              THE COURT: Well, I'll receive it, subject to the
    objection, hearsay objection. It's a little hard to tell
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    whether or not it's being offered for the truth of the matter
13
    asserted or something that was simply to record something that
14
    was said or communicated --
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              MR. ZIFCHAK: It's a recording of a vote on a
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    resolution.
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              THE COURT: Well, depending upon what the recording
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    is, it could be hearsay, it might not be hearsay; but I'll
19
    receive it, subject to your objection.
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              MR. ZIFCHAK: Thank you, Your Honor.
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                              Thank you, Your Honor.
              MR. STAMBAUGH:
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                                   (Defendants' Exhibit 23 was
23
                                  received in evidence.)
24
   BY MR. ZIFCHAK:
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       Laurent, have you seen that document before? And you can --
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- 1 | we can show you that. If you would scroll.
- 2 A. I'm not completely sure I saw this in December, I'm not
- 3 | completely sure, but we received messages by Lyle Simpson before
- 4 December explaining the content of those -- of the memorandum,
- 5 and then I saw the resolutions when we voted upon them later on.
- 6 Q. When did you vote on the resolutions?
- 7 A. I believe we voted in April of 2013, yes.
- 8 Q. Do you recall a vote --
- 9 A. Maybe May. I know that the resolutions had been introduced
- 10 | in late April, so I don't know when the vote took place exactly.
- 11 Q. Do you recall a vote on any resolution on the date of
- 12 | Exhibit 27 (sic), page 7?
- 13 A. I don't -- I might be wrong. I don't recall a formal vote
- 14 on the immediate transcription of this into action I would say.
- 15 Q. Okay. Fair enough.
- But it is your recollection that at a point in time
- 17 | the Great Ape Trust board voted to split in two?
- 18 A. Oh, yes, yes. And it is my recollection that we discussed
- 19 | that resolution. I don't really recall if we voted upon that at
- 20 | that point, but --
- 21 Q. Okay. Fair enough.
- 22 Did Lyle Simpson circulate anything else to the board
- 23 at the time of the first meeting that you participated in?
- 24 A. I know from the records that there was memorandum that he
- 25 drafted.

Q. Right. Anything else?

- 2 | A. We had -- at the same time, I mean, in this late November
- 3 | message when he was explaining the need for the split of the two
- 4 | boards, he also sent as attachments, but that was different, the
- 5 draft for the settlement agreements, the two -- the drafts for
- 6 | the two side agreements if I'm --
- 7 | Q. Okay. What is your understanding of what the settlements
- 8 | that were executed in this matter, the two settlements were
- 9 | intended to accomplish?
- 10 A. So the idea was to, as far as I can tell, was to determine
- 11 ownership and all the -- ownership of the bonobos and all that
- 12 comes with ownership. And in this description of ownership, you
- 13 have several items, and the point was to make clear that Sue
- 14 | Savage-Rumbaugh was relinquishing her rights and that those
- 15 | rights were being transferred to the science board, to what was
- 16 to become the science board; that is, Bonobo Hope. The Bonobo
- 17 | Hope board already existed. The research trajectory was playing
- 18 | a huge role in the definition of what was being owned since we
- 19 can only own apes, and so that was also put into the draft that
- 20 | we saw in late November of 2012.
- 21 | Q. Did there come a point in time in the spring of 2013 when it
- 22 was brought to your attention that the Great Ape Trust was
- 23 | seeking someone to succeed Dr. Savage-Rumbaugh?
- 24 A. Yes. We all received a message, possibly early June or late
- 25 | May of that year, from Lyle Simpson, again, the counsel of the

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   two boards since at that point in time in May - June, we had the
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   split -- I mean, the split was complete between the two boards.
   So in that message Lyle Simpson was mentioning that the trust
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   had met and had decided to grant to Sue Savage-Rumbaugh the
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   title of Director Emeritus of Science and that she could still
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   do research as she wishes, I believe that's the exact term, as
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   long as she would be able to do it, I believe was almost the
   exact term.
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In that very same message explaining that Sue

Savage-Rumbaugh will be here at the trust, even though he could

have said -- I mean, Lyle could have said since he wrote the

trust at that point, that the trust had acknowledged her

tremendous role in the facility and wanted to grant her

permanent access. At the same time, there was also the mention

that Sue so far had been a one-person band -- that's another

quote, one-person band -- and that certainly we needed more, we

needed people to step forward and continue the research, with

her being granted those permanent rights to access and to do

research, but someone else, preferably younger. There was a

discussion about those criteria. The goal was for finding a

Director of Science.

- Q. Were you approached about becoming the Director of Science at the Great Ape Trust?
- 24 A. Yes, I was.

25 Q. Who approached you?

- A. Bill Greaves and Jim Benson invited me to --
- 2 Q. Thanks.

- 3 Would you identify them for the court, please?
- 4 A. So Jim Benson and William Greaves or Bill Greaves were two
- 5 | members of the Bonobo Hope board, and they were members of the
- 6 | board I joined and they -- one passed away very recently. They
- 7 | have done tremendous work with their undergraduate students at
- 8 their home institutions, at their college, tremendous work of
- 9 | indexing in depth the whole content of how -- I mean, hundreds
- 10 of hours of videotaping of interactions between humans and
- 11 bonobos and between bonobos.
- 12 And I had the ability to visit them in nearby Toronto
- 13 | in April of that same year, and we had a very good conversation
- 14 | there. I met with the students. I saw the research they had
- 15 done. We were in touch before. I was in touch with them even
- 16 before I came in 2010 to the lab or maybe the same week, but we
- 17 | had not seen each other. And so we had a chance, I knew some of
- 18 | their work, I knew that they had co-written articles with Jared,
- 19 for instance and Sue, and so I knew some of their work on
- 20 | language and in a linguistic framework that I'm not going to
- 21 explain. And they told me, maybe you should say that you would
- 22 be okay with being the Director of Science.
- 23 | Q. Was that concept discussed with Lyle Simpson or Julie
- 24 | Gilmore?
- 25 A. Yes. I sent a message to both Lyle Simpson and Julie

Gilmore -- I'm sure it was sent to Lyle Simpson; I'm almost sure it was sent to Julie Gilmore as well -- telling them that I had been approached by others, and I said -- we were in June, so I was not teaching until August. So I said, if you need someone who would do interim work for the summer who could certainly lend some credentials to the facility, help with getting grants, I would be glad to intervene to do that; but the problem is I cannot take the responsibility of becoming the Director of Science because I have a full-time job and I cannot see how you could be the Director of Science if I were just doing my regular job, which is heavy job, plus this. I could not see myself going a few days a month to the facility. I could not imagine that. So I said maybe another title if you want my credentials, if you want me and the imprint of Cornell, maybe another title would be more appropriate such as Director of Research. And Lyle wrote back to me that what he needed was a firm offer and that they should pursue that. That's where we were, and then in that very same summer, the discussion shifted to questions of money, so the discussion of about finding a new Director of Science was in a sense suspended by the emergency situation about the money. Q. Did you receive later that fall, in about October of 2013, an e-mail from Dr. Savage-Rumbaugh nominating Jared Taglialatela

and Bill Hopkins to positions at the Great Ape Trust?

25 A. Yes, yes.

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Q. And what was your reaction?

A. When we got the first message in June, I believe one of the implications was that one of the members of the Bonobo Hope board would volunteer. At that point Itai would have been a very strong candidate, Itai Roffman, whose name I mentioned in my letter to Lyle Simpson; but he had to complete his Ph.D. first, so he was not the ideal candidate for now or for that time. Jared Taglialatela had been discussed as well I know, and there were discussions about other people from outside of the Bonobo Hope board, but that didn't lead us very far because we had been in some sense a bit sidetracked by the summer discussion about keeping the lights on. Lots of messages were being exchanged on that topic.

When in October we got the message that Jared was interested and then that Jared would come with William Hopkins, I thought that finally we had identified people who would be able to really do the work as I thought they would do it; that is, by being there more than a few days a month, by really conducting new research projects. But I need to remember that when we were being asked in June what kind of Director of Research we could contemplate, we were also being asked at the same time -- we are also being told at the same time that Sue Savage-Rumbaugh had this new title of Director Emeritus of Science with the ability to do research as she wishes and so long as she wanted to.

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              That was precisely when we discussed -- in the summer
    we discussed the possibility of having Jill "Prutz" or
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    "Prootz" -- I'm sorry about the pronunciation -- P-R-E-U-T-Z, as
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    the possible Director of Science because she works nearby. Ken
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    Schweller nominated her. It was clear that had she resigned
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    from the former board, so that wasn't obviously the main point
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    and she was not exactly in line with the idea of having Sue
    Savage-Rumbaugh as -- I mean, she's more advanced than Jared is,
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    for instance, so having a new mentor at the stage of her life
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    was more difficult.
    Q. Did there come a point in time when the Bonobo Hope board
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    voted on a resolution to appoint Jared and Bill Hopkins to the
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    positions of Director of Research and Director of Science,
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    respectively?
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    A. Yes. We didn't go into that, but there is also the guestion
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    of determining if we were actually the Bonobo Hope board, but I
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    would say that the people who thought they were the Bonobo Hope
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   board --
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    Q. I stand corrected.
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    A. -- voted at that point, and I thought I was a member of the
    Bonobo Hope board at that time, and they voted and supported the
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    nomination of Hopkins and Jared Taglialatela.
    Q. Was there any either telephonic or e-mail or -- well, was
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    there any exchange of ideas or comments about those resolutions,
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    whether in person, by e-mail or on the telephone that you
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- 1 recall?
- 2 A. At that point in time, no, not that I recall.
- 3 | Q. And did you vote on the resolution?
- 4 A. I did.
- 5 | Q. At that time were you aware of the IPLS resolution in May of
- 6 2013 that granted Dr. Savage-Rumbaugh unfettered permanent
- 7 access to the bonobos?
- 8 A. I had the quotes in Lyle Simpson's message from June saying
- 9 | something relatively similar, but not speaking -- not giving us
- 10 | the wording of that resolution.
- 11 | Q. All right. And at the time of the vote on the resolution,
- 12 | which was November 13, 2013, is that correct?
- 13 A. I believe it was, yes.
- 14 Q. Were you aware that Dr. Savage-Rumbaugh had been banned from
- 15 | further access to the laboratory?
- 16 A. Oh, no, certainly not. I was operating within the previous
- 17 | parameters telling me that she would have access as long as she
- 18 | wishes.
- 19 Q. And at the time that you voted on the resolution, did you
- 20 | have any inkling that Yerkes, which I believe has been
- 21 | previously identified today, had any hand in the banning of Sue
- 22 from the lab?
- 23 A. Absolutely not.
- 24 | Q. And at the time that you voted, Laurent, did you have any
- 25 | inkling that Dr. Savage-Rumbaugh had purportedly been dismissed

- 1 as a director on the board of IPLS?
- 2 A. No, not at all.
- 3 Q. When did you learn these things?
- 4 A. I would say I learned some of those things, I mean, the
- 5 | banishment in December of 2013 and generally 2014. For the
- 6 actual resolution put forward by the trust granting her limited
- 7 access -- or unfettered access to Sue Savage-Rumbaugh, I believe
- 8 | I saw the document very recently, certainly yesterday when we
- 9 | were preparing together, and for the mention of Yerkes, that
- 10 became completely clear to me in the last few months.
- 11 | Q. Do you have any evidence that any of the other purported
- 12 | Bonobo Hope members who voted on the resolution were aware of
- 13 any of these things when they voted?
- 14 A. I guess that -- I guess none was aware. Maybe there was an
- 15 | exception for Jared. Maybe he was aware of that, but certainly
- 16 | we were not.
- 17 Q. As of the time of the vote on the resolution appointing
- 18 | Jared and Bill Hopkins, had anyone disclosed to you that IPLS
- 19 | had been dissolved by the State of Iowa?
- 20 A. Oh, no, no, no. We didn't know that.
- 21 | Q. And, lastly, at the time that you voted, did you have any
- 22 | reason to doubt that either Jared or Bill Hopkins did not
- 23 | support Sue's research trajectory?
- 24 A. No, I had no reason to -- I had absolutely no reason,
- 25 | because when you -- in the arena of science or getting into

1 research in general, if you suddenly believe that what you were 2 stating or explaining before is not true, you have -- it is your 3 duty to retract or your duty to critique the work. I had -- I was aware of no critique, no such critique of Savage-Rumbaugh's 4 work that would have been done by Taglialatela or Hopkins. I 5 6 was not aware of any e-mail communication that would be critical 7 about that, and so I had absolutely no reason to believe that there would be a problem there or that there would be any kind 8 9 of critical comment about Sue Savage-Rumbaugh's research 10 trajectory, especially based on my knowledge at the time of the work of vocalization, since Bill Hopkins did his dissertation, 11 12 his Ph.D. on vocalization before getting tenure as well, not 13 only on this, but -- so, I mean, the common point that they had -- and I was not extremely familiar with Hopkins' work when 14 15 I voted I have to say. The common point that they had was their work with Savage-Rumbaugh, their work with Kanzi and their work 16 17 on verbalization, which could be seen as one of the next steps. 18 After the November 13, 2013 vote, when was the first time 19 that Bonobo Hope was given any substantive information regarding 20 the goings on at the Great Ape Trust? 21 Depends on what you call substantive information. 22 say that I never received any substantive information, but we received some amount of substantive information in March of 23 24 2014. I believe it's March. In the spring, that's for sure. 25 Q. Could you summarize what that communication was?

A. That was kind of intent about the research that was very vague. I had a phone conversation before that with Jared who spoke to me over the phone for maybe -- I believe it was in February of that same year, 2014, who explained to me several things that we could discuss if need be, and he was basically saying that he was doing his best.

So in this message that we received in March, we had some vague notions about research being done, but just vague. Certainly no description that you could assess in any way, and information about the fact that the bonobos were in good health, which was only true when I saw them if we just take the physical aspect of the notice, but we need to remember that Matata passed away in between. So we had a few information at that time about the new scientific advisory board that they had constituted, which was also a surprise to some of us, most of us, that there would be a new science board the first thing. But the second thing was that the new science board would just have an advisory role, which means a non-role at least from our academic criteria or viewpoint.

- Q. Did Bonobo Hope respond to the communication from Jared that you just described in March of 2014?
- A. Yes. I mean, we were glad to finally have some
 communication on a one-on-one basis, but we wanted to have more
 information about the research being conducted there.
- 25 Q. Did you have a hand in drafting a response?

- 1 A. Yes.
- 2 Q. And was that response ultimately sent to Dr. Taglialatela?
- 3 | A. Ah --
- 4 Q. If you recall?
- 5 | A. We may have sent it. I hope we sent it. We certainly
- 6 | conveyed through multiple messages, multiple messages we
- 7 | conveyed our opinion in an individual way. After Jared sends
- 8 | the message, we had a kind of heated discussion over e-mail
- 9 about many subjects, but not a discussion over the content of
- 10 | science, for instance.
- 11 MR. ZIFCHAK: Your Honor, I would like to display one
- 12 of ACCI's exhibits, Exhibit 6 (sic).
- 13 THE COURT: All right.
- 14 BY MR. ZIFCHAK:
- 15 Q. Laurent, I'm showing you ACCI Exhibit 6. Have you seen this
- 16 | before?
- 17 A. Yes.
- 18 | Q. When was the first time you saw it, approximately?
- 19 A. Recently, recently, a few months ago.
- 20 Q. Between the March 2014 communication from Dr. Taglialatela
- 21 and this document, did Bonobo Hope receive any written
- 22 information from ACCI?
- 23 | A. Pertaining to research, no, certainly not.
- 24 | Q. What was your reaction when you first saw this document?
- 25 A. As you can see, it's a list with a few titles. Most, not

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all of them, but most of the titles are so vague that it would be difficult -- I mean, that you could do everything with bonobos. Let's take the first one, for instance, "New Insights 3 into Human Origins through the Study of Linguistically Competent Bonobos." I mean, it's difficult to be more vague than that. mean, I don't know what it means.

So basically we have a list of possible research protocols that appear to be active, and though I don't know exactly what it means, some of them very clearly will not do many things with bonobos, such as "A Socio-Ecological Comparison of Captive Pan troglodytes, Pan paniscus and Gorilla gorilla." You will have some interaction with the bonobos but not much. Others are just completely vaque. And we certainly -- I mean, nobody could get away with it. I mean, when I am, as I will be in two days, when I am a member of the selection committee for grants, which I am in two days, most of the grants being the sciences, the other half English humanities, and me judging all of those grants, for each grant I have up to 100 pages of description. So let's say that 100 pages might be a bit on the long side here, but certainly more than one vague title would be welcomed to have any kind of possible scientific and scholarly judgment about what is going on.

Q. Is it your understanding that at least since last June, June 2014, that ACCI has denied Dr. Savage-Rumbaugh access to the lab and access to the bonobos?

A. It is my understanding, yes.

- 2 Q. And as a member of the board of Bonobo Hope and as an
- 3 | academician, what is your view on that continued banishment?
- 4 A. I believe it's wrong from almost all of the angles I can
- 5 | envision. It's certainly wrong for the welfare of the bonobos
- 6 because all animals, all mammals, let's say, have a strong
- 7 | emotional bond with the other mammals that raised them, but
- 8 | certainly in the case of primates, in the case of great apes,
- 9 especially in the case of bonobos, this emotional bond is
- 10 extremely, extremely powerful.
- So you had experiments in the 1960s where you had
- 12 | small monkeys, not apes, but small monkeys being raised in
- 13 | isolation, and you had after that the psychotic mother and the
- 14 | female monkeys that were isolated with no human contact would
- 15 then become like a mother cheating their offspring, and all of
- 16 this is very well regimented for lesser, if I may use the term
- 17 | "lesser," for lesser animals than bonobos.
- So it's wrong to prevent those bonobos from at least
- 19 seeing, being in contact with the people who raised them.
- 20 | That's the ethical part of it.
- 21 Then it's completely wrong scientifically because the
- 22 | whole point of the experiment was symbolic, constant symbolic
- 23 immersion on a systematic basis and this happening through
- 24 | bondage, through emotional bonding, precisely. So you are
- 25 | basically dismantling the experiment, the whole decade long

experiment by preventing Sue Savage-Rumbaugh from having access. It's wrong professionally. I mean, I cannot say how much it is wrong, I mean, how wrong it is, excuse my French, in the sense that in science, in research in general, you have the phase of preparation, so you prepare the experiment. Then you will collect data and then you will interpret them. But the first stage of preparation is the rearing in the case that we are considering, and this is a phase that has been not only done by Savage-Rumbaugh but designed by her in an extremely theoretical and reflective way. So it's almost close to stealing the intellectual property, not the property research, but the intellectual property of the work by abandoning animals, experimental animals that haven't been prepared.

And then I would add the last point where it is wrong, as I have said before, your own ethics as a scholar and a scientist is to say in the public through publications, through peer review publications why you disagree with someone, why you disagree with something. So you cannot appropriate the bonobos and say, oh, I disagree, but I'm not going to say why, I'm not going to publish why. Because in one year and a half how many articles did we see coming from Bill Hopkins and Jared Taglialatela that was based on their supposed research, supposed active research in the lab? None.

Q. Just one last question, Professor Dubreuil, and I'll put the question as it's been put to Jeb Bush recently. Knowing what

And during those interactions in that second visit, I think

- 1 | you described it in your testimony as ape language research, is
- 2 | that right?
- 3 A. Could you rephrase the question?
- 4 Q. Sure. You described your interactions the second -- during
- 5 | the second visit as targeted towards ape language research.
- Is that an accurate description?
- 7 A. No, that's not. I said that in between my two visits, I had
- 8 edited a special issue that was devoted to ape language research
- 9 | and the theories of thought foundations of ape language
- 10 research. So when I came for the second visit, I had a deeper
- 11 knowledge of the long-term history of ape language research.
- 12 Q. Okay. That's what I said.
- 13 A. I believe.
- 14 | Q. And were you conducting any type of research during either
- 15 of those two visits?
- 16 A. I conduct research almost every day of my life. I'm writing
- 17 | a lot. So, yes, I conduct research. I was involved in one of
- 18 | my research projects, the one that led to the publication of the
- 19 | 2015 book I already referenced, "The Intellective Space."
- 20 So that was one of the research projects I was
- 21 | involved in at that point when I visited for the second time in
- 22 | 2011.
- 23 | Q. Okay. And during that second visit, you conducted some
- 24 observations and you included those in your publication, is that
- 25 right?

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        I did -- I observed things. I didn't set up a research
    procedure with control -- you know, in a controlled setting if
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               I mean, you have different ways of conducting
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               So I observed what I saw. I simply reflected on this
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    and some of my personal observations, interlaced with my general
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    understanding of the problems that we discussed, appear, do
    appear in my 2015 book.
7
    Q. Okay. Did you collect any data during either of those
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 9
    visits?
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   A. It depends on what you call data. I believe it's in the
    discussion there, so we can discuss that if you want.
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    Q. Sure. What is your understanding of what the term "data"
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    is?
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    A. I would say it's mainly a term that you have in the
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    experimental sciences. So in nonexperimental sciences, such as
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    math or theoretical physics or theoretical chemistry, you don't
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    call it data because you just theorize about things. So if you
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    seek -- chose the definition of data in the experimental
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    sciences, meaning that you set up a protocol with controlled
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procedures and then you collect data, I didn't do that in none

pure form of datum which means given, something that is given to

you. So you consider that when you are a historian and you do a

type of work, for instance, you collect data because you collect

Now, the term "data" is coming from Latin. It's the

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of my stay.

- 1 | items that are a part relatively speaking with the experimental
- 2 | sciences. I am not a scholar doing experimental research, so I
- 3 | don't collect data more than a theoretical person would, as a
- 4 | scientist working in physics would collect data; but as someone
- 5 | who theorizes on things, I'm extremely interested in the data
- 6 and the experiments that other scientists and scholars could
- 7 have.
- 8 Q. Did you conduct any research with the bonobos during either
- 9 of those visits?
- 10 A. My answer would be yes, because research is not collecting
- 11 data. It's not reduced to collecting data.
- 12 Q. Are you aware of the requirements to obtain IACUC -- that's
- 13 I-A-C-U-C -- approval before conducting research with primates?
- 14 A. Before collecting data, yes, I'm aware of that.
- 15 | Q. Before conducting any research, are you aware of any
- 16 | requirements about obtaining IACUC approval before conducting
- 17 research of primates?
- 18 | A. I was observing primates, so I didn't intervene in their
- 19 | life space. In that sense I believe the jury is out, if I may
- 20 use such an expression here. Maybe that's not -- but according
- 21 to the rules of the IACUC, I was not asked by the Director of
- 22 | Operations, who was Bill Fields at that point, I was not asked
- 23 | by him to submit a research proposal that would go through an
- 24 IACUC.
- 25 Q. So you did not obtain IACUC approval before conducting

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1 research of the bonobos?
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- 2 | A. I did not collect data. I believe that my work was
- 3 observational and I was not being asked to go through the IACUC
- 4 procedure since I was with Jared Taglialatela.
- 5 Q. Did you obtain IACUC approval before conducting research on
- 6 the bonobos?
- 7 MR. ZIFCHAK: Objection; asked and answered.
- 8 MR. MELHUS: Your Honor, I don't think he answered the
- 9 question that was posed, so I'll just restate it again.
- 10 THE COURT: I'll let you restate it.
- Go ahead and answer.
- 12 A. I did not receive IACUC approval for any collection of data.
- 13 BY MR. MELHUS:
- 14 | Q. So that's a slightly different answer than the question that
- 15 | I had asked about. Did you obtain any IACUC approval before
- 16 | conducting research with the bonobos?
- 17 A. I believe we are here in a discussion about the identity of
- 18 | terms which we use, so could you tell me what you call
- 19 | conducting research? And then I will be very glad to answer.
- 20 Q. I'm using research in the same sense that you used it when
- 21 you testified that you conducted research of bonobos.
- 22 MR. ZIFCHAK: I think that mischaracterizes the
- 23 | testimony, but I'll allow the witness to answer.
- 24 A. As I said, I did not -- I was not being asked to submit a
- 25 | research protocol, so I did not receive a research protocol -- a

- 1 research authorization. I believe I have been clear about that.
- 2 BY MR. MELHUS:
- 3 Q. All right. Thank you.
- 4 Now, there was a third visit you testified about in
- 5 August of 2014, is that correct?
- 6 A. That is correct.
- 7 Q. And that visit lasted approximately 4 to five hours, is that
- 8 right?
- $9 \mid A.$ 4 to five, yes.
- 10 Q. And during that time, you made some observations about the
- 11 | bonobos and how they behaved and the research that was being
- 12 | conducted at the facility, is that correct?
- 13 A. I made an observation about the behavior of the bonobos. I
- 14 | made observations about their enclosures. I could not make
- 15 | direct observation about research since there was no research
- 16 | going on as far as I could tell.
- 17 | Q. So you didn't see any research going on during that August
- 18 | 2014 --
- 19 A. No, not of any kind. I had been told that the cannisters
- 20 | that were somewhere on the floor with things around them were
- 21 | being used for some kind of simple recognition cognition task.
- 22 | That's what I have been told, but I had no direct experience of
- 23 | that. And I can say that the research defined as involving
- 24 preparation through the constant and systematic immersion within
- 25 | the cultural symbolic used here, that this research was not

- 1 taking place since the lexigrams were nowhere to be found in the
- 2 enclosures with the apes.
- 3 Q. So other than your observation in October -- or excuse me,
- 4 August 2014 for the 4 to five hours, you have no way of knowing
- 5 whether or not lexigrams are in use outside of that time period,
- 6 is that correct?
- 7 A. If lexigrams are being used, I have no reason to believe
- 8 | that the lexigrams would have been removed before my visit and
- 9 | then reinjected after my visit.
- 10 Q. But you have no way of knowing for sure whether or not there
- 11 is any lexigrams in use before or after your visit, is that
- 12 | correct?
- 13 A. I have ways of drawing conclusions, but I have no way of
- 14 being constantly checking where things are since I'm not being
- 15 allowed to visit the lab when I suggest different dates and
- 16 different years, so no.
- 17 Q. And your observations during that August 2014 visit, they
- 18 | weren't based on any formal research in primatology, were they?
- 19 A. Could you rephrase the question?
- 20 Q. You testified earlier to some observations that you made of
- 21 | the bonobos during your August 2014 visit, correct?
- 22 A. I testified, yeah. I went there, yes; but I don't get the
- 23 second part of your question.
- 24 | Q. So the conclusions that you drew from those observations --
- 25 A. Yes.

- 1 | Q. -- during that August 2014 visit, they weren't based on any
- 2 | formal training in primatology or ape behavior, were they?
- 3 A. They were trained -- I'm a member of a cognitive science
- 4 | project and communication program. Cognition, which is the way
- 5 | the mind works through feelings, emotions, cognition is at the
- 6 | core of my training, at the core of what I do in cognitive
- 7 | science. Ape cognition is a part of that.
- 8 Behavior and behaviorism is one way of looking at
- 9 things that has been -- behaviorism as a paradigm has been
- 10 | largely distributed in the past. Cognition is another way. So
- 11 | I have extensive knowledge of the scientific literature, if we
- 12 can use that term, about ape cognition, yes, I have that.
- 13 Q. You have training in ape cognition?
- 14 A. I have an extensive knowledge of the recent and published
- 15 | literature about ape cognition.
- 16 Q. And that's just sort of based on your own understanding and
- 17 | research in that area?
- 18 | A. Okay. So when you study carefully, the question of
- 19 cognition, the very theory of cognition is that cognition
- 20 appears and happens independently from the site, that you can
- 21 have an understanding of cognition understood as a unit of the
- 22 way your mind works, that you can have that understanding and
- 23 that this understanding does not depend on the animal or the
- 24 organism that is using it.
- 25 So when you work in cognitive studies, you don't work

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    with apes, you don't work with grass. You can, but you don't
             When you work in cognitive studies or cognitive
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    science, you are interested in the way that the mind works.
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                                                                  In
    order to understand how the mind works, you need to cross
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   boundaries. You need to cross disciplinary boundaries. You
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 6
    need to go from biology to philosophy to computer science to
    artificial intelligence to experimental psychology, and you need
7
    to do that. Some people are more on the experimental side of
 8
 9
    things; some people are more on the theoretical side of things.
10
    I am more on the theoretical side of things, so I have the
    theoretical knowledge of cognition as it also pertains to apes.
11
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That is why I have been elected by my peers as a member of the Cognitive Science Program, and I am the only humanist beyond three philosophers being a member of that program. All the other ones are in engineering science, in biology, in neurobiology, experimental psychology.

So I might believe that I have been elected for good reasons and precisely because I provide a theoretical light and a theoretical angle specifically grounded and explained to me that people working on experiments cannot competently bring.

- Q. So in that capacity that you just described at some length, how many papers have you published, for example, on ape cognition and behavior?
- 24 A. On ape cognition and behavior?
- 25 Q. Right.

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A. So I don't work so much on behavior, so I don't publish on behavior. Behavior is for me just a consequence of cognition, emotional and intellectual cognition. Then if you -- what I need to explain is that if you narrow down things, then you are missing the whole point of cognition.
```

So to go back to your question, I edited the journal issue about ape cognition and language, the one I referred to earlier on. I was invited to respond to Sue Savage-Rumbaugh's essay on the web site in 2011 or 2012 -- yeah, early 2011, I believe.

I have published observations and reflective observations in the article that was in the collection I have already mentioned.

I have published some pages within "The Intellective Space," maybe 10 percent of "The Intellective Space." I don't -- it's hard to quantify my 2015 book that pertains to ape cognition.

And I am working right now on a book co-authored with Sue Savage-Rumbaugh about -- that we entitled "Dialogues on the Human Ape," which is a co-authored book that is certainly at that stage it's at least 250 pages long, and it's a theoretical book and based on the dialogue between people coming from different disciplines and trying to understand the states and the possibilities of becoming a human ape.

I also gave a key note lecture at a conference at

- 1 Cornell on post humanities where I mainly spoke about ape 2 cognition.
- 3 Are you -- do you want more?
- 4 | Q. No. Let's move on. But thank you for that response.
- 5 A. Okay.
- 6 Q. Let's just change topics for a second.
- 7 A. Yes.
- 8 Q. Since December 2013, has BHI obtained any grants to support
- 9 or care for the bonobos in Des Moines?
- 10 A. Your question is, since December 2013, did we get any grants
- 11 to support the bonobos in Des Moines? That's your question?
- 12 Q. Correct, that's my question.
- 13 A. I wanted to be sure about the time line since, as you know,
- 14 | we have multiple time lines and multiple instances as well.
- So since December of 2013, basically we as members of
- 16 | the Bonobo Hope have been unable to conduct any research with
- 17 | the bonobos. So that's the first part of my answer. So we did
- 18 | not try to bring so much money to the facility for research that
- 19 we could not conduct. But we decided that we would move forward
- 20 and that we would try to come up with a new facility, and we
- 21 have been in discussion with different facility directors and
- 22 especially Ryan Sheldon, who has very generously decided to go
- 23 | ahead and build a new facility either for the bonobos if we are
- 24 able to have them, which we hope, or for chimpanzees who would
- 25 need rehabilitation. So this is a place that is being built in

- 1 | Missouri, and there is funding attached to that.
- 2 Q. I appreciate that response, and I think we got a little bit
- 3 off topic again. Let's focus specifically on how many grants
- 4 BHI has gained for the care and support of the bonobos in
- 5 Des Moines.
- 6 A. Since December 2013?
- 7 Q. Correct.
- 8 A. And you're speaking about grants or you're speaking about
- 9 | donations?
- 10 Q. Let's start with grants.
- 11 | A. With grants, since -- how can I put that? If I believe that
- 12 | I am not allowed to have access to the bonobos, why would I try
- 13 to have the grant to work with the bonobos if I cannot work with
- 14 | the bonobos? I believe that question is certainly in my mind,
- 15 | in the mind of several of us. And so when you propose -- when
- 16 | you do a research proposal for a grant, you -- there is an
- 17 engagement, a commitment from your part, so you're saying this
- 18 | is a research that I would like to do and I know I will be able
- 19 to conduct that research if I get that grant. Now, if you
- 20 | believe, as I believe, that I would not be able to conduct that
- 21 research, that BHI would not be able to conduct that research,
- 22 | et cetera, then I'm not going to write a paper and submit it to
- 23 | a federal institution or to a private foundation asking for
- 24 money, asking for money for a project that I know or I believe I
- 25 cannot conduct in any way.

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So the answer is we didn't try to have grants for research that could not be conducted because we were de facto excluded from that.
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- 4 Q. You didn't obtain any grants and you didn't try to obtain 5 any grants; is that what you're saying?
- A. You can only have grants for research that is likely. If
 you believe the research is not likely because you don't -you're not allowed to enter the premises, then why would you ask

for any grant? That would be lying to a federal agency. So the

- 10 answer is no; but I qualified the answer.
- 11 Q. All right. Thank you.

1

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- And let's go a little bit simpler. How much money has
 BHI contributed to the care and support of the bonobos in
- 14 Des Moines since December 2013?
- 15 A. We have contributed in different ways. So there was money
- 16 especially coming from Bill Greaves who committed to several
- 17 | thousand of dollars in the summer of -- oh, I'm sorry, I'm mixed
- 18 | up with the time line. So you are asking after December 2013
- 19 again, I think. So after 2013, we have tried to come up with
- 20 | solutions on the long term believing that the solution in
- 21 Des Moines was not a long-term solution for many reasons. So we
- 22 | did not try to have much donations at that level.
- 23 Q. Can you qualify not much donations at that level? Is it
- 24 zero or --
- 25 A. I could, but I could not -- I mean, I don't know. I don't

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1
    know the answer to that. You are asking since December?
2
   are asking since we have been unable to access the bonobos, so I
    don't know exactly what the answer is. Unless we include the
3
   promise coming from Ryan Sheldon and the new facility in
4
   Missouri, which is, of course, what we did.
5
6
              So we were looking for donations, not for the
7
   Des Moines facility, but we have been raising funds for another
    facility where we hope to transfer the bonobos. So we paid for
8
9
   a few, for their veterinary care I believe, but I cannot be more
    specific on this. I'm not the treasurer of the board.
10
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11 Q. Fair enough. And I'm not meaning to interrupt you, and if I 12 am, I'm sorry.

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If I'm to understand your testimony, since December 2013, you haven't -- BHI has not contributed any money to the care and support of the bonobos. But has put forth effort to relocate the bonobos somewhere else, is that correct? A. Yes. You also need to take into consideration that the whole system based on volunteers who would be trained to work with the apes was coming from before December 2013. So the main reason, you also have to understand that when Jim Benson and Bill Greaves sent their undergraduate students as interns for the summer, they were members of Bonobo Hope or of the science board, as far as we could tell.

So we have been helping through the formal training of the volunteers, through the work of Liz and Heather who have

- 1 been working in their own life with Dr. Savage-Rumbaugh, and
- 2 | through the unpaid work of interns that were coming from
- 3 | college, and they're graduate students of both Benson and
- 4 | Greaves, who were members of the science board.
- 5 Q. You mentioned Liz and Heather. Could you identify those two
- 6 individuals for the record?
- 7 A. So Liz -- I mean, we spoke of her. I mean, she's here, and
- 8 | she has been -- she's Sue's sister, one of Sue's sisters, and
- 9 | she has been in charge of working with the bonobos for decades.
- 10 | And I have to say that I didn't really know since Liz didn't
- 11 | publish so much. Before coming to the trust, I had no knowledge
- 12 of the tremendous experience that she had with the apes. I
- 13 | certainly spent more time with her during my two visits than I
- 14 | spent with -- in 2010 and 2011, than the time I spent with
- 15 | Savage-Rumbaugh, and she is really incredible in her own
- 16 connection with the apes, but also in her ability to precisely
- 17 | inscribe this research trajectory into very concrete aspects of
- 18 | daily life.
- 19 Heather is her daughter, and I don't know her
- 20 personally and I never observed her working with the bonobos. I
- 21 have heard positive reports of what she has done, but I have no
- 22 personal knowledge of what she has done and what she does with
- 23 | the bonobos.
- 24 | Q. Is Liz contributing her time and efforts as a member of BHI?
- 25 A. As you know, the membership of BHI is a complicated matter,

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1
    so Liz is not right now a member of the BHI, even though I
   believe that she has been or she may have been. She is
 2
    certainly the embodiment of the research trajectory that BHI is
 3
   heading toward.
 4
 5
    Q. Was Heather contributing efforts to support and care for the
   bonobo as a member of BHI or in some other capacity?
 6
 7
   A. She is not a member of BHI. As I told you before, I never
   met her, but I believe that her training came at least partially
 8
    from the time that she spent working with Sue Savage-Rumbaugh
10
    and Liz and the time she spent as a member of the family group
    as has been defined before.
11
12
              MR. MELHUS: Just one minute, Your Honor.
13
              (Pause.)
14
              MR. MELHUS: That's all the questions I have for this
15
    witness at this time, Your Honor.
16
              THE COURT: Redirect?
17
              MR. ZIFCHAK: Your Honor, because I can't get the
18
    image of an hourglass out of my mind, I have no more questions.
19
              THE COURT: Thank you.
20
              You may step down, sir.
21
                                          (Witness excused.)
22
              MR. STAMBAUGH: Your Honor, just a few housekeeping
23
              I don't know if the court is prepared to let us call
24
    our next witness. I do want to move into evidence Exhibit 1006,
    which while not a category A was proposed by ACCI, so I assume
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    there's no objection.
                                   (Plaintiffs' Exhibit 1006 was
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                                   offered in evidence.)
 3
              MR. MILLER: No objection.
 4
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              THE COURT: 1006 is received.
                                   (Plaintiffs' Exhibit 1006 was
 6
 7
                                   received in evidence.)
 8
              MR. STAMBAUGH:
                              Thank you, Your Honor.
 9
              One moment.
10
              (Pause.)
11
              MR. STAMBAUGH: Your Honor, the other issue is that
12
    our next witness is one of two that have been called by
13
    subpoena. I don't know if the court has any concern that if we
14
    don't call him this evening that he's not subject to the court's
15
    jurisdiction, we may run into problems, or if you would like to
16
    call this witness and have him subject to the court's
17
    jurisdiction, we would be happy to do that and then we can
18
    finish for this evening.
19
              THE COURT: Well, is he here?
20
              MR. STAMBAUGH: He is, Your Honor. May I inquire?
21
              THE COURT: Why don't you talk to the witness and tell
    the witness it looks like he's going to have to come back.
22
23
              MR. STAMBAUGH:
                              Yes.
24
              THE COURT: He would just have to come back tomorrow.
25
              MR. LANGEL: And I have just had that conversation
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    with the witness, Your Honor, and he has committed to being back
    tomorrow.
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 3
              THE COURT: Okay.
              MR. STAMBAUGH: My witness is Mr. Lyle Simpson, Your
 4
 5
   Honor.
              THE COURT: Oh, well, why don't we get a running start
 6
7
    on Mr. Simpson, and we'll adjourn at 5:00.
 8
              MR. STAMBAUGH: May I inquire?
 9
              THE COURT: Yes. By the way, I have -- somebody has
10
    given me a deposition of Mr. Simpson up here.
11
              MR. NEIHAUS: Yes, Your Honor.
              THE COURT: Is this going to be offered in evidence?
12
13
              MR. NEIHAUS: No. It's just for the court to follow
14
    if it's used for impeachment.
15
              THE COURT: All right.
16
              MR. NEIHAUS: I also have a copy for the witness which
17
    I will now put up on the stand with the court's permission.
18
              THE COURT: Let's start with Mr. Simpson, and we'll
19
    adjourn at 5:00. Please tell him that.
20
              (Pause.)
21
              THE COURT: Mr. Simpson, would you come forward,
    please, right up here and face the clerk.
22
23
              THE CLERK: Please raise your right hand.
24
              LYLE LEE SIMPSON, DEFENDANTS' WITNESS, SWORN
25
              THE COURT: Please be seated right there.
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              THE WITNESS: Thank you.
              THE COURT: We're going to get a running start on your
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 3
    testimony here this afternoon, Mr. Simpson; but I believe as
    you've been told, you'll probably have to come back tomorrow.
 4
 5
    Understood?
 6
              THE WITNESS: That's fine, Your Honor. I'm totally
7
    deaf on this side (indicating).
 8
              THE COURT: All right.
 9
              THE WITNESS: So I need to watch you and make sure I
10
    know what you're saying.
11
              THE COURT: All right. Fair enough.
12
              Counsel, you both identified Mr. Simpson as a witness.
    I assume since we're in a bench trial, we can get his testimony
13
14
    in the record and everybody can ask all the questions they want
15
    to ask of him so that he only has to come to court once. Would
    that be all right?
16
17
              MR. MILLER: I appreciate that stipulation, Your
18
    Honor, yes.
19
              MR. STAMBAUGH: Absolutely, Your Honor.
20
              THE COURT: So everybody will ask the questions they
21
    have of Mr. Simpson, and then when he's done, we can excuse him.
22
              You can proceed.
23
              MR. NEIHAUS: Thank you, Your Honor.
24
25
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DIRECT EXAMINATION

2 BY MR. NEIHAUS:

- 3 Q. Good afternoon, Mr. Simpson.
- 4 A. Good afternoon.
- 5 Q. Could you please state your full name for the record.
- 6 A. Lyle Lee Simpson.
- 7 | Q. And you're an attorney here in Des Moines, is that right?
- 8 A. Yes, sir.
- 9 Q. You were counsel for IPLS; that is, plaintiff Iowa Primate
- 10 | Learning Sanctuary in 2012 and 2013, is that right?
- 11 A. Yes, sir.
- 12 Q. And you have also been counsel for plaintiff Ape Cognitive
- 13 and Communication Institute or ACCI since its founding in
- 14 December of 2013, is that right?
- 15 A. Yes, sir.
- 16 Q. And you also formerly were counsel for defendant Bonobo Hope
- 17 | Initiative, BHI, is that correct?
- 18 A. Yes, sir.
- 19 Q. You're appearing here today pursuant to a subpoena, is that
- 20 right?
- 21 A. That's right.
- 22 Q. And there's a document request attached to that subpoena, is
- 23 | that correct?
- 24 A. Yes, sir.
- 25 Q. Did you bring any documents here with you today pursuant to

- 1 | that subpoena?
- 2 | A. All documents that have been requested of me that I have
- 3 | have already been provided. I do not have the documents that
- 4 | were on the -- listed on the subpoena today, to the best of my
- 5 knowledge.
- 6 Q. Thank you.
- 7 Mr. Simpson, you believe that the bonobos that are at
- 8 issue in this case are treasures, don't you?
- 9 A. Are what?
- 10 Q. Are treasures.
- 11 A. Well, yes.
- 12 | Q. And you believe that the bonobos would not have reached
- 13 | their current level and Iowa would not have these treasures if
- 14 Dr. Sue Savage-Rumbaugh had not been involved, is that right?
- 15 A. Both Sue and her former husband, Duane Rumbaugh.
- 16 Q. Isn't it true that the bonobos are so unique because they've
- 17 grown up sharing a culture and sharing a language with humans?
- 18 | A. Yes. In fact, this is the only place on earth where humans
- 19 can actually conduct an intelligent conversation in the English
- 20 language with another species of life.
- 21 Q. Isn't it also true that the head of the human part of that
- 22 | group was Dr. Savage-Rumbaugh?
- 23 A. She spent a lot of time with the bonobos.
- 24 Q. You believe that if the bonobos were denied the ability to
- 25 | communicate, say if they were denied the ability to use their

- 1 | keyboards, that that would be a travesty, isn't that right?
- 2 A. I don't know that I'm qualified to answer that question, but
- 3 I do know that they use them.
- 4 Q. But you believe if they were denied access, that that would
- 5 be a travesty, is that correct?
- 6 A. My opinion is only a lay opinion.
- 7 Q. I understand that. And what is your lay opinion, sir?
- 8 | A. One of the unique features of these bonobos is the fact that
- 9 they do understand human language when it's spoken to them and,
- 10 | you know, I suppose some people say that their horse or their
- 11 dog can understand also; but the level of understanding seems to
- 12 be superior among these bonobos.
- 13 Q. Mr. Simpson, you gave a deposition in this case, isn't that
- 14 right?
- 15 A. Tell me what you said.
- 16 Q. You gave a deposition in this case?
- 17 A. Yes.
- 18 | Q. And in that deposition you told the truth, is that right?
- 19 A. I believe so.
- 20 Q. Mr. Simpson, I've placed a copy of your deposition
- 21 | transcript in the white binder just ahead of you. If you could
- $22 \mid \text{please go ahead and open the deposition to page 57.}$
- 23 And starting at line 3, are you there, Mr. Simpson?
- 24 | Have you found line 3, Mr. Simpson?
- 25 I'll withdraw the question.

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Mr. Simpson, isn't it true that at your deposition you were asked this question and gave the following answer:
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"Q. Let me ask the question another way. If the bonobos -- and this is a hypothetical question -- were denied access to the keyboards with which they've become accustomed, would that detract from the continued research into their ability to communicate in English?"

At which point counsel objected. And after the objection you answered:

- "A. I think that would be a travesty."
- 11 A. In my opinion --

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- 12 Q. My question is, did I read the transcript of your deposition correctly?
 - MR. MILLER: Your Honor, I object. There's lack of foundation and also reassert the objection stated in the deposition with regard to the fact that this is calling for expert testimony.
- THE COURT: I will receive it, subject to the objection.
- 20 BY MR. NEIHAUS:
- 21 Q. Did I read your deposition transcript accurately?
- 22 A. From what I've observed, to me that would be -- a travesty
- 23 | is probably a good word for it.
- 24 Q. You at times have written letters of support on behalf of
- 25 Dr. Savage-Rumbaugh's research in order to raise funds, isn't

- 1 | that correct?
- 2 A. For many reasons. If you're referring to the letters that
- 3 I've written the Governor and the Senators --
- 4 Q. Are those some letters that you have written in support of
- 5 Dr. Savage-Rumbaugh's research in order to raise funds?
- 6 A. The letters to the Governor were to influence the University
- 7 of Iowa and Iowa State University to take title to the facility
- 8 | so that we had a stable economic base. One problem in operating
- 9 | the facility is that you can get grants to do research, but it
- 10 doesn't cover the base cost of electricity and staff. And what
- 11 | we were trying to do because we were in desperate economic
- 12 | condition at that time was to get somebody to help us support
- 13 | the facility, and that was the purpose for the letter to the
- 14 Governor.
- 15 | Q. And in your letter -- in your letters to the Governor, you
- 16 | praised Dr. Savage-Rumbaugh's research, isn't that correct?
- 17 A. Yes.
- 18 | Q. And you also wrote a letter to a state -- I'm sorry, to
- 19 | Senator Grassley praising Dr. Savage-Rumbaugh's research, isn't
- 20 | that correct?
- 21 A. Yes.
- 22 Q. If I could ask you to now turn in the other binder that is
- 23 | in front of you, the largest one, to Exhibit 25.
- 24 THE COURT: Counsel, when we're finished with this
- 25 exhibit, we'll take our evening recess at that time.

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              MR. NEIHAUS: Thank you, Your Honor.
   BY MR. NEIHAUS:
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    Q. I would like to identify for the record Exhibit 25, which is
    a letter from Lyle Simpson to Senator Charles Grassley written
 4
 5
    on February 4, 2013.
              Mr. Simpson, this is a letter that you wrote to
 6
7
    Senator Grassley in which you praise Dr. Savage-Rumbaugh's
    research, isn't that correct?
8
   A. Yes.
10
              MR. NEIHAUS: At this time I would like to offer
   Exhibit 25 into evidence.
11
12
                                   (Defendants' Exhibit 25 was
13
                                  offered in evidence.)
14
              THE COURT: Is there any objection?
15
              MR. MILLER: Well, Your Honor, we'll object to
16
    relevance and hearsay; but we understand your prior order with
17
    respect to accepting the evidence.
              THE COURT: Exhibit 25 is received, subject to the
18
19
    objection.
20
                                   (Defendants' Exhibit 25 was
21
                                  received in evidence.)
22
              THE COURT: With that, why don't we take our evening
23
    recess, shall we? 9 o'clock, 9 o'clock tomorrow morning.
24
              9 o'clock in the morning, Mr. Simpson.
25
              THE WITNESS: All right.
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              THE COURT: We'll see you all then. Have a pleasant
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    evening.
 3
              MR. STAMBAUGH: Thank you, Your Honor.
              (Recess at 5:04 p.m., until 9:00 a.m., Thursday,
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 5
    May 28, 2015.)
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EXHIBIT B

IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF IOWA CENTRAL DIVISION

IOWA PRIMATE LEARNING SANCTUARY:
d/b/a GREAT APE TRUST AND:
APE COGNITION AND COMMUNICATION:
INSTITUTE,:

Plaintiffs, : Case No. 4:10-cv-00052

vs.

ZOOLOGICAL FOUNDATION OF :
GEORGIA, INC. d/b/a ZOO ATLANTA, :
DEMOCRATIC REPUBLIC OF CONGO, :
JAPAN MONKEY CENTRE INSTITUTE :
AND MUSEUM OF PRIMATOLOGY, and :
SUE SAVAGE-RUMBAUGH, Ph.D., :

Defendants, : TRANSCRIPT OF HEARING : VOLUME II

and

BONOBO HOPE INITIATIVE, INC.,

Fourth Floor, South Courtroom United States Courthouse 123 East Walnut Street Des Moines, Iowa 50309 Thursday, May 28, 2015 9:00 a.m.

BEFORE: THE HONORABLE ROSS A. WALTERS, Magistrate Judge.

Terri L. Martin, CSR, RPR, CRR United States Court Reporter Room 189, U.S. Courthouse 123 East Walnut Street Des Moines, Iowa 50309

APPEARANCES:

For the Plaintiffs: WILLIAM J. MILLER, ESQ.

BRIAN A. MELHUS, ESQ.

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				227
	I N D E X			
WITNESSES:	DIRECT	CROSS	REDIRECT	RECROSS
For the Defendants:				
Lyle Simpson (Resumed)	230	292	299	302
Derek Wildman	303	333	350	
Ryan Sheldon	351	372		
For the Plaintiffs:				
Julie Gilmore	390	422	438	445
Jared Taglialatela	454			

E X H I B I T S

PLAINTIFFS' EXHIBIT NUMBERS:	OFFERED	RECEIVED	
23 - Simpson 12/4/12 memorandum	236	236	
26 - 4/25/13 Mate e-mail	238	239	
30 - Simpson e-mail	247	247	
35 - 8/30/13 Caudill and Simpson e-mails	242	242	
36 - 9/24/13 Simpson e-mail to IPLS board	249	250	
37 - 9/27/13 Simpson e-mail	254	254	
38 - Yerkes request for guarantee	286	287	
51 - 12/12/13 Simpson e-mail	289	298	
DEFENDANTS' EXHIBIT NUMBERS:			
94 - Sheldon letter of credit	371	372	

PROCEEDINGS

2 (In open court, with defendant present.)

THE COURT: Please be seated, everybody.

And you can continue your examination.

MR. NEIHAUS: Yes, Your Honor.

MR. FISHER: Your Honor --

THE COURT: Yes.

MR. FISHER: -- permission to address the court?

THE COURT: Sure.

MR. FISHER: My name is Gary Fisher. I practice law with Lyle Simpson, and he's asked me to be here with him and represent him today for purposes for his testimony. I don't intend on saying much more than I have right now, but I would ask permission that I be heard if I need to make an objection.

THE COURT: Well, you can certainly be present. I think when we talk about objections, any objection to the evidentiary admissibility really ought to be made by counsel for the plaintiffs. If you feel for some reason that it goes into an area that may relate to badgering the witness or something like that, which I imagine you might be concerned with --

MR. FISHER: And matters potentially of privilege.

THE COURT: And the matter of privilege, you can assert an issue of privilege. If there's a badgering issue, I think you need to discuss that with counsel first and let him make it. So I'm not going to give you carte blanche to make

- 1 objections, but objections that involve the personal rights of
- 2 | the witness, of course, I would hear those based on that.
- 3 MR. FISHER: Thank you.
- 4 THE COURT: And you may continue.
- 5 MR. NEIHAUS: Thank you, Your Honor.
- 6 LYLE LEE SIMPSON,
- 7 resumed his testimony as follows:
- 8 DIRECT EXAMINATION (Continued)
- 9 BY MR. NEIHAUS:
- 10 Q. Good morning, Mr. Simpson.
- 11 A. Good morning.
- 12 Q. Can you hear me okay?
- 13 A. Yes, now.
- 14 Q. Mr. Simpson, I would like to talk about the structural
- 15 changes of the BHI and IPLS boards in 2012 and 2013. In your
- 16 | role as counsel, you were responsible for merging the two boards
- 17 | together, is that right?
- 18 | A. It was my recommendation to do that and the boards voted on
- 19 doing that.
- 20 Q. And that merger happened in February 2012, is that right?
- 21 A. I believe that's correct.
- 22 Q. And once you merged the boards, BHI and IPLS in effect
- 23 | became one entity, is that right?
- 24 A. That's true.
- 25 Q. And that entity went by different names, including BHI,

```
1
    IPLS, Great Ape Trust or GAT, is that right?
       Yes.
 2
    Α.
        In December 2012, however, you determined that the combined
 3
   board structure was not effectively working, right?
 4
 5
              MR. MILLER: Your Honor, I would like to object.
 6
    Counsel has called this witness as part of their direct case,
7
    their case in chief. He's called as a witness for them for
    direct purposes, and he's being cross-examined. I think the
 8
 9
    testimony is -- you know, I wanted to give him some leeway
10
    yesterday, we were just getting started, but I think we should
    proceed in a question-and-answer fashion rather than a
11
    cross-examination.
12
13
              MR. STAMBAUGH: Your Honor, may I be heard on that?
14
              THE COURT: Sure.
15
              MR. STAMBAUGH: Mr. Simpson has been called in our
16
    case in chief. Pursuant to Rule 611(c), when an adverse witness
17
    is called, counsel is allowed to ask leading questions on direct
18
    examination. Mr. Neihaus has already established that
19
    Mr. Simpson was counsel for IPLS and ACCI during the relevant
20
    events, clearly making him an adverse witness under Rule 611
21
    and, therefore, he should be allowed to ask leading questions.
22
              THE COURT: Anything further?
23
              MR. MILLER: Well, Your Honor, he's also -- there's
24
    testimony that he's counsel for BHI, Dr. Rumbaugh as well.
```

Again, they've called him.

```
1
              THE COURT: Is he still counsel for BHI and
 2
    Dr. Rumbaugh?
 3
              MR. STAMBAUGH: He is not, Your Honor.
                         I'll let you lead, though do bear in mind
 4
              THE COURT:
    leading questions are oftentimes not the best way to get
 5
 6
    information out of someone; but I'll let you lead if you think
 7
    you need to.
 8
                            Thank you, Your Honor.
              MR. NEIHAUS:
 9
   BY MR. NEIHAUS:
10
    Q. So my question, Mr. Simpson, in December 2012, you
    determined that the combined board structure was not effectively
11
12
    working, is that right?
13
    A. Not totally.
       I'm sorry, can you explain your answer? You determined that
14
15
    the board structure was not totally working; is that what you're
16
    saying?
17
        The reason for merging the two entities, Bonobo Hope really
18
    was not a functioning board at the time of the merger, but it
19
    had money in it that had been raised by the people who were
20
    participating in the Bonobo Hope activity.
21
              IPLS from January 1, 2012 on was operating on a --
    without a source of income essentially, and it was operating
22
    essentially because of contributions. We were having trouble
23
24
    paying the utility bills, let alone -- I say "we," the client
```

was having trouble paying the utility bills and paying salaries.

There was money in Bonobo Hope. The easy way of -and Bonobo Hope had been given this money without having tax
exempt status, so the people that had given the money would have
trouble taking income tax deductions for the money that they had
contributed. In order to get it qualified with the Internal
Revenue Service so that they could get a tax deduction, the
easiest way to do that is to show that this is a supporting
organization for another 501(c)(3) tax exempt organization.
There's three different levels of foundations, and this is by
far the simplest and the least expensive.

By merging the two entities together, that made it very easy to qualify so that the people that made contributions could deduct them. It also made it very easy then to transfer the money from Bonobo Hope into IPLS so they could pay their immediate bills, and that was the reason it was created.

Once that was done, there was really -- it wasn't necessary to keep them together. The problem with Bonobo Hope is that it had people on the board all over the world, most of whom I never met, even as of today. It was very difficult to get that organization to do anything. In fact, they wouldn't do anything unless Sue specifically told them to do it. And the net result was it was totally dysfunctional, and so -- Q. You're talking about the combined board right now?

A. No. I'm talking about why we separated them. In order to manage the facility, you know, somebody in Barcelona or in

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Israel, I don't even know that they had ever been to the facility, let alone could make decisions for managing it. It was just totally ineffective.
```

My recommendation to them was that they get local people on the IPLS board who had hands on ability to manage and that people on the Bonobo Hope board, most of whom were scientists and were there because they were interested in the program itself, could continue to function; but they could do it better as separate boards than to expect somebody in Barcelona or Haifa, Israel to make local decisions. So that's the reason we separated.

- Q. So then you separated them into two different boards; a board composed of scientists, which was BHI, and a board composed of local business people, which was IPLS, is that right?
- 16 A. That's true.

4

5

6

7

8

10

11

20

- Q. And you recommended -- you were the one who made the recommendation that the board be split in two in this way?

 A. That's true. They were -- there wasn't any leadership
- 21 some guidance, and so I was -- I had to make recommendations

effectively and they weren't making decisions, and they needed

- 22 because nobody else was doing it. In the meantime, they're
- 23 going broke.
- Q. And what you recommended was that going forward the purpose of Bonobo Hope should be the management and protection of the

- 1 | bonobo colony and the direction and development of the science
- 2 | that can be produced through the proper utilization?
- 3 A. That was my recommendation, yes.
- $4\mid extstyle Q$. You also recommended that control and maintenance of the
- 5 | bonobos and the science be administered only by Bonobo Hope, is
- 6 | that right?
- 7 A. That was my recommendation.
- 8 | Q. And you described your recommendation in a memo to the
- 9 | Bonobo Hope board, right?
- 10 A. Yes.
- 11 Q. I would like to show you what's been marked as Exhibit 23,
- 12 | which is a memorandum authored by Mr. Simpson dated December 4,
- 13 2012, and which was distributed to the joint IPLS and BHI
- 14 boards.
- Mr. Simpson, could you please turn to your --
- 16 A. I have nothing on my desk.
- MR. NEIHAUS: I'm sorry. It's by the side of the
- 18 | witness stand.
- Judge, may I approach?
- 20 THE COURT: You may.
- 21 BY MR. NEIHAUS:
- 22 Q. Mr. Simpson, are you looking at what's been marked as
- 23 | Exhibit 23?
- 24 A. Yes.
- 25 Q. Is this the memorandum that you wrote?

```
236
1
    A. Yes.
              MR. NEIHAUS: At this time I would like to offer
 2
 3
    Exhibit 23 into evidence.
                                   (Defendants' Exhibit 23 was
 4
 5
                                   offered in evidence.)
 6
              MR. MILLER: No objection, Your Honor.
 7
              THE COURT: 23 is received.
 8
              MR. NEIHAUS: Thank you.
                                   (Defendants' Exhibit 23 was
 9
10
                                   received in evidence.)
   BY MR. NEIHAUS:
11
12
   Q. Mr. Simpson, you called this memorandum your plan of action,
13
    is that right?
14
        It was my recommendation for a plan of action.
15
    Q. And the combined BHI-IPLS board accepted your
16
   recommendation, isn't that right?
17
       Yes.
   Α.
18
       And that happened through two sets of resolutions; one in
19
    December 2012 and one in April 2013, is that right?
20
       I don't -- tell me again what you just said.
       The board accepted your recommendation --
21
2.2
   Α.
       Yes.
23
    Q. -- and implemented it through two sets of resolutions, is
24
    that right?
25
   A. I don't know about the two sets of resolutions. Are you --
```

- 1 | Q. If I could ask you to turn towards the back of Exhibit 23,
- 2 three pages from the back, there's a sheet that starts minutes
- 3 for December 12, 2012.
- 4 Are you there?
- 5 A. Yes.
- 6 Q. All right. Mr. Simpson, do you recognize these minutes?
- 7 A. Actually I don't, but it doesn't mean that I might not have
- 8 seen them before, but I -- I'm just amazed somebody created
- 9 minutes.
- 10 Q. If you can move down about three-quarters of the way down
- 11 | the page, you say -- you see there's a small letter a, and it
- 12 | starts, "Resolved, that the board's current officers," and if
- 13 | you can just read paragraph a to yourself, you authored that
- 14 paragraph, isn't that right?
- 15 | A. Well, it's language that I certainly would have used.
- 16 Q. And if you could look at the following letters --
- 17 A. Yes, I did write that.
- 18 | Q. And if you could look through the following letters b
- 19 through f, you also wrote those parts of the document, isn't
- 20 | that right?
- 21 A. Yes, that was my recommendation.
- MR. NEIHAUS: If I may have just one moment, Your
- 23 Honor.
- 24 (Pause.)
- 25 BY MR. NEIHAUS:

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238
1
    Q. At this time I would like to identify Exhibit 26 for the
 2
    record, which is an e-mail from Ursala Goodenough, but is
    forwarding another e-mail from Carmen Mate on April 25, 2013.
 3
              MR. NEIHAUS: Your Honor, permission to publish to the
 4
 5
    court?
              THE COURT: Has 26 been received?
 6
7
              MR. NEIHAUS: It has not, Your Honor. At this time I
   would like to -- I'm sorry.
8
   BY MR. NEIHAUS:
10
    Q. Mr. Simpson, could you please turn to Exhibit 26.
11
   A. Yes.
    Q. And do you see the e-mail sent by Carmen Mate on the first
13
   page?
14
   A. Yes.
15
    Q. Now, if you go to the line beginning "To: Bonobo Hope
16
    Initiative, Inc. Board Members," from that point on you actually
17
    authored the text, isn't that right?
18
   A. Yes.
19
    Q. And you can confirm this by your signature block at the end
20
    of the document, is that right?
   A. Yes.
21
              MR. NEIHAUS: At this point I would offer to move
22
   Exhibit 26 into evidence.
23
24
                                   (Defendants' Exhibit 26 was
```

offered in evidence.)

```
1
              MR. MILLER: Your Honor, we're going to object on the
   basis of hearsay asserted in the pretrial listing. Furthermore,
 2
    I think the subsequent testimony from Exhibit 23 establishes
 3
    that was hearsay, but I acknowledge I didn't state that
 4
 5
    objection previously, that's the perceived admission of that
    evidence.
 6
 7
              THE COURT: I'll receive Exhibit 26, subject to the
 8
    objection.
 9
                                   (Defendants' Exhibit 26 was
10
                                  received in evidence.)
              MR. NEIHAUS: We would also ask permission to publish
11
12
    to the court?
13
              THE COURT: Certainly.
14
    BY MR. NEIHAUS:
15
    Q. Mr. Simpson, in this e-mail you asked -- you proposed
16
    certain resolutions to the combined BHI-IPLS board, isn't that
17
    right? In the e-mail that you offered in Exhibit 26, you
18
   proposed certain resolutions to the combined BHI-IPLS board?
19
    A. I did, yes.
20
    Q. Including the resolution that the members of the BHI board
21
    resign from the joint board, isn't that right?
22
   A. Yes.
23
    Q. And that was how you effectuated the split; all the BHI
24
    members who were on the joint board simply resigned from the
25
    IPLS board?
```

- 1 A. That's true.
- 2 Q. I would like to ask you now about some resolutions that IPLS
- 3 passed a month after this e-mail, approximately a month, in May
- 4 of 2013. IPLS approved a resolution to grant Dr. Sue
- 5 | Savage-Rumbaugh unfettered access to the bonobo colony, is that
- 6 right?
- 7 A. Yes.
- 8 | Q. And that resolution was never rescinded, correct?
- 9 A. That I can't say. IPLS made subsequent decisions that could
- 10 have had the effect of rescinding that. My intent of putting
- 11 | that in there was to be consistent with the original plan. When
- 12 ACCI was formed, it was, in essence, parallel with IPLS. I hope
- 13 | you get into that a little later. It made different decisions
- 14 at that point in time that could have had that effect; but my
- 15 | intent at the time when all of this was created was that that be
- 16 established policy.
- 17 Q. IPLS never passed a resolution rescinding this May 2013
- 18 resolution, did it?
- 19 A. It wouldn't have to. If it came up with another decision
- 20 | that was conflicting with it, the later decision would apply.
- 21 | Q. I appreciate the answer, but, Mr. Simpson, my question is
- 22 simply, did ACCI ever pass another resolution rescinding this
- 23 | May 2013 resolution?
- 24 | A. They passed another resolution that might have had the
- 25 effect of rescinding it. It didn't specifically address that

- 1 resolution.
- 2 | Q. And what resolution are you referring to?
- 3 A. In their December 18, 2013 minutes, ACCI made a lot of
- 4 decisions establishing the trajectory that it would be operating
- 5 under, and some of those decisions would modify prior decisions.
- 6 Q. In fact, in one of their set of minutes, ACCI determined --
- 7 I would like to strike the question and start over.
- In IPLS's minutes from December 18, 2013, they passed
- 9 | a resolution acknowledging that Dr. Savage-Rumbaugh had been
- 10 | dismissed from the board in July of 2013, isn't that right?
- 11 | A. Yes, I believe that's right.
- 12 Q. Yet even after July of 2013 when, as you suggest or as the
- 13 | IPLS board minutes reflect, Dr. Savage-Rumbaugh had allegedly --
- 14 | supposedly been dismissed from the IPLS board, IPLS was still
- 15 | telling Dr. Rumbaugh with certainty that she will always be able
- 16 to rejoin her bonobo family, isn't that right?
- 17 MR. MILLER: Objection; hearsay.
- 18 THE COURT: I'll receive it, subject to the objection.
- 19 A. I don't know what you're referring to.
- 20 BY MR. NEIHAUS:
- 21 Q. I would like to identify for the court Exhibit 35, which is
- 22 | an e-mail authored by IPLS Chair George Caudill, sent to
- 23 | Dr. Savage-Rumbaugh in which Mr. Simpson was cc'd. Mr. Simpson,
- 24 | if you could please turn to Exhibit 35.
- Mr. Simpson, you received this e-mail, is that right?

```
1
        I believe so.
              MR. NEIHAUS: At this time I would like to offer
 2
 3
    Exhibit 35 into evidence.
                                   (Defendants' Exhibit 35 was
 4
 5
                                   offered in evidence.)
              MR. MILLER: Well, with the same objection with
 6
7
    respect to hearsay, Your Honor; also lacks foundation, I
 8
   believe, but --
 9
              MR. NEIHAUS: Your Honor, I'll point out that this was
10
    an Exhibit B document on the final pretrial order, which meant
    there was no objection to foundation and there was no objection
11
12
    to hearsay on that document. In addition, this document is not
13
    being brought for the truth of the matter asserted but the
14
    effect on the listener. And we call it a party opponent
15
    admission anyway because it's by the president of IPLS.
16
              THE COURT: I'll receive it, subject to the objection.
17
                                   (Defendants' Exhibit 35 was
                                   received in evidence.)
18
19
    BY MR. NEIHAUS:
20
    Q. Mr. Simpson, if you could look at the third paragraph down,
21
    the final line that's written in bold, do you see where
22
   Mr. Caudill on August 30 of 2013 told Mr. Savage-Rumbaugh, "I
23
    can say with certainty that you will always be able to rejoin
24
    your bonobo family."
25
              Do you see where that's written?
```

- 1 A. Yes.
- 2 Q. Was that your understanding as well?
- 3 A. At that point in time, I certainly -- that was everybody's
- 4 hope.
- 5 | Q. And if you could please turn to the next page of the
- 6 document, at the very top of the page, the second line down
- 7 | about halfway through, the sentence beginning, "You can do all,"
- 8 | George Caudill also told Dr. Savage-Rumbaugh on August 30 of
- 9 2013, "You can do all of this with complete confidence that you
- 10 | will always be welcome to rejoin the bonobos."
- 11 Was that also your understanding at the time?
- 12 A. Yes.
- 13 Q. Mr. Caudill continues that Dr. Savage-Rumbaugh will be able
- 14 to continue her research as she sees fit.
- 15 Was that your understanding at the time as well?
- 16 A. Yes. Everybody was concerned with the fact that nothing was
- 17 | happening and they certainly wanted Sue back.
- 18 Q. Sooner rather than later, is that right?
- 19 A. Yes.
- 20 Q. Mr. Simpson, after the settlement agreements in this case
- 21 | were entered into, you recommended that IPLS undergo a
- 22 transitional period, is that right?
- 23 | A. After --
- 24 | Q. After February of 2013 when the settlement agreements in
- 25 | this case were entered into, you recommended that IPLS undergo a

- 1 | transitional period, is that right?
- 2 | A. You're talking about the position of the Director of
- 3 | Science?
- 4 Q. That's right.
- 5 A. All right. I don't want to get into Sue's personal health
- 6 issues, but it does affect this. Late April, early May,
- 7 | sometime in that point in time, in 2013 -- Sue has issues
- 8 dealing with depression -- I was called up and told that she was
- 9 having those problems, and it didn't surprise me because Sue had
- 10 | contributed money to the Community Foundation of Greater
- 11 Des Moines in order to support the activity of IPLS during her
- 12 administration as the director, and we were running out of money
- 13 and there was no source of funding.
- 14 I found out sometime later that she also apparently
- 15 | had fallen and hurt herself. Anyway, she left the facility.
- 16 | She was there one day and gone the next, and attempts to contact
- 17 her and attempts -- something is wrong -- and attempts to get
- 18 her direction because she was running the facility stopped.
- 19 MR. NEIHAUS: Can I ask the court, I think the
- 20 microphones are out.
- 21 THE COURT: The microphones are off. Mr. Simpson, the
- 22 | best we can do is just speak up. The microphones aren't working
- 23 | very well.
- 24 A. Okay. Anyway -- oh, there it comes -- she needed help. She
- 25 | was, in essence, a one-person band. She was tending to the

care -- we had no money to pay people. She was tending to the care of the bonobos. She was I assume attempting to continue her science, and at the same time she was paying the bills and running the facility, and it was pretty obvious to anybody looking on that the science was waning because of all of the other activity demanding her attention.

So what I was seeking then was an assistant for her.

I made that proposal to Bonobo Hope that they find a Director of Science and that we elevate Sue to Director of Science Emeritus was my recommendation, but nothing happened. I didn't get a response from anybody on the bonobo board and just literally nothing happened. So -- do you want me to continue?

Q. I'll go ahead and ask another question.

And after that episode, that was when you agreed, as we discussed previously, that IPLS wanted Sue back and that she would always be welcome to rejoin the bonobos, is that right?

17 A. Yes.

7

8

10

11

12

13

14

15

- 18 | Q. And that her science would continue, right?
- 19 A. Yes.
- 20 Q. You never intended for her to be put out to pasture, right?
- 21 | A. I had no thought of that.
- 22 Q. You needed Sue because she needed to continue to have a
- 23 | vital role in the success of IPLS, right?
- 24 A. We had one of the most valuable science treasures in the
- 25 | world right here in Des Moines and there was no activity. We

- 1 had a third-generation bonobo, Teco, born who was I think at
- 2 that point in time, what, three. I think that Teco is five now
- 3 or will be. There was a tremendous amount of science being lost
- 4 | because we didn't have a scientist.
- 5 Q. And at this time you communicated to the IPLS board, the BHI
- 6 | board, as well as to Dr. Jared Taglialatela, that you needed an
- 7 assistant for Sue, is that right?
- 8 A. I'm not sure how Jared got involved in that description,
- 9 but -- I didn't even know Jared. He was on the Bonobo Hope
- 10 | board, but he was one of those people that I had never met. We
- 11 asked -- in fact, I went through Dr. Duane Rumbaugh and asked
- 12 | that he and Sue help us find a scientist to, in essence, assist
- 13 | Sue. That would have been I think in August because I couldn't
- 14 get any help out of anybody else on the Bonobo Hope board, and
- 15 | then he and Sue did recommend Jared, and that was probably in
- 16 | September.
- 17 Q. At this point I would like to identify for the record
- 18 | Exhibit 30, which is an e-mail authored by Lyle Simpson to the
- 19 BHI and IPLS boards, which includes Dr. Jared Taglialatela,
- 20 | dated July 1, 2013.
- 21 Mr. Simpson, if you could please turn to Exhibit 30 in
- 22 | your exhibit book.
- 23 A. Yes.
- 24 Q. You authored this e-mail, is that right?
- 25 A. Yes.

```
247
 1
              MR. NEIHAUS: I would like to offer Exhibit 30 into
 2
    evidence.
 3
                                   (Defendants' Exhibit 30 was
                                  offered in evidence.)
 4
 5
              MR. MILLER: Well, we again object on the basis of
 6
    hearsay, Your Honor.
 7
              THE COURT: I'll receive it, subject to the objection.
                                   (Defendants' Exhibit 30 was
 8
                                   received in evidence.)
 9
10
              MR. NEIHAUS: We also ask permission to publish?
11
              THE COURT: Yes.
12
    BY MR. NEIHAUS:
    Q. Mr. Simpson, in this e-mail that you sent, one of the
13
14
    recipients was Dr. Jared Taglialatela, is that right?
15
   A. He was a member of the Bonobo Hope board.
16
    Q. And in this e-mail you told the board that this transition
17
    was not intended to have Sue just stop working and have another
18
   person take over, right?
19
       That's right.
   Α.
20
        So you told Dr. Jared Taglialatela in July of 2013 that this
21
    transition was not intended to have Dr. Savage-Rumbaugh just
22
    stop working and have another person take over, is that right?
23
   A. That's true.
24
    Q. Isn't it true that when you envisioned the transition in
25
    July of 2013, you believed that the trust needed for Sue to
```

- 1 | continue to have a vital role?
- 2 A. I had all expectation that she would.
- 3 | Q. And you told Dr. Taglialatela in July of 2013 that the trust
- 4 needed Dr. Savage-Rumbaugh to continue to have a vital role, is
- 5 | that right?
- 6 A. Yes.
- 7 Q. I'm sorry?
- 8 A. Yes.
- 9 | Q. You believed that neither the bonobos nor IPLS could afford
- 10 to have Dr. Savage-Rumbaugh simply step away, right?
- 11 A. We had one of the most valuable research tools in the world
- 12 | right here in Des Moines, and she had been involved in it from
- 13 day one. I would have had no expectation of anything else.
- 14 Q. And you told Dr. Taglialatela in July 2013 that neither the
- 15 | bonobos or IPLS could afford to have Dr. Savage-Rumbaugh simply
- 16 | step away, is that right?
- 17 A. Yes.
- 18 | Q. This transition was not intended to occur quickly, but
- 19 during a period of overlap, perhaps many years, is that right?
- 20 A. I don't know that I would have used those terms, but that
- 21 | would have been my expectation.
- 22 | Q. I would like to identify for the court Exhibit 36, which
- 23 purports to be an e-mail from Mr. Simpson to Dr. Duane Rumbaugh
- 24 and other members of the IPLS board.
- Mr. Simpson, are you on Exhibit 36?

```
249
1
   Α.
       Yes.
       Do you recognize this document?
 2
 3
       Yes.
   Α.
       What is it?
    0.
 5
   Α.
       It's an e-mail from me.
       And it's sent to the IPLS board, is that right?
 6
 7
       Yes.
   Α.
       And in that e-mail you discuss this transition period?
 8
    Q.
        It was sent to a limited number of people, but it was sent
10
   with the idea that Carmen would distribute it to the IPLS board.
11
   Q. And the date of this document is September 24, 2013, is that
12
    right?
13
   A. Yes.
14
              MR. NEIHAUS: I would offer Exhibit 36 into evidence.
15
                                   (Defendants' Exhibit 36 was
16
                                   offered in evidence.)
17
              MR. MILLER: Your Honor, may I voir dire the witness
18
    for purposes of an objection?
19
              THE COURT: You may.
20
                         VOIR DIRE EXAMINATION
21
   BY MR. MILLER:
22
    Q. Mr. Simpson, you're reviewing Exhibit 36 in front of you
23
    right now, correct?
24
   Α.
       Yes.
25
       Do you see the second paragraph of the e-mail and then on
```

```
250
1
    the second page two paragraphs are in what appear to be in bold
 2
    type?
   A. Yes.
 3
        Is that bold type you applied to this document?
 4
 5
   Α.
       Yes.
 6
              MR. MILLER: Thank you.
 7
              Your Honor, I object to hearsay, no other objection.
              THE COURT: I'll receive it, subject to the objection.
 8
 9
              I would note that the probative value of documents of
10
    this kind are not as to the truth of any matters contained
    therein, but as to the intent and plan and reasons why the
11
    witness did what he did, which in my judgment would not be
12
13
    hearsay. But that said, I will receive it, subject to your
14
    objection.
15
              MR. MILLER: Thank you, Your Honor.
16
                                   (Defendants' Exhibit 36 was
17
                                   received in evidence.)
18
              MR. NEIHAUS: Also ask permission to publish?
19
              THE COURT: Yes.
20
                      DIRECT EXAMINATION (Continued)
    BY MR. NEIHAUS:
21
22
    Q. Mr. Simpson, if you could look at the second paragraph you
23
   bolded, you say, "This certainly is not intended to mean that we
24
    are contemplating the transition to occur in the near future,
25
   but we should have a period of overlap, perhaps many years,
```

```
where they might work together"...
```

And that was your expectation at the time, is that right?

A. Yes.

- 5 Q. And the purpose of this transition was to make sure that
- 6 Dr. Savage-Rumbaugh's life work continues forever, right?
- 7 A. The purpose for this documentation was we needed to get an
- 8 additional scientist involved because nothing was happening.
- 9 | Nobody really wanted to push Sue out of the facility, but she
- 10 really wasn't there. She was in New Jersey at this time.
- 11 | Certainly no one wanted to dishonor the work she had done. I
- 12 mean, she's world famous. But we had to have somebody there.
- 13 We couldn't pay the utility bills at that point in time. We had
- 14 | no source of income. What that would have meant is that once
- 15 | IPLS went bankrupt, the Department of Agriculture would have
- 16 taken charge of those bonobos and they would have put them in a
- 17 | sanctuary somewhere and they would no longer be available for
- 18 research because you can't do that kind of research in a
- 19 | sanctuary.
- 20 We were scared to death that we were going to lose the
- 21 | bonobo community for the citizens of Iowa. We had to have
- 22 | science in order to sustain the facility. Nobody wanted to
- 23 offend or insult Sue in any way, still don't; but she wasn't
- 24 | functioning.
- 25 Q. So you wanted to bring in an assistant --

- 1 A. We needed -- we had to bring in somebody.
- 2 Q. To make sure that her life work continued forever, is that
- 3 | right?
- 4 A. That was my intent, yes.
- 5 | Q. And you told Dr. Jared Taglialatela that the purpose of this
- 6 transition was to make sure that Dr. Savage-Rumbaugh's life work
- 7 | continues forever, is that right?
- 8 A. I told Carmen that my recommendation was that we proceed on
- 9 | this plan. She circulated it to the board, I assume, and I'm
- 10 | not directing the facility. All I'm trying to do is advise
- 11 them. I'm saying this is a plan that I think would work.
- 12 Q. So you were counsel for IPLS at the time?
- 13 A. Yes.
- 14 | Q. And you advised -- and this was your advice to them, right?
- 15 A. Yes.
- 16 Q. And if I could direct you back to Exhibit 30. If you could
- 17 | please turn to page 2, the last full paragraph in the e-mail
- 18 | that you authored, starting at the second sentence, you said,
- 19 We want a strong team to make sure that her -- that is,
- 20 Dr. Savage-Rumbaugh -- "life work continues forever."
- 21 Is that right?
- 22 A. Yes.
- 23 | Q. And that's what you told to Dr. Taglialatela who was a
- 24 | recipient of that e-mail, right?
- 25 A. Assuming he read it, yes.

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        And even later in September of 2013 when Dr. Taglialatela
    was considering the nomination to be the Director of Science at
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    IPLS, you told him that you were trying to ensure that
 3
    Dr. Savage-Rumbaugh's world class work continues, right?
 4
        I don't recall telling him that, but I certainly would have.
 5
        I would like to identify Exhibit 37 for the record, which is
 6
7
    an e-mail authored by Mr. Simpson on September 27, 2013 to
8
    Dr. Taglialatela.
 9
              Mr. Simpson, are you at Exhibit 37?
10
   A. Yes.
11
              MR. MILLER: Your Honor --
12
    BY MR. NEIHAUS:
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    Q. And you wrote this e-mail, is that right?
14
              MR. MILLER: Excuse me, Counsel. Point of order.
15
    apologize.
16
              I don't believe we received Exhibit 37 from you folks,
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    so if I could just see a copy, if we could take a moment.
18
              THE COURT: Certainly.
19
              (Pause.)
20
              MR. NEIHAUS: And just to correct the record, we
21
    believe that we did provide counsel with Exhibit 37. And,
22
    Counsel, after our discussion, I don't know if you want to
    acknowledge that you did at some point receive that exhibit.
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MR. MILLER: Mr. Neihaus is saying we made some

objections to it in the pretrial order, so if we did, we

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Α.

Page 1.

- 1 Q. The first paragraph in your e-mail, about halfway through
- 2 | there's a sentence beginning "Sue and Duane."
- 3 Do you see that?
- 4 A. Yes.
- 5 Q. You told Dr. Taglialatela on September 27, 2013, that Sue
- 6 and Duane, together with many other scientists from around the
- 7 | world, including yourself, have contributed so much to the
- 8 | science of today, we want to make sure that this world class
- 9 | work continues.
- 10 That's what you told Dr. Taglialatela, is that right?
- 11 A. Yes.
- 12 THE COURT: I do note that the exhibit has certain
- 13 comments about the content. They're numbered 13, 14 and 15. I
- 14 | think in fairness to the witness we ought to indicate whether or
- 15 | not those are his words or who wrote those things there.
- 16 BY MR. NEIHAUS:
- 17 Q. Mr. Simpson, if you look on page 1 you see at the top in
- 18 | bold, starts 13 and then says September 27, 2013 with a
- 19 description.
- 20 That's not your writing, is it?
- 21 A. No.
- 22 | Q. And then if you look on the next page, the other numbered
- 23 | paragraphs, those were not in your writing either?
- 24 A. The bold language, no.
- THE COURT: I think so the record is clear where

somebody has editorialized, I think it's fair that I'm not going to consider the numbered comments in the exhibit.

MR. NEIHAUS: Thank you, Your Honor.

4 BY MR. NEIHAUS:

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- Q. Mr. Simpson, I would like to ask you some questions about being a registered agent in Iowa. What is the purpose of a registered agent in the state of Iowa?
- A. In the first place, it's a source of contact to the public. 8 9 So if you want to access that company, you serve the registered 10 agent. The Iowa Secretary of State's office also maintains a register for all corporations licensed to do business in the 11 state of Iowa, and they require a registered agent so that not 12 13 only they have a contact source, but so that they can look to 14 that contact source to keep the reports current so that the 15 corporation continues to exist.

In our office we go beyond that, we serve as registered agent for 2,000 companies and many doing business in Iowa from outside the state, and so our objective is to preserve their existence. For our own clients I probably have -- I've created several thousand corporations, but we maintain the minute books for about 400 corporations and 200 limited liability companies that we go further than that and try and maintain their minutes to the best that they'll allow us to do it.

Q. So you're very familiar with the Secretary of State's

- 1 | requirements for filings of corporations, is that right?
- 2 A. Yes.
- 3 Q. At one point you asked Dr. Savage-Rumbaugh to be the
- 4 | registered agent for --
- 5 A. No.
- 6 Q. -- IPLS, is that right?
- 7 A. No.
- 8 Q. You never --
- 9 A. I wouldn't have done that. I don't know how that decision
- 10 | was made. We have a lot of clients that we represent where
- 11 | we're not the registered agent. That's the client's choice. We
- 12 offer the opportunity for our firm to do that.
- 13 Q. You provided Dr. Savage-Rumbaugh with the form to become a
- 14 registered agent, is that right?
- 15 A. No.
- 16 Q. You frequently had Dr. Savage-Rumbaugh sign forms on behalf
- 17 of IPLS, right?
- 18 A. Yes, because she was the director.
- 19 Q. And so forms such as a registered agent form, if you would
- 20 | have brought it to her, you would have expected her to sign?
- 21 A. I wouldn't have done that because that's not our policy. We
- 22 attempt to serve as registered agents for our clients if they'll
- 23 | allow us to do it, and probably half of them do.
- 24 | Q. So you keep track of who the registered agents are for your
- 25 | companies?

- 1 A. No.
- 2 Q. You do not keep track of who the registered agents are for
- 3 | your companies?
- 4 A. No. That's a decision for the company to make. I keep
- 5 | track of the clients that we're the registered agent of.
- 6 Q. You offer your clients to serve as their registered agents,
- 7 | right?
- 8 A. Given the opportunity I do that, yes.
- 9 Q. You spoke with Dr. Savage-Rumbaugh many times in 2011 and
- 10 | 2012, is that right?
- 11 A. Certainly, but not about that subject.
- 12 Q. You never brought that up to her, did you?
- 13 A. I probably wouldn't. I mean, that's --
- 14 Q. Even though IPLS was your client?
- 15 A. That's true. I represent a lot of clients.
- 16 Q. As IPLS's counsel, didn't you have a responsibility to make
- 17 | sure that IPLS filed its biennial reports?
- 18 | A. Only if I'm registered agent.
- 19 Q. Well, Mr. Simpson, a few moments ago I asked you the
- 20 responsibilities of a registered agent, and you did not list
- 21 | filing a biennial report among them?
- 22 A. No; I did. I talked in terms of the Secretary of State's
- 23 | requirement that those documents be handled -- you know, filed
- 24 by the registered agent. I didn't use those words, but that's
- 25 | exactly what I meant.

- 1 Q. Have you ever filed a biennial report?
- 2 A. Have I ever filed an annual report for a corporation?
- 3 Q. Yes.
- 4 A. We file many of them every year. There are a lot of clients
- 5 | that don't want to pay us to do their annual reports.
- 6 Q. Mr. Simpson, you agree that IPLS was administratively
- 7 dissolved in August of 2013, correct?
- 8 A. Sorry, but I'm getting a beep in my ear. I can't hear
- 9 anything.
- 10 THE COURT: The microphone is off.
- 11 THE CLERK: The battery should have been okay, but
- 12 here is another set. You may need to adjust the volume.
- 13 BY MR. NEIHAUS:
- 14 Q. Mr. Simpson, can you hear me now?
- 15 A. Yes.
- 16 | Q. Do you agree that IPLS was administratively dissolved in
- 17 | August 2013, right?
- 18 A. I now know that to have happened, yes.
- 19 Q. You did not tell Dr. Savage-Rumbaugh, however, that IPLS had
- 20 been administratively dissolved, is that right?
- 21 A. I'm not -- this went off again.
- I'm not too sure she didn't tell me. The procedure is
- 23 | this: The registered -- do I have sound?
- 24 THE COURT: You don't have sound either. Sometimes if
- 25 | you hold -- if you jostle it a little, the thing at the bottom,

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                                                                   260
1
    it will work.
                              I think we've lost all the mics.
 2
              MR. STAMBAUGH:
              THE CLERK: The system is down.
 3
              THE WITNESS: Can you hear me now?
 4
 5
              THE CLERK:
                         I've let IT know.
 6
              THE COURT: Okay. We'll forge ahead. We'll do it the
7
    old fashion way. Everybody speak up and go slow.
 8
              THE WITNESS: Okay.
        (Continuing) Sometime in January the Secretary of State
10
    sends a postcard to the registered agent for all corporations
    that has a code on it that allows them to access the Secretary
11
12
    of State records so that they can file an annual report.
13
    simple process. It doesn't take long. I think there's a small
14
    filing fee.
15
              If that isn't done by March 31st, at that point in
16
    time, the Secretary of State starts preparing a report of all
17
    those corporations that haven't filed, and they forward that to
    the Iowa Attorney General's office. The 1st of August of that
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year the Attorney General then administratively dissolves corporations that haven't filed because they assume they've gone out of business.

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The Secretary of State then sends a letter sometime in mid August saying that this has happened to your corporation, it's a second reminder, so that if you didn't intend for that to happen or you missed the mailing -- it looks like bulk mail and

many clients simply throw it away, which is one of the reasons we offer that service for clients because we know what we're looking at and a client could easily miss it.

If that happens, when you get that letter from the Secretary of State saying you've been administratively dissolved, you then have a chance to do something about it.

My first notice that IPLS and Bonobo Hope had neither one filed their annual report was when Sue showed me a copy of those letters. I think it was Sue. Somebody did in the organization; but I was pretty sure it was Sue. At that time our procedure is to go back, determine what wasn't filed, prepare that document, prepare an application, send it with the fees to the Secretary of State and have it reinstated, in which case it's reinstated retroactively back to the time that it was administratively dissolved as if nothing had gone wrong.

I had Sue sign those documents. I think at that point in time I also had her sign something changing the registered agent to what we call Iowa Registered Agents, Inc., which is the corporation that's owned by my law firm that handles all of those registrations for at least 2,000 clients.

The problem then -- I prepared the documentation and I filed it with the Secretary of State. Bonobo Hope got reestablished. IPLS didn't because part of the process the Secretary of State goes through before they'll reform a corporation is they go to the Department of Revenue and

determine are there any liens against this corporation because they require those payments to be made before they'll reestablish the corporation.

Ted Townsend, when he was -- when his board was running IPLS, there's a quirk in nonprofit corporation law that allows them to opt out of paying unemployment taxes on the payroll of their employees. I think that was created by churches. I have no clue why on earth there should be an exemption. So no unemployment taxes were ever paid on the IPLS employees up through December 31, 2011. When Ted Townsend quit paying for all of those employees, they were let go, and many filed for unemployment.

What happens then is it made IPLS the self-insurer of those unemployment payments, and so Work Force Development in the State of Iowa, they paid them, but they filed a lien, and that lien at that time I think was approximately \$60,000. Well, at this point in time, we didn't have the money to pay the utility bill, let alone to pay \$60,000 to get the corporation reestablished. So we weren't able to do it.

The problem that that caused that I could see is that we no longer had a corporate shield around the Board of Directors and yet we've got a facility that we're trying to open to the public, and that made them personally liable for the risk of operating the Great Ape Trust. And so my recommendation to them at that time is that we need to create a new entity so you

- 1 | have a corporate shield and we need to have the facility owned
- 2 by that entity so that you don't have a big liability, either
- 3 | that or you've got to come up with the money to pay off this
- 4 lien so we can get your corporation reestablished.
- 5 BY MR. NEIHAUS:
- 6 Q. Mr. Simpson, one question about the lien. When did you
- 7 | first learn about that tax lien?
- 8 A. Tell me what?
- 9 Q. When did you first learn about that tax lien?
- 10 A. When I was shown the letter that came from the Secretary of
- 11 | State in August that said the corporation had been dissolved.
- 12 Q. Didn't you write a letter to Ted Townsend in March of 2012
- 13 asking for funds to pay the tax lien?
- 14 | A. I asked -- I knew what was going on because every time they
- 15 | make a payment, they send a statement and it was going to the
- 16 | corporation, and so I knew that the unemployment taxes for those
- 17 employees was an obligation of IPLS. I was worried about the
- 18 | liability of IPLS. It had nothing to do with the annual report.
- 19 Q. So you knew at least by March 2012 that IPLS had this
- 20 outstanding tax obligation, is that right?
- 21 A. Yes.
- 22 | Q. And you said you knew that from the corporate statements
- 23 | that you received, is that right?
- 24 | A. I knew it had a tax liability. I didn't know for sure that
- 25 | they had filed a lien, and once I tried to get IPLS

- 1 | reestablished, I found out that the lien was there, and it
- 2 | didn't surprise me; but it did say to me we either pay the lien
- 3 or we've got to create a new entity or you've got public
- 4 liability for everybody you allow on the premises, including the
- 5 people who are there maintaining the bonobos.
- 6 Q. You said you knew about the tax liability again before you
- 7 knew about the lien. You knew about the liability --
- 8 A. I knew about the unemployment lien -- or not lien, but the
- 9 unemployment obligation to Work Force Development.
- 10 | Q. How did you know about that obligation?
- 11 A. Because they send out a piece of paper every time they make
- 12 payments on a monthly basis to employees who have filed for
- 13 unemployment.
- 14 Q. Who sends out a monthly statement?
- 15 A. Work Force Development.
- 16 Q. And that's an entity of the State of Iowa?
- 17 A. It's the state agency that pays the unemployment.
- 18 | Q. How did you come to receive those statements?
- 19 A. They would be mailed to -- they probably were mailed to the
- 20 trust.
- 21 | Q. How did you then receive those statements from the trust?
- 22 | A. Somebody would have asked me what's this about, I'm sure, at
- 23 | least that would be my quess. I have no memory of it.
- 24 | Q. I would like to ask you about an event that occurred in
- 25 October of 2013. At that time the BHI board approved -- I'm

1 sorry.

- 2 In October of 2013, you're familiar that
- 3 Dr. Savage-Rumbaugh recommended that the IPLS board appoint
- 4 Drs. Jared Taglialatela and Bill Hopkins to positions with the
- 5 | facility?
- 6 A. They had recommended Jared. When Jared came to visit, he
- 7 | brought Bill Hopkins with him, and they decided they would split
- 8 | up the responsibilities between the two of them if they were to
- 9 accept. And if my memory serves me, we went back either to Sue
- 10 or to Duane and indicated that this is what they wanted to do.
- 11 And they thought that was great, and so they, in essence,
- 12 nominated both of them.
- 13 | Q. And in November of 2013, the BHI board approved
- 14 Dr. Savage-Rumbaugh's recommendations to appoint
- 15 Drs. Taglialatela and Hopkins, is that right?
- 16 A. Both boards approved it.
- 17 Q. You never told the BHI board before that vote that
- 18 | Dr. Savage-Rumbaugh had previously been kicked off the IPLS
- 19 | board, is that right?
- 20 A. I don't recall that; but I don't know why it would have been
- 21 | my responsibility to do that.
- 22 Q. And you never told the BHI board before November of 2013
- 23 | that Dr. Savage-Rumbaugh had been banned from the IPLS facility
- 24 by George Caudill, is that right?
- 25 | A. I thought that was a very temporary situation. Dr. Rumbaugh

had not been there since April. All of a sudden she showed up at the facility because young Teco apparently was ill, and she, in essence, took charge. And the result of that was I get a call from George Caudill saying, the director of the facility and the veterinarian are both quitting. What do we do?

And I said, tell me what's going on.

And he said that Sue had come in there and was undermining -- she took charge. She was undermining their authority, and both of them were upset. I have a home down in Lake of the Ozarks and I was down there. There wasn't anything that I could do. But he was concerned, and he knows that at least I can talk with Sue and hopefully she would listen, and what he wanted was that she back off because the tidal wave that was being created by her simply showing up and taking charge was more than he could manage at that point. I think this was over a weekend.

I explained to Sue three different ways that her behavior was causing problems and that we needed to modulate what she was trying to do. Nobody was trying to kick her out.

- Q. When did you explain this to Sue?
- A. Oh, heavens, I probably spent 20, 30 hours that weekend back and forth in conversations with a lot of people. I tried to —the best analogy that I can give to the situation at that point, we were operating with volunteers, and these are people that are there because they loved the bonobos and they're willing to work

- 1 | for them for nothing. We -- my best analogy I used -- I have 30
- 2 | years in the military, and I used the military context which
- 3 hopefully makes sense out of what I was trying to say.
- 4 | Everybody there would have known who Sue Rumbaugh was. She's a
- 5 | world famous name. I characterized her as if she were a general
- 6 and she's come down to a company level organization where we've
- 7 | got a captain that's in charge and we've got all of these
- 8 | workers, and she was doing things directly with the workers that
- 9 | were in contradiction to the instructions that they had had from
- 10 | their captain.
- 11 | Q. This captain that you're referring to is George Caudill, is
- 12 | that right?
- 13 A. No. It was Julie Gilmore and Steve Boers.
- 14 Q. Wasn't George the president of IPLS at the time?
- 15 | A. Yes, but he's not running the facility and --
- 16 | Q. Wasn't George the individual who made the decision to ban
- 17 | Sue from the lab on November 9th?
- 18 A. You're way ahead of me in the story.
- 19 Q. Sir, I would just like you to answer this question.
- 20 A. He's the one that acted. With the corporation, you have the
- 21 member group that owns it. With IPLS, the members and the board
- 22 are identical. You have the board that makes the policy
- 23 decisions, and then you have the officers that carry out the
- 24 work of the company. The work of the company would be by the
- 25 directors, and the directors in this case were -- Julie is the

- 1 | veterinarian. At that time she probably was director of the
- 2 | facility -- no. Steve Boers was still director of the facility,
- 3 | but --
- 4 Q. But, Mr. Simpson, my question is George Caudill, the chair
- 5 of IPLS at the time, is the one who made the decision to ban Sue
- 6 | from the laboratory, isn't that right?
- 7 A. That was the only solution that he could come up with that
- 8 | solved the problem that he was dealing with that would keep
- 9 Julie Gilmore and Steve Boers working in the facility.
- 10 Q. And you thought he was overreacting, right?
- 11 A. I didn't know. I knew that there was havoc going on. I
- 12 | wasted a whole weekend on the phone talking to people.
- 13 Q. But you felt George Caudill was overacting by banning Sue
- 14 from the lab and you told him that, right?
- 15 A. Probably.
- 16 Q. Do you doubt whether you told him that?
- 17 A. I can't remember, but I --
- 18 Q. Mr. Simpson, please --
- 19 A. That to me is kind of a final solution. It was temporary.
- 20 All he was trying to do is not lose his people.
- 21 Q. Mr. Simpson, if I could please direct your attention to the
- 22 transcript of your deposition, which I will get from the side
- 23 | for you.
- 24 At this time I would like to direct your attention to
- 25 page 122, starting at line 21.

Mr. Simpson, isn't it true in your deposition you were asked the following question and gave the following answer:

- "Q. Was it your understanding that as of the afternoon of Sunday, November 10, that Sue had been banned from the lab and had been dismissed from her position as director of science by George?
- "A. I don't know when that occurred. He told me that he did it. I think he felt that that was the only emergent thing that he could do at that time to get order again and not lose his key people. But whether he overreacted, which is kind of what I told him -- I says 'You've got to have a better solution.' But, you know, I wasn't there."

Did I read that transcript correctly?

- 14 A. It would sound like something I would have said under those 15 circumstances.
- 16 Q. Mr. Simpson, did I read -- could you please just answer --
- 17 A. It is what I said, yes.
- 18 | Q. Mr. Caudill, who made the decision to ban Sue from the lab,
- 19 is the same one, is the same individual who previously told Sue
- 20 | that with complete confidence she will always be welcome to
- 21 rejoin the bonobos and continue her research as she sees fit,
- 22 | isn't that right?

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- 23 A. No one had ever seen this form of behavior from Sue before.
- 24 | Q. You testified that Sue returned to take care of the bonobos,
- 25 | right, to take care of Teco because she felt he was sick?

- 1 A. Nobody had seen Sue for six months. When she showed up, she
- 2 acted like she was still in charge of the facility, and that was
- 3 more than the people that were in charge of the facility could
- 4 deal with. That was never contemplated. It's not saying that
- 5 | from her perspective she was wrong. I'm not sure what
- 6 information she had that she was acting on.
- 7 Q. So Dr. Savage-Rumbaugh was kicked out of the lab on
- 8 | November 9, 2013, and after that time, later in that same month,
- 9 | the BHI board accepted Sue's recommendation to appoint
- 10 Drs. Jared Taglialatela and Bill Hopkins in a vote, isn't that
- 11 | right?
- 12 A. Yes.
- 13 | Q. And before that vote occurred, you never told the members of
- 14 | the BHI board that Dr. Savage-Rumbaugh had been banned, isn't
- 15 | that right?
- 16 A. That wasn't my obligation to tattle on Sue. My guess is --
- 17 Q. And you didn't tell the BHI board members that she had been
- 18 | banned?
- 19 A. I had no responsibility to tell them that.
- 20 Q. So you didn't do that?
- 21 A. Of course not. I'm not trying to air dirty laundry for Sue
- 22 | publicly.
- 23 | Q. And you never told the BHI board --
- 24 A. That wasn't a permanent ban, by the way. It was to get her
- 25 out of the facility that weekend.

- Q. So you expected that she would be back?
- 2 A. Of course.

- 3 Q. And before that vote which appointed Drs. Jared Taglialatela
- 4 and Bill Hopkins, you never told the BHI members that
- 5 Dr. Savage-Rumbaugh had been kicked off of the IPLS board, isn't
- 6 | that right?
- 7 A. That I don't remember because, keep in mind, I don't attend
- 8 their meetings. I heard from George at some point in time, and
- 9 | I cannot now remember when, that -- we had a provision in the
- 10 bylaws that if you missed three meetings without an accepted
- 11 excuse, that you, in effect, had resigned. We also had a
- 12 provision in the bylaws that the majority of the board could
- 13 remove another director if necessary. For some reason or
- 14 another in the middle of the summer, they got tired of
- 15 | attempting to keep Sue informed because she was never responding
- 16 to anything. They sent Julie Gilmore over to her house, and she
- 17 | wouldn't let her in even. I talked to several people, Liz and
- 18 | Shawn, I think, relatives of Sue. I understood that Sue was
- 19 dealing with depression. I've seen Sue before when she deals
- 20 | with depression. She gets catatonic. And there was no way to
- 21 | contact her, and so they got tired of trying, and they simply
- 22 agreed that, okay, we won't consider her a board member.
- 23 | Q. And that was in the summer?
- 24 A. Somewhere in the summer, and I can't tell you when, but I --
- 25 Q. So when the vote came in November of 2013 to appoint

- 1 Drs. Taglialatela and Bill Hopkins, before that you never told
- 2 | the BHI board members that Dr. Savage-Rumbaugh had been kicked
- 3 off the IPLS board, right?
- 4 A. I had no obligation to do that.
- 5 Q. So you didn't do it?
- 6 A. Of course not. That's not -- I'm not trying to defame Sue
- 7 | in any way. She could tell anybody she wanted to.
- 8 Q. Sue's being banned from the facility and kicked off the
- 9 | board, that ruined your weekend, right?
- 10 | A. We didn't think she -- I wouldn't use the term she was
- 11 kicked off the board. I think she simply ceased to participate.
- 12 Q. When Sue was banned from the lab, that ruined your weekend,
- 13 right?
- 14 A. Tell me again?
- 15 Q. When Sue was banned from the lab on November 9, 2013, that
- 16 | ruined your weekend, right?
- 17 A. Yes.
- 18 | Q. You were on the phone with everybody you said?
- 19 A. I would say that --
- 20 Q. You were on the phone with people frequently discussing the
- 21 | situation, right?
- 22 | A. I'm trying to figure out how we not lose all of the people
- 23 | that were working there.
- 24 Q. George you felt was overreacting?
- 25 A. That would be my guess, yes.

- 1 | Q. And only a few days later when BHI held its vote to appoint
- 2 Drs. Taglialatela and Bill Hopkins, you did not think it was
- 3 | important to tell the BHI board members what had happened?
- 4 A. No. I don't think that's my responsibility. Sue was on the
- 5 BHI board. She could tell them anything that she wanted to.
- 6 But why would I make her look bad to her own board?
- 7 | Q. Now, moving to December 18th of 2013, IPLS passed a
- 8 resolution acknowledging that Dr. Savage-Rumbaugh had been
- 9 kicked off the board in July of 2013, right?
- 10 A. They claimed that that had happened. I've never seen
- 11 anything documenting that. To me that's an important issue. So
- 12 | I advised the board that that's something that they needed to
- 13 record if that, in fact, happened.
- 14 Q. But you never told Dr. Savage-Rumbaugh in July of 2013 or in
- 15 December of 2013 -- strike that.
- But you didn't tell Dr. Savage-Rumbaugh in December of
- 17 | 2013 that IPLS had passed that resolution, did you?
- 18 A. I don't recall.
- 19 Q. Mr. Simpson, I would now like to ask you some questions
- 20 about events that occurred on December 18, 2013 regarding the
- 21 establishment of Ape Cognition and Communication Institute,
- 22 ACCI.
- 23 On December 18, 2013, you sent an e-mail to
- 24 Mr. Zifchak, who was counsel for Dr. Savage-Rumbaugh at the
- 25 | time, isn't that right?

- 1 A. Yes.
- 2 Q. You sent this e-mail at 6:23 p.m., on December 18th of 2013,
- 3 | right?
- 4 A. Right.
- 5 | Q. And in that e-mail you told Mr. Zifchak that you were
- 6 considering forming a new entity to assume ownership of the
- 7 bonobos, right?
- 8 A. I'm not sure that I said -- I'm not sure what I said in it,
- 9 | but I was informing him -- in the first place, I had been trying
- 10 to talk Bill Zifchak, as well as Julie Flattery, into becoming
- 11 counsel for Bonobo Hope. I had been looking for somebody to
- 12 | replace -- I would love to have somebody replace me with both
- 13 organizations. I've got over \$300,000 worth of my time invested
- 14 pro bono, and I can't afford to keep this up; but I've never
- 15 | been able to find anybody who would accept the responsibility.
- 16 And the problem that you've got in what I do is you just can't
- 17 | walk away, but --
- 18 | Q. But this e-mail that you wrote to Mr. Zifchak --
- 19 A. So I think I told him -- I can't remember, but I had a
- 20 | conversation with him on the telephone, and he was reluctant to
- 21 get involved. And I don't think he felt he was involved at that
- 22 | point in time. In fact, I think he was thinking of retiring.
- 23 | Q. When was this conversation on the telephone?
- 24 A. Earlier in December 2013. I would have to go back to my
- 25 | time record to determine that, but -- so I was simply putting

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1 | him on notice that we had filed -- in fact, I probably wrote
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- 2 | that message before they made the decision and we went ahead and
- 3 | filed it because I didn't know what the name was going to be
- 4 until they were in the middle of this meeting, and I wanted to
- 5 | put him on notice that because IPLS, we were not able to get it
- 6 reconstituted, we had to create a new organization, because I
- 7 | didn't want him to be surprised about that, and what I really
- 8 needed to know was on the basis of the lawsuit, is that
- 9 something we needed court approval.
- 10 Q. So let me make sure I'm understanding your testimony
- 11 correctly. We have this e-mail here of December 18, 2013 that
- 12 | you have acknowledged sending to Mr. Zifchak. Your testimony is
- 13 earlier in the month you had actually called Mr. Zifchak --
- 14 A. Yes.
- 15 Q. -- and had a discussion about IPLS and BHI?
- 16 A. Yes.
- 17 Q. You sure of that?
- 18 | A. That's my memory.
- 19 Q. Mr. Simpson, I would now like to direct your attention to
- 20 | Exhibit 59, which pursuant to the pretrial order is a category A
- 21 and has been admitted into evidence.
- 22 Mr. Simpson, are you on Exhibit 59?
- 23 A. Yes.
- 24 | Q. Is this the e-mail that you wrote to Mr. Zifchak on
- 25 | December 18, 2013?

- 1 A. Yes.
- 2 Q. And you start the e-mail, "Bill, a voice out of your past"?
- 3 A. Yes. He and I had been --
- 4 Q. You were contacting Mr. Zifchak after not having been in
- 5 | contact for a long period of time? That is why you are the
- 6 voice out of the past?
- 7 | A. Well, he and I have had a lot of conversations ever since I
- 8 | first got involved.
- 9 Q. But if you had spoken to him a week prior, you wouldn't have
- 10 | written "a voice out of your past"?
- 11 A. That's probably true. I can't tell you when I talked to him
- 12 before.
- 13 Q. I'm sorry?
- 14 A. I can't tell you exactly when I talked to him before. I was
- 15 | more than frustrated when I found out we didn't have a
- 16 | corporation.
- 17 Q. So you did not actually speak to him on the phone in the
- 18 | weeks before sending this e-mail?
- 19 A. My memory tells me that at some point in time after I found
- 20 out I had a problem with IPLS and needed to form another
- 21 organization, that I needed to talk to Bill.
- 22 Q. And then the second sentence of the e-mail says, "I have a
- 23 | very novel question to ask you."
- 24 A. Yes.
- 25 Q. Do you see that?

- 1 A. Yes.
- 2 Q. So this is the first time you're bringing the issue to him?
- 3 A. This is the first time I what?
- 4 | Q. This is the first time you're bringing the issues mentioned
- 5 | in the e-mail to him? That's why it's novel?
- 6 A. That's probably right, yes.
- 7 | Q. And in this e-mail you told Mr. Zifchak that you were
- 8 | considering forming a new entity, isn't that right?
- 9 A. Yes.
- 10 Q. And that new entity was to assume ownership of the bonobos,
- 11 | isn't that right?
- 12 A. Yes.
- 13 Q. You said that IPLS at that time was subject to a \$75,000 tax
- 14 | lien from the State of Iowa that it could not pay, right?
- 15 A. It had gone up with interest, yes.
- 16 | Q. And that the entity had been administratively dissolved and
- 17 | you could not reinstate it, is that right?
- 18 A. Yes.
- 19 Q. You said that this new entity would assume IPLS's
- 20 responsibility for all of IPLS's assets, including the bonobos,
- 21 | but not responsibility for the tax lien, right?
- 22 A. There's other debts that Ted Townsend had left with IPLS.
- 23 | And what I was trying to do was walk the line of fulfilling the
- 24 | obligation to the bonobos without bringing all of the history of
- 25 Ted Townsend's organization with it.

- 1 Q. So the new entity would assume all the assets of IPLS but
- 2 | not the debts?
- 3 A. That's the point. Then it had a different board, and that
- 4 | was protection from creditors.
- 5 Q. Well, it had the same board, right?
- 6 A. Well --
- 7 Q. All of the members of the ACCI board were the members of the
- 8 IPLS board?
- 9 A. Yes, most of them.
- 10 Q. And in this e-mail you asked Mr. Zifchak if he thought that
- 11 | you required federal court approval to do this transaction,
- 12 | right?
- 13 A. That was my question. I wasn't part of the lawsuit, so I
- 14 | really didn't know the content of what the issues were.
- 15 | Q. So you were asking Mr. Zifchak if this transaction required
- 16 | the court's approval?
- 17 A. Yes.
- 18 | Q. Mr. Simpson, you really did not want to know whether you
- 19 | needed this court's approval, did you?
- 20 A. That was my reason for the question.
- 21 Q. Isn't it true that by the time you wrote this e-mail first
- 22 | informing Mr. Zifchak that you were considering forming a new
- 23 | entity, that new entity, ACCI, in fact, already had been formed?
- 24 | A. I had written this message before. I didn't send it until
- 25 | afterward.

- 1 | Q. Isn't it true that by the time you sent this e-mail, the new
- 2 | entity discussed --
- 3 A. It had been formed about three hours ahead of this message
- 4 going out.
- 5 | Q. So ACCI, in fact, already was formed by the time you sent
- 6 | this e-mail?
- 7 A. Yeah. I didn't go back and correct that language. That
- 8 | wasn't -- to me that wasn't relevant.
- 9 Q. More than that, by the time you sent this e-mail to
- 10 Mr. Zifchak, IPLS, in fact, already had transferred the bonobos
- 11 to ACCI, isn't that right?
- 12 A. It had agreed to do it.
- 13 Q. It had signed the bill of sale agreeing to transfer the
- 14 | bonobos, right?
- 15 | A. The bill of sale memorialized the decision they made in that
- 16 | board meeting.
- 17 Q. The board meeting --
- 18 A. On December 18th.
- 19 Q. So you're telling me there was a board meeting --
- 20 A. There was a physical board meeting in my office, I attended
- 21 | probably 10 percent of it, in order to get the administrative
- 22 | functions decided. They met there all day. December is not a
- 23 | month that I would give up pro bono to anybody because we do
- 24 | twice the volume in December as we do in any other month of the
- 25 | year, and we have fewer days to do it in because of holidays.

- 1 | And so I said if you need to have me present for part of your
- 2 | meeting, then you're welcome to meet in our office if you would
- 3 like to do that. I need to have some specific questions
- 4 | answered, and that was one of them.
- 5 Q. So that was 9:00 a.m., on December 18th of 2013, right?
- 6 A. Where do you get the 9:00 a.m.? Is that on the minutes?
- 7 Q. I'm asking you from your memory. The meeting occurred at
- 8 9:00 a.m.?
- 9 A. It probably would have started at that point in time. It
- 10 | went all day. I spent an hour of that time with them probably
- 11 at best.
- 12 Q. And in that meeting ACCI accepted -- strike that.
- And in that meeting ACCI passed a resolution agreeing
- 14 to receive the bonobos from IPLS, right?
- 15 A. Yes.
- 16 Q. And it memorialized that in a bill of sale, correct?
- 17 A. Yes.
- 18 | Q. That all occurred before you sent your e-mail?
- 19 A. Yeah, that's probably right. Because I sent the e-mail only
- 20 after the meeting was over. I didn't want to send the e-mail
- 21 until I knew the decisions had been made. I wasn't trying to
- 22 make the decisions for them, but I --
- 23 | Q. You did not seek this court's approval before doing the
- 24 | transaction, isn't that right?
- 25 A. No. But then I didn't look at myself as even being a part

- 1 of this court's action. IPLS was represented by Paul Burns. I
- 2 | hadn't even talked to him.
- 3 Q. So before this transaction occurred, you did not ask
- 4 Mr. Zifchak his opinion about whether court approval was
- 5 required?
- 6 | A. Well, I didn't know. I didn't ask him that question until I
- 7 | knew they made the decision to do it. My concern, what I was
- 8 doing at that time was protecting the liability of these board
- 9 | members from the public, and I had to have a corporate shield.
- 10 | Now, in a business you make decisions collectively in board
- 11 | meetings. You document all of those things typically sometimes
- 12 | quite a ways afterwards. Sometimes you never document it until
- 13 all of a sudden you're forced to.
- 14 Q. Clearly you had the idea in your head that this transaction
- 15 | might require court approval, right?
- 16 | A. I didn't know. It was a question that was raised in my mind
- 17 and I was worried about it.
- 18 | Q. And you were counsel to IPLS and ACCI when it was
- 19 established at the time?
- 20 A. Yes.
- 21 | Q. What if the transaction did require court approval?
- 22 | A. Then I needed to get somebody who was going to submit it to
- 23 | the court so it could get approved.
- 24 | Q. And you never looked into that before the transaction
- 25 occurred?

- 1 A. No. It was not on my mind until all of a sudden I'm trying
- 2 to put all the pieces together and I'm saying this is one that I
- 3 | don't know the answer to.
- 4 Q. Mr. Simpson, didn't you testify that you drafted the e-mail
- 5 to Mr. Zifchak several days before you actually sent it?
- 6 A. I did this -- I'm not sure in terms of timing --
- 7 Q. You said you drafted it at one point and then sent it in at
- 8 a later point?
- 9 A. That's right.
- 10 Q. Is it fair to say there was at least a day in between those
- 11 | two then?
- 12 | A. That was --
- 13 Q. You wrote the e-mail at least a day before you sent it?
- 14 A. That was pro bono time. I've got a whole bunch of clients
- 15 | backed up wanting things done by December 31st for tax reasons.
- 16 | I fit things in pro bono when I can. I typically work 7:00 to
- 17 7:00, half days, but at that point in time I was working until
- 18 | 9 o'clock at night, and at my age it's getting hard to do.
- 19 Q. And I would like to ask you some questions about the Yerkes
- 20 Regional Primate Research Center. Are you familiar with that
- 21 | entity?
- 22 A. Other than the fact I've heard the name and I know that
- 23 | Duane Rumbaugh had something to do with setting it up, and I
- 24 | know it's in Georgia; but beyond that, I have very little
- 25 | knowledge about it.

Q. Isn't it true that in order for ACCI to acquire bonobos from the Yerkes Regional Primate Center at this point or anytime after it was formed, Dr. Savage-Rumbaugh and Dr. Duane Rumbaugh cannot be associated with ACCI in any way?

A. I was told -- and probably by one of the Rumbaughs -- that they had had problems when they were exiting Georgia to bring the bonobos to Iowa, and Yerkes was mentioned at that point, I think probably by Duane because most of my conversation about that was with him. So I knew that there was some issues.

What was happening, because of the research that Sue has done with these bonobos, she has shown that the difference between the bonobo and the humans is very narrow. Genetically it's a percent-and-a-half. She showed that these bonobos have feelings and aspirations that are close to human. That caused people that were using apes to do medical research to have a real hiccup because now all of a sudden you're doing bad things to people -- you know, to animals that have the same kind of feelings that we do.

And so as a result of that, the Director of the National Institute of Health, being sympathetic to Sue's view, determined that no longer can we do biomedical research with apes. The problem that that causes is that they owned, I don't know, close to 500, I assume, chimpanzees around the world that were being used for medical research that they no longer had a need for. Yerkes has quite a few chimpanzees. There is a bill

that passed Congress that said that the NIH chimpanzees could be furloughed, and there was a -- if my memory serves me, something like \$15,000 a year retirement fund for chimpanzees to cause people to be willing to take them. That's never really been funded, but that was the first half of the legislation.

Yerkes owned a bunch of these chimpanzees. They simply wanted to get rid of them, and they were willing to pay money up front instead of the \$15,000 a year for somebody to take them. We've got a facility at the Great Ape Trust that's not being used that was originally used for the gorillas, but when Ted quit funding them, the gorillas were moved. So it's an empty building and it could house I've been told about 20 chimpanzees. If we got the Yerkes money up front, we would have money then that we could pay the utility bills out over the course of the winter, which is what everybody was worried about, and continue paying some of the salaries for key people.

Jared and Bill Hopkins have access and a relationship with Yerkes and were a source of the potential of bringing these bonobos to Des Moines and we were hoping right now. Because of Sue's prior history, my understanding at that point was that they wanted assurance that this was not a facility Sue was still running. And so, consequently -- and I can't remember in terms of exact timing, but I told Sue this was a pending problem. And she offered to go down there and clean up her relationship with the folks in Georgia. I suggested that she not do that at that

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1
   point in time because I didn't think anybody should get between
 2
    Jared and Bill if they were capable of bringing them here and,
    you know, cause problems because I was -- my concern at that
 3
   point in time for the organization, looking at it from the
 4
    outside, was that if they don't have the source of funding to
 5
 6
   pay the heating bill, this organization is not going to be here.
   And so that was the imminent problem at that time, and Sue was a
 7
    component of it because I had understood that they at least
 8
    wanted assurance that she wasn't running the facility or they
10
    weren't going to give the chimpanzees to them. That was my
11
    knowledge at that point.
12
    Q. If I could please, I would like to identify for the court
13
    Exhibit 38.
14
              Mr. Simpson, if you could please turn to Exhibit 38.
15
   Α.
       Yes.
16
       Do you recognize this document?
17
    Α.
       Yes.
18
       What is this document?
19
       This is -- once this lawsuit was going forward, I said to
    Α.
20
    George Caudill, tell me what it is that Yerkes actually said.
```

What's causing the problem? Why are we dealing with this issue

said the first question in the application that IPLS or now ACCI

would have to submit to Yerkes to get these chimpanzees, this

And this was sent to me in an e-mail from George.

21

22

23

24

25

with Sue?

- 1 | was the first question that they asked and --
- 2 | Q. And the question -- I'm sorry, continue.
- 3 A. Tell me what?
- 4 Q. I'm sorry, I didn't want to cut you off.
- 5 A. No. I was just saying that this was far more descriptive
- 6 | than anything that I had understood before then and, frankly, I
- 7 | didn't know that it was intended to include Duane; but,
- 8 | obviously, he lives in New Jersey and so that's not really an
- 9 | issue, but --
- 10 | Q. And these questions that were on the questionnaire, the
- 11 | first one is, "Are either of the Rumbaughs associated with your
- 12 | sanctuary in any way at this time, " right?
- 13 A. That's the first question. And then the second part of that
- 14 | question is, "Can you guarantee they will have no more
- 15 | involvement?" And that's what apparently Jared and Bill Hopkins
- 16 | were faced with.
- MR. NEIHAUS: At this time I would offer Exhibit 38
- 18 | into evidence.
- 19 (Defendants' Exhibit 38 was
- 20 offered in evidence.)
- MR. MILLER: Objection, Your Honor. Keeping in mind
- 22 | your prior statements with respect to hearsay, I do think this
- 23 | has a different quality. It is hearsay and I would reassert
- 24 | that objection.
- 25 THE COURT: I will receive it, subject to the

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1
    objection again. I don't think it has any probative value for
    the truth of the matter asserted, merely evidence of a question
 2
    that was asked; but it's received, subject to the objection.
 3
                                   (Defendants' Exhibit 38 was
 4
 5
                                  received in evidence.)
 6
              THE COURT: I think what we'll do since we're paused
7
   here is we'll take our mid-morning recess. We're in recess for
    15 minutes.
 8
 9
              (Recess at 10:35 a.m., until 10:55 a.m.)
10
              THE COURT: Please be seated.
11
              Counsel.
12
    BY MR. NEIHAUS:
13
    Q. Mr. Simpson, before the break we were discussing the Yerkes
14
    Regional Primate Research Center and the restrictions it put on
15
    Dr. Sue Savage-Rumbaugh having an association with ACCI or
16
    formerly IPLS. If it wants bonobos from Yerkes, then ACCI or
17
    formally IPLS could not even say that Dr. Savage-Rumbaugh had
18
    taken a leave of absence, right? It had to say that she has
19
    retired?
20
   A. I don't really know what they would have to say for Yerkes
21
    to accept. All I know is that this is the statement that was
22
    shown to me in the last few months. I've never seen the
23
    application.
24
    Q. You are aware of the Yerkes restrictions prior to the last
25
    few months, right?
```

- 1 A. I was aware of the fact that they were concerned about Sue
- 2 for some period of time.
- 3 Q. I would like to identify for the record Exhibit 51, which is
- 4 | an e-mail from Lyle Simpson to Dr. Savage-Rumbaugh dated
- 5 December 12, 2013.
- 6 Mr. Simpson, are you looking at that exhibit?
- 7 A. Yes.
- 8 Q. This is the e-mail that you wrote?
- 9 A. Yes, uh-huh.
- 10 Q. And in the second paragraph of the e-mail you say, "The only
- 11 | real problem that I have with what you have outlined is that we
- 12 | must say that you have 'retired' from IPLS in order to be able
- 13 to answer the Yerkes questionnaire honestly."
- 14 A. That was my understanding. That's what was told to me.
- 15 | Q. You continue, "We cannot say that you have taken 'a leave of
- 16 | absence' if we want to acquire Yerkes' chimpanzees which Jared
- 17 and Bill wish to bring to Des Moines."
- 18 That was your understanding at the time, right?
- 19 A. That's what I was told. I'd never seen the reason for it.
- 20 Q. And then you continue, "That does provide immediate capital
- 21 so it is an avenue the IPLS board must pursue."
- That was your understanding at the time, right?
- 23 A. Yes.
- 24 | Q. IPLS's only option was to procure chimpanzees from Yerkes so
- 25 | it could get funding, isn't that right?

- 1 A. That was the immediate source of funding that was apparent
- 2 to the board at that time. It's obviously not happened yet.
- 3 Q. And you felt that was the only option IPLS had?
- 4 A. We were moving into winter. There's no money to pay the
- 5 utility bills. We didn't see any other source of funding at
- 6 that time.
- 7 | Q. And IPLS did have another option, right?
- 8 A. Which was move the bonobos?
- 9 Q. Under the settlement agreements, if IPLS could not procure
- 10 | the funding it needed to sustain itself, then Dr. Sue
- 11 | Savage-Rumbaugh and BHI had the right to relocate the bonobos,
- 12 | right?
- 13 A. And then the people of Iowa lost one of the most valuable
- 14 research tools in the world today.
- 15 Q. But that was an option that was available, right?
- 16 A. It's an unacceptable option.
- 17 Q. Dr. Sue Savage-Rumbaugh would be able to continue her life
- 18 | work that way?
- 19 A. I don't know that.
- 20 MR. NEIHAUS: I would like to offer Exhibit 51 into
- 21 | evidence.
- 22 (Defendants' Exhibit 51 was
- 23 offered in evidence.)
- MR. MILLER: I again object on the basis of hearsay,
- 25 | Your Honor, noting I understand your prior ruling on that.

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 1
              THE COURT: 51 is received, subject to the objection.
                                   (Defendants' Exhibit 51 was
 2
                                   received in evidence.)
 3
 4
              MR. NEIHAUS:
                            Excuse me for one moment, Your Honor.
 5
              (Pause.)
 6
   BY MR. NEIHAUS:
7
       Mr. Simpson, what measures, if any, has ACCI taken to date
    to deal with the threat of flooding at the lab?
 8
        I can't answer that question.
10
        Do you know of any measures that ACCI has taken to deal with
    the threat of flooding at the lab?
11
12
        I know that this is an issue that they've addressed.
    not know what decisions have been made.
13
14
       What measures, if any, has ACCI taken to test the toxicity
    of the groundwater at the lab?
15
        I have no way of knowing that.
16
    Α.
17
       Has IPLS been reinstated to date?
        It's a de jure corporation today. I mean, simply because
18
19
    you lose your charter with the state so you're no longer a
20
    de facto corporation, which means that a lot of the laws that
21
    protect corporations apply, doesn't mean the organization itself
22
    ceases to exist. It just changes character, and it's running --
23
    it's still -- there are aspects of IPLS that you would have to
24
    say still are in existence today.
25
    Q. You agree --
```

- 1 A. It's not an operating company.
- 2 | Q. IPLS today is not an operating company?
- 3 A. Not in the sense that it's -- it's running parallel. It has
- 4 | the same board that ACCI has, and so when one board meets,
- 5 technically both boards are meeting; the same kind of issue we
- 6 | had when Bonobo Hope and IPLS were joined together. But IPLS
- 7 | itself is a fictitious name now, as far as the state is
- 8 | concerned, of ACCI, and so it's still a functional organization
- 9 under the guise of ACCI.
- 10 Q. You agree that the Iowa Secretary of State administratively
- 11 dissolved IPLS in August of 2013, isn't that right?
- 12 A. That's right.
- 13 Q. And you --
- 14 A. That the Attorney General dissolved, administratively
- 15 dissolved.
- 16 Q. And you helped IPLS apply for reinstatement, right?
- 17 A. Yes.
- 18 Q. And that request was denied, right?
- 19 A. Yes, until the lien has been paid.
- 20 Q. Has the lien been paid?
- 21 A. No.
- 22 Q. Has IPLS been reinstated?
- 23 A. Not in that sense, no.
- 24 | Q. Let's talk about that tax lien for a moment, Mr. Simpson.
- 25 What plans does IPLS currently have to lift the tax lien?

- 1 A. I don't have any knowledge of that.
- 2 Q. Do you know of any plans that IPLS has currently to lift the
- 3 | tax lien?
- 4 A. I know of none.
- 5 Q. How does IPLS intend to pay that tax lien?
- 6 A. I don't know.
- 7 Q. What fund-raising effort has IPLS taken since it was
- 8 | administratively dissolved to pay that tax lien?
- 9 A. I don't have any knowledge of that.
- 10 Q. Do you have knowledge of any fund-raising efforts that IPLS
- 11 has taken since it was administratively dissolved in order to
- 12 pay off the tax lien?
- 13 A. I know that IPLS is continually seeking funding. I have no
- 14 | idea what their budget or plans would be related to that.
- 15 | That's not my area of concern.
- 16 Q. Who's seeking funding on behalf of IPLS?
- 17 A. Jared and Bill Hopkins.
- 18 | Q. So is that funding distinct from their funding for ACCI?
- 19 A. No.
- 20 Q. Do you know of any efforts that have been made since IPLS
- 21 was administratively dissolved to raise funds specifically for
- 22 IPLS?
- 23 A. No.
- MR. NEIHAUS: One more moment.
- 25 (Pause.)

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 1
              MR. NEIHAUS: At this time I have no more questions,
 2
    subject to redirect.
 3
              THE COURT: Cross-examination, Mr. Miller. As we
   mentioned yesterday, you can combine your cross-examination with
 4
 5
    your direct examination if you care to.
 6
              MR. MILLER:
                           Thank you, Your Honor.
 7
                           CROSS-EXAMINATION
   BY MR. MILLER:
 8
       Good afternoon, Mr. Simpson.
10
              Can you hear me okay?
11
   Α.
       Yes, I can.
12
       Actually good morning.
                                I'm sorry.
13
              You've been a Des Moines, Iowa lawyer, based lawyer
14
    for about 50 years, is that correct?
15
        Fifty-two January 15th -- June 15th I mean.
16
       And do you have an area of specialty or expertise?
17
        I take people from a point of an idea through hopefully what
18
   makes them successful, the transition planning to maximize the
19
    value they've created in their lifetime and the estate planning
20
    to make the best statement they can make about their life. My
```

22

23

24

25

practice is limited to those areas.

It's an area that I work in.

Q. Does that include a practice in corporate law?

Yes, corporate law, limited liability company law. I

chaired the limited liability company session for the Iowa Bar.

- 1 | Q. This may seem a silly question, but I want to make sure we
- 2 | get it in the record. Have you ever taken an action that has
- 3 | not been authorized by a client of yours?
- 4 A. Not to my knowledge.
- 5 Q. Are you a member of the Board of Directors of IPLS?
- 6 A. No.
- 7 Q. Are you a member of the Board of Directors of ACCI?
- 8 A. No.
- 9 Q. Are you a member of the Board of Directors of BHI?
- 10 A. No.
- 11 Q. Have you ever been a member of any of those boards?
- 12 A. No.
- 13 Q. I think earlier you were starting to explain, and I want to
- 14 | make sure I give you an opportunity to do so. To your
- 15 knowledge, are the lexigram keyboards at the facility being
- 16 used?
- 17 A. I have no knowledge of that. I haven't been there since.
- 18 | Q. And you've known Dr. Rumbaugh for several years, is that
- 19 | right?
- 20 A. Yes.
- 21 Q. Dr. Sue Rumbaugh, right?
- 22 | A. Uh-huh.
- 23 | Q. And you worked with her over the years for various purposes?
- 24 A. Yes.
- 25 Q. She at one point in time discussed a change in her name to

- 1 | match that of the colonies, is that correct?
- 2 A. Apparently she has given a surname of Wamba to the bonobo
- 3 | community that we have here in Des Moines, and she did ask about
- 4 | the possibility of changing her last name to that.
- 5 Q. Have there been points in time when you've dealt with
- 6 Dr. Rumbaugh in which she was unavailable to you for a period of
- 7 time?
- 8 A. Yes.
- 9 | Q. And was that due to illness or what caused it that you
- 10 | understood?
- 11 A. Essentially I guess I would have to say it's because of
- 12 | illness.
- 13 Q. And was this a matter of days or matter of weeks that you
- 14 | would go without contact?
- 15 A. Well, more like months.
- 16 Q. Did you learn in April of 2012 that Dr. Sue was not able to
- 17 care for the bonobos and to assure the safety of people and the
- 18 | bonobos?
- 19 A. Yes.
- 20 | Q. And I'm going to refer you to an exhibit --
- 21 MR. MILLER: Your Honor, may I approach to help?
- 22 THE COURT: You may.
- 23 | MR. MILLER: And, Counsel, Your Honor, I'm going to
- 24 | refer the witness to Exhibit 1000 of the plaintiffs' exhibits.
- 25 BY MR. MILLER:

- 1 | Q. Mr. Simpson, do you see Exhibit 1000 front of you there?
- 2 A. Yes.
- 3 Q. I refer you to the first page -- first off can you identify
- 4 for me what Exhibit 1000 is? Is that an e-mail string that
- 5 | you --
- 6 A. Yes.
- 7 Q. At least the most recent e-mail was to you. You sent an
- 8 e-mail to Dr. Duane Rumbaugh on April 3rd of 2012?
- 9 A. Yes, it is.
- 10 Q. And if you follow through the rest of that exhibit, is that,
- 11 again, an e-mail string that begins at the very tail end with an
- 12 e-mail from Dr. Sue on April 1st of 2012?
- 13 A. Yes.
- 14 Q. Okay. In that e-mail do you see there that Dr. Sue is
- 15 e-mailing Dr. Ken Schweller? Do you see that?
- 16 A. Yes.
- 17 Q. And who is Ken Schweller -- or what was his role as of
- 18 | April 1, 2012?
- 19 A. He would have been -- I think he was still chairman of the
- 20 board of IPLS.
- 21 | Q. And she reports, "Ken, I have been not able to care for the
- 22 bonobos and to assure the safety of people or the bonobos,"
- 23 | correct?
- 24 A. Yes.
- 25 Q. And that e-mail continues on. Would you agree that there's

- 1 | further discussion resulting from that e-mail from Dr. Sue?
- 2 A. Yes.
- 3 Q. Do you recall -- you learned about this e-mail on April 1,
- 4 | 2012? You received it, correct, at least in a forward?
- 5 A. I received it in a string of e-mails.
- 6 Q. And what action did this e-mail prompt from you?
- 7 A. I think it started a conversation between myself and Duane
- 8 Rumbaugh.
- 9 Q. Was this e-mail concerning to you and Mr. Schweller?
- 10 A. Oh, my, yes.
- 11 Q. Why is that?
- 12 A. Well, Sue was running the facility, and as I said earlier,
- 13 | she was somewhat of a one-person band. It would take three or
- 14 | four people to fill her shoes.
- 15 Q. And did Mr. Schweller talk with Sue and yourself about this
- 16 | situation?
- 17 A. Yes, he did.
- 18 | Q. If you would look at the bottom of page 5 of the exhibit,
- 19 | bottom right corner --
- 20 A. Uh-huh.
- 21 | Q. -- do you see there the subject matter "Attn: LYLE Sue in
- 22 trouble"?
- 23 A. That was really a shock.
- 24 | Q. And that's Dr. Schweller reporting to you what his
- 25 discussion with Dr. Rumbaugh entailed, is that right?

- 1 A. Yes.
- 2 Q. Was this issue with respect to Dr. Sue in April resolved
- 3 | immediately?
- 4 A. No.
- 5 Q. What occurred subsequent to this e-mail?
- 6 A. Well, I was seeking -- because I had the most direct
- 7 | contact, Duane Rumbaugh has been a personal friend for a long
- 8 | time. We lived on the same floor in the Park Fleur, and I was
- 9 | seeking help from him because whenever Sue has had this
- 10 problem in the past that I was aware of, he was her source of
- 11 support.
- 12 Q. And is this e-mail reflective of similar circumstances as
- 13 | those you described earlier where Dr. Sue would have some issue
- 14 | with respect to the bonobos and the facility and would not be in
- 15 | the picture?
- 16 A. Yes, many years earlier.
- 17 Q. And were the events discussed and described here in the
- 18 | April e-mail, they precede the next year or maybe more
- 19 discussion and development that you've testified to with respect
- 20 to where the boards would head?
- 21 A. I'm not quite following the question.
- 22 Q. Right. Sorry about that. I'm going to move on,
- 23 | Mr. Simpson.
- Mr. Simpson, do you have any personal knowledge from
- 25 direct contact with somebody at Yerkes with respect to whatever

- 1 | Yerkes may intend relating to Dr. Rumbaugh?
- 2 A. No.
- 3 Q. And I mean either Dr. Sue Rumbaugh or Dr. Duane Rumbaugh.
- 4 A. No, other than what I've heard from them.
- 5 Q. When you say other than what you've heard, you're referring
- 6 to from Mr. Caudill?
- 7 A. From Dr. Rumbaugh or Sue.
- 8 Q. Okay. So you've never spoken to anybody at Yerkes who has
- 9 told you we're not going to do anything with ACCI unless Dr. Sue
- 10 | is out of the picture?
- 11 A. No.
- 12 | Q. And do you profess in any way to understand what chimpanzees
- 13 or what information or what location those chimpanzees at Yerkes
- 14 | may come from?
- 15 A. No.
- 16 Q. You don't have any personal knowledge with respect to that?
- 17 A. No.
- 18 MR. MILLER: That's all my questions, Your Honor.
- 19 Thank you.
- 20 THE COURT: Redirect?
- MR. NEIHAUS: One moment, Your Honor, please.
- 22 (Pause.)
- 23 REDIRECT EXAMINATION
- 24 BY MR. NEIHAUS:
- 25 Q. Just a few questions, Mr. Simpson.

- 1 You agree, though, that generally Sue was around,
- 2 | right?
- 3 A. Yes.
- $4 \mid Q$. And she did take care of the bonobos?
- 5 A. Yes.
- 6 Q. And you mentioned that the few times that she was absent
- 7 | were years apart, right?
- 8 A. The two times where I've known that there was depression
- 9 issues, yes.
- 10 Q. And as you saw in the exhibit that was placed before you,
- 11 | when she's in a position where she does not feel she can assure
- 12 the safety of the people or the bonobos around her, she can
- 13 | identify it, right?
- 14 A. She did in this case, yes.
- 15 Q. And that situation got resolved?
- 16 A. Yes.
- 17 Q. Assuming that Dr. Savage-Rumbaugh was unable for any reason
- 18 | to reenter the lab, does that in any way negate BHI's rights
- 19 | under the settlement agreement?
- 20 A. Not that I can see.
- 21 Q. And just one other topic, Mr. Simpson.
- How many board meetings has ACCI had since July of
- 23 | 2012?
- 24 A. I really have no way of knowing that.
- 25 | Q. Do you know if ACCI has had any board meetings since July of

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1 | 2012?
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- 2 A. I know they have. If they provide me minutes of their
- 3 | meeting, then I record those in the minute book, and that's
- 4 | really the only information that I would have.
- 5 | Q. Do you have any minutes of ACCI board meetings since July of
- 6 2012?
- 7 A. Off the top of my head, I can't respond to that. Whatever
- 8 | we did have that I had in the minute book was provided to you.
- 9 Q. I'm sorry, I believe I said 2012. I meant since July of
- 10 2014.
- 11 A. I honestly -- I'm typically not in the loop of their
- 12 | meetings. I know there's issues that they've had to deal with,
- 13 | so I'm assuming they've had meetings.
- 14 Q. You don't have records of any meetings?
- 15 | A. Since 20' --
- 16 Q. Since July of 2014.
- 17 A. I get an e-mail exchange from time to time. I don't put
- 18 | those in the minute book. I don't recall that I've had physical
- 19 minutes of a meeting since then that has gone through the minute
- 20 book.
- MR. NEIHAUS: No further questions.
- 22 THE WITNESS: Okay.
- 23 | MR. MILLER: Just a couple of follow-ups, Your Honor.

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302
1
                          RECROSS-EXAMINATION
   BY MR. MILLER:
 2
 3
    Q. Mr. Simpson, have you ever at any point in time intended to
   deceive Dr. Rumbaugh with respect to any of these events that
 4
 5
   you've described?
 6
   A. Heavens no, nor would I.
    Q. Have you intended to deceive BHI with respect to these
7
 8
    issues?
   Α.
       No, not at all.
10
       Same question for IPLS?
    Q.
11
   Α.
       No.
    Q. Or ACCI?
12
13
   A. No. My duty is to be a conveyor of information to my client
14
    and that's -- I do that daily.
15
              MR. MILLER: Nothing further.
16
              Thank you.
17
              THE COURT: Anything further?
18
              MR. NEIHAUS: Nothing further, Your Honor.
19
              THE COURT: You may step down, sir.
20
              Thank you.
21
              This witness is under subpoena. May he be excused?
22
              MR. STAMBAUGH: Yes, Your Honor, he may.
23
              MR. MILLER: Yes.
24
              THE COURT: You're excused.
25
              Thank you.
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303
 1
                                          (Witness excused.)
              MR. STAMBAUGH: May we call our next witness?
 2
 3
              THE COURT: Please.
              MR. STAMBAUGH: Claimants call Derek Wildman. May we
 4
 5
    inquire?
              THE COURT: Would you come forward, sir.
 6
 7
              THE CLERK: Please stop right there and raise your
 8
    right hand.
 9
              DEREK WILDMAN, DEFENDANTS' WITNESS, SWORN
10
              MR. STAMBAUGH: Mr. Zifchak will be doing the
    examination, Your Honor.
11
12
              THE COURT: All right.
13
                           DIRECT EXAMINATION
14
   BY MR. ZIFCHAK:
15
      Good morning, Professor.
16
   A. Yes.
17
    Q. Could you please state your name and business address for
18
   the record.
19
   A. Yes. My name is Derek Wildman. My business address is the
20
   Institute for Genomic Biology at the University of Illinois at
21
   Urbana-Champaign.
22
    Q. Who is your current employer, please?
       The University of Illinois.
23
24
    Q. And is it correct that you're currently a member of the
25
   board of Bonobo Hope Initiative?
```

- 1 A. Yes.
- 2 | Q. How long have you been a professor at the University of
- 3 | Illinois?
- 4 A. About ten months.
- 5 Q. Prior to that where were you employed?
- 6 A. Wayne State University in Detroit, Michigan.
- 7 Q. For how long?
- 8 A. Fourteen years.
- 9 | Q. At Illinois you are a professor of what subject or subjects?
- 10 A. I am a professor of molecular and integrative physiology and
- 11 | I am a faculty member at the Institute for Genomic Biology.
- 12 Q. And same question with respect to Wayne State?
- 13 A. At Wayne State I began as a post-doctoral associate in the
- 14 | year 2000, and I was promoted to the faculty as an assistant
- 15 | professor in 2005. I received tenure and promotion to associate
- 16 professor in 2009 and was promoted to full professor in August
- 17 | 2014. And I'm currently still an adjunct professor there at the
- 18 | Center for Molecular Medicine and Genetics at the Wayne State
- 19 University School of Medicine.
- 20 Q. Professor Wildman, what do you teach currently at the
- 21 University of Illinois, what subjects?
- 22 | A. The subjects I teach are human medical genomics.
- 23 | Q. Is it correct that you drove six hours from Champaign,
- 24 | Illinois, last night to come here and give testimony?
- 25 A. Yes, it is correct.

- 1 | Q. Will you tell the court, please, a little bit about your
- 2 | educational background.
- 3 A. Sure. I became -- I received my undergraduate training at
- 4 | the University of Colorado in Boulder, where I became interested
- 5 | in human evolutionary studies, and my degree was in
- 6 | anthropology, after which I enrolled in the Ph.D. program at the
- 7 University of Arizona in Tucson. I obtained a master's degree
- 8 from that university, and I switched and went to New York
- 9 University, to the New York Consortium in Evolutionary
- 10 | Primatology and obtained by Ph.D. from New York University in
- 11 | the year 2000.
- 12 Q. Who were the educational institutions that are members of
- 13 | the consortium that you just identified?
- 14 A. That's New York University, the City University of New York,
- 15 | and Columbia University.
- 16 Q. What did you get your doctorate in?
- 17 A. My doctorate was on the genetics of baboons, specifically
- 18 | baboons from the Arabian Peninsula, Saudi Arabia, and Yemen. I
- 19 | did field work in Ethiopia and in Yemen to obtain that degree
- 20 and collected samples and worked with baboons.
- 21 Q. So your doctorate is in anthropology?
- 22 | A. It is in anthropology, uh-huh.
- 23 | Q. I know we have not had a chance to introduce your CV into
- 24 | the record. Could you comment just briefly on whether you have
- 25 published in these fields?

- 1 A. Sure. I've published approximately 100 peer-reviewed
- 2 | articles. Additionally, I'm Editor in Chief of the Journal of
- 3 | Molecular Phylogenetics and Evolution, which is a scholarly
- 4 journal devoted to understanding the evolutionary relationships
- 5 among species of organisms.
- 6 Q. How did you get interested in that latter subject?
- 7 A. Well, initially I became interested in phylogeny and
- 8 evolution as an early graduate student, and my Ph.D. was
- 9 involved in the baboons as I mentioned. What was -- what I was
- 10 trying to find out was when did the baboons leave Africa
- 11 because, as we all know, humans as part of their migration left
- 12 | Africa around a couple -- a hundred thousand years ago, and I
- 13 | wanted to see if other species left at times coincident.
- 14 The way you can do that is by understanding genetic
- 15 relatedness and tabulating the number of DNA mutations that have
- 16 occurred over time as a molecular clock, and so that was my
- 17 | initial interest. I then became interested in more general
- 18 | primate and mammalian phylogeny, and I've done quite extensive
- 19 | work in that area.
- 20 Q. Very briefly can you define phylogeny?
- 21 A. Yes. Phylogeny is the genealogy of species.
- 22 Q. Could you also summarize what your principal interests are
- 23 at this time as a scholar?
- 24 | A. As a scholar I'm interested in what defines humans, what
- 25 makes us humans, how did we evolve, and I'm interested in that

- 1 | from the perspective of genetics, genomics, and epigenetics.
- 2 Q. Is it fair to say that you are a scientist?
- 3 A. I would say so, yeah.
- 4 Q. Okay. Did there come a point in time when you learned of
- 5 | the work of Dr. Sue Savage-Rumbaugh?
- 6 A. So I had heard of Dr. Rumbaugh's work. Since the 1990s I
- 7 | was aware of it. She's one of the luminaries in the field of
- 8 | comparative ethology and the evolution of language.
- 9 So as part of any anthropological training, any
- 10 | anthropology degree would -- degree granting institution would
- 11 likely expose their students to the work of Dr. Rumbaugh.
- 12 Q. And were you exposed to the work?
- 13 A. Yes, uh-huh.
- 14 Q. And did that lead you at a point in time to make contact
- 15 | with Dr. Savage-Rumbaugh?
- 16 A. Well, so one of the things that I have done as part of my
- 17 career is understand the relations of humans to other apes from
- 18 | a genetic perspective, and with my colleague and mentor, Morris
- 19 Goodman, who's now deceased, we published work about the genetic
- 20 evolution of humans, chimpanzees, gorillas, other apes, and we
- 21 came to the conclusion that humans and chimpanzees are more
- 22 closely related, including bonobos, are more closely related to
- 23 | each other than either of those species is to gorillas or other
- 24 apes and that their similarities were so apparent they deserved
- 25 | to be classified in the same genus of mammals, and that genus is

- 1 homo. And so we proposed that humans and chimpanzees should be
- 2 classified this way, in a way similar to the way donkeys and
- 3 horses are classified in the same genus Equus. And so that
- 4 perspective about shared similarities is something that made the
- 5 | work of Dr. Rumbaugh attractive to both Morris and myself
- 6 because we're interested in the features that unite us, not the
- 7 | features that separate us, and I think that Dr. Rumbaugh has in
- 8 | some ways similar perspectives.
- 9 Q. When did you first make contact with Dr. Savage-Rumbaugh?
- 10 \mid A. This would have been in 2008, late 2008, early 2009.
- 11 | Q. What was the nature of the contact? What prompted it?
- 12 | A. So Sue had contacted Professor Goodman, and we came out here
- 13 and visited the facility in Des Moines and met with Sue for a
- 14 | couple of days and discussed a project that we were interested
- 15 | in on working on together, and that project initially was the
- 16 | sequencing of the genome of Kanzi, and that was a large scale
- 17 | project that is still ongoing.
- 18 | Q. Briefly, could you explain what you mean by, in lay terms,
- 19 | sequencing the genome of Kanzi?
- 20 A. Sure. So our cells contain nuclei within which resides DNA.
- 21 This DNA genome is packaged in chromosomes of which we have 23
- 22 pairs. Kanzi and bonobos have 24 pairs. Total number of DNA
- 23 | nucleotype bases or letters, if you want to think of it as an
- 24 | analogy, stretch out to be about three billion letters of DNA
- 25 sequence in the genome of a human or a bonobo.

- So we undertook a project to sequence every single one of those three billion letters in Kanzi's genome, and we did that ad nauseam I would say. We sequenced each base about a
- 4 hundred times.
- 5 Q. Okay. You say that you spent a couple of days in Des Moines
- 6 with Dr. Rumbaugh.
- 7 A. Uh-huh.
- 8 Q. What did that entail?
- 9 A. That entailed visiting the facility. That entailed many
- 10 | intense discussions about research and potential research in the
- 11 | future, potential collaborations. What was most interesting for
- 12 | me was observing Sue with the bonobos.
- 13 Q. And what did you observe?
- 14 A. I observed a family. I observed Sue interacting with
- 15 | Panbanisha, with Kanzi, with Nyota, with the other bonobos, and
- 16 | I saw relationships that were clearly long-term relationships
- 17 | that were meaningful.
- 18 | Q. Did these observations have any particular relationship to
- 19 the purpose of your visit or were these just incidental to the
- 20 | visit?
- 21 A. Well, so what the purpose of our visit was was to begin to
- 22 explore a study from the genetic and epigenetic perspective on
- 23 the evolution of language. Something that we in this room all
- 24 | share is language. It is very difficult to study the origins of
- 25 | human language because it has already happened, possibly as long

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310
1
    ago as several hundred thousand years. In the case of the
 2
    homo-pan culture that Sue has developed over the decades working
    with Kanzi and the others, what we have is the possibility of
 3
    language emerging de novo in another species. We don't have --
 4
    language doesn't fossilize. We don't have fossil words, so
 5
 6
    we -- if we want to study that, we can study that from the
   perspective of genetics and from the perspective of epigenetics.
 7
 8
        You mentioned that Dr. Rumbaugh contacted Professor Goodman.
 9
    Α.
       Uh-huh.
10
        Do you know why it was that she contacted him?
       Well, Professor Goodman in the early 1960s made a profound
11
12
    discovery. This discovery was that using immunological data --
13
    Professor Goodman was trained as an immunologist -- was that
14
    humans, chimpanzees and gorillas were more similar to one
15
    another immunologically than either of those three creatures
16
    were to something like orangutans. Professor Goodman was very
17
    excited about this at that time, and actually he went to a
18
    conference in Austria that had many of the top geneticists of
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the field at the time. And he was excited to present his results, and he's written about this, so it's a matter of public record. But what he found was a lot of resistance to the idea that humans were similar to apes and not distinct from all apes. The sort of working hypothesis was that humans were profoundly genetically distinct from everything else.

19

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21

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Dr. Goodman's finding has been supported by subsequent

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1
    studies from him and many other groups at the level of proteins
 2
    and amino acid sequences, DNA sequences, insertion of
    transposable elements. Many different types of data have
 3
    supported that hypothesis, and it's been refined to say that
 4
    humans and chimpanzees are, including bonobos are the sister
 5
 6
    group to the human species and that we share a recent common
 7
    ancestor, a most recent common ancestor about six million years
 8
    ago.
 9
       Why then did Dr. Rumbaugh contact Dr. Goodman?
    Q.
10
       Well, so because Dr. Goodman was able to see the
11
    similarities that humans shared with other species, and
    Dr. Goodman was able to look at human evolution from an unbiased
12
13
    perspective. That was his greatest genius, and his genius was
14
    recognized. He was elected to the National Academy of Sciences.
15
    Dr. Goodman was able to look at the DNA sequence without any
16
    packaging of human behaviors or human accomplishments and just
17
    look at that and make what he considered to be rational
18
    decisions that were based solely on the amount of genetic
19
    similarity and genetic difference in a species-by-species
20
    comparison.
21
              And he and I worked very closely on a number of
22
    grants, a number of research projects for a period of ten years,
23
    and so when he told me that he had the opportunity to come talk
24
    to Sue, I was, you know, gangbusters, we must do this, we must
25
    go and talk to her. It's a great opportunity.
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1 | Q. In your travels as a scientist, have you visited primate
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- 2 | colonies other than the one here in Des Moines?
- 3 A. Sure, sure.
- 4 Q. Based on your observations in, I think you said 2008, how
- 5 | would you contrast the Des Moines bonobo colony with the typical
- 6 | primate colony you have visited elsewhere?
- 7 A. The Des Moines colony was not a facility like any other
- 8 primate colony I've seen. Most primate colonies are -- the
- 9 | animals are in cages. They are restricted in terms of what they
- 10 can do, and to be honest, they are not happy, those primates.
- 11 | The bonobos in Des Moines and the interactions with humans is
- 12 unique in the world in terms of a captive primate situation.
- I think we lost the mic.
- 14 Q. Did we lose the mic?
- 15 A. Yes.
- 16 THE CLERK: There's nothing I can do.
- 17 MR. ZIFCHAK: Derek, can you speak up?
- THE COURT: Can you speak up?
- 19 THE WITNESS: Yes, I will do my best. I can talk
- 20 loud. I'm a professor.
- 21 THE COURT: I thought so.
- 22 A. (Continuing) Okay. So primates are typically kept in
- 23 | facilities for biomedical research, and in that instance they
- 24 | are treated as subjects no different than you would see, you
- 25 know, dogs in a kennel. At the Iowa facility what you saw was

- 1 | multi species family of humans and bonobos interacting with one
- 2 another. So that's a totally different thing.
- 3 BY MR. ZIFCHAK:
- 4 Q. Did you come to an understanding, either at the time of that
- 5 | visit or before or after, as to the nature of
- 6 Dr. Savage-Rumbaugh's research trajectory; and, if so, what was
- 7 | that understanding?
- 8 | A. Yeah. So I had -- well, before meeting Dr. Rumbaugh, I knew
- 9 her work and I knew she was interested in studying language
- 10 acquisition in nonhuman primates, but I didn't really appreciate
- 11 | the multigenerational trajectory that she was aiming towards,
- 12 and that I learned by getting to know her. And what I learned
- 13 | was that that she was interested in a long-term project, not a
- 14 project of a few years. A typical grant cycle is four or five
- 15 | years. She was interested in a lifetime of work and work that
- 16 | would go on after she was gone.
- 17 Q. As a scientist, do you consider her body of work to date
- 18 | incremental or original?
- 19 A. The word I would use to describe Dr. Savage-Rumbaugh's work
- 20 is transformative, and by transformative I mean she's changed
- 21 | the way many scientists and many people think about nonhuman
- 22 | species, and she has demonstrated that nonhuman species have
- 23 | abilities that we did not think they had. And it's in the area
- 24 of language that this work has been most focused on by
- 25 Dr. Rumbaugh. But I will point out that other workers have

- 1 exposed human biases in many other fields. For example, Dr.
- 2 | Jane Goodall discovered that chimpanzees made and used tools.
- 3 | Before Dr. Goodall's works in Africa, it was considered that
- 4 humans were the only species that made and used tools, and now
- 5 | we know that chimpanzees in Senegal, for example, use spears to
- 6 | hunt bush babies, which are a small little primate. So that's
- 7 one example. And many of the so-called human specific traits it
- 8 has been determined were not human specific.
- 9 Another example is fossil tools older than the human
- 10 | genus were discovered and published just last month, 3.3 million
- 11 | year old tools.
- 12 | Q. This is, I guess, a naive question. Are the bonobos in
- 13 Des Moines or any of them capable of making tools?
- 14 A. Yes, yes, absolutely, absolutely. Kanzi is an expert
- 15 | flintknapper, much better than you or I would be, yeah.
- 16 Q. What were your first principal interests as far as studying
- 17 | the bonobos here in Des Moines?
- 18 | A. Well, so I'm interested in how new features emerge in
- 19 evolution, and one new feature that emerged in our evolution was
- 20 | language, and what were the biological consequences of the
- 21 emergence of language. We know that DNA sequences can mutate,
- 22 | but it's unlikely that there was a DNA mutation that all of a
- 23 | sudden gave some human long, long ago the ability to speak and
- 24 | that human taught everybody else how to do that. What we in the
- 25 | field have come to appreciate in the past several decades is

- 1 | that in addition to DNA mutation, sequence mutation, DNA can be
- 2 | chemically modified in various ways, and that chemical
- 3 | modification doesn't change the actual underlying DNA sequence,
- 4 | but it changes the activity of genes. So genes get turned on
- 5 and turned off based on these chemical modifications. We are
- 6 interested in whether those chemical modifications can be passed
- 7 | from generation to generation, and that can be -- we can
- 8 | hypothesize that that could be a mechanism for how biologically
- 9 | the requirements for language emerged.
- 10 Q. Thank you.
- 11 Professor Wildman, when were you first elected to one
- 12 of the boards governing the Great Ape Trust?
- 13 A. That would have been 2010, 2011.
- 14 | Q. And at whose invitation were you elected to the board?
- 15 | A. The invitation initially came from Dr. Rumbaugh and
- 16 Dr. Fields.
- 17 Q. And you accepted the invitation?
- 18 | A. I did.
- 19 Q. Why?
- 20 A. Because I found the research that was being done at that
- 21 | facility to be fascinating and important, and I wanted to help
- 22 | support that in any way that I could.
- $23 \mid Q$. Do you recall being on the board at the time that a
- 24 | resolution was considered that would split the board in two?
- 25 A. I do.

- Q. Do you recall that?
- 2 A. Uh-huh.

- 3 | Q. What was the proposition at that point in time?
- $4 \mid A$. Well, the idea was that the board would be better and more
- 5 efficient if it was divided in the following way: That there
- 6 | were people who had expertise in finance and fund-raising, and
- 7 | those folks would be in charge of raising the money and that
- 8 type of thing for the facility, and then there would be a
- 9 | separate board which we called in the vernacular the science
- 10 | board, and the science board was involved in the management of
- 11 | scientific activities that involved the bonobos.
- 12 Q. Do you recall in conjunction with the proposition that the
- 13 | board be split in two seeing either a memorandum from Lyle
- 14 | Simpson or an actual resolution to be voted on by the board?
- 15 A. Eventually there was, yeah.
- 16 Q. Okay. I'm going to ask you to identify that in just a
- 17 moment.
- 18 MR. ZIFCHAK: It's I believe Exhibit 23. It was
- 19 | identified and moved into evidence in Mr. Simpson's testimony.
- 20 | Is that correct?
- MR. STAMBAUGH: Yes.
- 22 BY MR. ZIFCHAK:
- 23 | Q. Derek, would you look at the exhibit? I think it's on the
- 24 | screen right to your left.
- 25 A. Oh, this one, okay.

- Q. Yeah. We can scroll it from here.
- 2 A. Okay. These words seem familiar to me.
- 3 Yeah, I remember this.
- 4 Q. Was there a vote on those resolutions?
- 5 A. There was.

- 6 | Q. And what was the result?
- 7 A. The result was that the board was split in two.
- 8 Q. Is it correct that the vote was unanimous?
- $9 \mid A$. As far as recall, yeah.
- 10 Q. And what was your vote?
- 11 A. I voted that, yes, the board should be split.
- 12 Q. At that time or around that time, were you made aware of
- 13 pending settlement agreements in this action?
- 14 A. Not so much. Yeah, I knew that -- I viewed my role in the
- 15 | whole enterprise was to advise on the science side of things.
- 16 0. Uh-huh.
- 17 A. And I focused my attention on those matters.
- 18 | Q. At a point in time, though, you were made aware of
- 19 | settlement agreements, correct?
- 20 A. Yes, absolutely.
- 21 | Q. And what was your understanding of the intention of those
- 22 | agreements?
- 23 | A. The intention of the agreements was that the science board
- 24 | would be in charge of the science and the bonobos' care and that
- 25 | the other board was more of an administrative and fiduciary

- 1 body.
- 2 | Q. Can you identify George Caudill, please?
- 3 A. I know who that person is, absolutely. He was sold to the
- 4 | board as someone who could fix whatever public relations
- 5 problems the facility was having at the time, and he was
- 6 presented to us as someone who had experience in scandal
- 7 | management, and so he would be a very strong and powerful person
- 8 to help us get pointed in the right direction.
- 9 Q. When did you first learn that the board was interested in
- 10 | identifying a successor to Dr. Savage-Rumbaugh?
- 11 A. Well, we had discussed that for some time.
- 12 Dr. Savage-Rumbaugh was interested in having a transition, a
- 13 | slow transition that would enable eventually when she was no
- 14 | longer capable of doing the work, that her research trajectory
- 15 | could be continued, and so we were interested in identifying
- 16 | folks that could possibly continue that trajectory.
- 17 Q. Was Dr. Jared Taglialatela on the board at that point in
- 18 | time?
- 19 A. He may have been, yeah, uh-huh.
- 20 Q. But you don't remember specifically?
- 21 A. No, because you must understand that there were many votes
- 22 | and many board members switching, and so, yeah, I can't recall,
- 23 | but he was involved. He became involved in, as far as I know,
- 24 | in the last few years.
- 25 | Q. There came a point in time when Jared was identified as a

- 1 potential successor to Sue, correct?
- 2 A. Yes, yes.
- 3 Q. Did you participate in any discussions where the nature of
- 4 | Sue's research trajectory was communicated to Jared?
- 5 A. Well, I have discussed with Jared several times my feelings
- 6 about the research trajectory and that it should be continued.
- 7 Q. What about back in 2013?
- 8 A. In 2013, Jared was presented to us as somebody who would be
- 9 doing such a thing.
- 10 Q. Presented to you by whom?
- 11 A. By Dr. Rumbaugh and others.
- 12 Q. Did Mr. Simpson express any views in that respect to the
- 13 | board at that time?
- 14 A. Yeah. I mean, Mr. Simpson was supportive of Jared and later
- 15 | Dr. Hopkins being involved and promoting the research trajectory
- 16 going forward.
- 17 Q. Did there come a point in time when you voted to appoint
- 18 | Jared and Bill Hopkins to positions at IPLS?
- 19 A. Yes, there did.
- 20 Q. At the time that you voted, did you have any knowledge that
- 21 Dr. Savage-Rumbaugh had been banned from the lab?
- 22 A. I did not.
- $23 \mid Q$. Or that she may have been banned at the insistence of
- 24 | Yerkes?
- 25 A. I did not.

- 1 Q. Or that she had allegedly been dismissed from the IPLS board
- 2 | without notice to her?
- 3 A. I did not.
- 4 Q. Did you vote with the understanding that Jared and Bill were
- 5 going to exclude Bonobo Hope, which you've described as the
- 6 | science board, from any meaningful role in the science going
- 7 | forward?
- 8 A. No. I thought that the Bonobo Hope board would be the
- 9 science board and, you know, serve the purpose that they were
- 10 created to serve.
- 11 Q. Do you have any reason to believe that the other board
- 12 members who voted on the resolutions installing Jared and Bill
- 13 knew of any of these conditions that I just ticked off?
- 14 A. Some of them must have, I would think, but not on the Bonobo
- 15 | Hope side.
- 16 Q. I see. Well, had you known of these conditions at the time
- 17 | that you voted, would you have voted the same way?
- 18 A. No.
- 19 Q. When did you first learn that Dr. Savage-Rumbaugh had been
- 20 | banned from access to the bonobos?
- 21 A. That would have been in 2013, late 2013, early 2014.
- 22 Q. What was your reaction at the time?
- 23 A. I was horrified.
- 24 Q. Why?
- 25 A. Because to me removal of Sue from those bonobos is akin to

- 1 | removing a parent from a household by Social Services, and I
- 2 | certainly didn't think that anyone outside of the science board
- 3 | had the authority to do such a thing.
- 4 Q. Did you communicate these views to Jared, Bill Hopkins, or
- 5 ACCI?
- 6 A. I did.
- 7 Q. How did you do that?
- 8 A. I did it orally and via e-mails.
- 9 Q. From your perspective as a scientist, what is the impact on
- 10 | the science of removing Sue from access to the bonobo colony?
- 11 A. So longitudinal studies are studies that take place over
- 12 | time. The two types -- the main division in experimental
- 13 | science is cross-sectional study design versus longitudinal
- 14 study design. A cross-sectional study design is simple as you
- 15 do an experiment, you write it up, and it's done. A
- 16 | longitudinal study design is a long-term study, like an
- 17 ecological study that goes on for decades.
- 18 What happened when Sue was removed was one of the main
- 19 | variables in longitudinal study was shifted. I can give an
- 20 example that might help with the lawyers and so on. At the
- 21 University of Illinois, there is something called the Morrow
- 22 Plots, and this is an experimental agricultural field. It
- 23 | started in 1867. It's a continuous experiment about soil
- 24 | quality, fertilizer. It's been going on for over a hundred --
- 25 about 150 years, and it's the longest continuous experiment in

the United States.

In 2007 the institute where my office is was built, and it's right next to the Morrow Plots, and the architects made their plans and everything, and it looked great, and they were going to start building, and then somebody said, whoa, wait a second, raised their hand, wait a second. If we build this building here, it's going to cast shade on this plot and that's going to mess up the experiment that we've been working on for 150 years. So the architects had to go back and reconfigure the building so that it has no shade on that field and that the integrity of the experiment is kept intact.

Removing Sue and stopping the human interaction with the bonobos is the same as casting shade on the plot.

- 14 Q. Did you make these views known to ACCI?
- 15 | A. I did.
- Q. From the time that the vote was taken in November of 2013
 going forward, do you recall the first instance when you or the
 board of Bonobo Hope received a substantive communication from
 Jared regarding the goings on at the lab?
 - A. So, to my recollection, we have received basically one substantive thing from Jared, and that was in 2014, and that was a list of what was going on at the facility in terms of establishment of a new scientific advisory board and also, you know, some of the titles of research grants, either submitted or planned to be submitted, things like that.

- 1 Q. What was your reaction to that document?
- 2 A. Well, it wasn't a total surprise to me because I had been
- 3 asked by Jared to serve on the ACCI scientific advisory board,
- 4 and I thought that was a good thing because, you know, that's
- 5 | what I'm all about and I wanted to advise the science. What I
- 6 | wasn't aware of was all of the things that you previously
- 7 | mentioned and that Sue was not -- no longer involved in the
- 8 | scientific activities at the place.
- 9 Q. Do you recall the other professionals who were named to the
- 10 | scientific advisory board?
- 11 A. Yeah, I do. Some of them were -- the majority of them were
- 12 | working at primate facilities around the country. There was
- 13 also a professor from the George Washington University, I
- 14 | believe.
- 15 | Q. Did any of those folks have expertise in the area of Sue's
- 16 research trajectory?
- 17 A. No.
- 18 | Q. Or did they have expertise in other areas?
- 19 A. No. They had expertise in animal husbandry and management
- 20 and health care of bonobos, things like that.
- 21 | Q. Did Bonobo Hope respond to Jared's March 2014 report?
- 22 A. We did, and we ultimately held a joint meeting in June of
- 23 | last year.
- 24 | Q. Were you satisfied with the outcome of the joint meeting?
- 25 A. No.

Q. Why not?

- 2 | A. Because Sue was not reinstated in the facility and the
- 3 research trajectory that had been going on for several decades
- 4 remains stopped.
- 5 Q. After the March 2014 communication, have you seen any other
- 6 communication from ACCI regarding research at the lab?
- 7 A. Well, I have been -- I've had one document shared with me,
- 8 | which is the list of active research protocols, and I've also
- 9 | spoken with Jared and I've met with him at the facility.
- 10 | Q. Have you seen any documentation, other than the list of
- 11 | protocols that you just referred to?
- 12 A. I have not.
- 13 | Q. Was the list of protocols particularly illuminating in your
- 14 judgment?
- 15 A. It was not.
- 16 Q. Why not?
- 17 A. Because a protocol in the field is simply permission to do
- 18 particular research. It doesn't mean the research is actually
- 19 being done. It doesn't mean there's funding for the research.
- 20 | It's just something that an IACUC board approves. The titles of
- 21 | those research protocols are sufficiently vague that I have no
- 22 | idea whether they involve one bonobo at the facility, all of the
- 23 | bonobos, whether they involve human interaction with the
- 24 | bonobos, what experiments are proposed to be done. None of that
- 25 | is in the title.

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325
1
        Did you convey to Jared or Bill Hopkins these observations?
 2
       Sure. Yes, I did. And -- yeah, I did.
    Α.
 3
       And what was their response?
    Q.
        Jared had said he would send me the abstracts of grant
 4
 5
   proposals and the protocols.
       Did he ever do that?
 6
   Q.
7
       He did not.
   Α.
8
              THE COURT: I think we'll take our noon recess at this
9
          We'll be in recess until approximately 1 o'clock this
10
    afternoon.
11
              MR. ZIFCHAK: Thank you, Your Honor.
12
              (Recess at 12:03 p.m., until 1:00 p.m.)
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326
 1
                      AFTERNOON SESSION 1:08 p.m.
 2
              (In open court.)
              THE COURT: Take a seat.
 3
              Go ahead, Mr. Zifchak.
 4
 5
                             DEREK WILDMAN,
 6
    resumed his testimony as follows:
 7
                      DIRECT EXAMINATION (Continued)
   BY MR. ZIFCHAK:
 8
        Derek, have you had occasion to visit the bonobo lab since
10
   November of 2013?
   A. Yes. I've visited twice since then.
11
12
    Q. And please explain what you observed in the first visit and
13
    approximately when that was.
14
   A. In June of 2014, there was a joint board meeting, after
15
   which we went to the facility for a brief visit, and we remained
16
    in the lobby and looked at the bonobos and had conversations
17
    amongst ourselves.
18
       Let's jump ahead to the second visit. When was that?
19
   A. The second visit was about approximately one month ago, and
20
    I was visiting the facility to talk with Jared and see how
21
    things were going.
    Q. How did that visit come about?
2.2
23
   A. Well, there was a desire to get -- for the Bonobo Hope board
24
    to get information about the status of the bonobos, and so a
25
    call was sent to people on that board if we can go visit, when
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- 1 | they could go visit, and I volunteered to go, and then Jared and
- 2 | I corresponded and worked out a mutually agreeable time.
- 3 Q. Did you have any difficulty in doing that?
- 4 A. Well, I think there -- like anything, it took some back and
- 5 | forth; but I would point out that that didn't seem too strange
- 6 to me because I realized that Jared is not always in Iowa and
- 7 | that when he is here, he's quite busy, and so there was some
- 8 back and forth.
- 9 Q. Do you have an understanding as to how frequently or
- 10 | infrequently Jared is in Iowa at the lab?
- 11 A. What he's told me -- and I can't verify it -- is that he
- 12 tends to spend about five days per month at the lab.
- 13 Q. What about Bill Hopkins, do you have any understanding with
- 14 | respect to his visitation frequency?
- 15 | A. My sense is that his is a little bit less than Jared's.
- 16 | Q. In any case, can you please describe what you observed at
- 17 | the lab in your April 2015 visit and in particular contrasted,
- 18 | to the extent you can, with what you had observed while Sue had
- 19 access to the bonobos?
- 20 A. Okay. The facility when I visited it in April was clean.
- 21 | The lights were on. The bonobos were not in any obvious
- $22 \mid \text{distress}$ or anything like that. But in contrast to what I
- 23 | observed in terms of the interactions between Sue and the
- 24 bonobos and others and the bonobos in the past, that wasn't so
- 25 | much going on. Staff were wearing masks, myself included, and

- 1 | gloves, and some of the procedures had been updated in terms of
- 2 how the interactions occurred.
- 3 Q. Did you observe any humans interacting with the bonobos
- 4 using the keyboards?
- 5 A. I did not.
- 6 Q. Was Jared Taglialatela present during your visit?
- 7 A. Yes.
- 8 Q. What did he show you in particular?
- 9 A. Oh, he was very open and he showed me the facility. He
- 10 | showed me -- we went back beyond the glass to the mesh, and we
- 11 observed the bonobos. We walked around outside and we went to
- 12 | the kitchen. We went to the other building. It was a pretty
- 13 | comprehensive walk around the facility.
- 14 | Q. Did he show you any space that he intended to dedicate to
- 15 | medical procedures?
- 16 A. Well, he showed me a room that is currently designed to be
- 17 | an enclosure room, and he described that in the future they
- 18 | would like to repurpose that so that veterinary work could be
- 19 | done on the animals on site.
- 20 Q. Did he give you access to any documents pertinent to the
- 21 operation of the lab or any research progress?
- $22 \mid A$. We discussed that. I felt that our time was best spent
- 23 | talking with one another, and as I said before, I asked him to
- 24 | send me some of those documents via e-mail.
- 25 Q. Did he give you any information pertinent to what his plans

- 1 | were for the facility going forward?
- 2 A. Yeah, sure. He described his plans for -- his goal of
- 3 | having Pan troglodytes, chimpanzees, common chimpanzees brought
- 4 in, as well as additional bonobos.
- 5 Q. Anything else?
- 6 A. He talked about some of the research he planned to do with
- 7 his graduate student who was there.
- 8 Q. Did he describe the research?
- 9 A. Briefly.
- 10 Q. And?
- 11 A. And it was language acquisition tasks, experiments in which
- 12 objects were out of view of the bonobo, sort of standard
- 13 | psychological experiments.
- 14 Q. How does that contrast with Sue's research trajectory, in
- 15 | your opinion?
- 16 A. Those types of experiments are not my preferred type of
- 17 experiments, but they are standard psychological experiments
- 18 | done with animals.
- 19 Q. Is there anything notable about those experiments given the
- 20 talents of these particular bonobos?
- 21 A. Well, the idea behind the design of those experiments is to
- 22 prevent what's called the Clever Hans Phenomenon in which
- 23 | experiments were done on a horse and a horse figured out -- was
- 24 able to count basically, and it turned out that the handler of
- 25 | that horse was giving signals to the horse. And so in order to

- 1 prevent and control for the experiment, you know, Jared and his
- 2 | student had, in my view, instituted those procedures to avoid
- 3 | that. I think that at this point that is not necessary given
- 4 | the several decades of interaction that had already occurred
- 5 | with, for example, Kanzi.
- 6 Q. Did you discuss with Jared why Dr. Savage-Rumbaugh had been
- 7 | banned from the lab and whether she could be reinstated?
- 8 A. Yes. I expressed concern and I requested that she be
- 9 brought back. Jared did not seem to -- Jared told me that there
- 10 was no reason to do that scientifically, there was no scientific
- 11 basis for having Sue come back in the lab, that if Sue wanted to
- 12 come back to the facility, she was welcome, but she would have
- 13 to stay outside the glass.
- 14 Q. Subsequent to your visit to the lab in April 2015, do you
- 15 | recall describing the conditions you observed as, quote, a ghost
- 16 town?
- 17 A. Yeah, yeah.
- 18 | Q. An ape farm?
- 19 A. I did.
- 20 Q. What did you mean by an ape farm?
- 21 | A. I meant that it was in -- my concern is that the facility is
- 22 becoming a breeding facility for chimpanzees and not a real
- 23 research facility.
- 24 | Q. Now, it's interesting that Jared said that Sue's research is
- 25 | not necessary, I think that's what you testified to.

- 1 A. Uh-huh.
- 2 Q. Do you agree or disagree with that statement?
- 3 A. I disagree.
- 4 Q. Why?
- 5 A. Well, because it's an ongoing study that we're actively
- 6 | working on trying to understand over time how human, chimpanzee,
- 7 bonobo interactions change the biology of both species.
- 8 Q. What research, in your judgment, remains to be done that's
- 9 | consistent with Sue's research trajectory that has not yet been
- 10 done?
- 11 A. Well, I would like to look at the epigenetics of all of the
- 12 members of the colony throughout the course of their lives, so
- 13 longitudinally sampling their DNA to test hypotheses about how
- 14 | the environment can chemically alter that DNA and in the context
- 15 | of human-chimpanzee interaction, so that's an essential part.
- 16 Q. Do you have an understanding as to what research
- 17 Dr. Savage-Rumbaugh would like to undertake with the bonobos
- 18 | should she be restored to the lab?
- 19 A. Well, we've talked about the epigenetic work and we've
- 20 actively collaborated on that and would like to keep working on
- 21 | that, and that would require -- what's cool is that the
- 22 | sequencing and the experimental techniques are minimally
- 23 | invasive and they are now relatively cost effective, and we're
- 24 | interested in looking at the activity of genes in these
- 25 creatures. So that's one aspect of future work.

- 1 Q. Okay. Are you prepared to work with Dr. Savage-Rumbaugh
- 2 | in these various research endeavors if she is restored to the
- 3 | lab?
- 4 A. Yes, absolutely.
- 5 Q. Just a couple more questions. Is it correct that
- 6 Dr. Taglialatela has recently been promoted to associate
- 7 professor?
- 8 A. That's what he told me, yes.
- 9 | Q. And where is he an associate professor?
- 10 A. Kennesaw State College in Georgia.
- 11 Q. Do you know whether this has anything to do with any of the
- 12 | work he's been doing in Des Moines?
- 13 | A. I wouldn't think so because that's relatively new. Tenured
- 14 decisions are based on past research accomplishments.
- 15 Q. I see. Were you or anyone on the Bonobo Hope board
- 16 | contacted in connection with the decision to give him a
- 17 | promotion?
- 18 A. No.
- 19 MR. ZIFCHAK: I have nothing further.
- 20 Thank you very much.
- THE COURT: Thank you.
- 22 Cross-examination.
- 23 MR. MILLER: Thank you, Your Honor.

CROSS-EXAMINATION

2 BY MR. MILLER:

- 3 Q. Dr. Wildman, my name is Bill Miller. I represent ACCI and
- 4 IPLS. We've met before, correct?
- 5 A. Correct.
- 6 Q. Good to see you here today.
- 7 A. Thank you. Likewise.
- 8 Q. You were just telling Mr. Zifchak about some epigenetic
- 9 research you want to perform?
- 10 | A. Uh-huh.
- 11 Q. Is that correct?
- 12 A. Yes.
- 13 Q. Right. And that would involve taking blood or tissue from
- 14 | the bonobos here in Des Moines to do that research?
- 15 | A. Yeah. Epigenetics can be done on any biological material,
- 16 including saliva, blood, hair, whatever you --
- 17 | Q. Right. You just told me you would do invasive testing on
- 18 | these bonobos?
- 19 MR. ZIFCHAK: Objection to form.
- 20 THE COURT: I think he did use the term "minimally
- 21 invasive."
- 22 BY MR. MILLER:
- 23 Q. Okay, minimally invasive. Minimally invasive testing,
- 24 | correct?
- 25 A. So the way that we've operated in the past in a lot of

- 1 | nonhuman primate studies is when primates are examined by
- 2 | veterinarians and typically blood draws occur at those
- 3 examinations, we take a little bit of blood.
- 4 Q. Right. And you use that blood to do your work?
- 5 A. Absolutely.
- 6 Q. And you're certainly not testifying today that if ACCI has
- 7 plans to adapt the facility to use it to give on site veterinary
- 8 | care that there's anything wrong with that, is there?
- 9 A. I think it's a great idea. I think veterinary care is
- 10 important for chimpanzees and bonobos, yes, and you don't want
- 11 to transport them places if you don't have to, sure.
- 12 Q. Right. Now, have you prepared a proposal to the IACUC
- 13 | committee at ACCI, IPLS, the Great Ape Trust, for the epigenetic
- 14 research that you've just described?
- 15 | A. No, sir.
- 16 Q. Do you -- you don't consider yourself an expert in Great Ape
- 17 behavior, correct?
- 18 A. No.
- 19 Q. All right. You're not an expert in Great Ape cognition?
- 20 A. I have -- not only on Great Ape cognition, but human
- 21 cognition. I've studied the genetics and the genomics of human
- 22 brain evolution.
- 23 | Q. And I appreciate that research. My understanding is that
- 24 | that would be done in your laboratory, correct?
- 25 A. Sometimes.

- 1 Q. Okay.
- 2 A. For example, I've collaborated with Dr. Hopkins on
- 3 publications. Some of the work was done in my laboratory, some
- 4 | was done in his, some was done in Dr. Sherwood's laboratory,
- 5 yes, sir.
- 6 Q. Right. And that's cellular study with relation to the
- 7 | genome or DNA?
- 8 A. That is usually how I contribute, yeah.
- 9 Q. And you don't consider yourself an expert in Great Ape
- 10 | communication?
- 11 A. No more than any other Ph.D. in anthropology whose trained
- 12 | years of course work and observational studies has, yes. My
- 13 research funding is not in that area.
- 14 Q. And your research funding is not in the field of
- 15 | experimental psychology?
- 16 A. No, but I do have quite an extensive publication in
- 17 | community-based psychiatric studies.
- 18 Q. Right. With bonobos?
- 19 A. With humans.
- 20 Q. All right. With any great apes?
- 21 | A. With great apes, I've only worked on the genetics and
- 22 genomics of great apes.
- 23 | Q. And you don't consider yourself an expert in the field of
- 24 use of language and tools of great apes, correct?
- 25 A. I consider myself a Ph.D. who's qualified to evaluate such

- 1 research, yeah.
- 2 Q. All right. You don't consider yourself an expert in that
- 3 field, though?
- 4 A. No -- well, I would say I served on the National Science
- 5 | Foundation grant panels and reviewed dozens and dozens of
- 6 research grants on these topics.
- 7 Q. Okay. How about the field of ape intelligence, are you an
- 8 expert in that area?
- 9 A. I think that I would say yes in many ways.
- 10 Q. Okay. And what basis do you have for that conclusion?
- 11 A. Because I've studied the molecular underpinnings of
- 12 | intelligence for several decades.
- 13 Q. In apes?
- 14 A. In apes.
- 15 Q. How about human cultural modes, are you an expert in human
- 16 | cultural modes?
- 17 A. What do you mean by mode?
- 18 | Q. I believe it's defined -- I'm looking at Exhibit 1. It's in
- 19 the big binder in front of you. I'm going to refer you to
- 20 | paragraph 4, which is on the second page of that exhibit.
- 21 And do you see there there's a reference to ape
- 22 | intelligence in human cultural modes, the fourth line down,
- 23 | paragraph 4?
- 24 A. Uh-huh.
- 25 Q. And that's "yes," sir?

- 1 A. Yes, I see that.
- 2 Q. Right. And Dr. Rumbaugh, I believe my understanding was her
- 3 | testimony was human cultural modes include but are not limited
- 4 to art, music, tools, agriculture, fire, et cetera.
- 5 Do you see that there? Is that your area of
- 6 expertise?
- 7 A. My doctoral training covered that issue, yeah, sure.
- 8 Q. Okay. How did it cover that issue?
- 9 A. Through course work.
- 10 Q. What kind of course work?
- 11 A. So anthropology as a discipline is divided into four
- 12 | subfields. These are biological anthropology, cultural
- 13 anthropology, linguistic anthropology, and archaeology. I was
- 14 | trained in the four-field tradition of anthropology, meaning I'm
- 15 | competent in all of those areas.
- 16 | Q. That was your Ph.D. training?
- 17 A. That was my Ph.D. training, that was my undergraduate
- 18 | training, that was my master's training, yes.
- 19 Q. And are you using that training in your current work?
- 20 A. Absolutely.
- 21 Q. Okay. You testified --
- 22 A. You know -- may I make an analogy?
- 23 | Q. Sure.
- 24 | A. So a cardiac surgeon knows about the anatomy of the pelvis
- 25 and received that as part of his medical training. Even if the

- 1 | doctor doesn't do pelvic surgery on a day-to-day basis, they
- 2 | still know.
- 3 | Q. You testified that you visited some primate facilities?
- 4 A. Uh-huh.
- 5 | Q. That's a "yes"? I'm sorry, you have to answer.
- 6 A. Yes.
- 7 Q. I want to make sure we get it in the record.
- 8 A. Sure.
- 9 Q. Thanks.
- 10 What primate facilities have you visited with great
- 11 apes present?
- 12 | A. The Primate Foundation of Arizona and the National --
- 13 | Southwest National Primate Research Facility in San Antonio.
- 14 Q. And how much time have you spent at those facilities?
- 15 A. I have spent several weeks.
- 16 Q. All right. You spent enough time to be able to compare and
- 17 | contrast those facilities with the facility here in Des Moines,
- 18 | correct?
- 19 A. Sure.
- 20 Q. Right. You said that those facilities don't match up with
- 21 | the Des Moines facility because they have the great apes in
- 22 | cages, correct?
- 23 | A. Uh-huh.
- 24 Q. Is that a "yes"?
- 25 A. Yes.

MR. ZIFCHAK: Objection to the question; no

THE WITNESS: Okay.

23

24

25

foundation.

- 1 THE COURT: The answer is in. I'll receive it.
- 2 BY MR. MILLER:
- 3 Q. He offered to let you look at the research protocols while
- 4 | you were visiting, correct?
- 5 A. He may have. I can't recall.
- 6 Q. Well, I think you testified that you asked him -- or you
- 7 | said, well, I don't want to spend time looking at those today,
- 8 | you can e-mail them to me later?
- $9 \mid A$. Yes, that is true.
- 10 | Q. Okay. And you --
- 11 A. I don't recall them being on his lap.
- 12 Q. Okay. They were in his office, they were offered to you,
- 13 | correct?
- 14 A. They were pointed at, yeah, or binders were pointed at, yes,
- 15 | that's true. I didn't see the contents of those binders.
- 16 | Q. You understood that they were the IACUC research protocols?
- 17 A. No.
- 18 | Q. Okay. All right. You've spoken to Dr. Taglialatela on the
- 19 | phone several times --
- 20 A. Sure.
- 21 Q. -- in the past couple of years, correct?
- 22 A. Correct.
- 23 | Q. In the fall of 2012, you told him that you didn't think that
- 24 Dr. Rumbaugh had any scientific credibility, correct?
- 25 A. I told him that many people in the community questioned

- 1 Dr. Rumbaugh's scientific credibility.
- 2 Q. You told him during this meeting a few weeks ago that you
- 3 | were going to do a paternity test on Teco because it was at
- 4 | least questionable if Kanzi was the father, right?
- 5 A. No. What I told him was that I had the capability to do a
- 6 paternity test on Teco if that was something that he desired to
- 7 have done.
- 8 Q. You didn't tell him you were going to go back to your
- 9 office, do it and e-mail the results?
- 10 A. I said we could talk about it, but I certainly promised
- 11 nothing.
- 12 Q. Did you discuss with him the use of lexigram keyboards while
- 13 | you were there?
- 14 A. Not so much.
- 15 | Q. Right. Because when you were there, you saw that they were
- 16 being used, correct?
- 17 | A. No. I wasn't paying -- I said I saw -- what I saw there was
- 18 | the big screen in the main room and it was on, and mostly we
- 19 went in the back where the -- when we interacted with the
- 20 | bonobos, we were not interacting with lexigrams, and then there
- 21 | was a high school tour going on.
- 22 | Q. And you weren't paying attention to lexigrams during your
- 23 | visit?
- 24 A. That was not the purpose of my visit.
- 25 | Q. Earlier you told us an analogy about a tree and some

- 1 | research and about how Dr. Rumbaugh had been removed from the
- 2 | facility and removed from the situation, right?
- 3 A. Uh-huh.
- 4 | Q. Is that correct? Was that correct? I mean, do you recall
- 5 | that testimony? I'm sorry.
- 6 A. Yeah. It was not a tree, but yeah, yeah.
- 7 | Q. I apologize. Let's be clear, now, Dr. Rumbaugh removed
- 8 herself from the facility in the fall of 2013, isn't that right?
- 9 A. I have no idea.
- 10 Q. Okay. Grab a binder up there if you would next to you with
- 11 | the plaintiffs' exhibits.
- 12 | A. This one (indicating)?
- 13 Q. Great. If you would look at Exhibit 1005 for me.
- 14 A. Yes.
- 15 | Q. I mean, you certainly knew in the fall of 2013, the plan was
- 16 | for Dr. Rumbaugh to be leaving the facility?
- 17 A. Yes, I did.
- 18 Q. Right?
- 19 A. I did.
- 20 Q. And if you look at 1005, that's an e-mail that you received
- 21 from Dr. Sue, correct?
- 22 | A. Let me just confirm that I'm on this e-mail list.
- I see my name on the cc, yeah.
- 24 | Q. Right. If you go to the third page, top of that page, you
- 25 | would have received this e-mail and read it, I assume?

- 1 A. Yes.
- 2 | Q. She says, "I also hope that, as appropriate, and under
- 3 | Jared's direction, I will continue to do some research with the
- 4 | bonobos, once the needed structural changes and funding are
- 5 | firmly in place. I will do nothing to disrupt this critical and
- 6 necessary next step."
- 7 Do you see that?
- 8 A. I do.
- 9 Q. And on the page before, if you go to the second page, at the
- 10 | very bottom, she tells you, "While Jared and Bill are familiar
- 11 | with language work, their focus is on investigating the effects
- 12 of language acquisition as well as comparing and contrasting
- 13 bonobos and chimpanzees."
- Do you see that there?
- 15 | A. I do.
- 16 Q. In fact, she tells you and the rest of the board, "This is
- 17 appropriate and the direction that should now be taken," right?
- 18 A. I see that, yes, uh-huh.
- 19 (Pause.)
- 20 THE WITNESS: Is there a question?
- 21 THE COURT: None pending.
- 22 BY MR. MILLER:
- 23 | Q. Again, I think you said you understood that she was leaving
- 24 | at that point in time?
- 25 A. I understood that she would not be working full time all the

- 1 | time, yeah. That's how I understood it. As it says here, it is
- 2 | my hope that I will continue to do some research with the
- 3 bonobos. That's what I understood.
- 4 Q. Right. You also understood that that would be as
- 5 appropriate and under Jared's direction once the needed
- 6 | structural changes and funding are in place; you understood
- 7 | that, too, right?
- 8 A. I understood Sue to be a principal investigator capable of
- 9 | making her own scientific decisions and going through proper
- 10 | channels to do research, yeah.
- 11 Q. Right. And principal investigator is a term of art that you
- 12 use for somebody that's submitted a research protocol that was
- 13 approved to do research at a facility, is that correct?
- 14 | A. Well, it doesn't have to be at a facility; just to do
- 15 research, yeah.
- 16 Q. Okay. And earlier you testified about all sorts of things
- 17 | that you thought were not disclosed to you. You didn't know
- 18 | about Sue had been removed from the facility, right?
- 19 A. Uh-huh.
- 20 Q. You didn't -- that's a "yes," correct?
- 21 A. Yes.
- 22 | Q. You didn't know that she was not apparently on the IPLS
- 23 | board; is that what your testimony was?
- 24 A. In the question that Mr. Zifchak asked me at that time, yes,
- 25 | I stand by my testimony.

- 1 Q. Sure. You didn't know anything about Yerkes?
- 2 A. No, I did not.
- 3 | Q. You still don't know anything about Yerkes today, right?
- 4 You don't have any personal knowledge about what Yerkes may or
- 5 | may not be doing at that facility?
- 6 A. You're absolutely correct. All I know is what Jared has
- 7 | told me about Yerkes.
- 8 Q. Okay. Did he tell you when you were visiting about chimps
- 9 | coming that Yerkes had said Dr. Sue can't be here because Yerkes
- 10 | is telling me they can't be here?
- 11 A. No, he did not.
- 12 Q. And, to your knowledge, nobody at Bonobo Hope knows anything
- 13 | about Yerkes directly, correct?
- 14 A. Well, many of us know about many things about Yerkes.
- 15 Q. Let me be clear. None of you know for a fact or have
- 16 personal knowledge, direct knowledge, that Yerkes has put some
- 17 | sort of condition relating to Dr. Sue participating and whether
- 18 | chimps can come to Des Moines?
- 19 MR. ZIFCHAK: Could we have an understanding of who
- 20 | "you" is in the question?
- MR. MILLER: Sure.
- 22 BY MR. MILLER:
- 23 Q. My question is, does anybody on Bonobo Hope, has anybody
- 24 | ever told you that, that they have that understanding or that
- 25 personal knowledge?

- 1 A. Nobody on Bonobo Hope has told me, but Jared has told me
- 2 | that he is -- would be unable to obtain research funds if
- 3 Dr. Rumbaugh was allowed access to the facility.
- $4 \mid Q$. When did he tell you that?
- 5 A. Most recently on my most recent visit and also on the
- 6 telephone.
- 7 Q. Well, I just asked you if --
- 8 A. No. I'll clarify.
- 9 Q. Okay. Please do.
- 10 A. I didn't say that Jared named names of individuals at
- 11 Yerkes. I'm not in Jared's head. I'm just telling you what
- 12 | Jared said.
- 13 Q. Tell me precisely what he told you.
- 14 A. He said that for him to be able to get assurances from his
- 15 | institutions, whatever that may mean, Sue should not be working
- 16 there.
- 17 Q. And what kind of assurances?
- 18 | A. What do you mean?
- 19 Q. Well, you --
- 20 A. I'm just telling you that's what he told me. That's the end
- 21 of it.
- 22 | Q. You don't know what he was talking about?
- 23 | A. I could speculate. But why would I do that?
- 24 | Q. Fair. And you don't have any knowledge about Yerkes putting
- 25 | a condition -- you personally don't have any knowledge about

- 1 | Yerkes putting a condition on chimpanzees coming to this
- 2 | facility, correct?
- 3 A. Correct.
- $4 \mid Q$. Did you talk to Dr. Sue before the vote at the end of -- in
- 5 December of 2013, a vote that you say was tainted by this lack
- 6 of knowledge, did you ask her, Dr. Sue, are you still at the
- 7 | facility?
- 8 MR. ZIFCHAK: Objection. How many questions is the
- 9 | witness required to answer?
- 10 THE COURT: I think it is a compound question. Let's
- 11 take another shot at it.
- 12 BY MR. MILLER:
- 13 Q. Okay. Did you ask Dr. Sue before you voted in December of
- 14 | 2013 if she was still working at the facility?
- MR. ZIFCHAK: I think that question lacks foundation.
- 16 The vote was in November 2013.
- 17 BY MR. MILLER:
- 18 | Q. Same question with the clarification that it was November
- 19 | 2013, if I misspoke.
- 20 A. I did not ask Liz, I did not ask Heather, I did not ask Sue,
- 21 | I did not ask anyone if they had been removed access to the
- 22 | facility because it hadn't occurred to me that that would have
- 23 | happened.
- 24 Q. And you didn't ask her, correct?
- 25 A. No. I had these kinds of e-mails from her that said things

- 1 like she will continue to do research.
- 2 Q. Okay. You didn't ask her about her status at IPLS at that
- 3 | time, did you?
- 4 A. No.
- 5 Q. You didn't ask her anything about whether there's conditions
- 6 about Yerkes?
- 7 A. Why would I do that?
- 8 Q. Well, you're testifying -- are you testifying that these are
- 9 issues that were important to you when you took that vote?
- 10 A. No. I'm testifying that those issues were not raised when I
- 11 took that vote.
- 12 Q. Okay. They weren't raised. Did they matter?
- 13 A. You know, I also didn't ask if there was an astroid that hit
- 14 | the facility.
- 15 Q. No, I know, but if --
- 16 A. It was unexpected.
- 17 Q. You're here today testifying that these were issues that
- 18 | were apparently material to you when you took that vote, is that
- 19 | correct?
- 20 A. Correct.
- 21 | Q. And you did not ask Dr. Rumbaugh about any of those issues,
- 22 | correct?
- 23 A. Correct.
- 24 Q. Were you asleep at the switch?
- 25 A. There's a switch?

MR. ZIFCHAK: Thank you. Just a couple of quick

questions and then we'll let Mr. Professor Wildman get back on

THE COURT: Redirect?

the road to Champaign, Illinois.

22

23

24

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350
1
                          REDIRECT EXAMINATION
   BY MR. ZIFCHAK:
 2
 3
    Q. First of all, in addition to Kennesaw, do you know of any
   other institution with which Dr. Taglialatela is currently
 4
 5
   affiliated?
   A. Yerkes.
 6
    Q. So that when he told you that his institutions required
7
    certain assurances before they would let chimps arrive at the
 8
   Des Moines lab, he was referring to Kennesaw and Yerkes,
10
   correct?
   A. That was my assumption.
11
12
              MR. ZIFCHAK: Nothing further.
13
              Thank you.
14
              THE COURT: Anything further?
15
              MR. MILLER: No.
16
              THE COURT: You may step down, sir.
17
              Thank you.
18
              THE WITNESS: Thank you.
19
                                          (Witness excused.)
20
              MR. STAMBAUGH: May we call our next witness, Your
21
   Honor?
22
              THE COURT: Please.
23
              MR. STAMBAUGH: The claimants call Mr. Ryan Sheldon,
24
    and Mr. Neihaus will be doing the examination.
25
              May I inquire and get Mr. Sheldon?
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                                                                   351
 1
              THE COURT: Yes.
 2
              Would you come forward, please.
              THE CLERK: Please raise your right hand.
 3
            RYAN DOUGLAS SHELDON, DEFENDANTS' WITNESS, SWORN
 4
 5
              THE COURT: Be seated right there, sir.
 6
                            DIRECT EXAMINATION
7
    BY MR. NEIHAUS:
        Good afternoon, Mr. Sheldon.
 8
    Q.
   Α.
        Thank you.
10
        Would you please state your full name for the record.
11
    Α.
        Ryan Douglas Sheldon.
12
        Why are you here today, Mr. Sheldon?
    A. Your Honor, my history with this bonobo colony spans 23
13
14
    years, and the knowledge I have gained from this bonobo colony
15
    has allowed my business to grow to sell millions of dollars
16
    worth of product. And I'm here today because I built a facility
17
    in Missouri because I would like to relocate them on 200 acres
18
    of land near my home.
19
              I'm here today to provide a lifelong funding solution
20
    for the bonobo colony, and it is my belief that it is my moral
21
    and ethical obligation to these bonobos to support them for the
22
    rest of their life, and I'm here to ask you if you will make it
23
```

my legal obligation. 24

Thank you, Mr. Sheldon. Ο.

25

If I could just ask you to speak a little bit louder

- 1 and into the microphone in front of you.
- 2 A. Okay.
- 3 MR. NEIHAUS: Your Honor, Mr. Sheldon has prepared a
- 4 | PowerPoint presentation that we would like to use purely for
- 5 demonstrative purposes. We have shared it with opposing
- 6 | counsel, who has indicated they have no objections to our
- 7 | publishing it to the court solely for demonstrative purposes,
- 8 | and so with the court's permission, we would like to publish.
- 9 THE COURT: That's fine.
- 10 BY MR. NEIHAUS:
- 11 Q. Mr. Sheldon, what do you do for a living?
- 12 | A. I am CEO and founder of National Control Devices, which is
- 13 | ControlAnything.com and ControlEverything.com.
- 14 Q. And what kind of company is that?
- 15 A. We're an electronics design and manufacturing firm, and we
- 16 do all our electronics design work in our facility in Osceola,
- 17 | Missouri, and we also do our manufacturing at that facility.
- 18 Q. When did you found the company?
- 19 A. I believe it was October of 1995.
- 20 Q. Where is your company located?
- 21 A. We are located at 430 Market Street, Osceola, Missouri.
- 22 | Q. And what type of products does National Control Devices
- 23 | make?
- 24 | A. We specialize in making computer-controlled switching
- 25 products. We turn things on and off for a living. We

- 1 | specialize in iPad control, Android control. We can control
- 2 pumps, valves, motors, solenoids, gates, all of those kinds of
- 3 | things, from a computer anywhere in the world.
- 4 Q. And if you can tell a little bit more about what the
- 5 technology that your company develops allows people to do.
- 6 A. Yeah. The technology that we work with, we work
- 7 | specifically to customize our product for many customers. We've
- 8 | customized our product for computer-controlled switching
- 9 | companies all over the United States and actually all over the
- 10 world.
- 11 Q. Does the company have any plans for expansion in the coming
- 12 | year?
- 13 A. Yes, we do. In 2016 we're introducing -- or we're retasking
- 14 | ControlEverything.com to be a social networking web site that
- 15 | introduces 600 new products to the market that also hits 12 new
- 16 targets.
- 17 Q. Is the company profitable?
- 18 A. It's very highly profitable.
- 19 Q. Can you tell me a little bit about the company and its
- 20 statistics?
- 21 A. Yes. We have 14 full-time employees. We have now 20 years
- 22 in the business. We have shipped over 52,000 orders. We have
- 23 | over 4,000 products in our product line. We're currently
- 24 | shipping about 3.4 million dollars worth of product to our
- 25 customers each year, and we've seen a very steady increase of

- 1 | about 27 percent per year.
- 2 | Q. And what's your percentage of repeat customers?
- 3 A. We have a very high percentage of repeat customers. It
- 4 is sometimes -- we believe the latest numbers might be as high
- 5 as 90 percent, so --
- 6 Q. You mentioned your clients before. Who are your clients?
- 7 A. Our clients are companies like Google, Apple, Microsoft,
- 8 | Intel, Lockheed Martin, McDonnell Douglas, Boeing and customers
- 9 like that.
- 10 | Q. Do you have any governmental clients?
- 11 A. Yes. We have a huge number of government clients. We work
- 12 | with NASA very frequently. We work with the FBI. We work with
- 13 | the U.S. Army and the U.S. Navy, as well as many other
- 14 government organizations.
- 15 | Q. And any local clients here in Des Moines?
- 16 A. In Des Moines, yes, we have many. We've worked with Hach is
- 17 one of our clients. Northern Iowa Shrimp is another one of our
- 18 | clients. We've worked with the University of Iowa, Iowa State
- 19 | University, and I believe we've got Rockwell Collins is another
- 20 one of our big clients in Iowa.
- 21 | Q. I would like to ask you now, when did you first meet and
- 22 | become involved with Dr. Sue Savage-Rumbaugh and the bonobo
- 23 | colony?
- 24 | A. I was introduced to the work of Dr. Sue Savage-Rumbaugh on
- 25 | my 20th birthday, which was December 24th of 1991, and it was

- 1 one of the greatest birthday gifts a person could ever have.
- 2 Q. What happened on that day?
- 3 A. I went to visit a man named Russ Rupert on a job interview,
- 4 | and Russ and Pam wanted to hire me to write a video game
- 5 | software for test applications for chimpanzees.
- 6 Q. And did they hire you?
- 7 A. Yes, they hired me, and I continued to work there for seven
- 8 months.
- 9 Q. What was the name of that company?
- 10 A. The name of the company was called Public Sphere.
- 11 Q. And where did you go after Public Sphere?
- 12 A. In July of that year of 1992 -- I worked with Russ for seven
- 13 | months, and in July of 1992 I moved to Atlanta to work with Sue
- 14 directly.
- 15 | Q. And where did you work with her?
- 16 A. At the Language Research Center at Georgia State University,
- 17 | the Language Research Center in Decatur, Georgia.
- 18 | Q. Can you tell me a little bit about the Language Research
- 19 | Center?
- 20 A. Yeah. The Language Research Center was a phenomenal place.
- 21 | Basically it was a USDA type facility. It had extra offices in
- $22 \mid$ the front for administrative tasks and things of that nature.
- 23 | It had a large acreage. We had a really great interaction with
- 24 | the bonobos. We spent a lot of time walking with them outside.
- 25 We were encouraged to use the keyboard. We were encouraged to

- 1 | spend a lot of time with them, treat them as though they were
- 2 people, treat them as our friends because they became our
- 3 friends in that time. It was a really, really incredible place.
- 4 | It was very well isolated, and it was very focused on the
- 5 research and it was very focused on the well being of the
- 6 bonobos.
- 7 Q. And how did you develop your technology to serve the
- 8 research needs of Dr. Savage-Rumbaugh and the bonobos?
- 9 A. Dr. Sue Savage-Rumbaugh asked me to develop a keyboard -- or
- 10 a relay controller, which was basically used to dispense food to
- 11 chimpanzees whenever they got a task right. And I developed
- 12 | that relay controller for Dr. Sue Savage-Rumbaugh, and that was
- 13 | the basis upon which I formed my company.
- 14 Q. I see on the slide here --
- 15 | A. Yeah.
- 16 | Q. -- talking about the merging of the technology. There's a
- 17 | picture.
- 18 | A. Yeah.
- 19 Q. Can you tell me a little bit about that picture?
- 20 A. Yes. Panbanisha was a great friend of mine. I spent a
- 21 great deal of time with her in the woods. Most of the time we
- 22 didn't have cameras near us. Most of the time Panbanisha and I
- 23 | just hung out a lot. We played, we used the keyboard a lot.
- 24 And she really showed me that she is a person inside.
- 25 Q. So is this you then in the picture?

- 1 A. This is me and Panbanisha together.
- 2 | Q. And what are you doing in the picture?
- 3 A. Well, right now we're sitting and we're about to use the
- 4 keyboard because Sue is ready to do some work for her research.
- 5 | So we have to kind of calm her down a little bit and get her to
- 6 focus.
- 7 Q. And that's the keyboard in front of you?
- 8 A. Yeah. The keyboard is to the right on the bottom corner.
- 9 At that time Sue really taught me the importance of
- 10 | merging technology with research, and technology and research is
- 11 | very symbiotic. They rely on each other, and without one the
- 12 other is not as good.
- 13 | Q. How long were you at the Language Research Center?
- 14 A. For seven years. I left in September 15th of 1999.
- 15 Q. And why did you leave?
- 16 | A. Sue was getting ready to go to Iowa, she was making plans
- 17 | for that, and I was making plans to grow my company further.
- 18 | Q. Did you maintain a relationship with Dr. Savage-Rumbaugh
- 19 | after leaving?
- 20 A. Yes, I did.
- 21 Q. Can you tell me about that relationship?
- 22 A. Well, Sue and I have always been close. Sue and I have --
- 23 | she stopped by to visit my office many times throughout the
- 24 | years. She's kind of seen us grow, and she has -- she's always
- 25 kind of kept me up to date on how the bonobos are and those

- 1 | kinds of things, and we just had a really great, really great
- 2 | working relationship.
- 3 Q. Mr. Sheldon, what is it that you have proposed to house the
- 4 bonobos going forward?
- 5 A. What I'm proposing to do is to create a facility that is
- 6 based more like what we had at the Learning Research Center. It
- 7 | was more of a basic USDA facility. It was designed for low cost
- 8 of operation. It was designed for -- basically to have all of
- 9 | the things that we had at the LRC, which were to have the
- 10 | freedom, the ability to do what we did then that made the
- 11 research very successful. And so that is the path that I am
- 12 | really trying to follow now is to go back to the LRC standards.
- 13 Q. And where is this facility located?
- 14 A. This facility is located in Osceola, Missouri. It is
- 15 | located near my home. I have a 200-acre farm, which is next to
- 16 | the bluffs of where I live, in Osceola. I also have a quest
- 17 | house in town, and Sue has come to stay in the guest house
- 18 | numerous times as we've made plans for this facility.
- 19 Q. I see on the slide there's a picture with some arrows on it.
- 20 | Can you please tell us what those are.
- 21 A. Yes. The red arrow is the farm. It's the approximate
- 22 | location of the facility. The blue area is where my home is,
- 23 | and the yellow area is where my quest house is.
- 24 Q. Where is your office?
- 25 A. My office is -- the town is bigger than that photo shows.

- 1 | My office is a little bit -- it would be below the yellow arrow
- 2 so --
- 3 | Q. And what are we looking at in these pictures?
- $4\mid A$. In that photo -- and this kind of shows a reference point of
- 5 | the area of where we're building. It's about three-quarters of
- 6 a mile off the bluff, and it is divided -- the bluff area is
- 7 divided with a road. It is very deep and very heavily forested,
- 8 | but also in an open field area. So we have a mix of forest and
- 9 open area, pasture areas.
- 10 \mid Q. So if I understand you correctly, the river that we see
- 11 | there (indicating)?
- 12 | A. Uh-huh.
- 13 Q. It's the river, and then the red arrow is pointing to a very
- 14 | small structure far off?
- 15 A. Right, that's right, exactly. That arrow is very accurately
- 16 positioned. At the bottom of that arrow is where the facility
- 17 | would be located.
- 18 Q. And about how far is that?
- 19 A. It's about three-quarters of a mile from the edge of the
- 20 bluff.
- 21 Q. What are the facilities that have been planned?
- 22 A. The facilities are -- we have modeled them on the USDA
- 23 | facilities. We've planned a 40 x 80 building. If I might read
- 24 | through some of these, there's a lot of details, and I don't
- 25 | want to leave these out. We've planned for five 18 x 11 cages.

```
360
1
    We've planned for a 25 x 17 utility room, a 15 x 15 infirmary.
 2
    We have tunnel access to the infirmary, as well as three tunnels
    to cages 1, 3 and 5 -- my screen went blank. The screen is out.
 3
        Sure. You can look at the one right behind you if that's
 4
    all right.
 5
 6
        Yes. Dr. Amory Lovins has consulted with me and he has
7
    recommended the insulation --
 8
              MR. MELHUS: Objection. Excuse me. Objection to the
    extent that he's testifying to hearsay, anything that Dr. Lovins
 9
10
   has told him in the past, for the truth of the matter asserted
11
   here.
              THE COURT: I'll receive it, subject to the objection
12
13
    and only for the purpose of explaining the reason for the
14
    design.
15
              THE WITNESS: I'm sorry?
16
              THE COURT: You may continue.
17
              THE WITNESS: Okay. Thank you.
18
        (Continuing) Dr. Amory Lovins has had numerous
19
    conversations with me because he is an energy management
20
    specialist. He focuses -- he is world renowned at the Rocky
21
    Mountain Institute as an energy management. He flies all over
22
    the world for this particular type of thing, and he has
```

consulted with me because we want to make this facility be very

that is the purpose why we have consulted with him about this.

low cost and self-sustaining as much as we possibly can.

23

24

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1 BY MR. NEIHAUS:
```

- 2 Q. Was there anything more you wanted to say about the
- 3 | facility?
- 4 A. We have -- again, we have really focused this in on being a
- 5 USDA type facility. We have modeled it around the colony room
- 6 | that we had at the Language Research Center. It is kind of a
- 7 | combination of the colony room and the P-Suke Building that we
- 8 | had at the Language Research Center.
- 9 Q. Can you tell me about the floor plan?
- 10 A. Yeah. The floor plan is -- Sue and I worked on drawing the
- 11 | floor plan in coordination with our builder and our plumber.
- 12 | It's got a very intricate plumbing system. We've positioned all
- 13 of the utilities to the left side of the facility so that
- 14 | maintenance can be done without any interaction into the ape
- 15 | areas. The right side of the facility serves as a storm shelter
- 16 | with tunnel access, and also it would double, could be used for
- 17 | double purpose as an infirmary.
- 18 | Q. What are the important utilities at the facility?
- 19 A. I'm sorry, I have to read some of this. I'm having trouble
- 20 keeping up with this. We have a dual 120-gallon --
- MR. NEIHAUS: Mr. Sheldon, I'll just ask you to wait
- 22 one minute.
- 23 | THE CLERK: I believe the screen just got turned off.
- MR. NEIHAUS: Okay.
- 25 (Pause.)

```
1
              MR. STAMBAUGH: Your Honor, we have a paper copy.
                                                                 May
 2
    I approach? Would that help?
              THE WITNESS: Yes. Okay. Sorry for the interruption.
 3
    BY MR. NEIHAUS:
 4
 5
       You were taking about the utilities?
 6
        Yeah. The utilities, we have a dual 120-gallon pressurized
7
                 That was recommended by our plumber. We have grid
    water tank.
   power with five kilowatt of two-day reserve battery backup.
 8
 9
   have a thousand gallon propane tank with propane generator,
10
    which would allow us to run the facility off of the generator if
    we need to. We have a 50-foot propane radiant heater. This is
11
12
    a very special heater that is specific for this facility.
13
    heater operates off of -- without grid power. It will operate
14
    as an emergency heat source in the event that we were to lose
15
    utilities. We have dual HVAC conventional air-conditioning. We
16
    have a high power humidification system that is being planned
17
    right now. We have radiant floor heating, metal encapsulated
18
    electrical wiring throughout the entire facility with GFI
19
    protection. We have a high pressure water sprayer system to
20
    keep the facilities sanitary. We have a propane water heater
21
    with a wood burner backup. We also have interior low power LED
22
    lighting with day/night dimming. The apes actually can control
    the brightness of the light inside the cages with the system.
23
24
              All utilities are accessible from the west side as I
   mentioned earlier before, and we have a fresh air exchange
25
```

- system for air quality to make sure the air quality stays good inside the building.
- We have many more utilities planned, but that is -
 that's where we're at at this time. This is what we have as our

 must have list of utilities.
- 6 | Q. When did construction of the facilities start?
- 7 A. We started in September of 2014 building the facility, and I
- 8 | have photos of the facility as we go through the construction.
- 9 Q. So what are we looking at this first?
- 10 A. The first photo is -- the red is the radiant floor heating
- 11 | with the infrared reflective barrier. It also serves as
- 12 | moisture barrier for the facility. And they're pouring concrete
- 13 in this photo. This is a special concrete. It's cement mix
- 14 | with fiber backup. The reason we do fiber is to prevent
- 15 | cracking. We don't want cracking in USDA facilities, not
- 16 advisable.
- 17 Q. What are we looking at in this next photo?
- 18 A. This next photo kind of shows the pillars starting to form
- 19 for the cages in the corners, each cage.
- 20 And also there's a worker there. He is working
- 21 setting the next group of blocks, and below him -- or I guess
- 22 | behind him, he's got a trough which serves as the main drain
- 23 | behind the cages for cleanup.
- 24 Q. And could you tell me about these photos?
- 25 A. Yeah. These photos show a much better picture of the drain

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1
    system that we have planned. The wall in the back is the
 2
    opening where we'll put the tunnel for the storm shelter, which
    would also serve as an emergency storm shelter and as an
 3
    infirmary, and that's where the blocks are knocked out in the
 4
   photo.
 5
 6
              And Sue came to visit the facility. This kind of
7
    gives you a general reference of size. It's a very -- we have
   plenty of height, far beyond the USDA recommendations in size.
 8
       Are there any outdoor areas near the facility?
10
    A. Yes. We have outdoor areas planned for the facility. Many
11
    of these outdoor areas are still in the planning phase. We're
12
    focusing on the building at this time. And in future
13
    conversations with Sue and the USDA, we will plan a caging
    system that would be exactly what is -- what we need.
14
15
    Q. What kind of security measures do you have in place?
16
```

sorry, what -- can you tell me what's in this photo?

17

18

19

20

21

22

23

24

25

A. Oh, this is an artist rendering of the overview of the facility. It kind of shows its basic structure and size. It's a very modest facility, and Sue and I wanted to name this after -- this facility after Panbanisha who was our very, very close friend.

And so it's designed to be sustainable. It's designed to be sustainable at low cost so that we can focus more funds on doing everything that the apes would need to enrich their lives.

Q. Now, can you tell me about the security measures you have in

Our company has a special connection to the security

365

place?

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industry. We work with a lot of security companies, and so we have planned an 8-zone fire detection system, a 20 zone push notification system. This will allow us to have buttons throughout the facility that would immediately send a text message to everybody who's connected to the network. No matter where you happen to be, you will get a text message from the facility. We will have 10 zones of indoor/outdoor ultrasonic motion detection system. This system cannot be penetrated. Ιt cannot be bypassed. There is no known way to get around an ultrasonic motion detection system. We have 20 zones of low power LED exterior flood lighting. We have 8 zones of security cameras system with remote access to all the cameras. We have an RFID card swipe system that will be in place hopefully later this year that would give access/control to the cages so you would have to swipe the card to get into the cages, and it would not allow you to leave doors open that would allow for an escape. When is the projected opening of the facility? We're hoping to open late August for a lot of the actual facilities themselves. We also hope to be getting the outdoor play yards finished in September or October. In 2016 I would like to expand the play yard area to a much larger size, but the original size that we planned is more to meet the USDA requirements. The second size is to give them a lot more free

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1 space.
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- 2 Q. You mentioned the USDA requirements?
- 3 A. Right.
- 4 Q. What are those guidelines?
- 5 A. We read a number of guidelines as we were designing the
- 6 facility, and Sue and I read those together, and we determined
- 7 that a lot of the guidelines were specific more to cage size and
- 8 transport and some of the basic requirements for ape care. We
- 9 have made sure that we have followed all of these guidelines to
- 10 | the best of our ability, but it's been awhile since I've read
- 11 it, so I don't have all of the guidelines in memory right now.
- We've also been working with a company called Swmo
- 13 | Safety. They do OSHA safety certification for our company, and
- 14 | we're going to employ them to do OSHA safety certification for
- 15 | this facility as well.
- 16 Q. Has your building been inspected?
- 17 A. Yes. The building has been inspected by Matt Bishop. This
- 18 | inspection was to guide us to any corrections, any minor issues
- 19 that we needed to address, and it's been very helpful. We will
- 20 | have a final inspection prior to a USDA inspection, so --
- 21 | Q. What is the purpose of relocating these bonobos to Missouri?
- 22 A. My primary purpose for bringing them to Missouri is to give
- 23 them a safe, open place where they can go back to the kind of
- 24 | work that we used to do at the LRC. That is my first and
- 25 | foremost concern is that we have the best kind of facility at

that time for the type of language research that Sue was doing. I would like to return to that trajectory as much as we can so that the research can continue.

I would like to provide never-ending improvements to the bonobo environment. The bonobo environment never stops changing. When you get into a facility like this, you realize that you always need to make adjustments and improvements in the bonobo environment, and this is one of my hugest commitments to this project is to always be improving on and expanding their environment so that they have an incredible place to play.

I would also like to develop hardware and software technologies. With Sue and I working together, we can propel the research many, many years ahead. Sue and I have the ability to work very synergistically together, and we know exactly how to plan the research with the technology. We have learned that many years ago, and we are not afraid of returning to that model.

I would also like to provide Dr. Sue Savage-Rumbaugh with lifetime access to the bonobo colony. That is, in my opinion, critical. These bonobos form relationships with people, and they hurt when people are missing from their life as we would hurt when people are missing from our lives. I have experienced this, and I cannot explain it to anyone who has not experienced it; but I can tell you these bonobos are like people inside and they love us as much as we love them.

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What -- I'm sorry.
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- My other objectives that I really want to mention is that I would -- Dr. Sue Savage-Rumbaugh, in my mind, has been a huge role model in my career. She has been a wonderful inspiration. She has been a pillar. She has been someone who I feel has been sent to guide me through life, and I would like to honor her with archiving all of her work on our web site. All of the history I can put together, I want to put it on our web site, and I would like to enrich humanity by sharing all of the work and all of the technology and make it available to anyone who
- 11 wants to do whatever they need to do, and we want to share it at
- 12 Apes.ControlEverything.com.
- What are you and your company prepared to commit to this 13
- bonobo colony and to Dr. Savage-Rumbaugh in terms of services? 14
- 15 I consider this to be a lifetime commitment. This is huge
- for me, and I don't make many lifetime commitments in my life. 16
- 17 So what kind of services would you provide?
- The building and site maintenance is going to be provided by 18 19 my company. We're also going to be covering the costs of
- 20 transport of the bonobo colony.
- 21 We will provide accounting and tax services with RMMC 22 in Springfield, which is a very large accounting firm that we work with. We will also be providing administrative services 23 for this facility. We will be contributing technology to 25 perpetuate Sue's work, whatever that may be.

We will also work with Swmo Safety for bi-annual OSHA safety certifications to make sure our facility stays OSHA compliant and safe.

We will also be providing employee health screening, TB testing, and all necessary vaccinations for everyone who has contact with the bonobos.

- Q. And what kind of commitment are you and your company
 prepared to make in terms of financial support?
- 9 A. My company is ready to commit to a 40 x 80 pre-engineered
 10 building. That's not the end. It's the beginning. I'm willing
- 11 to commit 203 acres of land which this resides on, this property
- 12 resides on, an irrevocable \$104,000 annual contribution from
- 13 National Control Devices, LLC. I would also like to commit
- 14 | \$2,000 a week to be paid to ACCI. I have a good friend,
- 15 Dr. Jared Taglialatela, and I would like to see ACCI have the
- 16 funds that they need to take care of the bonobos while they're
- 17 | in Iowa.

1

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4

5

- 18 Q. And that commitment is until --
- 19 A. That is until the relocation, until the USDA-approved and 20 court-approved relocation.
- I would like to -- I'm also offering a renewable
 five-year bank guarantee of \$520,000. This will be renewed
 annually. So if anything should happen to me or my business,
 there would be at least five years of funding that would be
 guaranteed to make sure that everything goes well.

- 1 | Q. And have you received any assurances or approvals from a
- 2 bank?
- 3 A. Yes. My very close friend, Scott Buerge from Metz Banking
- 4 | Company, he is the president of Metz Banking Company, he has
- 5 also been the person who has helped me start my business from
- 6 | the very beginning to where it is today, and we've had many long
- 7 | conversations about this, and he has offered this \$520,000 line
- 8 of credit to be used in the event that I cannot fund it with
- 9 cash, but I do intend to fund this with cash every single year,
- 10 so --
- 11 Q. At this time I would like to identify for the record Exhibit
- 12 | 94. Mr. Sheldon, in that big notebook to your left that's
- 13 | sitting on the ledge, there's a book of exhibits.
- 14 A. Okay.
- 15 Q. It's even further to your left.
- 16 A. Okay.
- 17 Q. There you go. If you could please turn to Exhibit 94.
- 18 MR. MELHUS: Your Honor, I would like to assert an
- 19 objection to Exhibit 94 at this time. We believe that this is
- 20 hearsay and lacks foundation. This letter is being offered to
- 21 prove that this money is going to be available, so it's offered
- 22 | for the truth of the matter asserted, and I don't think that BHI
- 23 or Dr. Sue Savage-Rumbaugh intend to offer the author of this
- 24 letter as a witness in this case.
- 25 THE COURT: I don't know that it's been offered yet.

```
371
1
    Has the exhibit been offered?
              MR. NEIHAUS: It has not been offered yet.
 2
    intend to offer it. Can I lay foundation first?
 3
   A. Yes, that is the letter of credit.
   BY MR. NEIHAUS:
 5
    Q. Can you tell me, how did you receive this letter?
 6
7
   A. Scott Buerge signed it for me on Sunday evening. We were
   both pressed for time, and we met and he signed this and brought
   it to me.
10
    Q. Did you request this letter?
       Yes, I did.
11
   Α.
12
    Q.
       For what purpose?
13
       For securing the future of these bonobos.
14
       And, to your understanding, what does this letter give
15
   assurance of?
16
   A. This letter gives assurance that if anything should happen
17
    to me or my company that the bonobos will continue to be funded.
              MR. NEIHAUS: At this time we would offer Exhibit 94
18
19
    into evidence.
20
                                   (Defendants' Exhibit 94 was
21
                                  offered in evidence.)
22
              MR. MELHUS: We assert the same objections as we did
23
    earlier, Your Honor.
24
              THE COURT: Thank you.
25
              94 will be received, subject to the objection.
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372
 1
                                   (Defendants' Exhibit 94 was
                                   received in evidence.)
 2
                            He's willing to accept a phone call if
 3
              THE WITNESS:
    anyone is interested.
 4
 5
   BY MR. NEIHAUS:
       And were there any other financial commitments?
 6
 7
              I believe I have about a hundred thousand dollars left
   A. Yes.
    to finish this building, and this figure is a little bit out of
 8
           I've now committed a total of 1.25, one-and-a-quarter,
10
   million dollars in resources to this project in land,
    facilities, trust fund, and cash.
11
12
    Q. Have you received any support in your endeavors to build the
13
    facility?
14
       Yes, I have. I have received --
15
    Q. And to move this bonobo colony?
16
       Yes. I received letters of support from Dr. Amory Lovins
17
    and Dr. Jane Goodall.
18
              MR. NEIHAUS: Thank you, Mr. Sheldon.
19
              I have no further questions, subject to rebuttal.
20
              THE COURT: Cross-examination?
21
              MR. MELHUS: Just briefly, Your Honor.
22
                        CROSS-EXAMINATION
23
   BY MR. MELHUS:
24
    Q. Mr. Sheldon, when did you begin construction of this
25
    facility in Osage (sic), Missouri?
```

- 1 A. I believe I had that marked in September, so I can look back
- 2 at the slides to see. It has a better document.
- 3 Q. Okay. And in September of 2014 I think your earlier
- 4 testimony reflects, what was the purpose or purposes for that
- 5 | facility?
- 6 A. The purpose is for these bonobos.
- 7 Q. Was there any other purpose?
- 8 A. It's for these bonobos.
- 9 Q. That's the sole purpose for this facility and there's no
- 10 other purpose?
- 11 A. That is the purpose of this facility is for these bonobos.
- 12 | We have discussed the potential that if we cannot get the
- 13 bonobos there that we may consider chimpanzees for other
- 14 applications; but the purpose and the reason why it was
- 15 | constructed was for these bonobos.
- 16 Q. So the primary purpose would then be for the bonobos, the
- 17 | secondary backup purpose --
- 18 | A. A secondary backup purpose actually would be determined by
- 19 BHI. BHI will then decide what happens to the facility if we do
- 20 | not get the bonobos relocated.
- 21 | Q. And what purposes other than chimpanzees were contemplated?
- 22 | A. That was the only purposes that have been contemplated as of
- 23 | now.
- 24 | Q. And these chimpanzees, where would they come from?
- 25 A. That hasn't been decided. That's well outside my area,

- 1 so --
- 2 | Q. Have you had any conversations about where these chimpanzees
- 3 | might come from?
- 4 A. No, not any that I can think of.
- 5 | Q. You've made what you've described as a lifetime
- 6 | commitment --
- 7 A. Yes.
- 8 Q. -- to the bonobos for as long as they reside at the Missouri
- 9 | facility, right?
- 10 A. Yes.
- 11 Q. And that lifetime commitment, I'm just trying to unbundle
- 12 | that a little bit, that includes money. How much money do you
- 13 expect it to cost to fund the facility each year?
- 14 A. I expect it to cost around 80 to 90 thousand dollars to fund
- 15 | the facility each year, but those are projected costs based on
- 16 our area and based on the information that we have been able to
- 17 gather.
- 18 | Q. Do you have any experience in running a facility that houses
- 19 | great apes that would allow you to make such projection?
- 20 A. Well, what I did was I looked into the NIH standards, and
- 21 NIH typically funds chimpanzees for 15 and as high as 17
- 22 | thousand dollars I've seen references to. So basically they've
- 23 | decided that NIH is willing to fund 15 to 17 thousand dollars
- 24 | from what I've been able to determine per chimpanzee.
- 25 Q. Okay. And what about administrative services?

- 1 A. I'm providing that. That's not part of the money that I'm
- 2 | contributing. My company is providing all of the administrative
- 3 | services as I outlined in my PowerPoint presentation.
- 4 Q. Do you have any idea of what those administrative services
- 5 | might cost your company?
- 6 A. I haven't -- I don't think that they're going to cost that
- 7 | much because we have a really good administration in place for
- 8 | my company, and we can -- they are all willing to volunteer
- 9 | their time to work on this, so --
- 10 | Q. So the administrative services would be volunteered --
- 11 A. Right.
- 12 Q. -- by people from your company?
- 13 A. Right.
- 14 Q. What about the tax services that you discussed, how much
- 15 | will that cost?
- 16 | A. That will cost -- typically tax services for my company cost
- 17 about seven or eight thousand dollars a year.
- 18 | Q. And so a similar amount could be expected here?
- 19 A. I doubt that it would be that high given the nature of this.
- 20 | Tami from RMMC has been very low cost so far.
- 21 | Q. What about staffing, how are you going to take care of these
- 22 | bonobos on a day-to-day basis?
- 23 | A. I don't plan to take care of the bonobos on a day-to-day
- 24 | basis. What I would like to do is provide the funding and
- 25 provide the facilities. I intend to have BHI make the

- 1 determination as to how staffing is handled.
- 2 Q. How much would staffing cost in this type of facility?
- 3 A. The general staffing for our facility could be -- I would
- 4 | say in our area we're probably looking at about 20,000 a year as
- 5 | a pretty average annual income for a lot of people. There are
- 6 some people who make more obviously and then there are some
- 7 | people who make less, but we're actually in a low to very low
- 8 | income area in terms of Missouri is -- this area of Missouri is
- 9 a very low income area in Missouri.
- 10 | Q. So that would mean that labor costs less down there; is that
- 11 | what you're trying to say?
- 12 A. That's exactly right. Labor typically costs less in our
- 13 area.
- 14 Q. And against -- excuse me. What about food costs, have you
- 15 determined how much food is going to cost?
- 16 A. Yeah, I've discussed that with Sue. I have discussed that
- 17 | with Sue, and it is very typical of many of these types of
- 18 organizations to have food donated. However, we have discussed
- 19 | having a budget for food, and I am certainly willing to increase
- 20 my budget as necessary to cover those kinds of things.
- 21 Q. So you intend to have staff on a volunteer basis?
- 22 A. No, I wouldn't say on a volunteer. We're looking at a paid
- 23 staff.
- 24 | Q. Okay. Administrative staff would be on a volunteer basis?
- 25 A. The administrative staff are actually paid at my company.

- 1 | They have extra time at work, and they're willing to do it
- 2 | voluntarily at work; but they will be paid through my company,
- 3 so --
- 4 Q. And the food, you're hoping that that's going to be donated?
- 5 A. From what I've been able to determine, food is typically
- 6 always donated to many of these kind of facilities. It was
- 7 donated at the LRC. Sue has indicated to me that it's been
- 8 | donated at ACCI, and I have no reason to believe that we can't
- 9 get food donated to this facility as well.
- 10 Q. What about the commitment to provide never-ending
- 11 | improvements to technology?
- 12 A. Right.
- 13 Q. How much is that going to cost?
- 14 A. That could cost tens of thousands of dollars to do that.
- 15 | Q. Okay. Against whose lifetime is this commitment going to be
- 16 | measured; against your lifetime?
- 17 A. That's a good -- that's a very, very excellent question. It
- 18 | is my hopes that it would go against the lifetime of me and any
- 19 business that I own. So as my business currently stands, if I
- 20 were to die, my business would continue on. I have employees
- 21 who can carry it forward without me. And however it serves the
- 22 | interests of this court, I would like for it to always be a
- 23 | lifetime commitment for me, for any business that I own.
- 24 | Q. Have you taken any steps to ensure that that commitment is
- 25 | going to be carried forward?

- 1 A. I'm sorry, I don't understand the question.
- 2 | Q. Other than offering your word of a lifetime commitment, have
- 3 | you taken any steps to ensure that this money will be provided
- 4 | for your lifetime?
- 5 A. Well, I've provided a bank letter of credit.
- 6 Q. Have you set up a trust, for example?
- 7 A. We will set up a trust. I believe Mr. Zifchak has been
- 8 working on setting up the trust.
- 9 | Q. But you haven't done that to date?
- 10 A. No, it's not set up yet. We don't want to set up the trust
- 11 until we get a decision.
- 12 Q. Okay. Are you aware that Mr. Ted Townsend -- do you know
- 13 Mr. Ted Townsend?
- 14 A. Yes. I have met Ted Townsend two, maybe three times. I
- 15 | have a very high regard for Mr. Ted Townsend.
- 16 Q. Are you aware he made a similar lifetime commitment to care
- 17 | for the bonobos?
- 18 | A. Right.
- 19 Q. Are you aware that he invested approximately 20 million
- 20 | dollars into the bonobos here --
- 21 | A. I'm not aware of really anything that he had done in terms
- 22 of how much or any of those kinds of things.
- 23 | Q. Would it surprise you to learn that he's invested 20 million
- 24 | dollars?
- 25 A. It wouldn't surprise me. It's a beautiful facility, so --

- 1 Q. And are you aware that the 20 million dollars that he's
- 2 | invested -- and I'll represent that that's an approximate figure
- 3 to you. Are you aware that that 20 million dollars,
- 4 approximately, was not enough to fund the facility here and to
- 5 | care for the bonobos?
- 6 A. I am aware that facilities such as Chimp Haven operate off
- 7 of budgets similar to mine.
- 8 Q. But the bonobos that we're discussing here and the budget
- 9 that IPLS had --
- 10 A. Yes.
- 11 Q. -- with Ted Townsend --
- 12 A. Yes. I can't really speak to those kinds of things. I'm
- 13 | not aware of much of what has happened in terms of budgeting and
- 14 | planning at ACCI or IPLS, so --
- 15 | Q. So when you're making your projections about how much it
- 16 | would cost to run your facility in Missouri --
- 17 A. Right.
- 18 | Q. -- you didn't compare the facility here in Des Moines?
- 19 A. No, absolutely not. The facility in Des Moines is not what
- 20 | I would call a USDA sustainable type of facility. The facility
- 21 | we're building is a USDA sustainable facility.
- 22 Q. I'll interrupt for just a second.
- MR. NEIHAUS: Objection, Your Honor. The witness was
- 24 | answering the question.
- 25 THE COURT: Oh, I'll entertain another question. I'm

- 1 | not sure what he hadn't finished as far as the question.
- 2 Go ahead.
- 3 BY MR. MELHUS:
- 4 Q. Did you make any comparisons between the facility you're
- 5 | proposing in Osage (sic), Missouri, to the facility here in
- 6 Des Moines during your design process?
- 7 A. No, I haven't made any comparisons between the two. I don't
- 8 have any basis for the comparison.
- 9 | Q. Then you have no basis for your conclusion or your testimony
- 10 | that a facility here in Des Moines was not a USDA sustainable
- 11 | facility?
- 12 A. I have no basis for comparison on the grounds that the
- 13 | facility in Des Moines is very different than the LRC that we
- 14 | had. I'm making my basis of comparison for the LRC that we used
- 15 to work out of.
- 16 Q. So what happens, Mr. Sheldon, when, heaven forbid, something
- 17 | bad happens to your company, the economy goes down or some other
- 18 | unforeseen circumstance prevents the profitable operating of
- 19 | your company as it stands today?
- 20 A. I've -- failure is a very long way from our company. We
- 21 | have started and we have built, and we have built slowly and
- 22 | steadily for many, many years. We are very deeply connected to
- 23 | industries throughout the United States and throughout the
- 24 | world. Many companies that we work with depend on our parts,
- 25 | which cannot be copied and have not been copied in 20 years.

- 1 | Q. To make an analogy, Mr. Townsend owned a very successful
- 2 | company here in Des Moines. Are you aware of that?
- 3 A. Yes.
- 4 Q. And he felt, based on the success of his company, that he
- 5 | could sustain the bonobos in perpetuity for a lifetime --
- 6 A. In --
- 7 MR. NEIHAUS: Objection; assumes facts not in
- 8 | evidence.
- 9 THE COURT: Well, I get the point that you're making,
- 10 | but I think we're getting rather far afield.
- 11 MR. MELHUS: Sure. I'll move on, Your Honor.
- 12 Thank you.
- 13 BY MR. MELHUS:
- 14 Q. Okay. Let's talk a minute about the proposed facility.
- 15 As it stands today, do you have any electricity in the
- 16 | building?
- 17 A. The building has temporary power running to it for the
- 18 purposes of running construction equipment.
- 19 Q. So when you testified earlier that you had a long list of
- 20 utilities --
- 21 A. Yes. No, these are all utilities that are planned for this
- 22 | facility. These are -- let me correct that.
- 23 | Q. Sure.
- 24 | A. These were planned utilities for this facility. Our
- 25 | intention is to meet the USDA requirements and then work through

- 1 | these. These are requirements that we believe we need in order
- 2 to sustain it long term. So our -- our objection -- or our
- 3 primary goal is to meet the USDA requirements first.
- 4 Q. Okay. Fair enough.
- 5 As it stands today, if we were to try to send bonobos
- 6 to the facility today --
- 7 A. Right.
- 8 Q. -- would there be any electricity?
- 9 A. No. It wouldn't be possible to send them today, which is
- 10 | why I'm proposing to donate \$2,000 a week to ACCI to sustain
- 11 | them until we can get the facility inspected.
- 12 Q. Okay. And just to confirm, there's no plumbing at the
- 13 | facility --
- 14 | A. We have --
- 15 Q. -- that's operational?
- 16 A. Actually we do have quite a bit of plumbing that is
- 17 operational right now. That is one of the first parts that
- 18 | we're doing. It isn't all hooked up yet, but we do have the
- 19 complete septic system put in, all the drainage is nearly
- 20 complete.
- 21 | Q. Are there any windows?
- 22 | A. Not at this time. We haven't planned the windows yet.
- 23 | Q. The HVAC system that you talked about earlier, is that in
- 24 place?
- 25 A. It's not in place yet, but those will be in place before we

- 1 | can get an inspection for USDA approval.
- 2 Q. And the fire detection system that you talked about --
- 3 A. Right.
- 4 Q. -- is that in place yet?
- 5 A. That is not in place yet either, no.
- 6 Q. And what about the security system, is that --
- 7 A. Those things are not in place yet, they are not in place.
- 8 Q. I am interested -- and I think just because it's not
- 9 | something I've seen in too many facilities before. I'm
- 10 | interested in the wood-burning furnace. Could you tell me a
- 11 little bit more about how that would work?
- 12 A. Right, right. The wood-burning furnace is a furnace that
- 13 | sits away from the facility, and it is used for emergencies
- 14 only. It is used to generate heat in a jacket of water, and
- 15 | it's usually a very small building. It looks like kind of a
- 16 small utility garage shed, and you would load wood into that
- 17 | wood burner, and it circulates water throughout the jacket, and
- 18 | that's what runs the radiant floor heating system into the
- 19 building, which is all water circulation.
- 20 Q. Okay. I understand that process, but what I don't
- 21 understand is how logistically -- do you have to feed this
- 22 | machine, this wood-burning furnace every day or --
- 23 A. Yes. It needs to be fed once every two days, so --
- 24 Q. And how close would it be burning -- when it's burning, how
- 25 | close would it be to the bonobos?

- 1 A. It would not be anywhere near the bonobos.
- 2 Q. I guess I -- and the exhibit or the demonstrative that you
- 3 | showed earlier, it appeared that it was fairly close?
- 4 A. Yeah. That's a proposed location.
- 5 | Q. So that hasn't been constructed yet?
- 6 A. No. Again, we will meet USDA guidelines on that, on that
- 7 | location, so -- wood burners are a very common heat source in
- 8 our area.
- 9 Q. How common are they in facilities that house great apes?
- 10 | A. Like I said before, this is an emergency heat source. This
- 11 | isn't necessarily required. Our primary is propane. Propane is
- 12 | the primary heat source that we will be using for the radiant
- 13 | floor heating, and then we can deal with the wood burner as an
- 14 | emergency.
- 15 | Q. Do you know if wood-burning furnaces are common in
- 16 | facilities that house great apes?
- 17 A. I don't know if that's common or not.
- 18 | Q. Did you consult with the USDA when making the decision to
- 19 | install a wood-burning furnace?
- 20 A. We haven't installed the wood-burning furnace.
- 21 Q. Have you consulted with the USDA in any form in the
- 22 | construction of this facility?
- $23 \mid A$. Not at this time, no. We have followed the USDA guidelines.
- 24 | Q. Do you have any USDA permits to transport the bonobos from
- 25 Des Moines to Missouri?

- 1 A. We can't get permits for that until we have a relocation
- 2 | agreement.
- 3 Q. Have you contacted the USDA about transporting the bonobos
- 4 from Des Moines to Missouri and what that would entail?
- 5 | A. We wouldn't contact the USDA at this stage.
- 6 Q. So you wouldn't consult to see if it was even possible or
- 7 how long that might take?
- 8 A. USDA does animal transport very frequently. It is in the
- 9 | Blue Book, and there are guidelines for that. What you do is
- 10 | you hire an animal transport company that is USDA certified.
- 11 | You get your permits, and then you do the transport. We are not
- 12 | in the process of getting our permits yet because we don't have
- 13 | a relocation agreement in place.
- 14 Q. Okay. And you haven't consulted with any USDA transport
- 15 companies to see how much that will cost?
- 16 A. Actually we did consult with one USDA transport company. We
- 17 | found that it would be about a thousand dollars per chimpanzee,
- 18 | and that was going from Omaha, Nebraska, to Springfield,
- 19 Missouri, which is a comparable distance to Des Moines, Iowa, to
- 20 Osceola, Missouri.
- 21 Q. And those are for chimpanzees?
- 22 A. Those are for chimpanzees, yes.
- 23 | Q. And these aren't chimpanzees that are proposed to go to the
- 24 Missouri facility, are they?
- 25 A. It is my understanding that they are a breed of chimpanzee,

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1 yes.
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2 Q. I think I wasn't clear on the question, but I'll go ahead 3 and move on.

I suppose worst case scenario this will be my final question. I appreciate your patience with me as I kind of work through this. This is my first time I've had an opportunity to question you about this facility.

Worst case scenario, the funding falls through for the Missouri facility. What is the backup plan? Is there another plan in place, for example, to move the bonobos again to another facility in Iowa, Africa, or elsewhere?

A. Well, that plan is not in place. However, I will say that relocating the bonobos is not obviously an easy task. If something were to happen to me or my business, there would still be five years of funding that are guaranteed, no matter what, and that funding could be used to relocate the bonobos. The bonobos could be relocated by BHI at their discretion at any time. I intend to do all that I can to make sure that they have their funding and that they have a plan for five years in the event of my death. However, I do believe my company is a strong, successful company, and I do believe my company can sustain them.

MR. MELHUS: All right. I appreciate your time. I promised that would be my last question and, subject to any recross, it will be.

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 1
              Thank you.
              THE COURT: Redirect?
 2
              MR. NEIHAUS: No further questions, Your Honor.
 3
              THE COURT: Thank you.
 4
 5
              You may step down, sir. Thank you.
 6
              THE WITNESS:
                            Thank you.
 7
                                          (Witness excused.)
              MR. STAMBAUGH: Your Honor, if I may just be heard for
 8
    a few minutes, I would appreciate the court's indulgence.
10
              THE COURT:
                          Sure.
11
              MR. STAMBAUGH: We have taken the court's advisements
12
    regarding scheduling and timing to heart.
              As you can see, we've had a very large showing of
13
14
    support both here in Iowa and across the United States and
15
    throughout the world. We have a few witnesses who we had
16
    planned to call who have come as far as Israel and Africa.
17
    That's Itai Roffman, I-T-A-I R-O-F-F-M-A-N, and Sally Coxe,
18
    C-O-X-E. Because this is a bench trial, as an offer of proof, I
19
    will represent to the court these are additional BHI board
20
   members who would testify that when they voted Dr. Taglialatela
21
    on to the IPLS board in November 2013, they were not aware that
22
    Dr. Savage-Rumbaugh had been kicked off the IPLS board or banned
23
    from the lab.
24
              Again, taking the court's instructions to heart and in
    an effort to avoid any cumulative or duplicative testimony, we
25
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will forego those witnesses.

Now, there is one witness, Your Honor, Dr. Russ

Tuttle, who is a professor at the University of Chicago, who has

traveled here yesterday to testify. We have already worked out

with the other side an availability for Dr. Tuttle which is

limited to tomorrow morning.

So with the exception of and subject to the testimony of Dr. Tuttle, the claimants are prepared to rest their case, Your Honor.

THE COURT: All right. Thank you.

Now, just so the record is clear, these two additional persons, and we've heard what they would testify to if they were called, is there any objection to the court simply considering that that's what they would say if they were called?

MR. MILLER: Your Honor, I think we would be entitled to an opportunity to cross-examine them with respect to what they would say. Yes, we object to counsel's representation of that. I understand the circumstances we're under. The sole representation is that they would say we didn't know these --

MR. STAMBAUGH: As consistent with the other BHI board member testimony that they were unaware at the vote in November of 2013 of Dr. Taglialatela and Hopkins on to the IPLS board, that they were unaware that Dr. Sue Savage-Rumbaugh had been banned from the lab and dismissed from the IPLS board. We could limit it to those two offers of proof.

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                                                                   389
1
              THE COURT: Do you have any serious doubt that that's
    what they would testify to?
 2
 3
              MR. MILLER: Your Honor, if you would note my
    objection, no, I think that that's -- I don't, I don't have --
 4
 5
    that's fine.
              THE COURT: Well, I'll go ahead and accept that then.
 6
7
              MR. STAMBAUGH: We appreciate that, Your Honor. So,
    again, Your Honor, subject to the testimony of Dr. Tuttle
8
    tomorrow morning, claimants rest their case.
10
              THE COURT: Thank you.
11
              Are you ready to go forward now? We could take a
12
   minute and recess a little early if you want.
13
              MR. MILLER: We'll go ahead and recess if you don't
14
   mind, Your Honor.
15
              THE COURT: We'll take our mid afternoon recess and be
    in recess for 15 minutes.
16
17
              (Recess at 2:42 p.m., until 2:57 p.m.)
18
              THE COURT: Please be seated.
19
              Plaintiffs, you can call your first witness.
20
              MR. MELHUS: Plaintiffs call Dr. Julie Gilmore to the
21
    stand.
22
              THE COURT: Please come forward, please.
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THE CLERK: If you could wait right there and I'll

23

24

25

swear you in.

THE WITNESS: Okay.

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 1
              THE CLERK: Raise your right hand.
 2
                JULIE GILMORE, PLAINTIFFS' WITNESS, SWORN
 3
              THE COURT: Right there.
                           DIRECT EXAMINATION
 4
 5
   BY MR. MELHUS:
 6
    Q. Good afternoon.
 7
              Could you please state and spell your name for the
   record.
 8
       Julie Gilmore, J-U-L-I-E G-I-L-M-O-R-E.
10
    Q. And could you please describe your educational background
    for the court.
11
12
        I have an undergraduate degree in business management.
13
    attended undergraduate work at Colorado State University. I
14
    also did some work at the University of Phoenix and Iowa State
15
   University is where I did most of my vet school prerequisites,
16
    and then I have a degree in veterinary medicine from Iowa State
17
    University, College of Veterinary Medicine.
18
       And are you currently a member of ACCI?
19
   Α.
       Yes.
20
   Q.
       That's a member of the Board of Directors just to be clear?
21
   Α.
       Yes.
       Are you licensed to practice medicine, veterinary medicine
22
23
    in Iowa?
24
   A. I am.
25
        Is there a special license in Iowa to practice veterinary
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1 | medicine with great apes?
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- A. There is not.
- 3 Q. Is there a special license to practice veterinary medicine
- 4 | with bonobos?

- 5 A. No. There's no primate specialty in veterinary medicine.
- 6 Q. How do you gain experience or expertise in treating or
- 7 caring for bonobos or great apes as a veterinarian?
- 8 A. There's a couple of different avenues that that occurs with.
- 9 | There are some specialty routes that incorporate some training
- 10 | in great ape species such as, you know, a specialty in zoo
- 11 | animal medicine or in laboratory animal medicine. Both of those
- 12 | specialties obviously provide training in a wide variety of
- 13 | species that are used in research or, you know, that you would
- 14 | find in a typical zoo collection.
- 15 Lots of experience in veterinary medicine in general
- 16 comes from mentorship opportunities and things like that,
- 17 | working with other individuals who gained experience in an
- 18 | apprenticeship almost type of situation. Lots of veterinarians
- 19 | are -- well, in general, veterinarians are trained to treat
- 20 | multiple species, and so that's just part of the education. I
- 21 | think early on we learn that there are a lot of similarities
- 22 between a lot of different types of mammals and reptiles and
- 23 | things like that, and you learn what the pertinent questions are
- 24 to ask and what the important species differences are to know.
- So it's very common for veterinarians to treat many

- 1 different species.
- 2 Q. And as a veterinarian have you gained experience working
- 3 | with bonobos in particular?
- 4 | A. I now have about three years of experience working with
- 5 | these animals, yes.
- 6 Q. Is that type of experience very common in Iowa?
- 7 A. No. There are actually no other great apes in Iowa, even
- 8 | the Blank Park Zoo does not have a collection of great apes, and
- 9 | there are -- there's really -- yeah, there are not veterinarians
- 10 licensed in Iowa who have a wealth of great ape experience.
- 11 Q. Okay. And have you served as the veterinarian for ACCI?
- 12 A. Yes.
- 13 Q. And in that capacity have you taken care of bonobos under
- 14 | their control?
- 15 A. Yeah. Absolutely, yes.
- 16 | Q. How did that first come to be? How did you first get
- 17 | involved with what was then the Iowa Primate Learning Sanctuary?
- 18 | A. So, at the time I was working at a small animal practice,
- 19 urgent care hours, and I had a colleague -- well, actually there
- 20 was a couple of different routes. I had a colleague who was
- 21 | contacted by an affiliate of the organization at that time
- 22 asking if she or some of the other vets in our practice would be
- 23 | willing to help out because their veterinarian was leaving at
- 24 | the time, they didn't have anybody to treat the animals and, you
- 25 know, asked if we would come out and meet with them.

The facility that I worked at was very close in proximity to what was then the Great Ape Trust, and so it was literally less than five minutes up the road and so we were a logical choice. So that was one route.

I also happened to know the former veterinarian who provided care for those animals, Dr. Robert King, you know, when he was leaving and had a conversation with him, you know, about potentially doing that and he thought it would be, you know, a good opportunity and something that would be a good fit for me, so --

- 11 Q. Okay. All right. Did you have any conversations with
- 12 Dr. Sue Savage-Rumbaugh about your involvement at the Iowa
- 13 Primate Learning Sanctuary which I'll refer to as IPLS?
- 14 A. Yes, in the very beginning. Can you clarify exactly what
- 15 | you're asking with that?
- 16 Q. Did you discuss what your role would be at IPLS with
- 17 Dr. Savage-Rumbaugh?
- 18 A. Yes. I mean, they were definitely looking for a
- 19 veterinarian or veterinarians plural to help care for the
- 20 animals there.

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- 21 Q. And at the time did she know about your experience with
- 22 | great apes?
- 23 A. Yes. I think we were very clear that the veterinarians --
- 24 | none of the veterinarians at the practice had extensive
- 25 experience with species like great apes, and, again, you know,

- 1 | that is -- all veterinarians in Iowa are pretty much in that
- 2 | same boat. We were very clear that, you know, we're willing to
- 3 | learn and we're willing, you know, to engage other resources,
- 4 | but we didn't have direct species with it -- or direct
- 5 experience with the species prior to that.
- 6 Q. Did you finally agree to become formally involved with IPLS?
- 7 A. I did, yes.
- 8 Q. When was that?
- 9 | A. That was probably -- the first time we met and agreed to
- 10 | move forward with them I believe was in March of 2012. And at
- 11 | the time there was another veterinarian in particular who was
- 12 going to help with that endeavor who later decided that she
- 13 | didn't want to be involved, but there were two of us initially
- 14 | that were involved in that from Avondale.
- 15 | Q. All right. And how did your role at IPLS change, if at all,
- 16 from March of 2012 through September of 2012?
- 17 A. So, I gradually became more and more involved. One of the
- 18 | things that I initially started out just in a veterinarian
- 19 capacity, would make pretty regular trips out there to see the
- 20 animals, get to know the animals, attend to, you know, requests
- 21 | for veterinary care. I did get involved in the capacity of
- 22 | helping them through the process of applying for an exhibitor's
- 23 license from the USDA. So that was something that is kind of a
- 24 | long process that requires lots of inspections and, you know,
- 25 | following a set of certain rules that makes the facility safe

- 1 | for visitors to visit and things like that. And so I was very
- 2 | much involved in that capacity, as well as being a veterinarian.
- 3 Q. Okay. Did IPLS have an exhibitor's license before you got
- 4 involved?
- 5 A. No. They had a research license but not an exhibitor's
- 6 license, and the exhibitor's license was something that they
- 7 | needed to have the public out at the facility. The USDA agent
- 8 | had told them that they need that to, you know, host
- 9 | fund-raising events or have tours, educational type tours or
- 10 | things like that.
- 11 | Q. Okay. And in September 2012, did your role evolve at all at
- 12 IPLS?
- 13 A. Yes.
- 14 Q. And how did it change?
- 15 A. Well, about that time there were some allegations that
- 16 | surfaced against Dr. Savage-Rumbaugh by mostly a group of former
- 17 people who had worked there. The board chair at the time, Ken
- 18 | Schweller, when those surfaced called me kind of in a panic at
- 19 that time saying that they needed to, you know, pending an
- 20 | investigation, definitely remove Dr. Savage-Rumbaugh from the
- 21 | director type situation and basically told me that I -- you
- 22 know, he asked, you know, you're the only person in Iowa that's
- 23 | there to do this, will you step in and basically in a
- 24 | supervisory type role and, you know, monitor the animals and
- 25 | also Dr. Savage-Rumbaugh while in the lab until she -- while we

- 1 can conduct an investigation.
- 2 Q. Okay. Do you have any idea what the investigation was
- 3 about?
- 4 A. It was just general welfare concerns that they had about the
- 5 | safety of the animals and the safety of -- well being of the
- 6 animals and safety of the staff. There were numerous
- 7 | allegations made in a letter that, you know, was distributed
- 8 | widely around the -- not only to the Board of Directors but to
- 9 lots of media outlets and things like that.
- 10 There were claims that cages were, you know, not being
- 11 | locked and, you know, that there was some mental instability as
- 12 | well on her part.
- 13 Q. Okay. And I understand that Dr. Savage-Rumbaugh was
- 14 | eventually cleared of those allegations as a result of that
- 15 | investigation?
- 16 A. That's correct.
- 17 Q. And did they consult with you or did the investigators
- 18 | consult with you during that process?
- 19 A. Not in a formal -- I never had a formal interview as part of
- 20 that process, so no.
- 21 Q. Okay.
- 22 A. I did have conversations, you know, independently with some
- 23 of those investigators, you know, about my involvement there,
- 24 | but I didn't -- you know, the other people that were
- 25 | investigated as part of that -- or I mean who were interviewed

- 1 | as part of that investigation had a filmed interview with all
- 2 three members of the investigation committee, and I did not.
- 3 Q. Okay. Did you agree with the results of that investigation?
- 4 A. I -- you know, I -- yes and no. I would say that I did not
- 5 | actually -- I was not privy to the results of that investigation
- 6 | for a long time because I wasn't a member of the board so I
- 7 | didn't initially even have an opportunity to, you know, see the
- 8 | investigation report. I was -- I agreed with the fact that
- 9 | there were definitely -- it's not fair to make accusations
- 10 against someone that are, you know, based on hearsay and not
- 11 direct knowledge of certain incidents or things like that. And
- 12 | so, yes, I agreed that anything like that should be -- you know,
- 13 | should not be used against somebody when somebody doesn't have
- 14 firsthand knowledge of a specific incident.
- 15 | Q. All right. Okay. Let's move forward in time a bit. Around
- 16 | November of 2012, I understand that several of the bonobos and
- 17 | Panbanisha in particular fell ill, is that correct?
- 18 | A. Yes. All of the bonobos actually fell ill at that time,
- 19 starting with Teco. I think it was about October 29th he
- 20 | started showing signs of respiratory illness, 28th, 29th, around
- 21 | there, and then on the 30th he got quite ill.
- 22 Q. Could you explain kind of the circumstances leading up to
- 23 | that illness?
- 24 A. You mean the circumstances of the bonobos being sick at that
- 25 | time or -- yeah. So he was the first one to be ill. He started

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1
    showing typical upper respiratory symptoms, you know, runny
 2
    nose, low grade fever, a little bit of coughing intermittently,
    you know, loss of appetite, letharqy, things like that. He --
 3
    yeah, so it started with him, and then it kind of spread.
 4
   Actually quickly all of the bonobos were affected by that. We
 5
 6
    did not have an effective quarantine protocol in place at that
    time and they all were sick at different stages. Some of them
7
    were more affected than others. Panbanisha was actually one of
 8
 9
    the last ones to get -- to show symptoms through that round of
10
    illness, and she -- you know, she started showing symptoms on or
    around probably November 4th or 5th.
11
12
    Q. Could you describe your course of treatment for the bonobos
13
    throughout that time?
14
    A. Okay. Initially it started with, you know, obviously
15
    treating the ones that, you know, were symptomatic, so it
16
    started with Teco before others started showing symptoms.
                                                              He --
17
    you know, we started out with putting him on antibiotics
18
    initially because he had a low grade fever and even though
19
    definitely there was a suspicion that there was a viral
20
    pathogen, but that was to protect against secondary bacterial
21
    invaders, which is kind of a common route of treatment with a
22
    respiratory disease. As he got more ill, we started adding in
    other measures such as a nebulization with Albuterol to open
23
24
    airways, oxygen therapy as needed as he was having trouble
25
    breathing, obviously trying to encourage good supportive care
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- 1 | with nutritional support, you know, things like that, to keep
- 2 | him healthy. And he had a lot of those treatments around the
- 3 | clock and did pull through and did make a complete recovery from
- 4 that.
- 5 Q. And that's Teco that made the full --
- 6 A. Yeah, Teco, the youngest bonobo.
- 7 Q. I understand one of the bonobos, Panbanisha, did not make a
- 8 full recovery, is that right?
- 9 A. That's correct.
- 10 Q. What happened to Panbanisha?
- 11 A. Panbanisha was, again, one of the last ones to show
- 12 | symptoms, and, again, some of them were affected more than
- 13 others. My recollection is that Elikya and Maisha were the
- 14 | least affected of the group, and Kanzi, Nyota, Panbanisha and
- 15 | Teco were all quite ill, and Matata actually had some very bad
- 16 days during that period as well. So they were all affected to
- 17 one degree or another, but Elikya and Maisha were the least
- 18 | affected.
- 19 Panbanisha was one of the last to show symptoms, but
- 20 her symptoms were very severe and acute in nature. She
- 21 | started -- you know, she started showing symptoms around the 4th
- 22 or the 5th; same runny nose with a little bit of yellow tinge,
- 23 | you know, discharge from her nose, lethargy. They were not able
- 24 | to obtain a rectal temperature for me, so I'm not sure what
- 25 their rectal temperature was at the time. But we, you know,

1 started the same type of treatment with her, and we used a broad spectrum injectable antibiotic. We used oxygen therapy 2 treatment with Albuterol nebulization to help her breathe, 3 things like that, and she progressed into something called acute 4 respiratory distress syndrome, which is not uncommon in cases of 5 6 respiratory illness. I think that upper respiratory became more 7 of a pneumonia type situation, and once she progressed into this acute upper respiratory distress syndrome, it was very difficult 8 to get her through that, and she did succumb to it, which is 10 quite common, unfortunately, with all species who are affected 11 by acute upper -- acute respiratory distress syndrome or ARDS is 12 the acronym for that. 13 Were other people helping in the care and treatment of Panbanisha and the other bonobos throughout that time? 14 15 Staff or veterinarians or what specifically? 16 Both. Q. 17 A. Yes. Many of the staff members definitely were helping 18 provide treatments to all seven of those. I was there pretty 19 much around the clock. I was still working -- my entire

A. Yes. Many of the staff members definitely were helping provide treatments to all seven of those. I was there pretty much around the clock. I was still working -- my entire involvement out there I also worked full time as a veterinarian in practice, and so there were hours that I was not able to be there, but when I could be there I was actually spending the night there for about a three-week period of time to provide care for them around the clock. Staff members were also involved in administering medications, nebulization treatments,

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oxygen therapy, things like that.

I did involve other veterinarians in the course of treatment there, so I consulted with Dr. King who was their former veterinarian, who was actually a cardiovascular and respiratory specialist up at Iowa State University, so a good person to ask about respiratory disease.

I had also previous to that reached out and made a contact with Dr. Vickie Clyde, who's a veterinarian in Milwaukee County and is responsible for overseeing the bonobo colony in Milwaukee County, which is quite large. She has extensive experience with bonobos. We talked about the treatment plan, challenges of managing illnesses like respiratory disease in captive apes, which, unfortunately, it's the second leading cause of death in this species, so it's not uncommon at all to lose them to respiratory disease, and the frustrations associated with trying to treat a species like a bonobo that, you know, you can't ventilate for three weeks under anesthesia or things like that. So she was involved in the treatment plan as well.

I also consulted with another veterinarian that had been, you know, involved with the IACUC and things like that out at the facility, Dr. Doug Pernikoff, who has a lot of captive great ape experience as well and some field experience as well.

And then, finally, I also discussed the findings with -- or the treatment plan with our USDA veterinarian,

- 1 Dr. Heather Cole, who is not only now and continues to be our
- 2 | USDA representative, but prior to becoming a USDA representative
- 3 | had extensive -- or some great ape experience in captivity as
- 4 well.
- 5 And I had -- everybody agreed that the treatment plan
- 6 | was appropriate. There were no additional recommendations made
- 7 of other things that we could do. I think it was agreed that we
- 8 | were making a very valiant effort, and I think that if we had
- 9 | not made those efforts, we probably would have lost more than
- 10 Panbanisha in my professional opinion.
- 11 | Q. Okay. And after those valiant efforts to save the bonobos
- 12 | in 2012, in November specifically, did your role at IPLS evolve
- 13 again?
- 14 | A. Yeah. So Panbanisha died I believe on November 6th.
- 15 | Towards the middle or end of November, you know,
- 16 Dr. Savage-Rumbaugh had been cleared from that investigation,
- 17 | but the board was clear that they did not want her in an
- 18 | administrative role any longer and they were looking for
- 19 | somebody to fill the role as the director. And I had numerous
- 20 conversations with Dr. Savage-Rumbaugh about that, also with Ken
- 21 | Schweller, and I agreed to put my name forward for nomination to
- 22 that role, and the board voted me in as the executive director.
- 23 | Q. Okay.
- 24 A. At that time.
- 25 Q. That was in November of 2012?

A. Uh-huh.

- 2 | Q. So shortly after that, in January of 2013, were you
- 3 approached by anyone with a settlement agreement to resolve some
- 4 underlying concerns about ownership of the bonobos?
- 5 A. Yes. I -- Lyle Simpson sent me an e-mail, so I didn't have
- 6 a direct conversation with anyone about that. He forwarded an
- 7 e-mail from Paul Burns that said, please have Julie Gilmore sign
- 8 this and return it to me. Lyle sent me an e-mail that said,
- 9 | please sign this and return it to me. You know, the parties
- 10 | have agreed on this issue, and it's a done deal. So it was a
- 11 legal directive that I followed when I signed that document.
- 12 Q. Okay. And that was -- to be clear, there was a settlement
- 13 | agreement that you signed?
- 14 A. Yes.
- 15 Q. January of 2013?
- 16 A. Yes.
- 17 | Q. If you would flip to Exhibit 1 of the large binder to your
- 18 left.
- 19 A. Yes. All the way to the beginning?
- 20 Q. Yes, No. 1.
- Okay. And does that look like the settlement
- 22 | agreement that you signed, referring to Exhibit 1?
- 23 A. Yeah, yes.
- 24 | Q. Okay. Could you turn to page 2 of that exhibit, referring
- 25 to paragraph 5. Do you see where it says, "The Trust shall use

- 1 its best efforts to provide complete and competent care of
- 2 | Matata and Maisha; and shall take all reasonable precautions to
- 3 ensure their complete safety."
- 4 Do you see that?
- 5 A. Yes.
- 6 Q. And you read that at the time that you signed the agreement?
- 7 A. Yes.
- Q. And since then have you endeavored to comply with providing
 g complete and competent care to the bonobos?
- 10 MR. LANGEL: Objection.
- 11 THE COURT: I'll receive it, subject to the objection,
- 12 | whatever it was.
- MR. MELHUS: Go ahead and answer, if you can.
- 14 A. Yes, absolutely, I believe that we provide complete and
- 15 | competent care, and our ability to do that continues to actually
- 16 expand over time.
- 17 BY MR. MELHUS:
- 18 | Q. Okay. Can you talk about how you endeavored to provide care
- 19 | for the bonobos and how that's changed over time?
- 20 A. Since the formation of ACCI, we've put a lot of very helpful
- 21 protocols in place that were not in place previously. Some of
- 22 | the things that have been very helpful is actually adopting and
- 23 | incorporating the use of personal protective equipment. The
- 24 | best way in my experience to treat animals is to keep them from
- 25 getting sick in the first place, and personal protective

equipment is designed to do that.

So what that entails is, you know, strict policies about hand sanitization, wearing gloves and masks when appropriate; wearing gloves and masks when preparing food and, you know, definitely while cleaning, things like that; strict isolation protocols about how to protect other animals in the group if some get sick and others don't, and those are policies that, you know, volunteers and staff alike are very compliant with. We've had a dramatic reduction in the number of respiratory illnesses since we've adopted those protocols.

The first eight months I was there I think I treated Teco for four separate incidences like this where he's had respiratory illness. And knock on wood, we have not had any respiratory illness since we have adopted that protocol very stringently in and around April of, I guess it would have been 2014.

- 17 Q. So just to -- sorry. I think my question was maybe unclear 18 as to time.
- 19 A. Okay.

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- 20 Q. So you're testifying to actions that were taken after the
- 21 formation of ACCI in December of 2013, is that correct?
- 22 A. Yes.
- 23 Q. Okay. And the standard operating procedure and the policy
- 24 that you discussed as being adopted in April 2014, that was an
- 25 ACCI policy?

- 1 A. Yes.
- 2 Q. Okay.
- 3 A. Ah -- go ahead.
- 4 Q. And I think we'll return to some of the standard operating
- 5 procedures or policies a bit later, but I kind of want to keep
- 6 on this time line here.
- 7 A. Okay. Do you want me to -- I mean, there were other things
- 8 | that we had done to increase their care. I don't know if you
- 9 | want me to talk about that or not.
- 10 Q. Sure. Let's talk about that now.
- 11 | A. Okay. So things like strict control of their diet is I
- 12 | think hugely important. Their diet was not well regulated
- 13 previously, and we have adopted not only, you know, making sure
- 14 | that they're receiving healthy meals all the time with, you
- 15 | know, acceptable foods for them to eat, but that we're logging
- 16 | those calories. We've had some significant weight loss in Kanzi
- 17 | since that period of time, which I think is very important from
- 18 | a veterinarian's perspective. We've also started a lot of
- 19 husbandry protocols to make it easier to examine a species like
- 20 this. So they all participate almost daily in body part exams,
- 21 presenting different body parts, presenting for different
- 22 procedures that we need to do. They present for -- we're in the
- 23 | process of training them to accept injections, which is a far
- 24 better, superior method of delivering injectable medications
- 25 | than darting, for instance, things like that when that is

better.

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So I would say we continue to grow our supply of veterinary equipment and, you know, we've had, you know, funds coming in to make cage modifications to allow us to collect blood safely and, you know, with like a blood sleeve with them awake, things like that.

So we have a lot of things in place and plans to continue to grow that program down the road.

- 9 Q. Okay. And just to be clear again, those are policies and changes that were implemented after the formation of ACCI?
- 11 A. Yes.
- 12 Q. And that didn't exist before the formation of -- well, it
- 13 didn't exist under the tenure as you saw it during 2012 when you
- 14 | first got involved with IPLS?
- 15 A. Correct. Very early on I did, you know, talk a lot about
- 16 diet that first summer that I was involved out there, but I
- 17 | would say that compliance with that was not consistent and that
- 18 | neither was logging of that. We did not have consistency. So
- 19 the diet has been something that we tried to work on for quite
- 20 awhile, but I feel like we are leaps and bounds ahead of that
- 21 now since the formation of ACCI than we were when I first was
- 22 involved with the organization.
- 23 Q. Okay. Just changing topics a little bit and moving forward
- 24 | in time to May 2013, I understand that there was an incident or
- 25 an accident at the facility in Des Moines and that

- 1 Dr. Savage-Rumbaugh was taken to the hospital as a result of
- 2 | that incident, is that correct?
- 3 A. Yes.
- 4 Q. Could you describe the circumstances surrounding that
- 5 | incident and what occurred immediately after?
- 6 | A. Yeah. I wasn't there at the facility when the accident
- 7 | actually occurred, but I was at another appointment. I did get
- 8 | a call from Steve Boers who said she had fallen at the facility
- 9 and was not feeling well and she was taken to the hospital by
- 10 | ambulance. I attended -- I went to the hospital as soon as I
- 11 finished that other appointment and met with her there, and she
- 12 had fallen and hit the back of her head. You know, she was -- I
- 13 | sat with her for a while and got some clothing and stuff like
- 14 | that for her. She was discharged from the hospital that same
- 15 | day and, you know, her -- you know, she returned to not the
- 16 | facility but to the house she rents right next to the facility,
- 17 and I didn't see her for quite awhile after that.
- 18 | Q. Okay. Did you meet her at the hospital after that accident
- 19 or --
- 20 A. Yeah. I did go to the hospital, like a visitor in the
- 21 hospital right after that occurred. She wasn't there overnight.
- 22 | She was just there for treatment before she was discharged. At
- 23 | the time -- I don't know what followed that, but at the time I
- 24 was there when she was discharged by her doctor or the
- 25 caretaking staff at the hospital, and they said she could go

- 1 | home and that they didn't think she would have long-standing
- 2 | injury from the fall at the time.
- 3 Q. Okay. Did they give any other -- did the doctors or nurses
- 4 give any other indication as to her sort of medical diagnosis?
- 5 A. No; just that she had fallen and hit her head. I don't know
- 6 the details of, you know, her medical exam associated with that.
- 7 | I was just there when she was discharged, and they said, oh,
- 8 | you're going to be fine, just go take it easy today.
- 9 Q. Okay. And you mentioned that since that time you hadn't
- 10 heard much from her since the accident in May 2013.
- 11 A. Uh-huh.
- 12 | Q. Could you describe your efforts to reach her?
- 13 A. A couple of different times I sent text messages, you know,
- 14 to her asking if she's okay, you know, just checking in and did
- 15 | not get a response to that. I also visited her house a couple
- 16 of times and knocked on the door and didn't get an answer.
- 17 | Q. And how long did this unresponsiveness last?
- 18 | A. Well, with me, I guess the first response that I got from
- 19 | Sue was in July. We were filming a BBC -- or the BBC was coming
- 20 out and we had started those agreements with them before she was
- 21 gone, and they were expecting on having some time to interview
- 22 | with her and things like that. So we had let them know we don't
- 23 | know what's going on with her, she's not available. So because
- 24 of that I did try to reach out to her and said, hey, they're
- 25 | here, they really want to interview with you. You know, is that

- 1 | something that you would be interested in coming down even for a
- 2 | little bit of time or things like that, and she just responded
- 3 no.
- 4 | Q. In July of 2013, at the same time you tried to contact
- 5 Dr. Savage-Rumbaugh about the BBC interview, did IPLS hold a
- 6 Board of Directors meeting?
- 7 A. Yeah, July or August. I can't remember the exact date.
- 8 Q. And did you invite Dr. Savage-Rumbaugh to that meeting?
- 9 A. Yes. It was at -- it was an e-mail invitation that went out
- 10 to all of the Board of Directors. It was at Tom Watson's Hy-Vee
- 11 organization out at Westown Parkway, and there was a call-in
- 12 number provided for people who couldn't attend in person.
- 13 Q. Do you recall specifically if that invite, that e-mail was
- 14 | sent to Dr. Savage-Rumbaugh?
- 15 | A. Yes. We made certain it was sent to Dr. Savage-Rumbaugh.
- 16 Q. And did she attend that meeting?
- 17 A. Not to my knowledge. No, I don't know if she called in or
- 18 | not, but we weren't aware that she called in if she did.
- 19 Q. Around that same time or perhaps at that same meeting, did
- 20 your role as director of IPLS change?
- 21 A. Yes. I resigned as the director at that time. As I said, I
- 22 | had continued to work full time in practice. When I initially
- 23 | accepted that directorship, I saw myself in that position on an
- 24 | interim basis until we could find someone ideally suited to be a
- 25 | leader of a nonprofit such as ACCI, and I just felt like it was

- 1 | something that I couldn't continue to be involved in at that
- 2 level, and so I did resign.
- 3 Q. Okay.
- 4 A. Not from the board or as the veterinarian, but just as the
- 5 director.
- 6 Q. Okay. Thank you.
- 7 So with Dr. Savage-Rumbaugh unresponsive to attempts
- 8 to reach her and with you stepping down as the director of IPLS,
- 9 | was there any talk amongst the board about who might carry this
- 10 | work forward?
- MR. LANGEL: Object to foundation based on -- I think
- 12 | it mischaracterizes the prior testimony, and there's no
- 13 foundation as to the allegation that Sue was not responsive.
- 14 THE COURT: Well, I'll receive it, subject to the
- 15 objection. I think the question was simply whether or not there
- 16 was talk about the subject.
- MR. MELHUS: Answer if you can, please.
- 18 A. Can you ask me again, please? I'm sorry.
- 19 BY MR. MELHUS:
- 20 Q. Sure. Was there talk about who might carry IPLS's work
- 21 | forward in July or August of 2012, at about that time?
- 22 A. There was not a specific person, and I do know that there
- 23 | had been some e-mails that had gone around. I didn't have
- 24 direct communication with Sue. George may or may not have. I'm
- 25 | not privy to that, to a lot of those e-mails; but I think that

- 1 | the consensus was she had, if any involvement -- in any
- 2 | involvement with the board, very limited involvement at that
- 3 point in time. She -- there was a feeling that she was just not
- 4 going to return. We didn't have -- things weren't very
- 5 responsive when we were attempting to communicate with her. We
- 6 | felt like we needed to make a plan for the facility. You know,
- 7 | we either -- do we move the animals, do we find a new
- 8 researcher? We didn't name specific names, but the subject did
- 9 come up of what are we going to do next, you know, it doesn't
- 10 look like Sue is coming back, or it didn't feel like she was
- 11 | coming back at that time anyway, and we just talked, you know,
- 12 about that in general.
- 13 Q. Did you finally hear from Dr. Savage-Rumbaugh in October of
- 14 | 2013?
- 15 | A. I did, yes. And, again, I mean, she I think had some
- 16 communication potentially with George or things like that before
- 17 | that; but the first time I spoke with her after the text about
- 18 | the BBC interview was in October about -- she reached out to me
- 19 | with concerns about Teco.
- 20 Q. Okay. Do you recall receiving an e-mail from
- 21 Dr. Savage-Rumbaugh on or about or on October 26, 2013?
- 22 A. Oh, about Teco, you mean, that same incident? I'm trying
- 23 | to --
- 24 | Q. Why don't you direct your attention, please, to Plaintiffs'
- 25 | Exhibit -- the smaller binder in front of you, Plaintiffs'

- 1 | Exhibit 1005.
- 2 A. Oh, yes. I didn't remember the date of this e-mail. Yes, I
- 3 do remember the e-mail.
- 4 Q. Could you describe the e-mail just generally for us.
- 5 A. Yes. So, yes, it's an e-mail from Sue where she is writing
- 6 to us saying that she is basically wanting to endorse Jared
- 7 | Taglialatela and Bill Hopkins as basically the future leaders of
- 8 | the facility. She talks about feeling like they would be good
- 9 people to transition the program to and that she was planning on
- 10 stepping down and stepping back from, you know, her role there.
- 11 | I know there was -- yeah, so, you know, she was -- definitely it
- 12 was a very wholehearted endorsement of them, as I read it, and
- 13 her plans to no longer be involved at the facility in the
- 14 | capacity that she had been.
- 15 | Q. Just so we're clear here, when you're referring to Jared and
- 16 | Bill, that's Jared Taglialatela?
- 17 A. Yes.
- 18 Q. And Dr. William Hopkins?
- 19 A. Yes.
- 20 Q. And was there any representation by Sue in that October 26th
- 21 e-mail about how much control that Jared and Bill would have
- 22 over the research conducted at IPLS?
- 23 MR. LANGEL: Objection. The exhibit speaks for
- 24 | itself. There isn't enough foundation as to contents other
- 25 than -- she doesn't have the separate knowledge about the

- 1 document other than reading it.
- THE COURT: Restate your question, please, and then
- 3 I'll consider it.
- 4 BY MR. MELHUS:
- 5 Q. Was there any representation by Sue in that October 26th
- 6 e-mail about the amount of control Jared and Bill would have
- 7 | over the research being conducted at IPLS as you understood it?
- 8 A. I understood --
- 9 MR. LANGEL: Same objection.
- 10 THE COURT: I'll receive it, subject to the objection.
- Go ahead.
- 12 A. I understood it that Bill and Jared would have complete
- 13 | control over the science.
- 14 BY MR. MELHUS:
- 15 Q. Okay. In that same e-mail, did Dr. Savage-Rumbaugh make any
- 16 representation about whether or not she would be present in the
- 17 | lab?
- 18 MR. LANGEL: Same objection.
- 19 THE COURT: Same ruling.
- MR. MELHUS: Go ahead and answer.
- 21 A. No. I mean, there is a statement in there that, you know,
- 22 under Jared's direction in the future, as appropriate she may
- 23 | continue to do some research, but it sounded like it was
- 24 | completely up to Jared when and where and what that would look
- 25 like.

- 1 BY MR. MELHUS:
- 2 Q. Okay. And after Dr. Savage-Rumbaugh sent you this e-mail,
- 3 | did Dr. Hopkins and Dr. Taglialatela accept Sue's offer to come
- 4 to Des Moines?
- 5 A. Yes, they did.
- 6 Q. And about what time did that occur?
- 7 A. I don't recall the exact date, but it was very close after
- 8 | that we -- you know, George reached out to them and welcomed
- 9 them, and those proceedings got started very quickly after that.
- 10 | Q. Okay. And I understand that there was an incident at the
- 11 lab that occurred about that time or very close in time to Sue,
- 12 Dr. Savage-Rumbaugh, sending that e-mail involving young Teco.
- 13 | Could you describe that incident to the court?
- 14 A. Right. So after -- after this was sent, I quess, I don't
- 15 | recall the exact date, but it was around the same time frame in
- 16 the fall, Teco had an injury and he was lame on one of his legs,
- 17 | and so he had -- I believe it was his left, but I don't remember
- 18 | that for sure. He had basically a very tender ankle, and he
- 19 | was -- we did x-ray him at that time. We saw no fractures. We
- 20 were treating it as a soft tissue injury, and he did fully
- 21 recover in not a long period of time at all.
- 22 | Q. Okay. And did Dr. Savage-Rumbaugh return to the lab at any
- 23 point in time after that apparent injury to Teco?
- 24 A. She did. So we had a conversation I think on October 30th
- 25 or -- or on October 30th we had a conversation via the phone.

- 1 She called and I received -- or she had called me on that date 2 inquiring about Teco. She also had sent an e-mail at that time.
- 3 | I did talk to her on the phone and, you know, talked about Teco,
- 4 you know, how he was doing and things like that and just had,
- 5 | not long conversation about that, but just kind of passed that
- 6 along and tried to answer questions that she had, and it was
- 7 mostly just about that.
- 8 Q. Okay. And after that conversation on October 30th, did
- 9 Dr. Savage-Rumbaugh actually physically return to the lab?
- 10 A. She did, yep.
- 11 Q. And can you describe the effect that that had on the lab and
- 12 | its operations?
- 13 A. She was planning on returning as part of a scheduled visit
- 14 | later in the month, but made a decision to kind of drop
- 15 | everything and fly out there, and she just kind of immersed
- 16 herself in the lab. She took over care of Teco. She just
- 17 | without permission basically spent the night at the lab and
- 18 | started just sort of picking up, in my opinion, as if she had
- 19 never left the lab to begin with, as far as their day-to-day
- 20 care and, you know, talking to staff about what should be done
- 21 when and where, and she started e-mailing lots of different
- 22 | people about lots of different things, including, you know,
- 23 Teco.
- I know at that time she started to send Jared and
- 25 | Bill, who were just still in their very beginning stages of

- 1 involvement with us, lots and lots of e-mails, and the board was
- 2 very concerned about that behavior and also very concerned that
- 3 | those actions may not -- or may drive Dr. Taglialatela and
- 4 Dr. Hopkins away. They had been very clear that they wanted
- 5 autonomy over the science there and, you know, they were
- 6 accepting with the understanding that Sue was effectively
- 7 retiring from research there.
- 8 Q. And did Dr. Savage-Rumbaugh's involvement in the lab at that
- 9 | time, was that consistent with the e-mail that she sent on
- 10 October 26, 2013?
- 11 A. No.
- 12 Q. In what way, based on your understanding of the e-mail and
- 13 her role going forward, was her subsequent involvement
- 14 | inconsistent?
- 15 | A. There was just no discussion about it. It just happened.
- 16 | She just came back and basically re-embedded herself in the
- 17 | facility without appropriate discussions with people like George
- 18 or Steve Boers was the executive director at the time or things
- 19 like that about what her visit should look like, what role she
- 20 | should play there, what contacts she should have with the
- 21 animals. You know, she had -- that was the first time, in my
- 22 recollection, that she had seen them since she left in May, and
- 23 | it was just kind of picking up like a day had not passed.
- 24 | Q. And did the board at that time, the IPLS board, take any
- 25 action to push back on her involvement consistent with her prior

representations in that October 26 e-mail?

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A. Yes, I know George and Lyle had phone conversations and e-mail conversations with her asking her to, you know, please take a step back, go back to New Jersey, you know, honor this initial statement that she had sent out on October 26th and, you know, at the time they were saying, you know, come back at Thanksgiving, that's how it started out when you had initially planned, and we can have kind of a formal discussion about things like that. And she essentially refused to leave, and the communications between them -- I didn't have communication with her about this at that time; but the communications between them got more and more adversarial until the end as she continued to In spite of Lyle saying, please don't e-mail anyone else about the research, you know, you need to stop, please don't call Bill and Jared, this is inappropriate, she continued to send those e-mails. And, finally, George just said she needed to leave the facility immediately.

- 18 Q. And did you or anybody else on the IPLS board then take 19 action to enforce her prior representations?
 - A. George asked that I go out there. He asked her to leave, and he asked me to go out and make sure that to the facility and make sure that she had left the premises. What I arrived there, she hadn't left. And so I entered the building, we had a conversation about her needing to leave and comply with George's request and Lyle's request that she return, you know, return

- 1 | back -- well, we didn't -- where she goes is not important, but
- 2 | that she leaves the facility.
- 3 Q. Okay. And did she eventually leave the facility?
- 4 A. She did.
- 5 Q. Did you have any conversations with her as she was leaving
- 6 | the facility about why she was being asked to leave?
- 7 A. Yeah. We did have a conversation where I said, the board --
- 8 I shared the board's concern that the e-mailing and kind of
- 9 excessive e-mailing to Bill and Jared was going to cause a
- 10 problem with them wanting to come out and be a part of the
- 11 program just because it was so contradictory to what she had
- 12 | initially said, you know, she would do. And so it was -- there
- 13 | was definitely concern about that, and I shared that with her.
- 14 Q. Okay. Sometime later in November of 2013, did you become
- 15 | aware of a lien against IPLS as an entity, a tax lien?
- 16 A. When Lyle told us about it, yeah, we --
- 17 Q. Okay. Did Lyle explain what was going on at that time and
- 18 his plan to take the organization forward?
- 19 A. Right. So are you talking about the tax ID? I don't know
- 20 | what -- I don't understand exactly what you're asking.
- 21 | Q. Right. Mr. Lyle Simpson was the attorney for IPLS at the
- 22 | time, right?
- 23 | A. Uh-huh.
- 24 | Q. And did he have any conversations with you about the effect
- 25 of a tax lien or an unpaid debt to the state and how that would

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    affect the organization in general and the plan for moving
    forward?
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              There were lots of different conversations about that.
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    A. Yes.
    There was definitely -- we had conversations. Lyle many times,
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    you know, talked about, you know, the fact that under prior
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    administration this debt had accumulated, and he talked about
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    different strategies that we could use to try to carry on the
    organization, you know. And so one of those was, you know -- I
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   mean, down the line he talked about potentially -- not initially
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   but potentially considering bankruptcy for that organization
    and, you know, effectively starting another one; but there
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    were -- yeah, he was aware of that and talked about it on a
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    number of different occasions.
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    Q. Okay. And at some point did IPLS form a new organization to
15
    carry that name and continue the work forward?
16
    A. Yes. And we were sort of forced to do that. So Lyle
17
    informed us that -- so he -- you know, he had talked about the
18
    tax lien or the lien initially; but he at some point around that
19
    same time frame, later on in the fall, early winter, became
20
    aware that the registration for the Iowa Primary Learning
21
    Sanctuary had expired, had not been renewed. And so in order to
22
    renew that, we needed to pay off that tax lien, which was quite
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So he formed -- and that's the only reason why we

It wasn't practical for us to be able to do that.

expensive. We didn't have anything near the funds to do that.

23

24

- 1 | didn't renew that was because we had, you know, that outstanding
- 2 debt making it literally impossible to do that, and we -- at the
- 3 time anyway. So he created ACCI so we would have, you know, a
- 4 | working tax ID.
- 5 | Q. Okay. And I suppose if ACCI generates enough income and
- 6 funding, would it be possible to reinstate IPLS as you
- 7 | understand it?
- 8 A. Yes. That's how he described it to us.
- 9 Q. Okay.
- 10 | A. But it was very clear that we needed to -- to operate we
- 11 needed to have something then, you know, a tax ID right at the
- 12 moment. So he described it as this has expired, we need to fix
- 13 | this immediately, and clearly we didn't have the funds to do
- 14 that.
- 15 | Q. So going forward with ACCI in December, or there about, in
- 16 2013, did you continue on in your role as a veterinarian for
- 17 ACCI?
- 18 | A. I did.
- 19 Q. And you talked earlier, you testified earlier about some of
- 20 | the protocols that were in place --
- 21 A. Uh-huh.
- 22 Q. -- to protect the health and safety of the bonobos, is that
- 23 | correct?
- 24 A. Yes.
- 25 Q. As it stands today, is it your medical opinion that you're

- 1 providing complete and competent care for all of the bonobos at
- 2 | ACCI's facility?
- 3 A. Yes. I think it's better than ever and that we want to
- 4 | continue to make it even better than it is today.
- 5 | Q. And is ACCI doing everything -- or is it taking all
- 6 reasonable precautions to ensure the bonobos' complete safety?
- 7 A. Yes.
- 8 MR. MELHUS: I have no further questions for this
- 9 | witness at this time, subject to redirect, Your Honor.
- 10 THE COURT: Cross-examination.
- MR. LANGEL: Thank you, Your Honor.
- 12 CROSS-EXAMINATION
- 13 BY MR. LANGEL:
- 14 Q. Ms. Gilmore, my name is Todd Langel, and I'm one of the
- 15 | attorneys for Dr. Savage-Rumbaugh.
- 16 A. Okay.
- 17 Q. I want to back up just a little bit to the 2012 time frame.
- 18 | Do you recall -- you may recall back in 2012 you told Sue that
- 19 | she had your support?
- 20 A. Yes.
- 21 | Q. Do you remember that? And that your support would be
- 22 | wholehearted and unending?
- 23 A. Yes. At what point in 2012? I don't --
- 24 Q. In August of 2012.
- 25 A. Okay.

- 1 | Q. Do you recall writing her an e-mail to that effect?
- 2 A. I was very supportive of Sue when I was first involved with
- 3 | the organization, yes.
- 4 Q. Okay. And do you recall writing her an e-mail to that
- 5 effect that she had your --
- 6 A. I don't recall a specific e-mail, but we have sent thousands
- 7 of e-mails as you know.
- 8 Q. So you don't recall a specific e-mail in which you indicated
- 9 | you were supportive of Sue?
- 10 A. I was supportive of Sue when I got started, but I don't -- I
- 11 mean, again, we literally have exchanged thousands of e-mails,
- 12 | so it's hard to recall a specific one from three years ago.
- 13 Q. If I showed you a copy of a document, might it refresh your
- 14 recollection about that conversation?
- 15 | A. Sure.
- 16 MR. LANGEL: May I approach, Your Honor?
- 17 THE COURT: Yes.
- 18 BY MR. LANGEL:
- 19 Q. Ms. Gilmore, do you recognize the e-mail that I've handed
- 20 you?
- 21 A. Yes. I mean, I recognize it. I wrote it, but I need to
- 22 | re-read it to remember exactly what I said in it.
- 23 Q. Take your time.
- 24 (Pause.)
- 25 A. I believe that this is in response to the executive board

- 1 | making a recommendation to move the bonobos to a facility in --
- 2 | with Heidi Lyn in Mississippi, and so I think that was what I
- 3 | was referencing there, saying I'm supportive of her because at
- 4 | the time Sue was willing to, you know, make a contribution to
- 5 help cover the care. And it seemed fair to me that the board
- 6 did not want to accept her donation, and it seemed fair that if
- 7 | she wanted to do that that they should take those funds.
- 8 Q. And the board did take those funds?
- 9 A. They did. I wasn't on the board at that time, but yes,
- 10 | that's my understanding.
- 11 Q. And it was \$140,000?
- 12 A. That's my understanding.
- 13 | Q. Did the board know that you sent this e-mail?
- 14 | A. I don't know, but I sent it to Sue. I didn't send it to
- 15 | anybody else. But I don't think that was a surprise that I was
- 16 | not saying anything contrary to other board members at the time.
- 17 Q. I want to move forward a little bit to when -- there was a
- 18 discussion about Teco and when he got sick. Isn't it true that
- 19 prior to when Teco became ill, you brought your daughter for a
- 20 | visit to the facility?
- 21 A. My daughter initially came many times with me to the
- 22 | facility. That's just how it was. I was a single mother, and I
- 23 | provided lots of care for those animals, you know, at odd hours
- 24 | and things like that, so there were times that she would come
- 25 | with me to the facility quite frequently.

- 1 | Q. And at least in one of those instances, your daughter was
- 2 | ill when she came to the facility with you?
- 3 A. I don't recall intentionally ever bringing her ill to the
- 4 | facility and exposing her to Teco, no. I was trying to be very
- 5 | careful about that. If she was showing signs of illness, I
- 6 | tried to keep her away from the facility.
- 7 | Q. Are you denying that you ever brought her to the facility
- 8 | while she was ill?
- 9 A. Intentionally, yeah, I didn't bring her to the facility ill.
- 10 Q. Did you ever require your daughter to wear a mask when she
- 11 | came to the facility?
- 12 A. No. That's been a protocol that we've adopted in 2014.
- 13 Q. But you would agree with me that shortly before Teco became
- 14 | ill you did indeed bring your daughter to the facility?
- 15 | A. Well, he was ill many times when I was coming out there and
- 16 | had been, so yes, she was with me many times at the facility.
- 17 | So I'm sure there were times she was there before he was ill. I
- 18 | think -- well, I'll let you continue.
- 19 Q. When your daughter visited, is it true that you allowed your
- 20 daughter to share food with Teco?
- 21 | A. I would say that I was not in a position to set policy at
- 22 | the time when I first started coming out there, and that was
- 23 | very standard for Sue to encourage Teco to share food with
- 24 | almost everybody who visited, and it was quite common for him
- 25 | to -- you know, that's just how it was. Teco was out. He

- 1 | greeted every visitor. It wasn't a unique situation with my
- 2 daughter. It was everybody who visited the facility met Teco.
- 3 | Everybody who came there often shared food with Teco.
- 4 Q. And that included the sharing of food with a potentially ill
- 5 | child?
- 6 A. Not intentionally, absolutely not.
- 7 Q. But it may have occurred?
- 8 A. It may have occurred. And, again, it wasn't my decision to
- 9 have Teco out with my daughter. It was Dr. Savage-Rumbaugh's.
- 10 | Q. There was a discussion about when Sue was asked to leave in
- 11 November of 2013, and you testified that you spoke with her as
- 12 | she was gathering her belongings?
- 13 A. Yes.
- 14 | Q. When she was leaving, you told her at that point that she
- 15 was being asked to leave the decision about her being asked to
- 16 | leave was all about the money, isn't that true?
- 17 A. No. I described it as involvement of the future scientists,
- 18 | so not the money, but the scientists, Bill and Jared's
- 19 | involvement.
- 20 | Q. But you indicated to her that her involvement in the lab had
- 21 to cease because of the funding sources?
- 22 | A. I -- I wanted -- no; the scientific involvement, which
- 23 | brings in funding. I mean, we had -- so, you know, very
- 24 | indirectly, I guess; but I was very concerned that that would
- 25 affect the transition to new leadership which she herself said

- 1 | she had wanted.
- 2 Q. But Jared and Bill, they needed money for their plan to
- 3 | bring -- they needed to bring chimpanzees in in order to fund
- 4 | their future plans at the facility, isn't that correct?
- 5 A. Yeah. They've been very successful bringing funds into the
- 6 facility.
- 7 | Q. But you understood that Sue could not have a material role
- 8 | in the day-to-day operation of the lab were those efforts to
- 9 continue, isn't that correct?
- 10 A. I had an understanding that she had said that she was
- 11 | stepping down as, you know, playing an active role in the
- 12 | science there and turning things over to Bill and Jared. We had
- 13 moved forward on her own recommendation to bring them into the
- 14 fold, so to speak, and to try and put them in a leadership role,
- 15 | and it was very frustrating to see that be seemingly sabotaged
- 16 by Sue herself even though it was her idea.
- 17 | Q. You mentioned that Jared and Bill have been successful in
- 18 | bringing funds in. What do you mean by that? What funds?
- 19 A. I mean that they have -- their respective universities have
- 20 been very supportive of their involvement here, and they, you
- 21 | know, have continued to get small grants that have, you know,
- 22 put us in a not -- you know, in much better financial shape than
- 23 | we were.
- 24 | Q. And what specifically have they reported to you or to the
- 25 | board as to what they brought in?

- 1 A. I mean, I don't recall the exact breakdown of grants, but
- 2 | it's been a substantial amount of money, somewhere between two
- 3 and three hundred thousand dollars from what I recall in
- 4 different grants and different sources of funding; but that
- 5 | would probably be a better question for Jared or Bill.
- 6 Q. Has Jared or Bill reported on the fund-raising in writing to
- 7 | the board or to you?
- 8 | A. Yeah. I mean, we had that joint board meeting between BHI
- 9 and ACCI, you know, and there was a detailed explanation I think
- 10 at that time of funds that came in that they shared with both
- 11 boards. And then, yeah, we continue to as we meet talk about
- 12 | the funds that continue to come in, so they continue to bring
- 13 | funds into the organization.
- 14 Q. That joint meeting that you described took place in July
- 15 | 2014?
- 16 A. Yeah.
- 17 Q. June or July of 2014?
- 18 | A. Uh-huh.
- 19 Q. Have there been any reports by Bill or Jared in writing to
- 20 you or to the board since that time about fund-raising?
- 21 A. The ACCI board?
- 22 Q. Right.
- 23 A. I don't recall. It's very possible. I would have to look
- 24 | at my e-mail. We talk continuously and send many, many e-mails
- 25 back and forth, so I -- it's possible, but I don't recall that

- 1 | specifically.
- 2 | Q. So nothing specific, but it's your testimony that they've
- 3 been successful?
- 4 A. Yes.
- 5 | Q. But you don't have any specifics?
- 6 A. I can get specifics; but right at the moment up here on the
- 7 | witness stand, I don't have specific dates or funding, you know,
- 8 funding sources.
- 9 Q. You can send those to Bonobo Hope.
- 10 How many meetings has the ACCI board had since July
- 11 2014?
- 12 A. I don't recall specifically how many meetings that we have
- 13 | had. We are in frequent communication; but, again, we could get
- 14 | that information for you.
- 15 Q. Have you had any in-person meetings at all since July 2014?
- 16 A. Probably, yes. Yes, we have had some board meetings
- 17 | specifically. I'm trying to recall the exact dates of meetings
- 18 | that we've had, so --
- 19 Q. And when I ask questions, I expect that you not look at the
- 20 gallery or look at counsel table --
- 21 A. Okay.
- 22 Q. -- and you communicate with me.
- 23 | A. Okay.
- 24 Q. So you have had in-person meetings?
- 25 A. Yes, we have. I don't recall exact dates, but --

- 1 | Q. How many?
- 2 A. I don't recall the exact number of meetings. I mean --
- 3 Q. Do you know if minutes were indeed generated at those
- 4 | meetings?
- 5 A. I'm not the secretary, so I don't know.
- 6 Q. How many of the bonobos have died while the colony has been
- 7 | under your care?
- 8 A. Two.
- 9 Q. Panbanisha in November of 2012?
- 10 A. Yes.
- 11 Q. And then Matata?
- 12 A. Yes.
- 13 Q. Okay. And when did Matata die?
- 14 A. I believe June 22nd, or on or about there, in 2014.
- 15 Q. In either of those cases, was Sue allowed in the lab or had
- 16 | she been allowed in the lab?
- 17 A. No. You mean at the time of death or --
- 18 Q. Yeah.
- 19 A. No.
- 20 Q. How did Matata die?
- 21 A. Matata had -- so the day before she died, we were notified
- 22 by staff that she was having -- you know, seemed a little
- 23 | shakier than normal, just seemed a little off, and it was hard
- 24 | for them to pinpoint specific symptoms. They said that she was
- 25 | shakier than normal, she was still eating and drinking, she took

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her food and climbed up into the tunnel and, you know, had made
a nest, and this is kind of afternoon that I was notified about
this. But there definitely was a concern, you know, just with
that type of report.
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And so I did reach out to June Olds, a Blank Park Zoo veterinarian who I've formed a relationship with and has been helpful at other times with these animals, and they have been very helpful and willing to share their equipment and, you know, facilities with us. And so we talked about -- she indicated that she would be willing to assist me with an anesthetized exam on Matata if necessary. It takes a little bit of timing. They need to -- you know, she would bring her portable anesthesia machine and things like that out there, and so we were making plans to do that, and she died in her nest overnight.

- Q. So who was in the lab at the time leading up to Matata's death?
- A. There -- oh, I don't remember, but I believe possibly

 Heather Housh was in the lab before that. I think Tami Watson

 also potentially was in the lab that day. I can't remember who

 was there or not. We had one of Jared's graduate research

 assistants, Scott Milne, I think that's how you say it, was

 there that night as well. And I can't -- there may have been

 other people there, but I can't recall that detail.
- 24 | Q. Was there anybody from Yerkes there?
- 25 A. No -- well, I don't think so. There wasn't -- no, not that

- 1 | I know of. I mean, as far as working with Matata, it was
- 2 general care staff.
- 3 Q. Is it correct that Liz Pugh had been banned from the lab --
- 4 A. No.
- 5 Q. -- for about 24 hours before Matata died?
- 6 A. No.
- 7 Q. Was she there?
- 8 A. I don't think so, no. She was there the following morning,
- 9 | but I don't think she was there the night of.
- 10 Q. And do you know why counsel for Dr. Rumbaugh or counsel for
- 11 | the Congo was not advised that Matata had died?
- 12 A. Well, we issued a press release. It wasn't -- we didn't --
- 13 | it was definitely not something that we tried to keep a secret.
- 14 | Q. Are you aware of any plans of Jared or anybody at ACCI to
- 15 | loan any of the bonobos out for breeding?
- 16 | A. No. Well, we do want to rejoin the SSP. We think that's a
- 17 | very important part of conservation, and the SSP is the Species
- 18 | Survival Plan that is in affiliation with the zoos in North
- 19 America. So the problem with SSP is that they don't have any
- 20 | space for bonobos, and so in talks that we have had about
- 21 | rejoining them, they have talked about bringing bonobos to us
- 22 | for breeding. So we would like to breed them in the future in
- 23 | conjunction with the Species Survival Plan.
- 24 Q. And what's the reason that hasn't happened yet?
- 25 A. Well, we're in the process of applying for that.

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1 | Interestingly, I guess, one of the things that they're very
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- 2 | concerned with is this ownership issue. The SSP has been quite
- 3 | clear that they don't want any involvement with
- 4 Dr. Savage-Rumbaugh at all, so --
- 5 | Q. And who at SSP has conveyed that to you?
- 6 A. Gay Reinartz and Vickie Clyde have said that at different
- 7 times.
- 8 Q. Do you know why?
- 9 A. I feel like they have a tenuous relationship and they don't
- 10 | feel that the bonobos have received the best care under
- 11 Dr. Savage-Rumbaugh.
- 12 Q. When was the last time you spoke with them about that topic?
- 13 A. One of the conversations that I had with Vickie Clyde about
- 14 | this topic was -- I mean, specifically about Sue was probably
- 15 when she came out when they were all sick with respiratory
- 16 | illness actually in 2012, she agreed to come out, but only if I
- 17 | could promise that she didn't have to have a conversation with
- 18 | Dr. Savage-Rumbaugh or ideally ever be in the same room with
- 19 her. And Dr. Savage-Rumbaugh was aware of that I do know.
- 20 THE COURT: What does SSP stand for again?
- 21 THE WITNESS: I'm sorry; Species Survival Plan. It's
- 22 | all endangered species that are captively housed in North
- 23 American zoos are part of a Species Survival Plan, and what that
- 24 does is try and create -- it's a breeding program basically that
- 25 | involves the input of geneticists and veterinarians and things

- 1 | like that to breed animals that would preserve as much genetic
- 2 diversity as possible out of the present captive population. So
- 3 | it's a -- and it also is a very good veterinary resource.
- 4 | Incidentally, when you're part of the SSP, veterinarians who
- 5 | have participating animals have regular meetings and share a lot
- 6 of data about health of different animals, about illnesses,
- 7 | about injuries. They have a report that comes out very
- 8 regularly, and so it can be a very helpful veterinary -- you
- 9 know veterinary tool.
- 10 THE COURT: Thank you.
- 11 BY MR. LANGEL:
- 12 Q. Does ACCI have plans to inform BHI of its proposed breeding
- 13 | activities before breeding occurs?
- 14 A. I'm sure that -- I think that we've discussed actually
- 15 | wanting to join the SSP. I don't recall exactly if we talked
- 16 about that back in that joint board meeting, but I think that we
- 17 | did.
- 18 | Q. So you don't know right -- as you sit here today, you don't
- 19 know if there are plans for that?
- 20 A. I mean, it's not a secret. We would -- you know, the
- 21 | members of the SSP are, you know, easily identifiable.
- 22 | Q. Are there any current plans to anesthetize any of the
- 23 | bonobos?
- 24 A. There are not current plans as far as like a set date. We
- 25 do -- we think it's routine and responsible veterinary care to

- 1 | provide, you know, periodic anesthetized exams that allow us to
- 2 | collect, you know, full blood work and take chest x-rays and,
- 3 | you know, do a lot of the things available. That's a very
- 4 standard practice in captive management of exotic species like
- 5 apes or anything you would find in a zoo.
- 6 Q. So do you have any currently planned?
- 7 A. We don't have any currently planned, but we -- as far as
- 8 | like a set date, but we are taking steps to ensure that that
- 9 does happen in the near future because we think it's part of
- 10 responsible veterinary care.
- 11 | Q. Do you have plans to inform BHI of those activities?
- 12 A. We haven't discuss that yet, but I would see no reason why
- 13 | we wouldn't.
- 14 | Q. So you haven't -- as of today, you haven't made any plans to
- 15 | inform BHI of any --
- 16 | A. No, not concrete plans, but we definitely haven't made plans
- 17 | to not inform them.
- 18 | Q. Have any of the bonobos been anesthetized in the last 18
- 19 | months?
- 20 A. No.
- 21 Q. Who are the members of the ACCI IACUC? And what does IACUC
- 22 | stand for?
- 23 | A. IACUC is Institutional Animal Care and Use Committee. I am
- 24 | a member of the IACUC as a veterinarian. I am also the current
- 25 | IACUC chair. Dr. Taglialatela is also on the IACUC as a

- 1 | scientist. Allyson Bennett is another scientist that is part of
- 2 | that. And then we have a facility representative, Tami Watson,
- 3 | who's on the IACUC, and our layperson, nonaffiliated layperson
- 4 is Jack Price, and he's someone from the Des Moines community.
- 5 Q. Have any chimps come to the lab yet?
- 6 A. No.
- 7 Q. If they do come there, who will be their veterinarian?
- 8 A. I expect that I will be at this point in time.
- 9 Q. Before Mr. Taglialatela took over as the leader of ACCI,
- 10 were you required to wear masks and gloves anywhere in the lab?
- 11 A. No.
- 12 Q. Has IPLS been reinstated as of today?
- 13 A. What do you mean; from -- I think we just discussed that,
- 14 like there's a tax lien out that we still owe money. Is that
- 15 | what you mean, the tax --
- 16 Q. You're not aware of IPLS being reinstated as we sit here
- 17 | today?
- 18 \mid A. In -- as far as the tax ID goes, the IPLS tax ID has not
- 19 been reinstated. We consider it to be the same organization
- 20 functionally.
- 21 | Q. Are you aware of any plans in the near future to resolve the
- 22 | tax lien and reinstate IPLS?
- 23 | A. We would like to resolve the tax lien. A lot of that is a
- 24 | funding issue. As funds become available, we would like to
- 25 address that issue.

- 1 | Q. But as you sit here today, there aren't immediate plans to
- 2 do that?
- 3 A. There's not immediate funds to do that.
- 4 Q. Have any representatives of Yerkes been to the lab while you
- 5 | have been there?
- 6 A. Yes.
- 7 Q. Who?
- 8 A. Veterinarians from Yerkes have visited the lab, Joyce Cohen
- 9 | is the head veterinarian out there, and I can't recall the names
- 10 of the other two veterinarians, but I visited with them
- 11 recently.
- 12 Q. How often have they been there?
- 13 | A. I was only there with them once. I don't remember if
- 14 | they've been there other times or not, but I was only there with
- 15 | them once. I think that's the only time they visited.
- 16 0. And when was that?
- 17 A. I don't recall. It wasn't horribly long ago, but I mean it
- 18 | was within the last year.
- 19 Q. Do you recall what time of year it was?
- 20 A. It was warm out. We were outside. Yeah, I can look. I am
- 21 guessing that would have been last fall then.
- 22 MR. LANGEL: That's all the questions I have.
- Thank you.
- 24 THE COURT: Redirect?
- MR. MELHUS: Just briefly, Your Honor.

REDIRECT EXAMINATION

BY MR. MELHUS:

Q. Dr. Gilmore, let's talk a little bit about the course of care that you provided for Matata. Could you briefly describe the care that you provided to Matata?

A. Yes. Well, Matata actually had been a remarkably healthy ape for her age. She was very -- many apes her age -- as far as we know or to our knowledge, she was the second oldest ape in captivity -- or second oldest bonobo in captivity, I'm sorry. She, compared to many others, was doing very well. She was not horribly arthritic. She was still very active. Her hair coat looks good. She did not have obvious terrible cataracts or anything like that impeding her vision. So she actually had been fairly healthy.

I had been concerned in the year prior to her death about potential renal disease, which is common in aging animals. I noticed that she was polyuric, drinking more and urinating more than what I would consider to be normal. We did not anesthetize her to get blood work to confirm that there was an elevation of renal values, but I did collect urine on her many times and saw what -- I saw protein in her urine, and I saw that she was isosthenuric, which means that her urine wasn't concentrated or diluted, and that is a sign that her kidneys aren't working well to concentrate urine, and that can be normal in a well hydrated animal; but it's a flag, especially

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    consistently, and the protein in the urine was concerning.
    also -- animals -- I watched her urine pretty closely because
 2
    animals that do have potential kidney issues are more prone to
 3
    urinary tract infections and things like that, and we did treat
 4
    her for multiple urinary tract infections over the year before
 5
    she died.
 6
 7
              So we really weren't given, you know, a lot of warning
    that there was something awry with her. She -- it was
 8
    literally -- it wasn't even a full 24 hours that she had
 9
10
    symptoms. It was maybe even more like 12 hours that she had
11
    symptoms and then passed away.
12
    Q. Were you consulting with any other individuals about the
13
    care for Matata either before or right after?
14
    A. Yes. I mean, as I mentioned, June Olds is the Blank Park
15
    Zoo veterinarian. I was in discussion with her about the
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    symptoms that were concerning that were described by the staff,
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and she agreed, again, to come out and help with an anesthetized exam if needed, bring the equipment from the zoo to help us and things like that to get that done. So we were going to meet the following morning. And she died in her sleep overnight, so we didn't have very much time to respond to a health crisis with her. Again, she was eating, she made a nest, she climbed up to her nest. She died in her sleep in her nest. Q. Were you able to validate your course of care for Matata as

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a result of those consultations?

- A. Can you say that one more time? I didn't hear you.
- 2 Q. Sure. Were you able to validate your course of care for
- 3 | Matata during the consultations you just discussed?
- 4 A. Yes, absolutely. I mean, nobody -- obviously, just as I
- 5 | mentioned, as a general rule, veterinarians like Vickie Clyde or
- 6 USDA Agent Heather Cole, things like that, agree that it's a
- 7 good idea to periodically anesthetize animals and obtain survey
- 8 | data on them, you know, check blood work, take x-rays, you know,
- 9 | image them and things like that as appropriate, you know, to
- 10 | make sure -- we, again, are not at the place where we could
- 11 | routinely do that at that time, but it's something that we're
- 12 | working towards.

- But given the circumstances, no, nobody provided any
- 14 other insight or any other suggestions for what could be done
- 15 | for her. In fact, this is -- I know we did share the necropsy
- 16 | report with Vickie Clyde and things like that. Her thought was
- 17 | that given the very sudden acute onset and kind of the -- you
- 18 know, there were definitely renal changes identified on that
- 19 | necropsy report, but they weren't the obvious cause of death. I
- 20 | mean, you can't totally exclude that; but one thought was that
- 21 | it was probably -- or Vickie's opinion, Dr. Clyde's opinion was
- 22 that it was very likely an arrythmia caused by some myocardial
- 23 | fibrosis in her heart. And that, incidentally, is the leading
- 24 | cause of death in great apes, in bonobos.
- 25 Q. Okay. You mentioned a necropsy report. As I understand it,

- 1 | that's the primate equivalent to an autopsy in a human?
- 2 A. Or the veterinary equivalent. Yeah, we call it necropsy;
- 3 same as thing as an autopsy.
- 4 Q. Okay. And did anything during your course of care in that
- 5 | necropsy report indicate that Matata had passed away as a result
- 6 of, for example, water from the flood of 2008?
- 7 | A. No.
- 8 Q. Did she have access to water from that flood?
- 9 A. No -- well, I don't know. I wasn't there in 2008, so -- she
- 10 doesn't have access to lake water, though, now.
- 11 Q. Okay. Shortly after Matata's death, do you recall George
- 12 | Caudill or any other member of the ACCI board sending an e-mail
- 13 to Carmen Mate, the chair of the ACCI board, about Matata's
- 14 death?
- 15 | A. I don't recall exactly who George shared an e-mail with, but
- 16 | I know he prepared an e-mail and sent it and, yes, I don't -- I
- 17 | think so.
- 18 | Q. Okay. So, in any event, BHI was made aware of Matata's
- 19 death soon thereafter?
- 20 A. Yes, to my knowledge.
- 21 | Q. Okay. And counsel asked some questions about -- I'm
- 22 | changing subjects just quickly. Counsel asked some questions
- 23 | about reasons why the SSP may not want to interact with
- 24 Dr. Savage-Rumbaugh.
- 25 A. Uh-huh.

- 1 Q. And you gave some reasons for that. Are there any other
- 2 reasons that you can think of? For example, has
- 3 Dr. Savage-Rumbaugh placed any bonobos or staff in physical harm
- 4 | that you're aware of?
- 5 A. I mean, yes, there are a history of various issues. I mean,
- 6 | it depends on exactly where you're wanting to go with that. But
- 7 | as a very general rule placing them in harm from a veterinarian
- 8 | perspective is Kanzi and Panbanisha being morbidly obese by not
- 9 feeding them the healthiest of diets. So that is definitely a
- 10 | concern when they're breeding, you know, new animals, that they
- 11 | have a claim and they want to make sure that they're going to be
- 12 | treated well.
- They also were not comfortable with the protocol of
- 14 removing young babies from their mothers and, you know, rearing
- 15 | them because they felt like it was a welfare issue for both the
- 16 | infants and, you know, the mother of that infant.
- I mean, those are two main issues. There was also,
- 18 | you know, various concerns about the difficulty historically
- 19 | that veterinarians have had working at this organization or
- 20 | working with Sue Savage-Rumbaugh. People have not had the best
- 21 experience from my understanding, other veterinarians have not
- 22 | had the best experience getting cooperation with needed
- 23 procedures and compliance with, you know, veterinary
- 24 recommendations.
- 25 | Q. Okay. Are you aware of any other specific incidences with

- 1 Dr. Savage-Rumbaugh placing the bonobos in physical harm?
- 2 A. Yes. I mean, I can think of a couple of examples of that.
- 3 Q. Could you provide a couple of examples just briefly for the
- 4 | court?
- 5 A. Okay. Well, Teco has had a few separate issues that I was
- 6 called to treat him on that were directly related to his care
- 7 | while under Dr. Savage-Rumbaugh. One time I was called out and
- 8 he had grabbed a bottle of Tylenol and was running around with
- 9 | the Tylenol. There was a concern about how much Tylenol he
- 10 | potentially ingested. He -- I was able to retrieve the bottle
- 11 from him, but he had that. There were pills all over the floor.
- 12 | He did ingest I think a small amount of those, but not enough
- 13 | to, you know, cause any lasting damage; but that was a very
- 14 | frightening incident.
- There's another time that I was asked to x-ray him to
- 16 | see if he potentially had eaten a battery because he was holding
- 17 | batteries in his mouth and things like that, and then we
- 18 | couldn't find a battery, so we were concerned about whether or
- 19 | not he had swallowed a battery. He hadn't swallowed a battery
- 20 at that time.
- 21 There was one incident, you know, that was an
- 22 | accident, but I still think warrants some investigation into
- 23 protocol. There was a time where Teco had a leash and collar on
- 24 in the anteroom, which is like the space right outside of the
- 25 enclosure -- outside of the ape enclosure, and one of the other

```
1
   bonobos, Maisha, grabbed his leash through the wire and almost
    strangled him, which obviously wouldn't happen in normal
 2
    circumstances if he wasn't wearing a leash and collar.
 3
              You know, obviously, we've changed a lot of protocols
 4
    over time, but I think in general, you know, exposing him to,
 5
 6
    you know, the public or lots of visitors or things like that, or
 7
    any of them is not in their best interests. We've changed
    things dramatically there to try to keep them healthier by just
 8
    not exposing them to germs in the first place.
10
    Q. Were there any issues with transporting Teco or others --
11
    excuse me. Were there any issues with Dr. Savage-Rumbaugh
12
    transporting Teco or other bonobos in a personal vehicle that
13
    would have caused any safety concerns?
14
       The first --
    Α.
15
              THE COURT: Mr. Melhus, if I may interject a moment.
16
    Remember what I said about funnels and hourglasses?
17
              MR. MELHUS: Sure.
18
              THE COURT: We're getting to the hourglass area.
19
              MR. MELHUS: Okay.
20
              THE COURT: You started a little bit about the SSP and
21
    why those people might have been reluctant to work with
22
    Dr. Savage-Rumbaugh; but now we're going into a whole other area
    of stuff that has happened, and I think we're opening new vistas
23
24
    that probably we need not.
```

MR. MELHUS: Fair enough, Your Honor. I apologize and

- 1 | I'll move on.
- 2 BY MR. MELHUS:
- 3 Q. My final question for you would be, has ACCI adopted any
- 4 protocols to address some of the concerns that you just
- 5 | testified to?
- 6 A. Yes. There are a lot of protocols in place to make our
- 7 | environment as safe as possible. You know, I directly attribute
- 8 | that to Bill and -- to Dr. Taglialatela and Dr. Hopkins' efforts
- 9 | at the lab. We've taken considerable steps to provide, you
- 10 know, actual structured safety training for staff and teaching
- 11 | staff members how to move apes, you know, safely and how to
- 12 | interact with the apes safely. We have strict diet protocols in
- 13 | place, including a protocol for how they're rewarded for the
- 14 research that they participate in. Again, husbandry is, you
- 15 know, exponentially better and, you know, more organized than it
- 16 ever has been.
- 17 MR. MELHUS: Thank you, Dr. Gilmore.
- And, Your Honor, that's all the questions I have for
- 19 | this witness.
- 20 THE COURT: Mr. Langel, recross.
- 21 RECROSS-EXAMINATION
- 22 BY MR. LANGEL:
- 23 Q. Dr. Gilmore, I just have a few questions.
- Did you at some point fill out a questionnaire that
- 25 was prepared by Nancy Howell during the investigation of Sue in

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1 November of 2012?
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- 2 A. Yes, I think so.
- 3 Q. Okay. And do you recall what you said in that -- in your
- 4 responses to the questions in that questionnaire?
- 5 A. I don't have that questionnaire in front of me, but I'm sure
- 6 you can give it to me.
- 7 Q. Would it help if I put it in front of you?
- 8 A. Yeah, sure.
- 9 MR. LANGEL: May I approach, Your Honor?
- 10 THE COURT: You may.
- 11 BY MR. LANGEL:
- 12 Q. Ms. Gilmore, is the document I've handed you a copy of the
- 13 questionnaire that you completed in response to questions from
- 14 | Nancy Howell?
- 15 A. Yes, it looks like it.
- 16 Q. Okay. With respect to your response as to diet and feeding
- 17 of the apes, can you summarize your response?
- 18 A. Give me just a second to find it again.
- 19 (Pause.)
- Yeah, and I would say that, as I mentioned earlier, in
- 21 | June we had done some work trying to bring a nutritionist out to
- 22 | start an active -- you know, an active nutrition plan for them,
- 23 | and so this was, you know, we were --
- 24 Q. You indicated that you were satisfied with the diet and
- 25 | feeding program. In fact, that's what you said verbatim, is

- 1 | that correct?
- 2 A. Yes. I am satisfied that we had put that in place and I
- 3 | think that we were making efforts to comply with that on some
- 4 level. I think we're better at it now, but I think that there
- 5 | were efforts to comply with those initial recommendations,
- 6 especially during this investigation period.
- 7 | Q. And you were also asked to evaluate the injuries to Kanzi
- 8 and Teco, isn't that correct?
- 9 A. Uh-huh.
- 10 Q. And you concluded in your remarks that you didn't have any
- 11 reason to believe that the injuries occurred as a result of
- 12 | negligence or maltreatment, isn't that correct?
- 13 A. Oh, no, I didn't think that. Dr. Savage-Rumbaugh had
- 14 | indicated that somebody had cut him with a weed whacker and I --
- 15 Q. Let me move back. As to the injury as to -- just describe
- 16 your summary and your testimony there as to --
- 17 A. I did not think that that was maliciously inflicted on them,
- 18 no.
- 19 Q. Okay.
- 20 A. I think it was just an injury.
- 21 | Q. Okay. It was your view that it was not maliciously
- 22 | inflicted, but you --
- 23 A. No, I don't think so.
- 24 Q. -- understood that Dr. Rumbaugh --
- 25 A. I did not think that Dr. Rumbaugh cut Kanzi, no.

- 1 | Q. The third category of the inquiry involved Dr. Rumbaugh's
- 2 | interactions with the bonobos?
- 3 A. Uh-huh.
- 4 Q. And can you describe for me your response to the inquiry in
- 5 | that regard?
- 6 A. I think that she has a good relationship with the bonobos
- 7 | and I think that she definitely has walked among them as I
- 8 | indicated there. So she -- I believe that she cares about them
- 9 and she definitely has spent many years with them.
- 10 Q. You concluded -- with respect to some of the concerns that
- 11 | were being raised about safety, is it fair to say that some of
- 12 | the incidents that Sue was accused of occurred when Sue wasn't
- 13 even in the building; is that fair to say?
- 14 A. Yes. And I have always maintained, and I still will say
- 15 | this, that a lot of those Bonobo 12 allegations as I mentioned
- 16 at the very beginning of my testimony, I had a problem with the
- 17 | way that -- I didn't think that was fair to Sue, because a lot
- 18 of those were thirdhand and by people who hadn't spent very much
- 19 | time there, and I did not like the way that that was presented
- 20 to the public.
- 21 MR. LANGEL: Your Honor, this document is not marked
- 22 | as an exhibit yet, but we would like to mark it and would like
- 23 | to move it into evidence at this time as Exhibit 107.
- 24 MR. MELHUS: Your Honor, could we see the exhibit
- 25 | before it's --

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 1
              THE COURT: Certainly. I didn't pick up the date.
              What was the date of your responsive questionnaire?
 2
 3
                            I don't know. It's not on here. I
              THE WITNESS:
    can't remember.
 4
 5
              MR. MELHUS: May I approach the witness, Your Honor?
 6
              THE COURT: Yes, please.
7
              MR. LANGEL: I have it in -- if I can publish my
8
    screen, I could also share it with the court; but this is the
    only paper copy that I have.
10
              THE COURT: I would be happy to take a look at it.
11
              THE CLERK: Do you want me to publish it then on the
12
    screen?
13
              THE COURT:
                         Oh, yes. Go ahead and put it up.
14
              (Pause.)
15
              MR. MELHUS: Your Honor, recognizing that this is the
16
    first time that we've been provided with this particular
17
    document, we do have concerns about when it was created and
18
    whether or not this is a complete and accurate copy of
19
    Dr. Gilmore's prior statements, and I would ask that the
20
    court --
21
              THE COURT: You can voir dire the witness, if you care
    to, for the purposes of an objection if that's your concern.
22
23
              MR. MELHUS: Sure.
24
25
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VOIR DIRE EXAMINATION

2 BY MR. MELHUS:

1

- 3 Q. Dr. Gilmore, do you recall answering this questionnaire?
- 4 A. Yes. It was three years ago, so it's hard to remember, you
- 5 know, the verbatim details of that that were made that long ago.
- 6 Q. Would you be able to make -- or would you be able to testify
- 7 | today about whether or not this is a complete and accurate copy
- 8 of your prior statements?
- 9 A. I would probably have to review it.
- MR. MELHUS: Your Honor, we would object to foundation
- 11 at this time, and we recognize the court's earlier ruling taking
- 12 exhibits subject to those objections, so we have no further
- 13 objections about this exhibit.
- 14 THE COURT: Mr. Langel, do you care to lay a little
- 15 | more foundation about the exhibit?
- 16 MR. LANGEL: Just a few questions, Your Honor.
- 17 RECROSS-EXAMINATION (Continued)
- 18 BY MR. LANGEL:
- 19 Q. Ms. Gilmore -- and could I hand you the document again?
- 20 MR. STAMBAUGH: May I approach, Your Honor?
- THE COURT: Please.
- 22 BY MR. LANGEL:
- 23 Q. You testified that you indeed prepared a summary of
- 24 responses in response for Nancy Howell, correct?
- 25 A. Yes.

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1 | Q. And you've had a chance to review Exhibit 107 briefly. And
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- 2 take all the time that you need, but my question to you is, is
- 3 | Exhibit 107 a true and correct copy of your responses to
- 4 Ms. Howell's questionnaire?
- 5 A. Oh, this here (indicating)? What's Exhibit 107; what I'm
- 6 holding?
- 7 Q. Yes.
- 8 A. Okay. Yes. I mean -- do you want me to read it right now?
- 9 | Q. I want you to become familiar with it and tell me if there's
- 10 any reason for you not to believe that it isn't -- or for you to
- 11 disagree with me that it is a true and correct copy of your
- 12 responses.
- MR. MELHUS: Your Honor, in the interests of not
- 14 | having Dr. Gilmore have to review this entire document, if we
- 15 | could possibly leave the record open with respect to this
- 16 particular exhibit and have Dr. Gilmore review it and would make
- 17 | an offer tomorrow morning regarding its admissibility.
- 18 THE COURT: That would be fine. I only have a little
- 19 bit of it here on the screen. Is it a lengthy document?
- 20 MR. MELHUS: It's about seven pages.
- MR. LANGEL: Seven pages.
- 22 THE COURT: I think that's a reasonable request. Is
- 23 | she going to be back tomorrow? Is that what you're proposing,
- 24 or can she do it yet tonight?
- MR. MELHUS: If our objections still stand tomorrow

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1
   morning, we can make her available tomorrow.
 2
              THE COURT: I would suggest an alternative, if you
   have a few minutes when we're done here today, maybe you could
 3
    talk to her, both of you, both sides about it and satisfy
 4
 5
    yourselves as to its authenticity and completeness.
 6
              MR. MELHUS: I will do that, Your Honor.
 7
              THE WITNESS: All right.
              THE COURT: Let me ask especially for context because
 8
 9
    I'm a little bit outside of the bubble on it, but it's my
10
    understanding that this questionnaire is in response to concerns
    that were raised by the Bonobo 12?
11
12
              THE WITNESS: Yes.
13
              THE COURT: Some of the complaints that they made; is
14
    that true? Yes.
15
              THE WITNESS: Yes.
              THE COURT: And in about what time frame again?
16
17
              THE WITNESS: It would have been September of 2012
18
   maybe, August or September.
19
              THE COURT: Thank you.
20
              I had assumed that. I just wanted to make sure I had
21
    it right.
22
              THE WITNESS: All right.
23
              THE COURT: Why don't we -- who's questioning?
24
    Mr. Langel, I think you've got the floor. Do you have any more
25
    questions for this witness?
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453
1
              MR. LANGEL: Just the famous last phrase, one final
 2
    last question.
 3
   BY MR. LANGEL:
    Q. Did you have any conversations with Mr. Taglialatela about
 4
 5
    your testimony?
 6
   A. No.
7
    Q. Or with anyone about what any prior witnesses have said in
    this case?
 8
   A. No.
10
              MR. LANGEL: Thank you.
11
              No further questions.
              THE COURT: Anything further of this witness at this
12
13
    time?
14
              MR. MELHUS: Nothing further, Your Honor.
15
              Thank you.
16
              THE COURT: Ma'am, you may step down. If you don't
17
   mind, would you stay awhile, and we're going to do a little bit
18
   more here, and then they would like to talk to you about that
19
    today.
20
              And then if you're able to stipulate that it's
21
    complete -- you've made other objections, but that it's complete
22
    and accurate and hers, maybe she won't have to come back
23
    tomorrow.
24
              MR. MELHUS: That would be my hope, Your Honor.
25
                              That would be our preferred approach,
              MR. STAMBAUGH:
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1
    Your Honor.
 2
              THE COURT: Do you have another witness we could get
    started on today?
 3
              MR. MILLER: We do, Your Honor. Plaintiffs call
 4
 5
   Dr. Taglialatela, Jared Taglialatela.
 6
              THE COURT: Sir, would you come forward, please, and
7
   be sworn.
 8
              Cheryl?
 9
              THE CLERK: Oh, I'm sorry.
10
              Please raise your right hand.
            JARED TAGLIALATELA, PLAINTIFFS' WITNESS, SWORN
11
12
                           DIRECT EXAMINATION
13
    BY MR. MILLER:
14
       Would you state your name and spell your last name, please.
15
        Sure. My name is Jared Taglialatela. It's
    T-A-G-L-I-A-L-A-T-E-L-A.
16
17
    Q. Are you, as has been alleged and discussed in this trial, a
18
    research associate with Yerkes National Primate Research Center?
19
   A. Yes, I am.
20
       What is a Yerkes research associate?
21
       That's a good question. It's basically a blanket term for
22
    any individual who would have already received a doctoral degree
   but would still have privileges to enter the facility and
23
24
   presumably collect data from any of the animals that are housed
25
    there.
```

- 1 | Q. And you're Director of Science for ACCI, is that correct?
- 2 A. No. I'm the Director of Research.
- 3 Q. Oh, excuse me, I'm sorry; Director of Research. Thank you
- 4 for that correction.
- 5 You're also a board member of ACCI?
- 6 A. That is correct.
- 7 Q. Does Yerkes have any involvement with ACCI's operations?
- 8 MR. STAMBAUGH: Objection; lacks foundation.
- 9 THE COURT: I'll receive it, subject to the objection.
- 10 | A. The Yerkes National Primate Research Center has no
- 11 involvement with ACCI's operations.
- 12 BY MR. MILLER:
- 13 Q. Have you met or discussed ACCI with Yerkes in the past?
- 14 | A. Yes, I have.
- 15 | Q. For what purpose?
- 16 A. For the purpose of attempting to have the Yerkes National
- 17 | Primate Research Center transfer a number of their chimpanzees
- 18 | within their overall colony to ACCI here in Des Moines.
- 19 Q. Has Yerkes transferred those chimpanzees?
- 20 A. No, they have not.
- 21 Q. Has Yerkes ever told you that a condition on their
- 22 involvement with ACCI to transfer these chimpanzees would be
- 23 | that Dr. Sue or Dr. Duane Rumbaugh has no involvement with ACCI?
- 24 A. No, they have not.
- 25 Q. Have you provided an assurance or a statement to ACCI that

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1 | they would have no involvement?
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- 2 A. There was an initial questionnaire that was given to ACCI
- 3 | when we first inquired with the Yerkes National Primate Research
- 4 | Center about -- if they were interested in divesting their
- 5 chimpanzees. I don't have it in front of me, so I don't really
- 6 know. I believe one of their questions, I think this was
- 7 Lyle's, Mr. Simpson's earlier testimony, indicated this was at
- 8 least mentioned. There was a question, I believe, in which that
- 9 came up. I was not the person charged with writing the response.
- 10 | I don't off the top of my head remember what that response was.
- 11 | Q. Has anyone from BHI ever asked you if Yerkes ever made this
- 12 | condition relating to chimpanzees?
- 13 MR. STAMBAUGH: Objection; lacks foundation.
- 14 THE COURT: I'll receive it, subject to the objection.
- 15 A. Not to my recollection.
- 16 BY MR. MILLER:
- 17 | Q. And you've never provided -- am I understanding you
- 18 | correctly you, Dr. Taglialatela, you have never provided such
- 19 | assurances to Yerkes?
- 20 A. No, I have not.
- 21 | Q. And, to your knowledge, has anybody at ACCI provided that?
- MR. STAMBAUGH: Objection; lacks foundation. The
- 23 | witness just testified he didn't fill out the questionnaire.
- 24 THE COURT: Overruled. I'll receive it, subject to
- 25 the objection.

- 1 A. I'm sorry, could you restate that one again?
- 2 BY MR. MILLER:
- 3 | Q. To your knowledge, has any assurance about their involvement
- 4 been provided to Yerkes?
- 5 MR. STAMBAUGH: Same objection.
- 6 THE COURT: Same ruling.
- 7 A. Not specifically, based on my previous response.
- 8 BY MR. MILLER:
- 9 Q. Where do you live, sir?
- 10 A. I live in Atlanta, Georgia.
- 11 Q. And how old are you?
- 12 A. I'm 40.
- 13 Q. You have in front of you in one of the notebooks an Exhibit
- 14 | 1007. Could you take a look at that?
- 15 A. Yeah.
- 16 Q. Do you have that there?
- 17 A. Yeah.
- 18 | Q. Can you identify that for the record, what that exhibit is?
- 19 A. It looks like a copy of my CV, curriculum vitae.
- 20 Q. Is that current?
- 21 A. It looks like it's probably missing a couple of recent
- 22 | peer-reviewed publications.
- 23 | Q. Do you know approximately when that CV would have been
- 24 prepared?
- 25 A. The peer-reviewed publications stop in this CV in 2012.

- 1 | There will be a few more in the intervening years.
- 2 | Q. Can you provide the court a brief description of your
- 3 educational background?
- 4 | A. Sure. I'll use my CV to remind myself. I received my
- 5 | bachelor's degree in biology from the University of Virginia in
- 6 1997. I received my Ph.D. in neurobiology and behavior from
- 7 | Georgia State University in 2004. I then did postdoctoral
- 8 | training, which was partially funded by the National Institutes
- 9 of Health by individual postdoctoral fellowship, and I completed
- 10 | that work in 2008.
- 11 Q. And do you have a particular area of expertise?
- 12 | A. I would consider my area of expertise is in great ape
- 13 behavior, with a focus on great ape communication, as well as
- 14 | neuroanatomy.
- 15 | Q. Where are you employed?
- 16 | A. I'm employed at Kennesaw State University in Kennesaw,
- 17 | Georgia.
- 18 | Q. Do you have a job title there?
- 19 A. I'm assistant professor of biology.
- 20 Q. And what does that position entail?
- 21 A. It entails teaching courses, mentoring both undergraduate
- 22 and master's level graduate students, and it involves conducting
- 23 | research and publishing those findings, as well as seeking grant
- 24 | funding for funding network.
- 25 Q. What does your position as Director of Research at ACCI

entail?

1

- 2 A. That's a pretty comprehensive position at this point given
- 3 the size of the organization. Mainly sort of my job
- 4 description, if you will, is kind of overseeing the research
- 5 that gets conducted at the facility in sort of a most pragmatic
- 6 way. So, in other words, what I mean by that is being able to
- 7 | make sure that the apes are being cared for in such a way that
- 8 | we can conduct the research that we need to conduct, by
- 9 interfacing with other researchers, whether those be PIs or
- 10 | graduate students or postdoctoral fellows, either from within
- 11 | the organization or from other institutions, making sure they
- 12 | have time to work with the animals. I also help other PIs
- 13 develop and we'll use protocols and talk about basically the
- 14 pragmatics of what we can and cannot do at the facility.
- 15 Q. And I think we established earlier, PI is --
- 16 | A. I'm sorry; principal --
- 17 Q. -- principal -- go ahead. What it is?
- 18 A. Principal investigator.
- 19 Q. Can you define what that entails?
- 20 A. That term is a little nebulous, depending on where you go;
- 21 but basically it refers to an individual who would be completing
- 22 animal use protocols and conducting their own line of research.
- 23 | So, obviously, if there's individuals that don't do animal work,
- 24 | it wouldn't necessarily involve animal work.
- 25 Q. Are you paid for your position at ACCI?

- 1 A. No, I am not.
- 2 Q. Are you fulfilling that position alongside full-time work
- 3 | that you're doing at Kennesaw?
- 4 A. Um --
- 5 Q. Your day job, as it were?
- 6 A. Right. This is -- yes, I have another day job. That's what
- 7 pays the bills.
- 8 Q. And that's the professorship you just described a moment
- 9 ago?
- 10 A. Yes.
- 11 Q. Okay. Approximately how much time a month do you spend in
- 12 Des Moines for ACCI work?
- 13 A. I've calculated that since the -- I believe it was since --
- 14 | now my memory is gone; but I think it was since December of
- 15 | 2013, I would say on or about sometime in December of 2013, and
- 16 | I have spent approximately six days in Des Moines, although this
- 17 | May it turned out to be approximately 21 days, so I think I had
- 18 | not calculated that. It was anticipating how many days I would
- 19 be in Des Moines.
- 20 Q. And those other months, you didn't have a lawyer asking you
- 21 about it.
- 22 So it's six days a month?
- 23 A. Yes, I'm sorry, six days a month.
- 24 | Q. And outside those six days a month physically in Des Moines,
- 25 are you able to quantify or explain for the court other time you

- 1 | spend on ACCI business?
- 2 A. I would have to give my best guess estimate, and I have been
- 3 | working on that for a number of reasons, and right now I'm
- 4 thinking somewhere in the area of 35 hours.
- 5 Q. Thirty-five hours per --
- 6 A. Per week; I'm sorry.
- 7 MR. MILLER: Your Honor, I have a demonstrative
- 8 exhibit that I shared a copy with counsel. I would just like to
- 9 | show it to Dr. Taglialatela to assist in his testimony if that's
- 10 okay?
- 11 THE COURT: That's fine.
- 12 BY MR. MILLER:
- 13 Q. Actually, Doctor, before we have you start looking at the
- 14 document as it gets up there, there's been no testimony about
- 15 | this facility in Des Moines. Can you describe for the court
- 16 | where in Des Moines it's located?
- 17 A. Sure. So it's on the southeast side of town on Evergreen
- 18 | Avenue or what's known as Southeast 44th Street. It's
- 19 approximately 200 -- approximately 230 acres is basically the
- 20 grounds of the facility. The building, which is now depicted in
- 21 | the picture here, is basically a large, what would probably be
- 22 | best referred to as poured concrete building. So it's
- 23 | foam-filled concrete slabs that are basically poured on site and
- 24 | then assembled together.
- It's an expansive building and it's basically a mirror

image of each other, so you have sort of an east side and west side that are basically identical. In the images, you can see there's a central area there that would be glass windows called the greenhouse.

Next to that there are two towers, again an east tower and a west tower, and then there's halls in the areas that go out from the sides of that. Those indoor -- those facilities house ape -- the majority of that space is ape accessible space, so that's where the bonobos spend their days.

In addition to that ape accessible space inside, there are two play yards attached to those towers as well as to some bedroom areas on the inside. And then it's a little bit hard to make out in this photograph -- and I don't have a pointer, but you can basically see there's approximately three-and-a-half acres of outdoor yards that are surrounded by electrical fencing.

So these are open area yards open to the top, a total of three-and-a-half areas, and the west one is about one-and-a-half, maybe a little bit shy of that and the east one is about two-and-a-half, and those are all bonobo accessible weather permitting.

- Q. And are there additional buildings on the grounds?
- 23 A. There is another building that used to house orangutans.
- 24 | It's not nearly as aesthetically interesting as this one, but it
- 25 | basically is a big three-story rectangle, and it has an outdoor

- 1 play yard that is a similar three-story rectangle with what we
- 2 | call welded wire, which is sort of square metal mesh attached to
- 3 | it. It's also three stories. That entire building is attached
- 4 by a chute, if you will, so a tunnel that's fully enclosed.
- 5 | That tunnel leads out to a yard that is surrounded by a
- 6 triple-layered electrical fence. The final layer has about 56
- 7 | strands of electrical wiring on it, and it surrounds about
- 8 eight-and-a-half acres of hardwood forest.
- 9 Q. And if you can help me put the court at the front door, the
- 10 | front door to the facility on this demonstrative exhibit is
- 11 opposite the greenhouse, is that correct?
- 12 A. That is correct, yes.
- 13 Q. Okay. And walk us through the door and tell the court what
- 14 | you would see.
- 15 | A. Sure. So we walk into the lobby building, and, again, like
- 16 | I just initially described, the building has an east and west
- 17 | side that are effectively mirror images. There's some
- 18 | exceptions. The first thing you would see is a rounded glass
- 19 room -- I should say two rounded glass rooms that are each a
- 20 quarter of a pie piece if you could imagine.
- 21 So you walk straight in, there is a beautiful mural
- 22 that was painted of the surrounding grounds, and then on the
- 23 | right side there's a quarter inch pie piece which is surrounded
- 24 | in the area facing the lobby with glass. The east side has a
- 25 very similar one. Inside of that pie piece is what we call the

demo room. It's outfitted with a touch screen computer so that you can project the bonobos' lexigram keyboard. Also you can use that touch screen for cognitive testing and various things.

Those rooms open into an east and west component of this greenhouse that you see here again, which is ape accessible space, and those east and west greenhouses lead through a series of what we basically call the ramps into those large towers that you see.

Beyond those large towers are what we call bedrooms and, they're sort of similar, more traditional, quote/unquote, caging, although they're quite nicer than most of the caging you would see other places. All of the towers and those bedrooms connect to both an enclosed play yard, which would have mesh of some kind all around it, natural substrate on the ground, surrounded by wall on one side, the rest of it is mesh, and then there's also a tunnel that leads out to these large play yards that I was describing that is surrounded by electrical fence.

- 18 Q. When you describe the towers and the bedrooms, those are for 19 the bonobos?
- 20 A. They are for the bonobos, yes.
- 21 Q. Do you agree you became involved in ACCI in December of 2013
- 22 as has been described here in the proceedings?
- 23 A. Yes.

Q. After you became involved with ACCI, have you been involved with the board making any improvements to this facility?

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1
             We've made a number of -- we haven't made any major
 2
    improvements -- well, I quess it depends on who you're asking.
    We've made some improvements to the facility. Most recently
 3
    we've installed different access mechanisms for the front gate,
 4
    which we think are more secure and more reliable performance
 5
 6
    wise because there was at least in my time experiencing some
   historical troubles with the gate following bad weather, for
7
    example. I've worked at a lot of primate facilities and know a
 8
 9
    lot of people who have gates, and apparently gates are always an
10
    issue. We've installed some new access panels that allow the
11
    gate to be accessed in a way to keep the facility more secure.
12
              In addition to that, we've done general improvements
13
    to the facility. The radiant flooring system has been improved.
14
    It still needs to be improved further, but we've improved the
15
    radiant flooring, as well as some, what I would call badly
16
    needed maintenance and cleanup that needed to be done when I
17
    first -- from the time that I officially started at the end of
18
    December of 2013.
19
    Q. And have you also made any changes to the play areas for the
20
   bonobos?
21
    A. We have, yes. We've built some of the large outdoor yards
22
    I've described. Approximately three-and-a-half to four total
    acres were really lovely opening spaces, but were without any
23
24
    climbing structures. We've added climbing structures to that
25
    space. Incidentally, we've also added climbing structures to
```

1 | the indoor spaces as well. We've added climbing structures to

2 | the outdoor spaces, and in point of fact I think in the next

- 3 | week or so, we have an Eagle Scout coming out to build us more.
- 4 Q. And during your tenure, has electricity stayed on, the heat
- 5 been on?
- 6 A. Yes.
- 7 | Q. And how do you know that? How do you -- do you monitor the
- 8 | heat and electricity, for instance?
- 9 A. Oh, well, I talk with staff at the facility daily. In
- 10 addition, during the winter months we keep -- actually we keep
- 11 | it all year long, but we check them regularly in the winter
- 12 months obviously when it gets cold. We have sensors that sense
- 13 | the temperature where the bonobos are sleeping, and they're
- 14 actually programmed to send out text messages to a number of us
- 15 | in the event that the temperatures fall below a set point.
- One of the advantages of the building itself is that
- 17 | the entire building is heated with radiant floor heat and, as I
- 18 | mentioned, poured foam-filled concrete, and so the building
- 19 retains its heat even in the really cold Iowa winters. As an
- 20 example, we lost our radiant floor heating for a period of 24
- 21 hours while I was here in February, and nobody noticed until
- 22 noon the following day, and that was when we took our routine
- 23 | floor heat readings and they were one degree off what they had
- 24 | been, what we normally would expect to see. And so as a matter
- 25 of precaution, we then started feeling the floor, and we have a

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467
1
    contract, which is sort of an informal kind of in kind contract,
2
    with a local contractor servicing company. We put a call into
 3
    them, and they indeed verified that it had gone out and we
 4
    hadn't even noticed it. We had a less than one degree change in
 5
    temperature in 24 hours, and that was on a very critical winter
 6
    day.
7
                          Mr. Miller, why don't we take our evening
              THE COURT:
    recess at this time.
8
 9
              MR. MILLER: Thank you, Your Honor.
10
              THE COURT: We'll be in recess until 9 o'clock,
11
    9 o'clock tomorrow morning.
12
              (Recess at 5:00 p.m., until 9:00 a.m., Friday,
13
    May 29, 2015.)
14
15
16
17
18
19
20
21
22
23
24
25
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EXHIBIT C

IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF IOWA 468

CENTRAL DIVISION

IOWA PRIMATE LEARNING SANCTUARY:
d/b/a GREAT APE TRUST AND:
APE COGNITION AND COMMUNICATION:
INSTITUTE,:

Plaintiffs, : Case No. 4:10-cv-00052

VS.

ZOOLOGICAL FOUNDATION OF :
GEORGIA, INC. d/b/a ZOO ATLANTA, :
DEMOCRATIC REPUBLIC OF CONGO, :
JAPAN MONKEY CENTRE INSTITUTE :
AND MUSEUM OF PRIMATOLOGY, and :
SUE SAVAGE-RUMBAUGH, Ph.D., :

Defendants, : TRANSCRIPT OF HEARING : VOLUME III

and

BONOBO HOPE INITIATIVE, INC.,

Intervenor-Defendant. :

Fourth Floor, South Courtroom United States Courthouse 123 East Walnut Street Des Moines, Iowa 50309 Friday, May 29, 2015 8:57 a.m.

BEFORE: THE HONORABLE ROSS A. WALTERS, Magistrate Judge.

Terri L. Martin, CSR, RPR, CRR United States Court Reporter Room 189, U.S. Courthouse 123 East Walnut Street Des Moines, Iowa 50309

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APPEARANCES:

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				470	
	I N D E	I N D E X			
WITNESSES:	DIRECT	CROSS	REDIRECT	RECROSS	
For the Plaintiffs:					
Jared Taglialatela (Resumed)	473	528	597	601	
William Hopkins	638	652	663		
For the Defendants:					
Russell Howard Tuttle	602	629			

		471		
EXHIBITS				
PLAINTIFFS' EXHIBIT NUMBERS:	OFFERED	RECEIVED		
1000 - Simpson & D. Rumbaugh e-mail string	672	672		
1007 - Taglialatela CV	472	472		
1008 - Hopkins CV	640	640		
DEFENDANTS' EXHIBIT NUMBERS:				
43 - 11/9/13 e-mail from Caudill	668	671		
48 - 11/20/13 e-mail string from D. Rumbaugh	668	671		
69 - 3/18/14 e-mail string from Simpson	668	671		
71 - 3/18/14 e-mail string from Taglialatela	668	671		
74 - 4/22/14 Knoploh-Odole e-mail string	668	671		
76 - 4/28/14 Simpson e-mail string	668	671		
95 - Dubreuil CV	668	670		
97 - Tuttle CV	555	555		
98 - SSR video compilation of bonobos	668	670		
103 - 11/19/13 Caudill e-mail to Simpson	668	671		
105 - Taglialatela e-mail	509	509		

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472
 1
                        PROCEEDINGS
              (In open court.)
 2
              THE COURT: Please be seated.
 3
              And good morning to you all.
 4
 5
              Let's see, were we going to make a record about that
    exhibit first?
 6
 7
              MR. STAMBAUGH: We are, Your Honor. We have conferred
   with opposing counsel, and I believe all of the objections have
 8
   been withdrawn as to Exhibit 107 as testified to by Dr. Gilmore
10
    yesterday. So we would like to move Exhibit 107 into evidence.
11
                                  (Defendants' Exhibit 107 was
                                  offered in evidence.)
12
13
              MR. MELHUS: That is correct, Your Honor, on behalf of
14
   plaintiffs.
15
              THE COURT: Thank you.
              107 is received.
16
17
              MR. STAMBAUGH: Thank you, Your Honor.
                                  (Defendants' Exhibit 107 was
18
19
                                  received in evidence.)
20
              THE COURT: Now, are we going to continue with the
21
    witness we had yesterday or do you have somebody here that was
22
   presenting at some point today?
23
              MR. STAMBAUGH: I believe we're going to continue with
24
    Dr. Taglialatela and then go to Dr. Tuttle.
25
              THE COURT: Okay. Sir, would you come forward and
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473
1
    retake the stand, please.
 2
                          JARED TAGLIALATELA,
    resumed his testimony as follows:
 3
              MR. MILLER: I'm sorry, madam court attendant, it
 4
 5
    looks like we're losing our screen. Are we still on the other
 6
    screens?
 7
              THE CLERK: Oh.
              THE COURT: Literally we're losing that one.
 8
              THE CLERK: We're on the other screens.
 9
10
              MR. MILLER: I will get it to come back up, I hope.
              Hmmm. Well, I'll try resetting it, let it go all the
11
12
    way down.
13
              MR. MILLER: Okay.
14
                      DIRECT EXAMINATION (Continued)
15
   BY MR. MILLER:
16
    Q. Good morning, Doctor. I will go ahead and ask you a little
17
   bit about security at the facility at Des Moines. We just lost
18
    on the big screen the photo, but you have it in front of you.
19
    That's a picture of the compound or the facility, correct?
20
   A. Yes.
       Okay. Can you explain, around the facility is there any
21
    security procedures or devices in place?
22
   A. Sure. Around the building itself or the general property,
23
24
   or both?
25
    Q. Both -- well, let's start with the building itself.
```

- 1 Okay. So the building itself is actually secured with key 2 card access. There are actually three entry points for -actually I take that back. There's four entry points to the 3 physical structure of the building itself, all of which are key 4 card access, and in order to gain access, once you gain access 5 6 to the public space of the building, you also need a second 7 level key card to get into the -- actually any of the places where the ape enclosures are. Of course, the ape enclosures 8
- 10 Q. And then how about security within the grounds?

themselves are all locked with manual locks.

- 11 A. So, as I mentioned yesterday, the property is 230 acres.
- 12 Out of that 230 acres, I think approximately 125 are fenced in
- 13 | with barbed wire at the top. There's two entry points for that,
- 14 | the main gate and a back gate. The back gate is locked and
- 15 | chained and requires a manual key lock. It's not really used,
- 16 except for I go through there and walk the property every once
- 17 | in a while because it's a very nice area along the Des Moines
- 18 | River; but the main gate is secured again with, as I mentioned
- 19 yesterday, a key pad access.
- 20 Q. At some point in time, did you become a member of a
- 21 governing body for this facility?
- 22 A. Yes.
- 23 | Q. Okay. When did that first happen?
- 24 | A. So initially, to the best of my recollection, it was in the
- 25 | fall of 2012. I was approached by Dr. Savage-Rumbaugh by e-mail

- 1 | and telephone, if I'm not mistaken, and was asked to join a
- 2 | board that was my understanding was basically the organization
- 3 | that was overseeing the operation at the, quote/unquote, Great
- 4 | Ape Trust. The name of that organization was not very clear to
- 5 me. Some referred to it as the Great Ape Trust, some referred
- 6 to it as IPLS, and some referred to it as the Bonobo Hope
- 7 | Initiative. The idea was they were all basically the same
- 8 | thing, at least that was my understanding.
- 9 I vaguely recall asking specific questions of Ken
- 10 | Schweller, who was the chair of the board of that organization,
- 11 and I believe that's the way he explained that answer to me.
- 12 Q. Do you know if you were ever a board member of the entity
- 13 | called IPLS?
- 14 | A. My understanding now is that I was never a board member of
- 15 | the entity called IPLS.
- 16 Q. Do you recall discussing with Dr. Rumbaugh, Dr. Sue
- 17 | Savage-Rumbaugh, her intention to transition away from the
- 18 operation at some point in time?
- 19 A. I do, but that didn't happen until the fall of 2013.
- 20 Q. And what do you recall about that discussion?
- 21 A. Well, I was initially written an e-mail by Dr. Duane
- 22 | Rumbaugh, and he had asked me if I would consider being involved
- 23 | with the facility in Iowa. I don't remember the specific
- 24 | contents, but if I had it in front of me, I certainly could
- 25 relay them. His -- he basically was requesting, for lack of a

1 better way, sort of what I assume oversight of the scientific 2 program there in his e-mail. And I remember being a bit stunned by that just because I hadn't heard from him in awhile, though 3 we are close and usually had been corresponding fairly 4 regularly, but it had been a little bit of time since we had 5 6 last spoke. 7 Q. Had you previously discussed with Dr. Duane or Dr. Sue involvement in any sort of leadership position at the facility? 8 9 Again, just to sort of get the time line correct, joined 10 this Bonobo Hope Initiative board in the fall of 2012 upon Dr. Savage-Rumbaugh's request. Following -- basically the 11 reason or my understanding of the reason Dr. Savage-Rumbaugh 12 13 contacted me at that time was because there was some concern 14 that the bonobos were going to be relocated. The board or the 15 executive committee, some subset of the board were concerned 16 that they wouldn't be able to provide support for the apes and 17 there was discussions about them being relocated. 18 Initially, having not visited the facility or not 19 really -- just sort of only participating by e-mail and by 20 phone, I was concerned that they were correct and was helping to 21 try and figure out a way to find a place for the bonobos where 22 they would be able to be cared for properly. 23 Through the course of those discussions, which were 24

basically e-mail discussions between board members, to be quite frank, my position somewhat changed on that because it became

25

- 1 | clear to me that no one would actually probably take the bonobos
- 2 | and, in all likelihood, we would need involvement from another
- 3 organization to help support them in situ, if you will.
- 4 Q. And when you say "in situ," what do you mean?
- 5 A. I mean at the facility for Des Moines. Dr. Savage-Rumbaugh
- 6 and I, for lack of a better word, argued quite publicly about
- 7 | this or at least among the board members. The minute after she
- 8 | invited me and I started voicing my opinions, she pretty much
- 9 attacked me both to the board as a group and also in individual
- 10 e-mails that were written just to me.
- 11 Q. Were these e-mails among the group, did that include members
- 12 of the entity known as BHI that we've heard from some members of
- 13 | that group here in testimony?
- 14 A. To the best of my recollection, it does.
- 15 | Q. And other than the ongoing discussion about relocation, what
- 16 other involvement did you have from that point in time in 2012
- 17 | up to the point when Dr. Duane contacted you in 2013?
- 18 | A. Well, it appears that that discussion while quite heated for
- 19 | three or four weeks, and probably hundreds of e-mails were
- 20 exchanged if not in the thousands, after that time there was
- 21 | some influx of financial support, which I really don't know
- 22 where it came from, and it sort of put a temporary hiatus, if
- 23 | you will, on the discussion.
- 24 It was a pretty ineffective board. It wasn't very
- 25 communicative. In fact, I was probably writing -- having just

- 1 | joined, I was writing, you know, probably 75 percent of the
- 2 e-mails in this discussion. It was basically
- 3 Dr. Savage-Rumbaugh and me and Dr. Schweller, with other people
- 4 chiming in very small bits there.
- 5 Once that financial -- immediate financial crisis was
- 6 resolved, communications basically stopped, and to the best of
- 7 | my recollection, I didn't receive another communication about
- 8 | that organization or related to that organization until
- 9 approximately May of 2013.
- 10 | Q. And when were you contacted by Dr. Duane?
- 11 A. To the best of my recollection, that was in September of
- 12 | 2013.
- 13 Q. Okay. And after he contacted you, did you have any
- 14 discussions with Dr. Sue Savage-Rumbaugh?
- 15 | A. Yes. Dr. Rumbaugh, Duane Rumbaugh, and I spoke, and the
- 16 | first thing I told him was that I would absolutely need to visit
- 17 | the facility and take a look at the apes. I mean, my primary
- 18 | concern, based on his e-mail, was for the apes' welfare and
- 19 continues to be so. So I wrote to him. He put me in contact --
- 20 I don't know exactly how this worked, but he put me in contact
- 21 | with leadership at the organization. We arranged a visit, and I
- 22 traveled there. To the best of my recollection, shortly after
- 23 | my return, Dr. Savage-Rumbaugh called me and we spoke on the
- 24 phone and then spoke numerous times after that.
- 25 Q. What did Dr. Savage-Rumbaugh tell you during that first

can't be absolutely certain. But we -- I told her, you know, that it was something that I would certainly consider, that I

24

- 1 | visited the facility. I also remember distinctly telling her
- 2 that I was working on a rather large grant application and the
- 3 | due date was early December and so I was sort of postponing any
- 4 formal commitments until after that point since my time was
- 5 | consumed with that application. I don't remember a whole lot
- 6 more than that.
- 7 BY MR. MILLER:
- 8 Q. Did you have any further discussions with her or was that
- 9 answer to describe all of your discussions?
- 10 A. I think probably I'm -- I did have additional phone
- 11 | conversations with her. I think I'm blending -- I don't know if
- 12 all of those took place on the same -- on that initial
- 13 | conversation.
- 14 Q. What was the next step you took after this phone call?
- 15 | A. At that time I was seriously considering -- you know, as has
- 16 been testified by others, Bill Hopkins and I came to the
- 17 | facility sometime in October, to the best of my recollection.
- 18 | We spent a full day there. We had breakfast meetings with the
- 19 | board members, visited the facility, visited the grounds. We
- 20 | didn't -- I think we were there by 9:00 in the morning, and we
- 21 | didn't leave until 4:00 or 5:00 in the afternoon. It was a fall
- 22 day. Looking at the facility, looking at the condition of the
- 23 apes, trying to understand as much as I could about how the
- 24 | facility ran, that was one day. I mean, this was barely
- 25 anything.

```
1
              We spent time from that visit onward -- or I should
 2
    say I spent time from that visit onward thinking very seriously
    about the position, if you will, of actually assuming some sort
 3
    of leadership role at that facility. I spoke with many, many
 4
    colleagues. I spoke with friends, to be quite frank. I spoke
 5
 6
    with people I used to work with that were not necessarily
7
    colleagues but former technicians, people who knew
    Dr. Savage-Rumbaugh, all of it just to sort of evaluate whether
 8
 9
    or not it would be reasonable for me to even take on this
10
    responsibility.
11
    Q. And did you ask questions about Dr. Savage-Rumbaugh's
12
    ongoing involvement?
13
                I spoke with Dr. Savage-Rumbaugh specifically about
        I did.
    that, and, again, she had told me that she didn't -- initially,
14
15
    I should qualify this, initially she told me she was in New
16
    Jersey, didn't feel like she could do anything positive, that
17
    the Bonobo 12 had, you know, sort of left a scar, if you will,
    and was doing things in New Jersey with other folks there. Her
18
19
    involvement was, you know, in basically her words not an issue.
20
              Actually can I add one thing? Because I also spoke to
21
    her specifically about how I felt my research interests had
22
    diversified to some extent and that I had a research program
    involving lots of different facets, some were aspects of
23
24
    experimental ecology, some aspects in psychology, some of them
25
    were aspects of maybe what you'd just call the origins of human
```

- 1 | language, human intelligence and that those were diverse. And
- 2 | she assured me, you know, that, oh, yeah, I think there would be
- 3 lots of great opportunities for that type of work there.
- 4 Q. Did she assure you that she was no longer planning to be
- 5 | involved with the Des Moines facility?
- 6 A. Yes, she did.
- 7 Q. Did you then eventually decide to take on a leadership role
- 8 there?
- 9 A. I did eventually. Now, I want to be clear about this. Wher
- 10 | I arrived to visit the facility, in I think what is October, to
- 11 | the best of my recollection, of 2013, I'll be quite frank, the
- 12 existing board members and counsel, Mr. Simpson, were fairly,
- 13 | for lack of a better word, pushy about my involvement, to which
- 14 | I asked them, of course, to hold on, but their -- I expressed
- 15 concerns on day one, if you will, that it would be something I
- 16 | would need to have autonomy with and that I would not have
- 17 Dr. Savage-Rumbaugh in any oversight role or in any role in
- 18 | which she would be basically overseeing any aspect of our
- 19 research program. And by we, I mean Dr. Hopkins and myself.
- 20 Q. From your discussions with Dr. Savage-Rumbaugh, did you
- 21 satisfy yourself that those conditions were going to be met?
- 22 A. I satisfied myself at the time, but clearly I was not right.
- 23 | Q. And, similarly, from any other discussions that you had with
- 24 | board members, did you also satisfy yourself that that was going
- 25 to be the case?

- 1 A. I did. I spoke with a number of them. They indicated to
- 2 | me -- I know it's been testified -- I believe I've heard it's
- 3 | been testified since May, but according to the folks I spoke
- 4 | with, it was since March of 2013, so we were going on six or
- 5 seven months that Dr. Savage-Rumbaugh had been absent from the
- 6 facility and was actually no longer living in Des Moines. And
- 7 | Lyle Simpson told me that -- he used the word that she was
- 8 | cocooned, that she was not going to be involved moving forward.
- 9 | And I was satisfied, I guess, that that was going to be the case
- 10 | based on Dr. Rumbaugh, Dr. Savage-Rumbaugh, board members and
- 11 Mr. Simpson's, you know, words to me.
- 12 Q. Would you have taken on the role of Director of Research for
- 13 ACCI if Dr. Sue had not told you she was no longer going to be
- 14 involved?
- 15 A. Absolutely not.
- 16 Q. Can you explain for the court -- well, first off, can you
- 17 | identify Dr. Hopkins?
- 18 | A. Sure. Dr. Bill Hopkins is a professor at Georgia State
- 19 | University. He's also the science director at the Ape Cognition
- 20 | and Communication Institute.
- 21 | Q. And what's your understanding of how Dr. Hopkins got
- 22 involved?
- 23 | A. After receiving that initial e-mail from Dr. Duane Rumbaugh
- 24 | in, again, the fall of 2013, I contacted Dr. Hopkins and invited
- 25 him to come visit with me.

- 1 | Q. And did he eventually agree to get involved as well with
- 2 ACCI?
- 3 A. Yes, he did. In fact, after our initial visit, I was much
- 4 more reticent I would say than he was. He was excited about the
- 5 prospect of being able to -- we both wanted to do something
- 6 positive for the apes obviously. He felt that we could make it
- 7 | a reality, whereas I was perhaps a bit more cautious.
- 8 Q. And what was his role that he took on when he first started?
- 9 A. He took on the role of science director.
- 10 Q. Did you eventually become a member of the Board of Directors
- 11 of ACCI?
- 12 A. Yes. When ACCI was formed on December 18, 2013, I became a
- 13 | member of the Board of Directors.
- 14 Q. At that time, who were the other members of the Board of
- 15 | Directors?
- 16 A. At that time George Caudill, Tom Watson, Meg Schneider-Fitz,
- 17 | Steve Boers, Julie Gilmore, Dr. Bill Hopkins, and myself.
- 18 | Q. And has that membership of the board changed over time?
- 19 A. Yes.
- 20 Q. Are -- who are its current members?
- 21 A. The official current members include the list I just gave,
- 22 | with the exception of Mr. Steve Boers who resigned from the
- 23 | board in September of 2014.
- 24 | Q. And are there new members to the board since that time?
- 25 A. There are new members that have agreed we will officially

- 1 appoint them. Although some of them are already acting in that
- 2 | capacity, we'll officially appoint them at our next face-to-face
- 3 | board meeting, but they include Al Setka, they include Jill
- 4 | Pruetz, P-R-U-E-T-Z, and Steve Clark, Steven Clark.
- 5 Q. Does ACCI have employees?
- 6 A. Yes.
- 7 | Q. And can you give the judge a brief overview of who the
- 8 | employees are?
- 9 A. Sure. With specific individual names or --
- 10 Q. Sure.
- 11 A. Okay. So we currently employ basically two full-time and
- 12 one half-time, what we call animal care technicians; but there's
- 13 a bit of a transition in the works right now, so I'll just try
- 14 to describe that. Heather Housh and -- oh, my, gosh, sorry,
- 15 | blanking on their names -- and Elizabeth Cleveland are two
- 16 | full-time animal care technicians. We have a half-time animal
- 17 | care technician, Gaila, G-A-I-L-A, Conklin. Heather Housh
- 18 resigned two weeks ago. She's going back to school. And
- 19 | basically we have just made an offer to another individual who
- 20 has provisionally accepted.
- In addition to those animal care staff, we have a
- 22 research coordinator, and we also have two additional graduate
- 23 research assistants. So that concludes the paid individuals --
- 24 | I'm sorry, and Dr. Gilmore is paid a very small monthly sort of
- 25 retainer, if you will, as our attending veterinarian.

- Q. What are the job duties of the animal care employees?

 A. Basically their job entails taking care of animals. A
- 3 typical day would involve preparing food, shifting the apes from
- 4 their sleeping -- where they slept to an outdoor yard if it's
- 5 nice weather or another yard. We usually do foraging activities
- 6 for the apes so they will be dispersing food in different
- 7 | locations. They're also responsible for sort of setting up
- 8 enrichment devices or giving the apes other forms of enrichment.
- 9 They do a lot of husbandry training, and by that it's very
- 10 | important to, obviously, be able to monitor the health and well
- 11 | being of the apes. And so the way we do that is we like to use
- 12 anesthesia as infrequently as possible, and you can very
- 13 readily, especially with our bonobos, train them or teach them,
- 14 | if you will, to participate in presentation of their body parts.
- 15 | And so they're able to -- the animal care staff help us with
- 16 | that as well. They also on occasion would be hopefully able to
- 17 | help with research, but we haven't had that much yet.
- 18 Q. What does the research coordinator do?
- 19 A. The research coordinator is basically -- as I mentioned
- 20 yesterday, I'm there about six days a month. The research
- 21 | coordinator is basically my eyes and ears while I'm not there,
- 22 | so she or he helps me to coordinate activities at the facility
- 23 on a daily basis. We are in basically constant contact with one
- 24 another, and so it's a main sort of sounding board for me to
- 25 understand what's going on at the facility and to communicate

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1 | what I would like to see happen.
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- 2 Q. And is there a contingent of volunteers that works with ACCI
- 3 as well?
- 4 A. There are a contingent of volunteers. Volunteers are
- 5 | absolutely vital to what we've got going on, and we are very
- 6 grateful to have the support. They do lots of different things;
- 7 assist us with cleaning, food preparation, picking up our food
- 8 donations, doing other shopping types of things, basically, with
- 9 | the exception of feeding the apes and shifting the apes, help us
- 10 | with just about anything. We've had volunteers help us with
- 11 taking care of the grounds and building climbing structures and
- 12 designing educational material for school groups that come
- 13 through, sometimes even leading those school groups, so --
- 14 Q. Okay. And how does ACCI fund its operation?
- 15 A. So we have a number of different avenues for that. We have
- 16 existing grants that fund those operations, and when I say
- 17 | "grants," I'm referring to research grants that either come from
- 18 | public or private foundations or agencies that fund scientific
- 19 research.
- The second way we have that is that we've formed a
- 21 | consortium, which I'm not an attorney, but as far as I
- 22 understand, it's just a legal entity agreement. The members of
- 23 | that consortium as of now are ACCI, Kennesaw State University,
- 24 | Georgia State University and Drake University, and what --
- 25 effectively what happens with the consortium is that consortium

- members pay an annual fee and in exchange for the annual fee faculty and students would have access to the facility.
- Q. Has ACCI secured in kind or reduced cost support from the community?
- 5 A. Yes. So -- I should have also finished with the funding 6 sources. So, in addition to that -- so I would call that maybe
- 7 institutional support -- we also have received private
- 8 donations. Individuals both on our board and in the community
- 9 donate cash. So, I mean, for example, board member -- financial
- 10 donations from board members for 2015 my best guess right now is
- 11 somewhere in the order of \$28,000 just from board members.
- 12 Going outside of that, I don't have the number for individuals.
- 13 We also recently lost a corporate sponsorship program, and we've
- 14 done actually -- I'm actually thrilled with how well we've done
- 15 | with that.
- In addition to that, yes, we receive in kind type
- 17 donations or reduced cost services from -- for example, three
- 18 | Hy-Vee grocery stores in the Des Moines area donate food, as
- 19 does Anderson-Erickson Dairy. So we do buy some of our food,
- 20 | but they buy a large portion of it. In addition to that, we
- 21 have a marketing group that works with us for free, we have
- 22 attorneys, and I think that's just about it.
- 23 I would like to mention, by the way, that the
- 24 donations that we receive, whether they be in kind or cash
- 25 donations, are used to fund the care and welfare of the apes.

They don't fund science. We use scientific grants to fund science.

The final source is collaborations with other PIS basically at other institutions, so they'll come in, they want to collect some data. They submit an IACUC protocol. It gets reviewed by our IACUC. Sometimes there's a little back and forth where they have to revise and resubmit. Once they do and that protocol gets approved, they'll come to me and we'll work out some way, schedule a visit. They'll come and collect data and basically, for lack of a better way of describing it, we charge them for the opportunity to collect data at the facility. Q. Let's shift slightly to discuss one item that was discussed with Dr. Gilmore briefly yesterday, but I want to give a little context. Are you familiar with the Species Survival Plan that she mentioned in her testimony?

16 A. Yes, I am.

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- 17 Q. Is that Species Survival Plan tied to an entity of any sort?
- 18 | A. I believe it is an organization that stands alone. It is
- 19 housed or hosted by -- hosted I think is a better word by the
- 20 | Milwaukee County Zoo, but it is an entity, and my understanding
- 21 | is that it's a distinct entity of its own.
- 22 | Q. And do you recall if participation in the Species Survival
- 23 | Plan was something that had been discussed during the joint
- 24 | meeting that you had with Bonobo Hope and ACCI last year?
- 25 A. It was most definitely discussed during that meeting.

- Q. Is ACCI regulated by any governmental or other entity?
- 2 A. Yes.

- 3 Q. What entity or entities?
- 4 | A. The USDA, United States Department of Agriculture.
- 5 Q. Can you briefly describe for the court what that regulatory
- 6 relationship is?
- 7 A. Sure. So given the nature of our facility, we actually have
- 8 | two licenses from the USDA, an exhibitor's license and a
- 9 research license. We are primarily a research facility, so the
- 10 | USDA inspects us regularly, and those are often -- or I should
- 11 | say are most often surprise visits, if you will, unannounced,
- 12 | and they will basically review protocols for animal care, animal
- 13 husbandry and research activities, but include also things like
- 14 | security, disaster planning, all that kind of things.
- 15 | So that is -- we have a USDA inspector. I believe it
- 16 | would be a regional -- an individual handler for the region.
- 17 | Fortunately, ours has primate experience. On a date that she
- 18 | does an inspection -- I've been fortunate enough to be there for
- 19 one of them -- she'll come in, she will tour the facility,
- 20 inspect our food prep areas, inspect all of our logs to which
- 21 | there are very many, as they say in this sort of business if you
- 22 | don't write it down, you didn't do it, so we have logs for just
- 23 | about everything. She also meticulously looks through all of
- 24 our protocols and standard operating procedures.
- 25 Q. If there's some concern by the USDA, do they write you up or

- 1 | put a report in?
- 2 A. Yeah. There would be a way to issue, I guess it would be --
- 3 | I'm looking for the term, I want to say citation, but that's not
- 4 | correct; a violation.
- 5 | Q. Has ACCI had any violations within the time that you've been
- 6 | involved?
- 7 A. No, they have not. No, we have not.
- 8 Q. Yesterday we briefly discussed the Yerkes facility.
- 9 Do you recall that testimony?
- 10 A. Yes.
- 11 | Q. Okay. I don't know if I asked you, what is the Yerkes --
- 12 | what is Yerkes? Can you briefly describe that?
- 13 A. The Yerkes, Y-E-R-K-E-S, National Primate Research Center.
- 14 Q. And what does that national primate center do?
- 15 | A. There's a number of national primate research centers. I
- 16 don't know the number off the top of my head. There's one in
- 17 | Wisconsin. There's a couple -- basically they're part of a
- 18 | national primate research center system which house primates,
- 19 but in the case of Yerkes also lots of other animals and
- 20 | basically work for different aspects of really scientific
- 21 research.
- 22 Q. Is ACCI regulated by Yerkes?
- 23 A. No.
- 24 | Q. Does ACCI have any written agreements with Yerkes?
- 25 A. Not at the moment.

- 1 Q. Does ACCI have any oral agreements with Yerkes?
- 2 A. No.
- 3 | Q. Has it made any promises or commitments to Yerkes?
- 4 A. Commitments, no, or promises actually.
- 5 Q. Has Yerkes made any promises or commitments to ACCI?
- 6 A. Not at this point.
- 7 Q. Does ACCI have procedures or protocols in place with respect
- 8 to its operations? You heard Dr. Gilmore talk about a few of
- 9 those?
- 10 | A. Yes.
- 11 Q. Is there a procedure or protocol in place for emergencies?
- 12 A. Yes.
- 13 Q. Or natural disasters?
- 14 A. That is actually a relatively new USDA requirement that you
- 15 | need to have a disaster plan.
- 16 Q. And ACCI does have a disaster plan in place?
- 17 A. ACCI does have a disaster plan.
- 18 | Q. And that disaster plan would have been reviewed presumably
- 19 by the USDA in one of these visits?
- 20 A. Absolutely.
- 21 Q. Does ACCI have committees that assist the board in operating
- 22 | the facility?
- 23 A. Yes.
- 24 Q. And what are they?
- 25 A. Well, we have a scientific advisory panel. That body is

- 1 | probably the main for providing, for lack of a better way of
- 2 | saying it, external peer review of what we're doing. And I mean
- 3 that with regards to both the health and welfare of the apes,
- 4 | management policies, but also security issues, emergency
- 5 preparedness, things like that.
- 6 | Q. And who are -- who sits on that committee?
- 7 A. So --
- 8 Q. If you can describe generally for the court?
- 9 A. Okay. So not individual names?
- 10 Q. Yes.
- 11 A. They include individuals that have extensive experience in
- 12 great ape care, management and, you know, working with apes in
- 13 behavioral research contexts.
- 14 Q. There's also an IACUC committee, is that correct?
- 15 A. That is true.
- 16 | O. You sit on the IACUC committee?
- 17 A. I do.
- 18 | Q. Has the IACUC committee that you sit on received any
- 19 proposals for research from a member of Bonobo Hope?
- 20 A. No.
- 21 Q. And I mean the current BHI board.
- $22 \mid A$. No, although I believe that Dr. -- not doctor, that Mr. Itai
- 23 | Roffman is preparing one. I could be wrong about that, but we
- 24 | had e-mail exchanges and I sent him the application for the
- 25 | protocol form.

- 1 | Q. I would like to talk to you a little bit about the bonobos
- 2 | in residence.
- 3 A. Uh-huh.
- 4 Q. Who are the bonobos in residence at the colony currently?
- 5 A. So we have Kanzi, Elikya -- I don't know if you want me to
- 6 say something about them or --
- 7 Q. Just name them off.
- 8 A. Kanzi, Elikya, Maisha, Teco, Nyota.
- 9 Q. How long have you worked with the colony or members of the
- 10 | colony?
- 11 | A. I first began working with Kanzi in 1998. I was working
- 12 | with him when he was at the Georgia State University Language
- 13 Research Center, and I worked with him from 1998, roughly May of
- 14 | 1998 until October of 2004 on a near daily basis; the same goes
- 15 | for Elikya and the same goes for Nyota, and, I'm sorry, the same
- 16 | goes for Maisha, but Maisha wasn't born in 1998, so I was there
- 17 | actually when he was born.
- I returned again, as I mentioned earlier, for an
- 19 | initial visit in October. I believe my next visit after that
- 20 was December, and I worked with them on a daily basis when I'm
- 21 in Des Moines, save the last two-and-a-half days.
- 22 MR. MILLER: Your Honor, at this time I would like to
- 23 | publish a photograph as a demonstrative exhibit that I've
- 24 previously shared with counsel.
- THE COURT: Please.

- 1 BY MR. MILLER:
- 2 Q. Dr. Taglialatela, we've put a photograph -- it's actually
- 3 three photographs side by side. Who is depicted in that
- 4 | photograph?
- 5 A. That's Kanzi.
- 6 Q. And when you're here in Des Moines since your time with
- 7 ACCI, you've worked with the bonobos yourself directly?
- 8 A. Yes, absolutely. I work with the bonobos, you know,
- 9 depending on what the day -- as you can probably imagine, I'm
- 10 fairly busy on the days that I'm here; but as often as I can,
- 11 I'm working with the bonobos. And to be quite frank, that has
- 12 | included things such as collecting data for colleagues or for
- 13 graduate students or my own projects. It's also included
- 14 cleaning the cages and moving the apes and training our new
- 15 staff because we have new animal care staff and research staff
- 16 that work with the apes. So I've been doing a lot of that hands
- 17 on when I'm here as well.
- 18 | Q. Did you know a bonobo by the name of Matata?
- 19 A. Yes.
- 20 | Q. And did Matata pass away since you began working with ACCI?
- 21 A. Yes. To the best of my recollection, Matata passed away in
- 22 June of 2014.
- 23 | Q. Were you surprised when that occurred?
- 24 | A. I was surprised when that occurred. I was also sad when
- 25 | that occurred. Matata was the second oldest bonobo in captivity

- 1 | when she passed away. She was wild caught, so her age is a
- 2 | little unknown, but we guesstimated 45. As a colleague of mine
- 3 | commented to me, she was old when I met her; but she also was by
- 4 | all outward appearances healthy, and the main -- I've seen video
- 5 of her the day before, and she was foraging in her yards and
- 6 acting otherwise normal during the course of that day. In fact,
- 7 | the USDA inspected us I think a couple of days later and was
- 8 shown the same video that I was shown because I was not in town
- 9 when it occurred and came to the same conclusion that I came to.
- 10 Q. You're not a veterinarian, correct?
- 11 A. No.
- 12 Q. And Matata was under the care of Dr. Gilmore at that time?
- 13 A. Yes, she was.
- 14 Q. Do you have any concerns about the care that was provided
- 15 | Matata?
- 16 A. No, no. I mean, Dr. Gilmore was in close contact with me
- 17 about -- is always in close contact with me about their care.
- 18 | So if I have a concern, I would voice it to her directly.
- 19 Q. Is ACCI providing for the emotional health of the bonobos?
- 20 A. Absolutely.
- 21 Q. And how is it doing that?
- 22 A. Well, I mean, we do that in a number of ways. You know, I'm
- 23 | not sure if it's appropriate, but I mean, I've heard a lot of
- 24 | testimony from people that, I mean -- you know, I've worked with
- 25 apes for going on nearly 20 years now, and I see these apes or

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apes at other facilities, which I think is probably one of the important things to remember, a lot. I've worked with bonobos at Milwaukee County Zoo. I've worked with bonobos at 3 Jacksonville Zoo. I've worked with obviously the bonobos that are now in residence at ACCI. I've worked with chimpanzees, 6 literally hundreds of chimpanzees at research facilities, at zoos and even in sanctuaries.

There's a number of ways that you can both provide and then not only just provide and say we're doing something, but assess whether or not you're effective at doing what you say you're doing. Apes are extremely intelligent. The way apes got to be extremely intelligent is because they live in very complex, dynamic environment that is both socially rich and geographically or what we would call ecologically rich; in other words, it's a complex environment.

If you're a bonobo living in the wild or, for that matter, a chimpanzee living in the wild, your biggest challenge, right, is finding food. It's hard to find food, and you need help from other individuals. So the way we provide for the emotional well being of the apes in captivity is to provide them under the rich environment in which they basically need to -you know, are stimulated emotionally and socially and intellectually, and we do that in very specific ways; by giving them extractive or challenging foraging tasks that challenge them.

So, for example, we're doing things where we would maybe provide, you know, simulated termite fishing devices, where you would put some food and whatnot in a tube and allow the apes to use a stick to kind of extract food. We do a lot of different foraging activities outside, take advantage of those human spaces, provide food. They're foraging in the wild. The apes actually love that, right. Kanzi likes to sit at a computer and work all day, he does that in front of us, but he also likes foraging, and he's out there doing that all day long.

The environment itself also provides some degree of enrichment. You know, we have wildlife that the bonobos enjoy chasing off, geese mainly and the unfortunate bunny once in a while, and so we do all of these things to provide. And then what we do is we assess that. We assess, hey, are we doing a good job at what we think we're doing a good job of.

- Q. Okay. Since you're getting excited in the explanation, I would just ask you to slow down a little bit for the benefit of the court reporter.
- 19 A. Oh, I'm sorry.

- 20 Q. How do you assess the emotional state or what you've just 21 described?
- A. Well, so, for -- in a number of ways. The people that take care of the apes on a daily basis discuss how the -- you know, discuss our impressions, subjective impressions of how the apes are feeling that day, their activity level. We record

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   meticulously how much food they're eating. Now that it's summer
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    and we have a couple of interns starting, we're also initiating,
    although it hasn't started yet, basically a study looking at the
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    social interactions of the apes, so where they're spending their
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    time and with who. We also look at their physical appearance.
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 6
    So if you look at the pictures up here, I mean, for example --
 7
       Hold on one second.
    Q.
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    Α.
        Sure.
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              MR. MILLER: Madam court reporter, did you -- excuse
   me; madam court attendant, did you say there's a device that we
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    could have him point with?
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              THE CLERK: Yes.
13
              THE WITNESS: Yeah, the screen is really dark.
14
              MR. MILLER: Let me approach here.
15
              THE WITNESS: I can use the screen.
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              MR. MILLER: Well, I just want you to be able to
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    illustrate for the court --
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              THE CLERK: May I?
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              MR. MILLER: Hold on one second, Doctor.
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              (Clerk conferring with witness.)
    BY MR. MILLER:
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    Q. Dr. Taglialatela, using the demonstrative exhibit and the
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    gesturing tool that the court attendant just showed you, could
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24
    you explain for the court what you're just about to describe
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    with respect to physical condition?
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        Yeah. So this is a picture of Kanzi. Kanzi will be 35 in
    October, and these are pictures basically from 2011, the one --
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    the picture from 2011 was taken as a still shot from some video.
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    There's a picture from 2013. And, by the way, I think this is
 4
    May of 2011. I don't know about 2013, and this is May of 2015.
 5
 6
              Okay. So just a couple of things that I want to
   highlight with regard to how we assess physical condition of the
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    apes. You can see Kanzi in this picture, and probably the most
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 9
    obvious thing to anyone who looks at this would notice that
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    Kanzi's physical appearance is largest in the middle picture, in
           In 2011 he does seem thinner, but it's not necessarily a
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    2013.
12
    good thing. He's missing hair you can see quite readily over
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    here (indicating), all along his arms, and in addition to
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    missing hair, he's quite ashy, which is an indication that the
15
    skin is dry. Now if this were February, I think it could have
16
    been explained, well, maybe it was a particularly bad winter in
17
    2011, dry skin. In my opinion, that's a reflection of diet
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    that's led to that dry skin. He does also appear to be
19
    strangely enough -- this isn't visible in the other pictures, so
20
    I can't say a whole lot of it, some redness on his genitals, so
21
    I'm not really sure about any of that.
22
              But one other thing to notice is that there's very
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    little muscle tone. I mean, these are apes. They're strong,
24
    powerful animals, and there's very little muscle tone.
25
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Now, if you look at the picture -- I'm going to clear

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         If you look at the picture of 2013, okay, Kanzi's skin, I
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   mean, at least from the quality of this picture, seems better.
    It seems like his hair is coming in a bit more, but he's also,
3
    for lack of a better word, enormous. I mean, an adult male
4
   bonobo should weigh approximately 145 pounds. Kanzi in this
5
6
   picture I would guess is at least 210, morbidly obese by all
    definitions. Okay. The No. 1 cause is heart disease or what --
7
    I don't know the technical term for it in captive bonobos.
8
9
    Clearly, this condition is extremely dangerous for Kanzi.
10
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Now, if you look at 2015 -- and, again, we just took this picture the other day; I didn't take it, but someone else took it the other day -- couple of things: Hair and skin are in great condition. Also what you can see -- and I don't know if it's very clear. Yeah, I think it's actually clear. You can see actual muscle tone, and this comes from activity. I mentioned yesterday we enhanced the facility by building climbing structures indoors and out, by having them do, in addition to all their cognitive and behavioral testing, extractive foraging tasks, tasks that get them moving around in their environment, all right. That's the kind of things that contribute to the health.

And one final thing and, again, maybe this -- I'll admit maybe it may not be a fair comparison given the picture that's up there. Look at Kanzi's eyes. They're bright, right, the skin across his face is looking taunt, is looking alive, if

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    you will, and that's one of the measures that you use.
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              MR. STAMBAUGH: I'll object to the witness's testimony
    to the extent it calls for a medical opinion. He's already
 3
    testified he's not a veterinarian.
 4
 5
              THE COURT: Received, subject to the objection. The
    answer is in.
 6
7
   BY MR. MILLER:
    Q. Did you make any observations of the physical health of the
 8
    other bonobos when you made your return visit in the fall of
10
    2013 to the Des Moines facility?
11
              MR. STAMBAUGH: Same objection.
12
              THE COURT: Same ruling.
13
        I will say that overall, including Kanzi -- you know, I have
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    the benefit of hindsight when I look at this picture now. My
15
    initial impression in the fall of 2013, especially considering
16
    what I was expecting to see, was that the apes were -- you know,
17
    they were still there, and that was pretty good, and none of
18
    them were exhibiting any obvious signs of physical distress.
19
    BY MR. MILLER:
20
    Q. Has their physical condition from your observations changed
    over time?
21
22
              MR. STAMBAUGH:
                              Same objection.
23
              THE COURT: Overruled.
24
       Yes.
   Α.
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BY MR. MILLER:

Q. And how so?

- 2 A. I think we can look at the photo of Kanzi, and there are
- 3 | similar ones to be had for the other apes. When I began,
- 4 | Elikya's skin was not very good at all, and she basically had
- 5 literally not much hair except for the top of her head. I don't
- 6 have it with me, but for those of you who follow us on Facebook
- 7 | would have seen a picture of Elikya just a couple of days before
- 8 | where she's basically unrecognizable from that earlier photo;
- 9 bright eyes, great looking skins, shiny coats.
- 10 I've had a number of colleagues visit the facility,
- 11 | these are people that have worked with apes in captive settings
- 12 | for years, decades, not just a visit five days here and only see
- 13 these bonobos. I'm talking about individuals that have
- 14 dedicated their lives, and they have commented. The one comment
- 15 | we get most often is I cannot believe how good the apes look.
- 16 Q. The court record of prior testimony will reflect what it
- 17 does; but if there's been any claim with respect to the apes not
- 18 | doing well, do you agree with that?
- 19 A. No.
- 20 Q. Why is that?
- 21 A. Well, I guess for the reasons I just gave you. I mean --
- 22 Q. You were in the courtroom yesterday when Mr. Sheldon
- 23 | explained the plan for the Missouri facility, correct?
- 24 A. Yes.
- 25 Q. Based on your experience and training, what do you think

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1 about that plan?
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- 2 A. I'm sorry, what did I think of the facility?
- 3 Q. Yes, based on -- yes, based on your experience and training,
- 4 | what do you think of that plan and facility?
- 5 A. I mean, I think that -- before I say anything, I think that,
- 6 | you know, Mr. Sheldon should be commended for the generosity to
- 7 | say the least on what he is willing to put forth. I think
- 8 that's really commendable.
- 9 To be quite frank -- and I also would like to say that
- 10 | I appreciate the perspective of simplifying the building
- 11 | structure as much as possible. That lowers maintenance costs
- 12 and also increases probably reliability, right. It's probably
- 13 easier to take care of a Toyota than it is a Lamborghini.
- 14 With that said, in this day and age, the facility is
- 15 primitive and is not really appropriate for apes.
- 16 Q. And why do you say that?
- 17 A. You know, I heard talk of large outdoor spaces and outdoor
- 18 | play yards, but we didn't see any -- I haven't seen any pictures
- 19 of those, and to contain the apes in effectively cages inside of
- 20 | what looks like, you know, a Morton building is, in my mind, not
- 21 what anyone should be moving towards. You know, I've spent 11
- 22 | years working at the Yerkes Primate Center, which includes the
- 23 | main center in which the apes are in indoor/outdoor runs that
- 24 | are concrete floors and metal caging, and it's not acceptable.
- 25 And as far as I can tell from that facility, they don't even

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505
1
   have the outdoor part built.
 2
        I would like to switch subjects with you --
 3
   Α.
       Sure.
       -- and talk with you a little bit about research.
    Q.
 5
              There's a binder next to you in the --
 6
              MR. MILLER: May I approach, Your Honor?
 7
              THE COURT: You may.
 8
              MR. MILLER: Thank you.
   BY MR. MILLER:
10
    Q. Dr. Taglialatela, I put in front of you what was previously
    admitted as Exhibit 1006.
11
12
              Do you see that there?
13
    A. Yes. ACCI Active Research Protocols?
14
    Q. Correct. And in preparing for this proceeding, did you
15
    alert me that that document was actually out of date?
16
   A. Yes, it is.
17
              MR. MILLER: Your Honor, I have a demonstrative
18
    exhibit that I would like to put up on the board that is an
19
    update to that exhibit. I've shown counsel. Would that be
20
    permissible? I would like to publish that.
21
              THE COURT: You may.
22
              MR. MILLER: Thank you.
23
   BY MR. MILLER:
24
    Q. So Exhibit 1006 is list of active research protocols, at
    least through the date of when that document was produced, with
25
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- 1 | Bonobo Hope and Dr. Savage-Rumbaugh?
- 2 A. Yes.
- 3 Q. And then if you refer to the board, does the demonstrative
- 4 exhibit that's been placed on the board there reflect additional
- 5 research protocols that have been approved since that time?
- 6 A. Yes. It looks like it includes the addition of three
- 7 protocols, three additional protocols.
- 8 Q. Is there additional information that supports each of these
- 9 proposals?
- 10 A. Yes. These are just a list of names of the PIs, principal
- 11 investigators, as well as the title of the proposal, I think
- 12 also, I guess, their animal use protocol number, which is what
- 13 AUP number stands for, their approval date and their end date.
- 14 | Q. Generally speaking briefly, what kind of information would
- 15 | back or be behind these protocols?
- 16 A. Okay. The Institutional Animal Care and Use Committee is in
- 17 | place to review research protocols. So the protocol itself
- 18 | contains everything an individual would need to evaluate both
- 19 | what's going to be done and whether it's important for that
- 20 research to be done and any potential harm or discomfort to the
- 21 animals. So it includes all of the details that a layperson
- 22 | would need to evaluate them.
- 23 | Q. So that information would have been approved -- or reviewed
- 24 and approved by the IACUC committee?
- 25 A. That is correct.

- 1 | Q. The IACUC?
- 2 A. That's correct.
- 3 Q. Did the ACCI agree to voluntarily produce the list in 1006
- 4 to Bonobo Hope previously?
- 5 A. This exhibit?
- 6 Q. Not that exhibit, but 1006 which is the one that we actually
- 7 marked Exhibit 1006.
- 8 A. Oh, I'm sorry, I apologize. I believe so, yes.
- 9 MR. STAMBAUGH: I just want to note for the record
- 10 that counsel is talking about a document -- counsel is showing a
- 11 document that was not given to counsel for BHI until yesterday,
- 12 so I believe the witness's testimony that the previous document
- 13 that we're not looking at on the screen was given to us. Is
- 14 | that right?
- MR. MILLER: That was my understanding.
- 16 BY MR. MILLER:
- 17 Q. Dr. Taglialatela --
- 18 | A. I'm not sure I followed all of that.
- 19 Q. Yes. Let me make sure it's clear for the court.
- 20 Exhibit 1006 had been previously produced to Bonobo
- 21 Hope, correct?
- 22 A. That is my understanding, yes.
- 23 MR. STAMBAUGH: Correct. Which is not the document
- 24 | being shown on the screen right now?
- THE WITNESS: Yes.

- 1 MR. MILLER: So stipulated.
- 2 BY MR. MILLER:
- 3 Q. Have any publications resulted from the research reflected
- 4 | in 1006 or on the update that's on the screen?
- 5 | A. To my knowledge at this point, no publications.
- 6 Q. Why not?
- 7 A. Well, if you look at the dates of approval, we -- you know,
- 8 | those are -- the earliest one is the end of April of last year.
- 9 | I mean, research projects take time to collect data, analyze the
- 10 data, write up the data, submit the paper, and in most cases get
- 11 | the paper back. So, I mean, it's just that it takes time. It's
- 12 too soon.
- 13 Q. Are you able to predict when there may be publications?
- 14 A. I think we're far enough along on -- and, again, you'll have
- 15 | to bear with me without going into too much detail. Depending
- 16 on the journal you submit the paper to, some of them will turn a
- 17 paper around to you in ten days, and some of them will take four
- 18 | months, so that would be a thing. But I think we may have
- 19 | something in press, which means it's been accepted but hasn't
- 20 been released, by the end of the calendar year.
- 21 Q. And those would be peer-reviewed publications?
- 22 A. Of course.
- 23 | Q. I think you told the court briefly previously that with
- 24 | respect to your CV that you publish research yourself,
- 25 Dr. Taglialatela?

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A. Yes.
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- 2 Q. Have you published with Dr. Savage-Rumbaugh in the past?
- 3 A. I have.
- 4 Q. Do you know when the last article you published with her
- 5 | would have been? And if you want to refer to your CV, it's
- 6 Exhibit 1007. And, Doctor, it should be in the binder right in
- 7 front of you.
- 8 A. The one that's in here?
- $9 \mid Q$. Yes.
- 10 MR. MILLER: Your Honor, while he's looking for that,
- 11 | I would move Exhibit 1007 into evidence.
- 12 | (Plaintiffs' Exhibit 1007 was
- offered in evidence.)
- 14 THE COURT: Is there objection to 1007?
- MR. STAMBAUGH: Only the objections listed on the
- 16 pretrial order. I'll reassert those.
- 17 THE COURT: Exhibit 1007 is received, subject to those
- 18 | objections.
- 19 (Plaintiffs' Exhibit 1007 was
- 20 received in evidence.)
- 21 A. Okay. So I divide my CV because it's standard to do this.
- 22 | Publications are divided into what we would call research
- 23 papers, which are mostly going to be reporting primary research
- 24 | that I or colleagues have done. That research would then be
- 25 peer-reviewed by colleagues, appointed by an editor of a

- journal, and then published. This would be what we would call primary data.
- So that section appears the last paper I coauthored with Dr. Savage-Rumbaugh was published in 2004.
- I also have a number of book chapters. Some of those
 are peer-reviewed, some of them are not. They're usually more
 review type -- not really theoretical, but review where you're
 sort of considering a corpus of data or previously published -actually I don't think this is a complete copy of my CV. It
- So book chapterwise, it looks like it was 2004, but they're chronological from start to finish, so it could be later. I don't have it in front of me.

stops at page 8, and I think it should be like 17 pages.

- MR. MILLER: Your Honor, may I approach?
- The copy I have in this notebook has additional pages.
- 16 I don't know if that was simply an administrative error that we
- 17 didn't get the full copy in the notebook.
- 18 THE COURT: You can show that to him to refresh his
- 19 recollection.

- 20 BY MR. MILLER:
- 21 Q. I mainly just want to make this available to you.
- 22 | A. It says 8 of 16. There's no 9.
- 23 \mid Q. Dr. Taglialatela, why have you not published with Dr.
- 24 Rumbaugh since 2004?
- 25 A. We stopped working together in 2004.

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1 Q. Yesterday there was some testimony regarding minimally
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- 2 | invasive or invasive research. I think it's also been referred
- 3 to as biomedical research at some point in time. Does that term
- 4 | have meaning to you in your experience?
- 5 A. I mean, those terms are very nebulous in my experience, and
- 6 I think biomedical and invasive should not be the same.
- 7 Q. Okay. Do you have an understanding of invasive research
- 8 | based on your training and expertise?
- 9 A. My definition of invasive research would be research that
- 10 does something to sort of alter the animal, if you will. So
- 11 once upon a time -- this hasn't been done in a long time as far
- 12 | as I understand now -- chimpanzees were used for hepatitis B
- 13 research. I'm not talking about bonobos. I'm talking about pan
- 14 | troglodytes. As part of those procedures, they would take
- 15 | biopsies of their spleens, for example. That would clearly be
- 16 defined as a surgery. It's clearly defined as invasive.
- I think an argument could be made that withdrawing
- 18 | blood has some degree of invasivity, right, I mean the word
- 19 coming from invading the body at some level. I restrict -- I
- 20 | try to avoid the use of the term because it doesn't have a
- 21 | clearly accepted definition; but I would say permanently
- 22 | altering the animal is my definition of invasive.
- 23 Q. Do you have -- similarly, do you have an understanding from
- 24 | your experience of what biomedical research has -- or what
- 25 | meaning that has?

- 1 A. Yes. And I will sort of give my definition acknowledging
- 2 | that the common use of the term has a negative connotation that
- 3 | I don't agree with. In my mind, biomedical research is research
- 4 | that can benefit human health. That's the biomedical part,
- 5 okay. That's not the way it's used conventionally.
- 6 Q. And does ACCI have any protocol in place involving invasive
- 7 research?
- 8 A. Invasive research the way I've described it, no.
- 9 Q. Does ACCI have any plans to do invasive research?
- 10 A. Absolutely not. In point of fact -- oh, sorry.
- 11 Q. Go ahead, please.
- 12 A. I think it is -- I'm fairly certain it is illegal to do
- 13 | invasive research on bonobos as dictated by the Endangered
- 14 | Species Act.
- 15 | Q. Has ACCI taken the lexigram keyboards away from the bonobos?
- 16 A. No.
- 17 Q. Do you have any understanding where this notion arises?
- 18 | A. I have no understanding. That is positively ridiculous. In
- 19 | fact, we're constantly thinking of new ways to invent, to keep
- 20 the lexigram keyboard used, you know, as we're thinking of new
- 21 | ways to do it. If I may, we last summer built a climbing
- 22 | structure, I think I mentioned yesterday, beautiful outdoor play
- 23 | yards. Bonobos are arboreal, which means they spend time in
- 24 | trees. They like to be up high, they like to climb, and they
- 25 | like to hang out up high. There were no climbing structures in

```
1
   these beautiful yards, so we built a climbing structure to see
2
   if it would be used. Well, Kanzi was very excited to see -- or
   very interested, I should say, to see the Director of Science,
3
   the Director of Research, one of our staff member's husbands and
4
   a graduate student of mine, you know, with power tools building
5
6
   a climbing structure in his yard. And when he was inside
7
   wanting to be let out, he said a number of things and then said
8
   tree house.
9
             Now, the tree house was a location that
```

Dr. Savage-Rumbaugh had built in the facility in Atlanta, and it was a place that they would visit, because one of the things that I think probably was consistent with what
Dr. Savage-Rumbaugh and I initially had talked about researchwise back when I was in graduate school, you know, 15 or so years ago, was that what I just said, moving around to different spots, looking for food, thinking about whether that food was there the day before or will it be there today or tomorrow. Dr. Savage-Rumbaugh built basically a mockup of that in Atlanta. Kanzi had a place called tree house. Tree house was associated with a food. If my memory serves, it was bananas. That's what you find at the tree house.

So Kanzi saw us building this structure. He used his keyboard calling it tree house. Staff were talking to me that night because we were talking about it, and they told me that story, and I was, like, that's awesome. I was floored. And not

- 1 to mention, we then decided, well, we need to call this thing
- 2 | something, let's call it tree house, and the next morning we
- 3 | painted the lexigram on it for tree house, and it's the tree
- 4 house and everyone calls it the tree house.
- 5 Q. Has anybody from the Bonobo Hope, the BHI board ever asked
- 6 you if the keyboard had been taken away?
- 7 | A. No.
- 8 Q. Have you banned any form of communication with the bonobos?
- 9 A. No, absolutely not.
- 10 Q. Do you require the use of face masks and gloves?
- 11 A. Absolutely do.
- 12 Q. Why?
- 13 A. Because the apes are susceptible to respiratory diseases.
- 14 Dr. Gilmore testified yesterday that Panbanisha died of acute
- 15 | respiratory distress. I've seen her necropsy report, and I'm
- 16 | not a veterinarian, but I've asked other veterinarians to look
- 17 at it, and the only thing of concern was her morbid obesity.
- 18 | I'm concerned about the effect that a respiratory illness will
- 19 have on our colony.
- 20 Teco before we instituted this policy became gravely
- 21 | ill last May with a respiratory infection. If it weren't for
- 22 the heroic efforts of Dr. Gilmore, as well as a pediatric, human
- 23 pediatric pulmonary specialist, who not only brought his
- 24 | equipment but medicine, quite frankly, I'm not sure Teco would
- 25 | still be here. Dr. Gilmore saved him based on her heroic

- efforts, and I've worked with many veterinarians through the
 course of my career, and what Dr. Gilmore has done was above and
 beyond what you normally see because of her relationship with
 the apes. I don't ever want to repeat that.
 - All of the staff, you know, don't like wearing face masks, but they all understand why we do it. In fact, probably the biggest person who doesn't like it the most is Dr. Hopkins, but we all do it because it's what's in the best interests of the apes.
- Now, I will add, with that said, if it's necessary and someone can justify it in their IACUC protocol, we have a provision that allows that it's not be used for certain IACUC protocols, but no one has tried to justify not using face masks,
- 14 but the IACUC would certainly review it and entertain it.
- 15 Q. Have you been in any other forms of interaction with the
- 16 bonobos?

6

7

8

- 17 A. Could you be more specific? I don't know what --
- 18 | Q. Are you preventing them from interacting in any way with
- 19 humans that are working with them?
- 20 A. No.
- 21 \mid Q. Has ACCI continued to bar Dr. Savage-Rumbaugh from access in
- 22 | the facilities since you've become involved?
- 23 A. Yes.
- 24 Q. Why?
- 25 A. I believe that Dr. Rumbaugh poses a safety risk to the

- 1 | bonobos and to the people that she works with.
- 2 Q. What's the basis for that conclusion?
- 3 A. The basis for that is my past experiences working with her.
- 4 Q. Can you describe briefly for the court what you mean by
- 5 | that?
- 6 A. I personally -- and, again, we're going back now to the time
- 7 | that I was in graduate school, between the periods of 1998 and
- 8 | 2004 -- have witnessed unsafe practices. By that I mean locks
- 9 off of cages, diets not being followed, allowing apes to get out
- 10 of her control and do, you know, things that either put them in
- 11 harm or put humans in harm. I can be specific if you want.
- 12 And I probably should add that some of that is also
- 13 | based on information that I've directly or indirectly gained
- 14 subsequent to me accepting this position in December of 2013,
- 15 | and by that I mean documents that refer to protocols being
- 16 reversed or specific complaints that I've come upon as part of
- 17 | my presence at the facility.
- $18 \mid Q$. Is there any restriction on BHI members accessing the
- 19 | facility?
- 20 A. No.
- 21 | Q. You've been here in court and heard complaints about
- 22 | accessibility by the board members, is that correct?
- 23 A. I have heard that.
- 24 | Q. Have you ever purposely avoided Bonobo Hope members having
- 25 | access?

- 1 | A. Absolutely not, and the only meeting, to my recollection,
- 2 | that I postponed was Dr. Laurent Dubreuil's, and it was because
- 3 | I was meeting with you to prepare for this hearing.
- 4 | Q. Did you meet with Dr. Wildman during his recent visit that
- 5 he testified about?
- 6 A. I did for the entire length of his visit. I invited him to
- 7 lunch, but he wanted to get on the road.
- 8 Q. Did you put any restrictions on his access to the facility
- 9 or documents?
- 10 | A. I did not, and I believe he testified to that.
- 11 Q. Did you tell him you would e-mail him something afterwards?
- 12 A. Yes.
- 13 Q. Did you do that?
- 14 A. No, I did not.
- 15 | Q. Why not?
- 16 | A. Quite frankly, there were two issues. One -- and, again,
- 17 | this is not an excuse; it's an explanation. I have 432 e-mails
- 18 | in my inbox as of this morning. I simply did not get to that
- 19 | item on my to do list. He wanted a list of abstracts for grants
- 20 | we've submitted. It would take some time to pull that all
- 21 together, and since the time I met with Dr. Wildman, my
- 22 academic semester ended, so I had to get grades in and grade
- 23 | papers, I submitted a very large grant, and I had to get
- 24 | research graduate students up here and set up for research
- 25 projects this summer.

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1
              So I've just -- quite frankly, it hasn't gotten -- I
 2
    haven't gotten to it yet, and I regret -- you know, I apologize
    for that. I said I would do it and I haven't.
 3
              The other thing he asked for was full IACUC protocols.
 4
   After reviewing that, it's come to my attention that there's
 5
 6
    some gray area, but that actually IACUC protocols are
7
    confidential and shouldn't be shared with members that are
    outside of the IACUC. It's a gray area. I offered them to him,
 8
   but I wasn't comfortable at this point e-mailing them to him,
10
    and I needed to e-mail him to clarify that, and I haven't had a
    chance to e-mail him to clarify that.
11
12
    Q. Do you think Dr. Wildman's testimony accurately described
    your discussion with him?
13
    A. I'm sorry. Could you --
14
15
        Sure. Do you believe his testimony accurately described
    your discussion with him?
16
17
              MR. STAMBAUGH: Objection; inadmissible character
18
    evidence. That's the province of the court to weigh a witness's
19
    credibility.
              THE COURT: I'll receive it, subject to the objection.
   A. To be honest with you, I'm trying to recall. Maybe if you
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- 20
- 21
- would ask me specifics. 22
- BY MR. MILLER: 23
- 24 Q. He testified that you provided some sort of statement with
- respect to assurances that you were being required to give. 25

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1 Do you recall that testimony?
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- 2 MR. STAMBAUGH: Objection, misstates the record.
- 3 BY MR. MILLER:
- 4 | Q. If you don't recall, we'll just move on.
- 5 A. Assurances with regards to?
- 6 Q. Your institutions. I believe he said -- he made some point
- 7 | with respect to institutions multiple.
- 8 A. No, I don't recall that, and I think he was -- you know,
- 9 assuming that somehow Kennesaw or the Yerkes Primate Center --
- 10 | so the Yerkes Primate Center is not funding ACCI at all at this
- 11 | point in time. They have literally given us absolutely not a
- 12 | single dollar. So Kennesaw State University, my university, has
- 13 given us money both in forms of pilot grants to me and bridging
- 14 | funds and monies from this consortium agreement which I
- 15 described earlier.
- So the suggestion that the Yerkes Primate Center is
- 17 | now contributing financially to ACCI at this point in time is
- 18 | absolutely false.
- 19 Q. Are there plans for one or more BHI members to visit the
- 20 Des Moines facility tomorrow?
- 21 A. Yes. I had to postpone Laurent Dubreuil's visit which I
- 22 | think was originally scheduled for the 26th. I asked him to
- 23 | come tomorrow. Dr. Coxe contacted me about -- Dr. Sally Coxe
- 24 | contacted me about visiting. I asked her to come tomorrow when
- 25 | the hearing was concluded. She has agreed. I don't know if

- 1 | we've decided on a final time, but we can work that out, and she
- 2 | will come and visit tomorrow. I extended that same invitation
- 3 to Dr. Dubreuil. He has not at this point in time responded to
- 4 me yet. Dr. Roffman similarly, and I know he would have had to
- 5 extend his visit, but I have extended that invitation to him,
- 6 | but I haven't heard confirmation that he will be here.
- 7 Q. And did a Dr. Tuttle also make a visit to the facility?
- 8 A. Yes, he did. He's not a member of BHI, but he did make a
- 9 visit yesterday.
- 10 Q. When the BHI members presumably visit, will they have an
- 11 opportunity to review the active research protocols during that
- 12 | visit?
- 13 A. Uh-huh.
- 14 Q. Is that a "yes"?
- 15 | A. Yes, of course. I'm sorry.
- 16 Q. You've been in the courtroom when you've heard various
- 17 | witnesses explain their concerns with respect to Dr. Rumbaugh's
- 18 | research trajectory being respected, is that correct?
- 19 A. Yes.
- 20 Q. Do you agree with that?
- 21 A. Do I agree with that?
- MR. STAMBAUGH: Objection; vague and ambiguous. Agree
- 23 | with what?
- 24 BY MR. MILLER:
- 25 Q. Sure. Do you agree that ACCI has jettisoned, rejected,

- 1 | destroyed Dr. Savage-Rumbaugh's research trajectory?
- 2 A. Absolutely not.
- 3 Q. Do you disagree with Dr. Rumbaugh's past research?
- 4 A. No.
- 5 Q. Do you disagree with the aspects of her past relations with
- 6 the bonobos?
- 7 A. Yes.
- 8 Q. Why is that?
- 9 | A. You know, let's look at what I would consider to be the sort
- 10 of most recent peer-reviewed publications that
- 11 Dr. Savage-Rumbaugh has produced. It probably takes us back to
- 12 | 1993, which was a monograph that was produced on Kanzi's English
- 13 comprehension. There may be a couple of things after that, but
- 14 | I'm just focusing possibly on the main largest corpus of her
- 15 work. This is absolutely groundbreaking work, okay. Through
- 16 | meticulous data collection, controls, they -- Sue and
- 17 | colleagues -- I'm sorry, excuse me; Dr. Savage-Rumbaugh and
- 18 | colleagues discovered that a nonhuman animal, a nonhuman ape was
- 19 capable of comprehending spoken English. Yes, Kanzi uses
- 20 | lexigrams. He probably uses lexigram to a greater extent than
- 21 | previous apes that have been looked at, but he understands
- 22 | spoken English and not just simple word identification but novel
- 23 | sentences.
- So if you ask Kanzi a question, can you put the keys
- 25 | in the refrigerator, right, something theoretically Kanzi would

never hear before or never heard before, he can do that, right; absolutely groundbreaking work. It was published in a peer-reviewed publication in 1993, I think the Monograph Society for Child Development if I have that correct.

So I don't disagree with the profound findings.

Actually before that, in the mid 1980s, Dr. Savage-Rumbaugh

published a paper that I believe appeared in the Journal of

Science documenting the abilities of two chimpanzees, Sherman

and Austin, to exchange lexigrams, to identify items, perhaps -
you know, it's a subjective impression which one was the more

profound finding. Both of them are quite amazing, okay.

What I -- there's a famous scientist by the name of Harry Harlow. Many of you have probably heard about him. For those of you who did, I'll be very brief. Harry Harlow took monkeys and separated infants separated from their moms, put them on a wire rack with a nipple that gave milk and looked at the effects that that had on the developing individual neonate monkey, okay. Now, everyone is probably thinking, well, that sounds cruel. At the time no one really knew what would happen when you did that. There was a debate ranging in this company about whether nature or nurture was more important. Well, Harry Harlow did that, and we found out it is devastating to the emotional and neurological development of an organism when you do that type of thing.

Now, are we going to get -- I mean, that was his work,

- and it was really important that we all learned that; but if a person came to you and said, hey, could we do this again, you would probably say no, right?
- I disagree with the idea of taking a bonobo even for

 part of the day, rearing it with humans, for any reason, because

 I think that the detriment to the individual animal, all right,

 is not justified by the benefit you get from the science to

 either our understanding of our place in the universe or for

 biomedical or public health relevance.
- Q. I'm going to ask you a few questions. If you need to refer to Exhibit 1006 or the demonstrative that's in front of you, I ask that you do that.
- 13 A. Okay.
- Q. Do the bonobos at the facility in Des Moines continue to be involved in research in the field of experimental psychology?
- 16 A. Yes.
- 17 Q. What active research protocols involve experimental
- 18 | psychology?
- 19 A. Experimental psychology is a pretty broad stroke, but I
- 20 would say specifically these three that are on the bottom part
- 21 of this table here, so these three here would certainly fit into
- 22 | that context.
- 23 | Q. Can you give us the title of those?
- 24 | A. Oh, I'm sorry. "Using Virtual Reality to Investigate the
- 25 | Spacial Cognitive Abilities of Bonobos" would certainly fall

- 1 into experimental psychology.
- 2 Q. You need to slow down.
- 3 A. I'm sorry, I forgot.
- 4 Q. Go ahead. And the second one?
- 5 A. "Using Virtual Reality to Investigate the Spacial Cognitive
- 6 Abilities of Bonobos."
- 7 Q. I'm sorry, I wanted to refer you to the second one.
- 8 A. Okay. "Do Bonobos Make Inductive Inferences About
- 9 Nonvisible Properties of Object Categories."
- 10 Q. And the third is nonhuman primate SNARC effect?
- 11 A. Yeah. That would be SNARC effect.
- 12 | Q. What is the SNARC effect?
- 13 A. I was afraid you would ask me this.
- 14 | Q. Well, I --
- 15 | A. For the record, I'm not an experimental psychologist.
- It's basically the priming effect you would get. If I
- 17 | were to order numbers for you on a page and I had 1, 2, 3, 4,
- 18 | you would have this expectancy given that we read English from
- 19 | left to right that 1 would be on the left and 10 would be on the
- 20 | right, for example. So it's basically a computer-based testing
- 21 to sort of see if the apes have a sort of similar bias, if you
- 22 | will.
- 23 | Q. Other than IACUC approval, is there anything barring
- 24 | research in the area of experimental psychology at the facility?
- 25 A. No.

- 1 Q. Do the bonobos at the facility continue to be involved in
- 2 research in the field of use of language and tools?
- 3 A. Absolutely.
- 4 | Q. What active research protocols involve those areas?
- 5 A. You said language and tools?
- 6 Q. Correct.
- 7 A. So the first six in the actual exhibit.
- 8 Q. On Exhibit 1006?
- 9 A. Uh-huh.
- 10 Q. And other than IACUC approval, is there anything barring
- 11 research in the field of use of language and tools at the
- 12 | facility?
- 13 A. No. In fact, I've had a scientist recently contact me about
- 14 | the recent discovery that Dr. Wildman mentioned that they found
- 15 stone tools that were three-and-a-half million years old. We're
- 16 | working to develop protocol for them because they want to come
- 17 and bring some of those exact core stones and see if we can do
- 18 | some of that stone tool work here to see what the apes can
- 19 produce.
- 20 Q. Do the bonobos at the facility continue to be involved in
- 21 research in the field of ape intelligence and human cultural
- 22 modes?
- 23 A. Yes.
- 24 | Q. What active research protocols involve those areas?
- 25 A. So human cultural modes is a really big topic. I would say

- 1 | without a doubt rhythmic entrainment in bonobo apes at ACCI
- 2 | would certainly be a cultural move because rhythmic entrainment
- 3 | is basically -- I mean, it's drumming.
- What was the first part of that? I'm sorry.
- 5 Q. We're looking at --
- 6 A. Something in cultural modes?
- 7 Q. Use of language -- or excuse me, field of ape intelligence
- 8 | and human cultural modes.
- 9 | A. The one entitled "Theory of Mind in Language Competent
- 10 | Bonobos, " for those of you that don't know, the theory of mind
- 11 is basically the idea that you have knowledge states that you
- 12 know are different from other individuals, and that's the theory
- 13 of mind, and so we're looking at that as well.
- 14 And "The Large-Scale Comparison of Cognition and
- 15 | Communication in the Animal Kingdom" as well. And I guess, to
- 16 | some extent, "New Insights into Human Origins through the Study
- 17 of Linguistically Competent Bonobos."
- 18 | Q. You mentioned a moment ago moment rhythmic entrainment in
- 19 | bonobo apes, et cetera?
- 20 A. Yes.
- 21 Q. Is that a continuation of a study that the PI, Patricia
- 22 | Gray, had begun with Dr. Savage-Rumbaugh?
- 23 | A. It is my understanding it is. She's also worked I think at
- 24 | the Jacksonville Zoo with bonobos there.
- 25 Q. Other than IACUC approval, is there anything barring

- 1 research in the field of ape intelligence and human cultural
- 2 modes at the ACCI facility?
- 3 | A. No.
- 4 Q. Would you welcome a proposal to the IACUC for research in
- 5 | these areas from a member of BHI?
- 6 A. Yes.
- 7 Q. And you've told this to the BHI board in the past?
- 8 A. I believe that I wrote an e-mail that it may not have
- 9 included all members of BHI, but it at least included Carmen
- 10 | Mate, the chair, and Itai Roffman that, to the best of my
- 11 recollection, indicated that -- that gave them the protocol form
- 12 and indicated what would be required.
- 13 | Q. And Dr. Roffman mentioned he may be preparing this
- 14 | submission to the IACUC?
- 15 | A. My understanding, he is. It's not a trivial form, so it
- 16 | would take some time. So the fact that I haven't heard back
- 17 | from him after I sent it to him is not surprising at all.
- 18 | Q. Any other proposals to the IACUC committee been made by the
- 19 BHI board?
- 20 A. No, they have not.
- 21 MR. MILLER: That's all my questions.
- 22 I'll pass the witness.
- 23 | THE COURT: I think we'll take our mid-morning recess
- 24 at this time. We'll be in recess for 15 minutes.
- 25 (Recess at 10:21 a.m., until 10:38 a.m.)

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 1
              THE COURT: Be seated, please.
              Cross-examination.
 2
 3
              MR. STAMBAUGH: Thank you, Your Honor.
                           CROSS-EXAMINATION
 4
 5
   BY MR. STAMBAUGH:
        If you'll indulge me, Dr. Taglialatela, I know your counsel
 6
7
   was sitting down; but from where I come from, we stand up when
   we examine witnesses.
 8
       That's fine; cultural differences.
10
       I understand your students call you Dr. Tag?
11
   Α.
       Yes.
12
       Do you have any problem if I call you Dr. Tag?
13
    A. No; only if you consider yourself my student. No, I'm just
14
    kidding. That's fine.
15
    Q. I would be happy to some day. That would be my pleasure.
16
              Dr. Tag, during your direct examination you gave the
17
    court a nice visual depiction of the facility here in
18
    Des Moines, is that right?
19
   A. Yes.
20
    Q.
       It is a big space?
21
   Α.
       Yes.
22
    Q. It is a nice space?
23
   Α.
       Yes.
24
       You didn't build it?
   Ο.
25
   Α.
       No, not at all.
```

- Q. You didn't design it?
- 2 A. No, not at all.

- 3 Q. In fact, you were just appointed as the Director of Science
- 4 of an organization that co-operates it, correct?
- 5 A. Director of Research and I think we -- well, I wouldn't say
- 6 | we co-operate it. ACCI operates the facility, yes; but no, I
- 7 | didn't build it.
- 8 | Q. The only reason you are the Director of Research at ACCI is
- 9 | because Dr. Sue Savage-Rumbaugh recommended that you be
- 10 appointed to that position, correct?
- 11 A. That is not correct.
- 12 Q. Why isn't that correct?
- 13 A. Well, for starters, I don't think that's the only reason.
- 14 | That may be part of the initial introduction, and to be quite
- 15 | frank, if Dr. Savage-Rumbaugh had written me an e-mail to come
- 16 | visit the facility, I wouldn't have. I visited because
- 17 | Dr. Duane Rumbaugh asked me to come visit the facility, and
- 18 | that's why I did.
- 19 There's about two-and-a-half months between me
- 20 | visiting that facility and taking on an official role at that
- 21 organization. So I guess that's why my answer is what it is.
- 22 Q. And you understand Dr. Sue Savage-Rumbaugh wrote a letter
- 23 | recommending you for that position, is that right?
- 24 A. I do, yes.
- 25 Q. Did you receive a copy of that letter?

- 1 A. I did.
- 2 Q. You don't want Dr. Rumbaugh in the lab anymore, though, do
- 3 you?
- 4 A. Dr. Savage-Rumbaugh?
- 5 Q. Dr. Sue Savage-Rumbaugh.
- 6 A. No.
- 7 Q. That would hurt your career, wouldn't it, Dr. Tag?
- 8 A. Not at all.
- 9 | Q. It's your testimony here today that having
- 10 Dr. Savage-Rumbaugh in the lab would not hurt your career?
- 11 A. Would hurt my career?
- 12 Q. Correct.
- 13 A. I don't know how to evaluate the statement of whether or not
- 14 | it would hurt my career.
- 15 Q. I thought a second ago you testified that it would not. So
- 16 | let's make sure the record is clear.
- 17 A. Okay. I'll stand by that. I'm explaining myself. It would
- 18 | not --
- 19 Q. Dr. Taglialatela --
- 20 A. -- not hurt my career. I don't know how it would hurt my
- 21 career.
- 22 Q. Let's get this straight. It would not hurt your career?
- 23 A. No.
- 24 | Q. But you don't know how it would hurt your career?
- 25 A. Let me restate --

- Q. Let me ask you a better question, Dr. Tag.
- 2 A. Yes.

- 3 | Q. You have a professional financial investment in the outcome
- 4 of this case, isn't that correct?
- 5 A. Can you restate that?
- 6 Q. Sure. And it's a simple question. I'm just wondering if
- 7 | you can explain to the court whether or not you have a direct
- 8 | professional investment in the outcome of this case. If these
- 9 bonobos are relocated, Dr. Tag, would that hurt your career?
- 10 | A. Quite frankly, if these bonobos are relocated, no, it
- 11 | doesn't hurt my career.
- 12 Q. So you would have no objection to them being relocated?
- 13 A. No. I would have an objection to them being relocated. Do
- 14 | you want me to explain why?
- I have an objection to having these bonobos being
- 16 relocated because if these bonobos are relocated, in all
- 17 | likelihood, I would fear for the grave outcomes it would have on
- 18 | their welfare, okay. I'm not paid, I haven't been paid, I don't
- 19 | get money from summer support for grants to support this. In
- 20 point of fact, I've spent about \$2,500 of my discretionary
- 21 account which I get from the university as part of indirects
- 22 | that are given back to me from the university to fly back and
- 23 | forth. I've spent, you know, 90-something days or more away
- 24 from my family. I got involved in this endeavor for one reason,
- 25 | with regards to those bonobos, which is for their welfare. And

- to be quite frank, no one else would do it, and the reason no
 one else would do it is because of this situation we're in right
- 3 now. Everyone I spoke to and sought counsel on advised me,
- 4 | she's never going to stop, just give up. You're crazy to do
- 5 | this. And I looked at the bonobos and thought I might have a
- 6 real chance because she told me she wasn't -- "she" being
- 7 Dr. Savage-Rumbaugh, told me she wasn't involved, told me she
- 8 | was done. Duane Rumbaugh corroborated that, and that's why I
- 9 agreed, perhaps foolishly, to get involved in the beginning.
- 10 So no, if the bonobos are relocated, it doesn't hurt
- 11 | my career.
- 12 | Q. And we'll take a little bit of a closer look at what
- 13 Dr. Savage-Rumbaugh actually said to you regarding her
- 14 involvement.
- 15 | A. Okay.
- 16 Q. I want to go back to my earlier guestion. You mentioned
- 17 | that Dr. Savage-Rumbaugh's involvement with these bonobos
- 18 | currently wouldn't necessarily hurt your career, is that right?
- 19 A. Yes.
- 20 Q. So you have no objection to Dr. Savage-Rumbaugh being
- 21 involved in the research at ACCI's facility?
- 22 A. I do have an objection to Dr. Savage-Rumbaugh being involved
- 23 | in the research.
- 24 | Q. So, Dr. Tag, it's your testimony for the court here today
- 25 | that despite you thinking it may have been a poor decision, you

- 1 | were the only one on the planet who was capable and ready to
- 2 | take care of these bonobos, is that right?
- 3 A. I would not argue capable. I would say that I was the only
- 4 one who was willing and ready to do it.
- 5 Q. And as we sit here today on May 29, 2015, it's your
- 6 testimony for the court that you are the only one who is ready
- 7 and willing to take care of these bonobos, is that right?
- 8 A. I have a co-director, Dr. Hopkins, so I would --
- 9 | Q. So there's two people in the country who are ready and
- 10 | willing to take care of these bonobos. Thankfully it's Dr. Tag
- 11 | and Dr. Hopkins?
- 12 A. Let me say it this way. There are two people in the country
- 13 | that have the expertise and the resources necessary to take care
- 14 of these bonobos that are also willing to do it.
- 15 Q. And that's you and Dr. Hopkins?
- 16 A. That's my testimony.
- 17 | Q. Nobody else at any other research institutions?
- 18 | A. I mean, I haven't polled everyone. I would be welcome to
- 19 | polling people, but -- sometimes I feel like I want to poll
- 20 people.
- 21 | Q. What about Dr. Savage-Rumbaugh, does she have the
- 22 | qualifications?
- 23 A. No.
- 24 | Q. She doesn't have the qualifications to care for these
- 25 | bonobos; that's your testimony?

A. That is my testimony.

- 2 Q. Now, Dr. Tag, I'm sure the court is wondering and the
- 3 | question in my mind is, you're the first and only witness who's
- 4 made that statement here during this proceeding, and you've been
- 5 | sitting here listening to the testimony.
- Does that surprise you that you are the only person
- 7 | currently in control of these bonobos who has that opinion that
- 8 Dr. Sue Savage-Rumbaugh is unable to care for these bonobos?
- 9 A. I don't recall you asking anyone else; but with that said,
- 10 you know, there's a reason -- the folks that were -- there
- 11 | weren't anyone who would be qualified to make that statement
- 12 | that you brought into the witness box -- I'm sorry, whatever
- 13 this place is called, except for Dr. Gilmore.
- 14 Q. So you and Dr. Gilmore are the only ones qualified?
- 15 A. To make a determination of whether or not
- 16 Dr. Savage-Rumbaugh is qualified to take care of the bonobos?
- 17 | O. Correct.
- 18 A. Out of all of the people that have been in this seat that I
- 19 am in right now, yes.
- 20 Q. Okay. Do you know who Dr. Russ Tuttle is?
- 21 A. I do.
- 22 | Q. And you would agree with me that Dr. Russ Tuttle is one of
- 23 | the foremost primatologists in the world, is that correct?
- 24 A. He's certainly -- I don't know if one of the foremost, but
- 25 he's certainly a very well known primatologist.

- 1 Q. You believe that Dr. Russ Tuttle's opinions with respect to
- 2 primatology should be respected?
- 3 A. I do.
- 4 Q. And that those opinions should be listened to?
- 5 A. Yes.
- 6 Q. Now, you testified about this facility and the beautiful
- 7 outdoor grounds, is that right?
- 8 A. Uh-huh.
- 9 Q. The play yard?
- 10 A. Yes.
- 11 Q. You told the court that it was important for these bonobos
- 12 to be in trees to forage and they are -- let me get it straight.
- 13 | I'm not a scientist?
- 14 A. I don't think I said that. I don't think I said trees.
- 15 | O. Arboreal?
- 16 A. Yes.
- 17 Q. Is that the word?
- 18 | A. I said they are arboreal. They like to climb and be up
- 19 | high, yes.
- 20 Q. Okay. Do they actually go into the forest, though, in the
- 21 | facility?
- 22 A. They do not. They have their -- I guess depending upon your
- 23 | definition of the forest, my definition of the forest does not
- 24 | accurately describe those two bonobo yards.
- 25 Q. Your definition of the forest doesn't include trees?

- 1 A. No. The bonobo yards are not forest. A forest includes
- 2 trees.
- 3 Q. You said it was important for them to go in the trees,
- 4 though?
- 5 A. No, I didn't say that. I said they are arboreal in the
- 6 | wild. It's important for them to climb and go up high.
- 7 Q. Okay. But not necessarily --
- 8 A. I think the record will reflect that's what I said.
- 9 Q. Not necessarily in trees?
- 10 A. No, not necessarily in trees.
- 11 Q. So you described this wonderful facility with lots of acres.
- 12 | They don't go in the forest, is that right?
- 13 A. They do not go in the forest. I will add that the
- 14 | chimpanzees that we hope to bring there have a yard that's
- 15 eight-and-a-half acres that could be described as a forest given
- 16 | that it has hardwood trees on it. In fact, I think we call it
- 17 | the chimpanzee forested yard.
- 18 | Q. You mentioned an infirmary, is that right?
- 19 A. I did not mention an infirmary.
- 20 Q. During your testimony yesterday?
- 21 A. I may have.
- 22 Q. Okay.
- 23 A. Yeah, it was one of the improvements that we're planning for
- 24 | the building. I don't actually remember me mentioning it, but --
- 25 Q. It's not actually functional right now, is it?

- 1 A. It is. We are at the point now where we are trying to fund
- 2 | its construction. Given the space, we don't actually have a
- 3 | whole lot to do. It's a lot of outfitting it with equipment.
- 4 | The modifications required to actually -- there's no major
- 5 | structural modifications that need to be done. We need to
- 6 acquire additional equipment and have it installed.
- 7 Q. And, Dr. Tag, it will help us be more efficient here today
- 8 | if you just answer the question that I'm asking.
- 9 A. Okay.
- 10 | Q. And my specific question was, it's true that the infirmary
- 11 | is not functional today, isn't that right?
- 12 A. That is correct.
- 13 | Q. Okay. It's true that one of the lexigram keyboards is not
- 14 | fully functional today, isn't that right?
- 15 | A. I don't -- no, that's not correct. One of the lexigram
- 16 keyboards? The majority of the lexigram keyboards are pieces of
- 17 paper. As far as I know, pieces of paper don't have any lack of
- 18 | functionality, unless they're no longer available or destroyed,
- 19 so --
- 20 Q. With respect to the electronic ones, is it your testimony
- 21 | that they're all fully functional?
- 22 A. There are two electronic ones, and to the best of my
- 23 | knowledge, both are fully functional.
- 24 | Q. Dr. Tag, earlier in your direct testimony, you specifically
- 25 | said that you didn't believe that you were voted on to the IPLS

- 1 | board, is that correct?
- 2 A. To the best of my knowledge, I've never been a member of
- 3 | that board.
- 4 Q. Okay. I am going to go ahead and identify for you Exhibit
- 5 | 54 and take a look at that large notebook.
- 6 A. I don't know if I can lift it.
- 7 Q. Go ahead and turn to Exhibit 54.
- 8 Do you have Exhibit 54 in front of you?
- 9 A. I'm not there yet.
- 10 Okay. Yes, I'm there.
- 11 Q. Exhibit 54 appears to be minutes of a board meeting for the
- 12 | Iowa Primary Learning Sanctuary, and you understand that to be
- 13 IPLS, correct?
- 14 A. Yes.
- 15 | Q. And on the first page of these minutes, it says, the
- 16 | following officers were elected, and it includes Jared
- 17 | Taglialatela as vice president.
- Do you see that?
- 19 A. I do see that.
- 20 Q. Would you go ahead and flip to Exhibit 55.
- Do you see Exhibit 55?
- 22 A. Yes, I'm here.
- 23 | Q. And these are, again, minutes by unanimous consent of
- 24 | December 18, 2013 reorganizational meeting of IPLS, correct?
- 25 A. That's what is stated at the top.

- 1 | Q. And then on the second page, it says that you, Jared
- 2 | Taglialatela, shall be appointed to scientific program manager,
- 3 | is that right?
- 4 A. That's what it says, yes.
- 5 Q. And that's of IPLS, is that correct?
- 6 A. It looks like it, yeah.
- 7 Q. So that's something that you were mistaken about in your
- 8 | direct?
- 9 A. I said to the best of my knowledge. I mean, the first
- 10 | document is not signed; but, you know, I said to the best of my
- 11 knowledge, I was never elected to IPLS.
- 12 Q. And, Dr. Tag, that testimony was mistaken, correct?
- 13 A. To the best of my knowledge, I was never a member of IPLS.
- 14 Q. Okay. As we see here in these exhibits, that testimony was
- 15 | mistaken, is that right?
- 16 A. Well, to be quite honest, I don't know that that testimony
- 17 | is not correct because still, to the best of my knowledge, I
- 18 | would have to consult with someone who could verify -- I've
- 19 | never -- to the best of my knowledge, I've never seen this
- 20 document before, and so --
- 21 Q. Let's talk about some other documents that you may not have
- 22 seen.
- 23 | A. Okay.
- 24 | Q. Prior to being appointed to the Director of Research --
- 25 A. Yes.

- Q. -- for ACCI --
- 2 A. Yes.

- 3 Q. -- had you ever seen the settlement agreements that were
- 4 approved by this court?
- 5 A. I don't believe that I have -- that I had clearly seen them,
- 6 no.
- 7 Q. And you hadn't read the research provisions that were
- 8 | included in those settlement agreements prior to accepting your
- 9 position as Director of Research at ACCI, correct?
- 10 A. To be quite frank, I don't recall when I first saw the
- 11 settlement agreements. If I didn't see them before, I clearly
- 12 didn't read the research provision.
- 13 Q. So you clearly didn't rely on them when you assumed this
- 14 role?
- 15 | A. Again, if I didn't see them before, I didn't -- I haven't
- 16 read them, I wouldn't have relied on them.
- 17 Q. So if you didn't see them, you wouldn't have read them, and
- 18 | if you hadn't read them, you wouldn't have relied on them?
- 19 A. Yes.
- 20 Q. Correct?
- 21 A. Yes.
- 22 Q. You wouldn't have incorporated any of the information in the
- 23 | settlement agreements into your understanding of what your role
- 24 | was, correct?
- 25 A. My understanding at the time, to the best of my

- 1 recollection, of what my role is, what Dr. Savage-Rumbaugh and I
- 2 talked about over the phone, what was written in e-mails to me
- 3 | and what my discussions with the then board was, were, and that
- 4 was to take the research in the directions that we saw fit.
 - Q. I appreciate that. That's interesting.
- Dr. Tag, you had a chance to say that when you spoke
- 7 | with Mr. Miller. My question is, you didn't incorporate any of
- 8 | the information from the settlement agreements approved by this
- 9 | court into your understanding of your role as Director of
- 10 Research of ACCI, isn't that correct?
- 11 A. Yes.

- 12 | Q. You don't know what the research agreements -- excuse me,
- 13 | what the settlement agreements said about co-ownership, correct,
- 14 prior to assuming this position?
- 15 | A. Again, to the best of my recollection, it's possible I did
- 16 | see the settlement agreements before December 18, 2013. To the
- 17 best of my recollection, the answer is no.
- 18 | Q. And you've made that very clear and we understand. All I
- 19 can ask for is the best of your recollection.
- 20 A. Okay.
- 21 Q. You didn't know what the settlement agreements said about
- 22 | co-ownership prior to accepting that position, correct?
- 23 A. That is to the best of my recollection, yes.
- 24 | Q. You didn't know what the settlement agreements said about
- 25 | the emotional welfare, the physical welfare of these bonobos

- 1 | prior to accepting your position, isn't that correct?
- 2 A. Again, yes.
- 3 Q. You don't know what the settlement agreement says about the
- 4 research trajectory -- no reason to roll your eyes.
- 5 A. I'm sorry.
- 6 Q. I want to make sure that we have a clear record here.
- 7 A. I apologize.
- 8 Q. You don't know what the settlement agreement said about
- 9 research trajectory before accepting your position?
- 10 A. I didn't know what the settlement agreement said about
- 11 anything if I hadn't seen it before December 18, 2013.
- 12 Q. Your counsel, Mr. Miller, talked about boundaries in his
- 13 opening statement.
- Do you remember that?
- 15 A. I do.
- 16 Q. Dr. Tag, do you think that you're bound by these settlement
- 17 agreements in your current position at ACCI?
- 18 | A. I've been advised that I am.
- 19 Q. That's your understanding?
- 20 A. Yes.
- 21 | Q. And you can testify to the court you believe you're bound by
- 22 | these settlement agreements?
- 23 A. Again, that's what I've been advised, yes.
- 24 | Q. But you don't know what was in them prior to accepting this
- 25 | role at ACCI?

- 1 A. Right.
- 2 Q. Now, Dr. Tag, we talked about -- Mr. Miller talked with you
- 3 about the Yerkes Research Center?
- 4 | A. Yerkes National Primate Research Center.
- 5 Q. Yerkes National Primate Research Center?
- 6 A. That's right.
- 7 | Q. Do they use an acronym or has it always just been Yerkes?
- 8 A. Just Yerkes.
- 9 Q. You told Mr. Miller yesterday, I believe, that you don't
- 10 | believe there's been any assurances by ACCI that they have
- 11 | promised Yerkes that the Rumbaughs wouldn't be involved, isn't
- 12 | that right?
- 13 A. I told them that there was a caveat to that because the
- 14 | initial statement -- the initial questionnaire was distributed,
- 15 | was asked to be completed. I wasn't charged with that answer
- 16 | because we divided it up, and I think the first question, if I'm
- 17 | not mistaken, was do Dr. Sue Savage-Rumbaugh or Dr. Duane
- 18 | Rumbaugh have any involvement, and then there was some second
- 19 | clause which I thought was in Lyle Simpson's, Mr. Simpson's
- 20 | testimony. And, to be quite frank, I can't remember the
- 21 | wording, but it said something to the effect of any future
- 22 involvement of those two individuals.
- 23 | I don't recall the answer that was given, but given
- 24 | that context of that document -- and I hope I'm still answering
- 25 | your question -- Dr. Savage-Rumbaugh was not at the facility,

- 1 | was not involved. So we simply -- I'm guessing what we simply
- 2 | would have answered or what I would have advised at the time was
- 3 | that Dr. Savage-Rumbaugh is not involved. So just say she's not
- 4 involved. She's living in New Jersey. That's what we all knew
- 5 to be the truth.
- 6 Q. Okay. And I don't think you answered my question, and I'll
- 7 ask respectfully again, because we're under time constraints, if
- 8 | you could focus on the question I asked.
- 9 A. I'm doing my best.
- 10 Q. Yesterday you testified that you didn't fill out that
- 11 | questionnaire, is that right?
- 12 A. I didn't fill out that answer.
- 13 Q. Today, just 30 seconds ago, we heard a little bit of
- 14 discussion about what you think they might have answered if you
- 15 | advised at the time. I want to be very clear. Did you fill out
- 16 | the questionnaire?
- 17 A. I filled out part of the questionnaire.
- 18 | Q. Did you fill out that question regarding the Rumbaughs?
- 19 A. To the best of my recollection, no.
- 20 Q. Do you know what the answer was given then by ACCI?
- 21 A. To the best of my recollection is the details I just gave
- 22 | you, which I think you're now telling me not to give you.
- 23 | Q. Dr. Tag, do you know who responded to that question in the
- 24 | questionnaire?
- 25 A. I don't off the top of my head. In all likelihood -- would

- 1 | you like me to speculate?
- 2 Q. I don't need you to speculate. Can you tell us here today
- 3 | who filled it out?
- 4 A. I don't know.
- 5 | Q. Did you ever see the answer to that question after it was
- 6 completed?
- 7 A. I probably did, and I don't know -- I don't recall it at the
- 8 time.
- 9 | Q. So you can't tell us here today what ACCI's response was to
- 10 | that question by Yerkes regarding the Rumbaughs' involvement in
- 11 | the research, correct?
- 12 A. With certainty I cannot.
- 13 | Q. Well, you can't tell us with any reasonable indication of
- 14 | what it might be; you don't know one way or the other?
- 15 A. Well --
- 16 | O. Correct?
- 17 A. I'm not trying to be difficult. No, that's not correct. I
- 18 | asked you earlier, you said the best thing you can ask me for is
- 19 my best recollection. I have a vague recollection of some
- 20 discussion of that answer. I wasn't the one who was responsible
- 21 | for preparing it.
- 22 I'm just trying to answer your question as honestly as
- 23 | I can. I mean --
- 24 Q. I appreciate that because we didn't hear that testimony
- 25 | yesterday, okay. So I want to be very clear. Yesterday you

- 1 | testified you didn't fill out the response to that question.
- 2 A. Yes.
- 3 Q. Okay. That was your testimony again here today?
- 4 A. Yes.
- 5 Q. You didn't fill it out?
- 6 A. Yes.
- 7 | Q. You don't know with certainty who did fill it out?
- 8 A. Yes.
- 9 Q. And you don't know if you ever saw it after it was filled
- 10 | out?
- 11 A. I'm sure I saw it after it was filled out because I'm sure
- 12 | someone sent it to me saying, are we okay to send these?
- 13 Q. So because the court didn't hear that testimony yesterday,
- 14 | could you tell us what the response said?
- 15 | A. With certainty I cannot tell you what the response said.
- 16 Q. Do you have any specific recollection of what this response
- 17 to this specific question said, Dr. Tag?
- 18 | A. I think I said that. I think that it probably said that
- 19 Dr. Savage-Rumbaugh wasn't there, was no longer with -- or
- 20 | wasn't with our organization and was living in New Jersey.
- 21 Q. So ACCI did assure Yerkes that the Rumbaughs -- Dr.
- 22 | Savage-Rumbaugh was not working at the Great Ape Trust?
- 23 | A. I don't see how that's an assurance. That's a statement of
- 24 fact.
- 25 Q. In fact, it's your impression that Dr. Sue Savage-Rumbaugh

- 1 being absent from the lab is a condition of Yerkes sending any
- 2 apes to the Great Ape Trust, isn't that correct?
- 3 A. That is not correct. But, if I may, I think that given that
- 4 | we've told them that, it would be quite -- you know, it might be
- 5 | an issue if we were to change, reverse our position on that.
- 6 Q. But, Dr. Tag, it was your impression that it was necessary
- 7 | for you to make that representation to them, isn't that correct?
- 8 A. I don't believe that is correct. It was a statement of
- 9 fact.
- 10 Q. Dr. Tag, did you give a deposition in this case?
- 11 A. Yes, I did.
- 12 Q. Did you promise to tell the truth in that deposition?
- 13 A. I did.
- 14 | Q. And you've had an opportunity to review your transcript
- 15 | after it was finished?
- 16 A. I have, yes.
- 17 | Q. There's a copy of your transcript on the ledge right there.
- 18 | I'm going to draw your attention to page 119 in your deposition
- 19 transcript.
- 20 A. Okay.
- 21 | Q. Are you at page 119?
- 22 A. I am.
- 23 | Q. Now, on page 119 you were asked:
- "Q. Has Yerkes conditioned any proposals or contracts
- or agreements with ACCI on Doctor Rumbaugh having no access

1 to the bonobos in Des Moines?

"A. Not formally, but initial discussions -initially there was a discussion between myself, Doctor
Hopkins, and members of Yerkes, say, executive staff or
whatever. At that time Doctor Rumbaugh had no involvement.

We made it clear to them that that was the case.

"Q. Was it necessary for you to make that clear to them?

"A. It was -- I was of the impression it was necessary."

Did I read that accurately?

12 A. You did.

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- 13 Q. So at the time of your deposition you had the impression
- 14 | that it was --
- 15 A. Apparently I did.
- 16 Q. Well, apparently at the time of your deposition?
- 17 A. That's what it says right here, it was, I was of the
- 18 | impression it was necessary.
- 19 Q. Is that true today? Is it necessary --
- 20 A. Didn't I just answer the question?
- 21 Q. I'm sorry?
- 22 A. I just answered that question.
- 23 | Q. I'm asking, is it true today that Doctor -- that it's
- 24 | necessary that you made clear to Yerkes that Dr. Savage-Rumbaugh
- 25 be banned from the lab as a condition of them sending any

- 1 | chimpanzees or working with the Great Ape Trust?
- 2 A. Can you restate?
- 3 Q. Sure. Is it true that it's necessary for ACCI to explain to
- 4 Yerkes that Dr. Sue Savage-Rumbaugh has been banned from the lab
- 5 as a condition for Yerkes working with ACCI?
- 6 A. I would say that if Dr. Savage-Rumbaugh were to be involved
- 7 at this point, I would need to explain something to Yerkes.
- 8 | Conversations with Yerkes have evolved since this deposition was
- 9 taken, and so that may have changed my opinion of what my
- 10 impression is today, you know, sort of post hoc, if you will, I
- 11 guess.
- 12 Q. Let's talk about that. We didn't hear that during your
- 13 direct examination. What conversations have you had with
- 14 Yerkes?
- 15 | A. So, to give some context if I may, I don't want to prolong
- 16 anything unnecessarily, we approached Yerkes at a time I can't
- 17 remember now to bring chimpanzees to the facility. Quite
- 18 | frankly, we did that because there's an empty building that is
- 19 far superior than any building Yerkes has to offer any ape, as
- 20 well as this large outdoor eight-and-a-half acre yard. We
- 21 | wanted -- these are chimpanzees I've worked with since 2004. I
- 22 know them. I know them as individuals. I don't like where they
- 23 | live. I don't like a lot of other things about where Yerkes --
- 24 Q. And, Dr. Tag, I apologize --
- 25 A. That's okay.

- 1 | Q. -- I hate to interrupt. For the purposes of moving forward,
- 2 | my question was, you gave an answer during your deposition, you
- 3 | just explained to the court that apparently that was your
- 4 | impression then?
- 5 A. Right.
- 6 Q. You've just testified there's been conversations with Yerkes
- 7 between January of this year and as we sit here today. This is
- 8 | a very important issue. What are those conversations that
- 9 | you've had with Yerkes since then?
- 10 | A. What I was trying to get to is that we've had conversations
- 11 | with Yerkes -- I don't know exactly how many, but there have
- 12 been more than two -- face-to-face meetings discussing the
- 13 possibility of bringing chimpanzees to our facility in
- 14 Des Moines and housing them in that building.
- $15 \mid Q$. Is it still your impression that as a condition of them
- 16 doing that that it's necessary for Dr. Sue Savage-Rumbaugh to
- 17 | not be in the lab?
- 18 A. Yes.
- 19 Q. Okay. Now, let's talk about when you were voted onto the,
- 20 what we now know as the IPLS board. Subsequently that became
- 21 | the ACCI board, correct?
- 22 | A. I'm sorry, could you restate? I didn't hear the first part
- 23 of the question.
- 24 | Q. Let's just talk about when you were voted onto the IPLS
- 25 | board. We've now seen that was the IPLS board and that

- 1 | subsequently became the ACCI board, is that right?
- 2 A. I don't know -- I didn't have any knowledge I was on that.
- 3 | Q. You don't even know what you were voted onto, is that
- 4 | correct?
- 5 | A. I thought I was voted onto the ACCI board.
- 6 Q. And that turned out to be incorrect?
- 7 A. I'm not sure it turned out to be incorrect.
- 8 Q. Okay.
- 9 A. There may be a document just like this one that has ACCI on
- 10 | it.
- 11 | Q. It is true that IPLS no longer exists, correct?
- 12 A. It is true that IPLS was dissolved by the Attorney General
- 13 of the State of Iowa.
- 14 Q. My question was slightly narrower, Dr. Tag.
- It's correct that IPLS no longer exists, correct?
- 16 A. Sure. In a legal sense, I guess. I don't know. I'm not an
- 17 attorney.
- 18 | Q. Well, you've stated it. You've said as much, IPLS no longer
- 19 exists, correct?
- 20 A. When did I say -- I'm unsure when I may have said that.
- 21 | Could you give me context of when I said that?
- $22 \mid Q$. I would be happy to. Let me ask you today, is it your
- 23 | testimony that IPLS does exist?
- 24 | A. My understanding now, again, not being an attorney, is
- 25 | that -- which IPLS can be retroactively restarted because once

- 1 this sort of lien is paid. In fact, we've had now discussions
- 2 among the ACCI board and that subset of individuals as to how
- 3 | that actually would happen when finances permitted.
- 4 Q. But as we sit here today, IPLS no longer exists, correct?
- 5 MR. MILLER: Your Honor, I'm going to object that he's
- 6 | both asked and answered and calling for a legal conclusion. The
- 7 | witness has testified, and it's clear from the record, he's not
- 8 a lawyer.
- 9 THE COURT: I will accept his lay opinion.
- 10 A. It's been dissolved. To me, if I no longer exist, I can't
- 11 | be recreated. My understanding now is that IPLS can be
- 12 reconstituted or whatever because -- so, I guess, that would be
- 13 my --
- 14 Q. And I appreciate that, but neither the court nor I am
- 15 | interested in metaphysics right now.
- Is it correct that IPLS no longer exists?
- 17 A. Yeah. I thought I just said that. I was using the analogy,
- 18 | but yes.
- 19 Q. Okay. I'm going to go ahead and draw your attention to
- 20 Exhibit 105.
- 21 A. Okay.
- 22 Q. If you can look at your exhibit binder.
- 23 A. The big one here?
- 24 Q. Yes, it is.
- 25 | A. All right. I apologize trying to get to these pages.

- Okay. I'm back.
- 2 Q. Okay. Exhibit 105 is an e-mail from you to the directors of
- 3 | the board, correct?
- 4 A. Yes, it is.
- 5 Q. Dated March 14, 2014?
- 6 A. Yes, it is. I will note that it's an e-mail that was
- 7 | forwarded apparently from Dr. Savage-Rumbaugh to Dr. Bill
- 8 | Zifchak and a couple of other folks. It's not my original
- 9 e-mail.
- 10 Q. Correct. And that's not something that we're interested in
- 11 today.
- 12 | A. Okay.
- 13 Q. But the body of the e-mail on that first page is from you,
- 14 | correct?
- 15 | A. It looks like it's from me, but since it's forwarded, I
- 16 can't verify the entire contents of it.
- 17 Q. Let's take a look at your e-mail address --
- 18 | A. Yeah, that's --
- 19 Q. jtaqlialal@kennesaw.edu, is that your e-mail address?
- 20 A. Yes, it is.
- 21 | Q. Do you have any reason to believe you did not write this
- 22 e-mail on March 14, 2014?
- 23 A. To be frank, over the past year-and-a-half, there has been
- 24 | some shady things that have occurred involving members of
- 25 | Dr. Savage-Rumbaugh's counsel, and I'm concerned that perhaps

- 1 | somewhere in this document something I wrote has been
- 2 | misrepresented. I mean, that would be my only concern.
- 3 Q. You think the document has been altered?
- 4 A. I'm not saying it has. I'm just saying I would be concerned
- 5 | it might have, if I can't verify that. If it came from my
- 6 e-mail address and it was electronically out of my mailbox, I
- 7 | would certainly be obvious to verify it.
- 8 Q. Do you have any reason to believe as we sit here today that
- 9 | it's been altered?
- 10 A. I don't have any specific reason to believe that, no.
- 11 Q. As you see in the top right-hand corner, this was a document
- 12 | that was introduced during your deposition.
- Do you remember that?
- 14 A. I don't remember it specifically, but --
- 15 Q. Do you see that exhibit stamp?
- 16 A. Yeah.
- 17 Q. In fact, it's Exhibit 1?
- 18 | A. Okay.
- 19 Q. Do you recall raising any concerns about the document being
- 20 | altered during your deposition?
- 21 A. I mean, I would have to check my deposition testimony. I
- 22 remember raising a concern about some document that was
- 23 | forwarded as well. I don't recall if it was this one or not,
- 24 | for the same reason. I'm just -- I'm not going to say anything
- 25 else.

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              MR. STAMBAUGH: Your Honor, at this time I would move
    to admit Exhibit 105 into evidence.
 2
 3
                                   (Defendants' Exhibit 105 was
                                   offered in evidence.)
 4
 5
              MR. MILLER: Your Honor, objection; lack of
    foundation, also it includes hearsay.
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 7
              THE COURT: 105 is received, subject to the objection.
                                   (Defendants' Exhibit 105 was
 8
                                   received in evidence.)
 9
10
   BY MR. STAMBAUGH:
    Q. I'm going to go ahead and publish Exhibit 105, Dr. Tag.
11
12
    started this discussion with the question of whether IPLS exists
13
    any longer.
14
       Uh-huh.
   Α.
15
    Q. I'm going to draw your attention to the second full
16
   paragraph in your e-mail to the directors.
17
   A. Uh-huh.
18
    Q. In the second-to-the-last sentence or the last sentence of
19
    that second paragraph you state, "Moving forward, IPLS will no
20
    longer exist."
21
              Do you see that?
22
   Α.
       Yes.
       And you wrote that as of March 14, 2014?
23
24
   Α.
       Yes.
25
       And you were truthful at the time?
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- 1 | A. Yes. And the reason I wrote that is because we were
- 2 | talking -- this was not a legal document and I'm not an
- 3 attorney, and this was a matter of a branding issue. Go do an
- 4 Internet search for Great Ape Trust or IPLS and you get all
- 5 | sorts of things that are -- you know, we needed to move past
- 6 that in order to gain some scientific credibility. That is, you
- 7 | know, what I intended in writing this document. I certainly had
- 8 no legal -- or never tried to do that.
- 9 Q. Let's go ahead and talk about this document for a bit.
- 10 A. Sure.
- 11 Q. You wrote it to both the board members of both ACCI and BHI,
- 12 | correct?
- 13 A. That appears to be correct, yes.
- 14 Q. The first sentence says, "It is with great pleasure that I
- 15 | provide you with an update regarding the Iowa Bonobo family," is
- 16 | that correct?
- 17 A. Yes.
- 18 | Q. In fact, Dr. Tag, you hadn't communicated with any BHI board
- 19 members for approximately four months before that?
- 20 A. I think that would be incorrect. I had conversations over
- 21 | the phone with Dr. Savage-Rumbaugh in January, if I'm not
- 22 | mistaken. I spoke with Mr. Zifchak over the phone I believe in
- 23 | February or March, called me at my home.
- 24 | Q. Is Mr. Zifchak a member of the BHI board?
- 25 A. No, but I'm -- okay. Sorry. Not to my knowledge, he is not

- 1 a member of the BHI board.
- 2 | Q. What are you referring to when you say "the Iowa Bonobo
- 3 family"?
- 4 A. I'm referring to the bonobos that lived in residence at the
- 5 | facility at the time.
- 6 Q. You talk about making monthly trips to Des Moines. What did
- 7 | you mean by that?
- 8 A. I travel to Des Moines on a monthly basis.
- 9 Q. It was your testimony on direct examination that you spend
- 10 approximately six days a month, per month at the facility, is
- 11 | that right?
- 12 A. Since December of 2013, that's correct.
- 13 Q. How many days did you spend in January of this year at the
- 14 | facility?
- 15 | A. I would have to check that record. I don't have that in
- 16 | front of me. Basically I use my credit card because I use
- 17 | American Express for everything and I look at charges that are
- 18 | in Des Moines. I don't have specific recollection of my travel
- 19 dates for January of this year.
- 20 Q. You don't keep a log of your research that you do at the
- 21 | facility by date?
- 22 A. I do. That's what -- I would verify it in those ways, sure.
- 23 | Q. You just told me that you verify it by reference to your
- 24 | credit card receipts?
- 25 A. Well, unfortunately, there are days when I'm in Des Moines

- 1 | that I'm not able to be at the facility, such as being in
- 2 | federal court.
- 3 | Q. Well, this is the first time you've been in federal court
- 4 over these bonobos?
- 5 A. It is the first time I've been in federal court at all, but
- 6 | I also --
- 7 | Q. Now, you mentioned that you have 420 e-mails in your inbox,
- 8 | that you spend 35 hours a week, I believe --
- 9 A. That's correct.
- 10 Q. -- working on these bonobos?
- 11 A. Working on ACCI, yes.
- 12 Q. But yet you've only had time to respond to BHI requests to
- 13 | visit until you had to come here today and answer to the court
- 14 regarding the proceedings in this case?
- 15 | A. Can you restate the question?
- 16 Q. Sure. How many visits by BHI board members have there been
- 17 | in the last 18 months? I counted two.
- 18 | A. Yes, off the top of my head, I believe it's two as well.
- 19 Q. Okay. We heard Dr. Laurent Dubreuil's description, four to
- 20 | five hours last fall, is that right?
- 21 A. That was -- yes.
- 22 | Q. And Dr. Derek Wildman's visit in March of this year?
- 23 A. I think he said April, I think he's right.
- 24 Q. In April of this year?
- 25 A. Yes.

- 1 Q. Okay. So during the 35 hours that you spend a week on these
- 2 | animals, there wasn't time to allow any other BHI board members
- 3 to visit the facility, is that right?
- 4 A. Well, I spend six days a month approximately, and given that
- 5 this is the subject of a, whatever we call this, lawsuit, I felt
- 6 | it was important to be present when these visitations were
- 7 occurring. As Dr. Wildman testified yesterday, we go back and
- 8 forth for scheduling per the normal discussions that any two
- 9 professionals would come about and settle upon a date. Dr. Coxe
- 10 and I did the same thing with I think less than two e-mails.
- 11 Q. That's right. And you settled on a date with Dr. Dubreuil,
- 12 | right?
- 13 A. I did, and I changed that date.
- 14 Q. And you cancelled it?
- 15 | A. I postponed it.
- 16 Q. Right?
- 17 A. I did, yes, I testified to that.
- 18 | Q. And now you've allowed, for instance, Mr. Roffman to visit
- 19 the facility after we're here in court for this proceeding, is
- 20 | that correct?
- 21 A. I've allowed him to -- no. He hasn't requested to visit
- 22 before.
- 23 | Q. Has anyone else at BHI requested to visit before?
- 24 A. Oh, and actually I want to correct something. I just
- 25 | remembered a third -- Dr. Sally Coxe visited last June after the

- 1 | joint meeting.
- 2 | Q. And Dr. Sally Coxe has requested to visit again?
- 3 A. And she will be there tomorrow.
- 4 Q. Tomorrow?
- 5 A. Yes.
- 6 Q. After this proceeding is over?
- 7 A. Yes. In time, tomorrow is after today, so, yeah, it will be
- 8 after.
- 9 | Q. Those are the only times that were available to you given
- 10 | your busy schedule?
- 11 A. No, that's not correct.
- 12 Q. Those are the only times you could agree upon given your
- 13 busy schedule, is that right?
- 14 A. Me and Dr. Coxe?
- 15 Q. She and any other BHI board member?
- 16 A. No. No, that's not true. Dr. Wildman visited in April.
- 17 | Q. We've got one in April, Dr. Tag?
- 18 | A. Yeah.
- 19 Q. We've got one last August, right?
- 20 A. Yeah.
- 21 Q. Pursuant to the settlement agreements, you may be aware BHI
- 22 co-owns these bonobos. Do you understand that?
- 23 A. I understand.
- 24 Q. Do you believe that ownership means access to these bonobos?
- 25 A. I believe that if ownership doesn't involve any inherent

- 1 risks associated with that access, then yes.
- 2 Q. It's your testimony to the court that you are going to
- 3 determine whether there are inherent risks when BHI, the
- 4 co-owners of the bonobos, ask to see them whether or not they'll
- 5 be allowed access?
- 6 A. I'm specifically referring to Dr. Savage-Rumbaugh. Everyone
- 7 else is welcome to access them, is welcome to visit the facility
- 8 and they can -- as long as they're willing to abide by our
- 9 | safety protocols, go wherever they want to go or as Derek
- 10 | Wildman testified yesterday, I did not restrict his access in
- 11 any way.
- But I'm telling you that I haven't had requests from
- 13 Dr. Roffman -- I'm sorry, from Mr. Roffman prior to whenever his
- 14 initial request came and we tried to settle on a date. Dr. Coxe
- 15 asked me to visit last June, I guess it was, and we settled on a
- 16 date very quickly, and she asked to visit again most recently
- 17 and we settled on a date very quickly. Dr. Wildman asked to
- 18 | visit and we settled on a date relatively quickly.
- 19 Q. There's been about three visits in about 18 months, correct?
- 20 A. Correct. And there's probably been four requests.
- 21 | Q. Okay. Dr. Wildman visited in April?
- 22 A. Yes.
- 23 | Q. He sent you a request or you talked about --
- 24 A. We talked about it.
- 25 Q. -- you sending him an e-mail in April.

- 1 A. Yes.
- 2 Q. It's been a month. You didn't have time to respond?
- 3 A. I haven't gotten back to him on it.
- 4 Q. Let's go ahead and talk about your appointment to ACCI.
- 5 A. Okay.
- 6 Q. And I want to bring up Exhibit 1005, which I believe has
- 7 already been admitted.
- 8 You can refer to the screen as well since we're
- 9 publishing.
- 10 Do you recall testimony regarding Exhibit 1005?
- 11 A. I do recall, yes.
- 12 Q. This is the letter of recommendation, correct?
- 13 A. Sure.
- 14 Q. From Dr. Sue Savage-Rumbaugh?
- 15 A. Yes, yes.
- 16 Q. Okay. And you relied on this letter?
- 17 A. Relied on this letter --
- 18 | Q. In terms of your appointment to ACCI, you relied on the
- 19 | statements in this letter?
- 20 A. I mean, yeah, I considered the statements very carefully.
- 21 Q. Okay. Let's go to page 2, the top of the second page --
- 22 and perhaps it's page 3.
- 23 Thank you.
- The top paragraph reads, "It is my hope that the
- 25 coming essential transition will proceed smoothly and rapidly.

- 1 | I also hope that, as appropriate, and under Jared's direction, I
- 2 | will continue to do some research with the bonobos, once the
- 3 | needed structural changes and funding are firmly in place."
- Did you rely on that statement, Dr. Tag?
- 5 A. I did. I relied on that statement and specifically it was
- 6 in conjunction with phone conversations that Dr. Savage-Rumbaugh
- 7 and I had.
- 8 Q. Has there been any planned research that's appropriate under
- 9 your direction for Dr. Savage-Rumbaugh?
- 10 A. Dr. Savage-Rumbaugh hasn't specifically submitted a research
- 11 | proposal or even written me any sort of correspondence
- 12 | requesting any research activity.
- 13 Q. Did you not plan on Dr. Savage-Rumbaugh having any
- 14 | involvement; you just didn't tell her in response to this
- 15 | e-mail?
- 16 A. I took that e-mail and what she stated in it and what she
- 17 | told me on the phone as what she intended.
- 18 | Q. And do you expect today that Dr. Savage-Rumbaugh will have
- 19 any involvement in the research going forward?
- 20 A. I think that, you know, we have grave concerns regarding
- 21 Dr. Savage-Rumbaugh's access to the apes because of specific
- 22 | safety concerns. If Dr. Savage-Rumbaugh wants to submit a
- 23 | research protocol and we are able to have a conversation and
- 24 | figure out what specifically she wants to do and we think we
- 25 | could do it in a safe way and have some assurance of her safety,

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- 8 Q. 30, yes. Please do refer to the hard copy if you want.
- 9 Go ahead and take a look at Exhibit 30 that's been
- 10 published.
- A. Okay. 11
- 12 (Discussion off the record.)
- 13 BY MR. STAMBAUGH:
- 14 Dr. Tag, do you have Exhibit 30 in front of you?
- 15 A. Yes, I do.
- 16 Q. This is an e-mail from Lyle Simpson copying you on July 1,
- 17 2013, correct?
- Yes, it appears that's correct. 18
- 19 Q. This is during the so-called transition period in which you
- 20 testified Dr. Savage-Rumbaugh was cocooned, correct?
- 21 A. Well, Dr. -- Mr. Simpson didn't mention that to me until
- what would be October of 2013. 22
- Q. But this is the time period when she would have been, quote, 23
- 24 cocooned?
- 25 A. I wouldn't be able to say. What I took Mr. Simpson to be

- 1 | saying was that, as of my arrival in approximately October of
- 2 | 2013, that she was, quote/unquote, cocooned.
- 3 Q. And was this part of this transition plan on July 1, 2013?
- 4 A. I don't know. I did receive this e-mail, and to be quite
- 5 | honest with you, I don't recall reading it very closely.
- 6 Q. Well, Dr. Tag, did you read the e-mails from Mr. Simpson?
- 7 A. You know, this is before -- I mean, I was a Bonobo Hope
- 8 | board member. If I read this e-mail, which I'm sure I may have
- 9 | skimmed through if I got it, you know, that's a very busy
- 10 research time, and it's possible that I didn't read very closely
- 11 | this e-mail, but I definitely received it. I'm not denying
- 12 | that. That's my e-mail address.
- 13 Q. We understand that you have been very busy. I can
- 14 | appreciate that.
- 15 How many peer-reviewed publications have been made by
- 16 ACCI since you took over as Director of Research?
- 17 A. I believe Mr. Miller asked that. It is zero.
- 18 Q. That is none?
- 19 A. That is none.
- 20 Q. Okay. Let's go back to this e-mail.
- 21 A. Yeah.
- 22 | Q. You don't know if you read -- do you have any specific
- 23 | recollection of reading this e-mail regarding the transition?
- 24 A. To be honest, no.
- 25 Q. Okay. Let's take a look at it.

- 1 Mr. Simpson says in the first paragraph, "We need Sue
- 2 and she must continue to have a vital role in the success of the
- 3 Trust."
- 4 Did I read that correctly?
- 5 | A. I wasn't following along, but I'm sure you did.
- 6 Q. Well, Dr. Tag, for purposes, again, of the court --
- 7 A. Okay.
- 8 Q. -- and people who are here today, let's go ahead and follow
- 9 along.
- 10 A. Okay.
- 11 Q. Take a look at the first paragraph.
- 12 A. First paragraph.
- 13 Q. Is it correct that Mr. Simpson wrote to others, including
- 14 you, in July of 2013 and said, "We need Sue and she must
- 15 | continue to have a vital role in the success of the Trust."
- 16 A. That is correct.
- 17 Q. Okay. Was that your understanding at the time that he wrote
- 18 | this e-mail to you, that Sue needed to have a vital role in the
- 19 success --
- 20 A. I don't recall anything about this e-mail.
- 21 | Q. So that's a no?
- 22 A. So that's -- that was not my understanding, I guess.
- 23 | Q. Okay. Mr. Simpson also wrote in the third paragraph, "We do
- 24 | not want Sue simply to step away. Neither the bonobos nor the
- 25 Trust can afford to have that happen."

1 Did I read that correctly?

- 2 A. You did.
- 3 Q. Do you remember seeing that in his e-mail when he wrote it?
- 4 A. No.
- 5 Q. So that was not part of your understanding at the time that
- 6 | you assumed the role as Director of Research, correct?
- 7 A. Yes.
- 8 Q. Okay. Dr. Tag, the question again that I have in my mind
- 9 | and that I would suspect that the court has is when you
- 10 | testified on direct that Dr. Savage-Rumbaugh would be no longer
- 11 involved in the facility, why weren't you paying attention to
- 12 | the settlement agreements, the e-mail from Dr. Savage-Rumbaugh
- 13 herself, and the e-mails we've seen from Mr. Simpson?
- 14 A. I mean, I think I can answer that very clearly. I was
- 15 | paying attention to the e-mail that you just showed me -- I
- 16 | forget the exhibit name right now, but I think it was written
- 17 | roughly late in October, or whatever that endorsement letter, as
- 18 | you put it, was, and you said the word "facility." Being
- 19 involved in the research and being involved in the facility are
- 20 two very different things. I have colleagues that are involved
- 21 | in our research that come and collect data. They don't have
- 22 | anything to do with the day-to-day operations of the facility.
- 23 | In that same e-mail you showed me from
- 24 Dr. Savage-Rumbaugh, she mentions things about having -- it may
- 25 have been another e-mail -- autonomy to run the program, take

- 1 care of the bonobos because you can't separate the bonobos from
- 2 | the research. That's what we were -- that's what my impression
- 3 | was. Between what Dr. Simpson -- excuse me, Mr. Simpson told me
- 4 and Dr. Savage-Rumbaugh told me, I was under the impression that
- 5 | we would have that autonomy. That was then in my mind affirmed
- 6 by resolution by both boards.
- 7 Q. I want to get this straight. There's a difference between
- 8 | the research and the facility, is that right?
- 9 A. There's a difference between running the facility and
- 10 operating the facility and doing the research, yes.
- 11 Q. So is it your testimony to the court today that
- 12 Dr. Savage-Rumbaugh can play a role in the research as long as
- 13 | it's not in the facility?
- 14 | A. I think I -- well, I'll just go back to the answer I just
- 15 | previously gave, which was about Dr. Savage-Rumbaugh, again, if
- 16 we can be assured that it can be done safely, it's consistent
- 17 | with the mission, that our IACUC approves it, then, yes, a
- 18 | research project would not be impossible I presume.
- 19 Q. But you told us this morning that you banned
- 20 Dr. Savage-Rumbaugh from the lab?
- 21 A. I don't think I said I banned her. I think you asked me --
- 22 or someone asked me if she continued to have no access, and I
- 23 | said yes, she does not have access.
- 24 | Q. She doesn't have access today, but you believe that she can
- 25 | be involved in the research at some point indeterminate in the

- 1 | future; is that your testimony here today?
- 2 A. That would be.
- 3 Q. If she can comply with Dr. Tag's research requirements?
- 4 A. If she can comply with the research requirements and safety
- 5 procedures and standard operating procedures of ACCI and get our
- 6 | IACUC to approve a research proposal and cover the cost of doing
- 7 such research, then yes.
- 8 Q. What if that is inconsistent with the settlement agreements?
- 9 A. I'm sorry?
- 10 | Q. What if your requirements on the research are inconsistent
- 11 | with the settlement agreements?
- 12 A. I don't understand. I don't understand how that would be
- 13 | the case.
- 14 Q. You don't understand how you could have requirements that
- 15 | are separate and distinct from the settlement agreements?
- 16 A. We have requirements that are mandated or dictated by the
- 17 | United States Department of Agriculture and basically the Office
- 18 of Laboratory Animal Welfare. So I don't think a settlement
- 19 agreement or supplemental agreement could force us to break the
- 20 law.
- 21 | Q. Do you believe that you need to operate consistent with both
- 22 the USDA requirements and the settlement agreements approved by
- 23 | this court?
- 24 | A. I believe I have to operate -- I'm a scientist, and my
- 25 expertise --

- 1 | Q. So you're not bound by the court's settlement agreements?
- 2 A. I imagine I would. I am.
- 3 Q. Okay.
- 4 A. I --
- 5 THE COURT: You're cross-talking. Let each other
- 6 | finish first.
- 7 BY MR. STAMBAUGH:
- 8 Q. Go ahead.
- 9 A. I don't know a situation that would arise with those two
- 10 | things would be in conflict with one another.
- 11 Q. That's because you haven't even read the settlement
- 12 | agreement?
- 13 A. No. I've read the settlement agreement.
- 14 Q. Dr. Tag, are there any plans to anesthetize the bonobos this
- 15 | year?
- 16 A. We have a plan to give them all health surveys, like those
- 17 described by Dr. Gilmore.
- 18 | Q. Does that include anesthetizing?
- 19 A. Yes, it does include anesthesia.
- 20 Q. Was Steve Boers dismissed as executive director of ACCI?
- 21 A. Steve Boers, to the best of my recollection, resigned. But
- 22 | that's --
- 23 | Q. I'm sorry?
- 24 A. Steve Boers, to the best of my recollection, resigned.
- 25 Q. Do you know why he resigned?

```
1
       Well, I think I do. He was --
 2
              MR. MILLER: Your Honor, I'm going to -- excuse me,
    Your Honor. I'm going to object. May we approach for a moment,
 3
    Your Honor, to discuss an issue with you?
 4
 5
              THE COURT: I'm sorry, I've lost you. What was the
 6
    question again?
 7
              MR. MILLER: We're getting into a line of questioning
 8
    regarding Steve Boers and his employment relationship with the
    entity, and I would like to explain to you perhaps off the
10
    record at a side-bar why I have concerns about that, and then if
11
    you think it's appropriate, we would proceed obviously.
              THE COURT: Well, have we heard anything previously
12
13
    that he was an employee?
14
              MR. MILLER: Yes, he was previously an employee.
15
              THE COURT: Okay. What do we need to ask about other
16
    than the fact that he was an employee?
17
                              The reasons for his resignation, Your
              MR. STAMBAUGH:
18
    Honor, to the extent Dr. Tag knows about it.
19
              THE COURT: Oh --
20
              MR. STAMBAUGH: Your Honor, I'll move on.
21
              THE COURT: All right.
22
   BY MR. STAMBAUGH:
23
        So, Dr. Tag, we saw a document today that was an amendment
24
    to what was previously marked as Exhibit 1006, and I want to go
25
    ahead and have you take a look at Exhibit 1006.
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- 1 And let's bring that up.
- 2 A. Is that in here as well (indicating)?
- 3 Q. We're going to put it up on the screen. I believe the
- 4 exhibit would have the old version of 1006.
- 5 A. All right.
- 6 Q. Dr. Tag, are you aware that the revised version was given to
- 7 us three days ago?
- 8 A. I'm not aware.
- 9 Q. Are you aware that it was given to us literally the night
- 10 before this proceeding?
- 11 A. This document here, I'm not aware of that.
- 12 Q. Okay.
- 13 A. But you stated that earlier.
- 14 | Q. I'm actually going to have you take a look at Exhibit 1006
- 15 | in the binder, which will be the last exhibit, the big binder --
- 16 A. The last exhibit?
- 17 Q. -- the one that we've been looking at, correct.
- 18 | A. I'm sorry. The numbers go from 1 to 100 in here. That's
- 19 | why I ask.
- 20 Q. Oh, I apologize. It will be in the smaller binder, the 1000
- 21 exhibits?
- 22 A. Okay. I'm there.
- 23 | Q. Okay. Go ahead and take a look at what was previously
- 24 | provided to us as 1006 and compare it to this new document on
- 25 | the screen.

- 1 A. Okay.
- 2 Q. Do you know what the differences is?
- 3 A. Yeah. There were three additional protocols listed on the
- 4 new document.
- 5 Q. And those were added three days ago, correct?
- 6 A. No. They were added whatever the date of approval would be.
- $7 \mid Q$. So that would be March 1, 2015 for two of them and then
- 8 April 23 of 2015?
- 9 A. Apparently.
- 10 Q. Why wasn't that provided to BHI prior to three days ago?
- 11 MR. MILLER: Your Honor, objection. I'm going to
- 12 object on the basis of relevance. I'm going to further object
- 13 | because this counsel is aware or should be aware this court
- 14 previously entered an order whereby the parties agreed there
- 15 | would be no written discovery in this case, and we agreed
- 16 between us that we would share documents. We have done so. Now
- 17 he's cross-examining my witness based on an allegation that he
- 18 | failed to fulfill a voluntary agreement to exchange documents.
- 19 | I think that's highly inappropriate.
- 20 MR. STAMBAUGH: Your Honor, may I be heard briefly?
- 21 THE COURT: Certainly.
- MR. STAMBAUGH: As Your Honor knows, a main theme in
- 23 this case is the information that was given to BHI. Dr. Tag
- 24 testified to that on direct examination, and I believe his
- 25 | testimony was that he was willing to give any information at any

- 1 | time to BHI board members. My question goes directly to that
- 2 issue.
- 3 THE COURT: I'm going to receive it, subject to the
- 4 objection.
- 5 BY MR. STAMBAUGH:
- 6 Q. Dr. Tag, why didn't you give these three protocols to
- 7 anybody at BHI prior to three days ago?
- 8 A. Well, I didn't give them to BHI three days ago. I guess
- 9 | you're asking me why, when this table was updated, wasn't an
- 10 update sent to BHI. I guess to be quite honest, you know, that
- 11 | would have come up in one of our periodic reviews of what we're
- 12 doing, who is doing what; but as I said, this has been a
- 13 particularly busy time and I guess we just didn't get around to
- 14 | it.
- 15 Q. Dr. Tag, to be fair --
- 16 A. Yeah.
- 17 Q. -- the court has now heard you mention on a number of
- 18 | occasions, we understand that you're very busy at the lab, that
- 19 you're too busy to respond to Dr. Wildman's e-mail, you're too
- 20 | busy to have actually gone through the scheduled appointment
- 21 | with Dr. Dubreuil, and you're too busy to simply send another
- 22 | piece of paper to BHI prior to your counsel providing it three
- 23 | days ago?
- 24 A. If I may, I am that busy because I have two full-time jobs,
- 25 one of them I don't get paid for, which is this job; but leave

- 1 | that all aside, so, I mean, I'll put my schedule up against
- 2 | anyone's in this room to be quite frank. With that said --
- 3 Q. You might get taken up on that offer.
- 4 A. With that said, with that said, you're bringing up three
- 5 | instances. I postponed Dr. Dubreuil's appointment because I was
- 6 | meeting with my attorney to prepare for this hearing. I did not
- 7 respond to an e-mail from Dr. Wildman. I didn't send him
- 8 something that we spoke about, and perhaps that was not a
- 9 reasonable amount of time, perhaps it was. He told me he was
- 10 going to do a paternity test on Kanzi. I didn't have that -- he
- 11 hasn't gotten back to me on that either. So, you know, I didn't
- 12 | send an update. It's only been a couple -- it hasn't even been
- 13 | three months. If an update had been requested, I would have
- 14 sent one.
- 15 | Q. This is the research, though, Dr. Tag, correct?
- 16 A. Yes.
- 17 Q. This is what BHI is interested in, correct?
- 18 A. I have no idea what BHI is interested in.
- 19 Q. I agree with that statement. And despite their requests,
- 20 | this is the first time, literally the night before this hearing
- 21 | began, that we saw these research proposals. Can you explain
- 22 | that to the court?
- 23 | A. That's a misrepresentation. I'm sorry, Counsel.
- 24 | Q. The first time that anyone was aware of these three
- 25 protocols --

- 1 | A. They've only been approved since March.
- 2 Q. Correct.
- 3 A. And a document was provided with the other research
- 4 proposals.
- 5 Q. Three days ago.
- 6 A. No. I'm sorry, I thought this document (indicating) --
- 7 Q. Oh, the previous proposal.
- 8 A. Yeah, yeah, yeah.
- 9 Q. Correct, correct, that one page was given.
- 10 You can't explain why these weren't given to BHI?
- MR. MILLER: Your Honor, objection. This has been
- 12 | asked and answered. He's badgering.
- THE COURT: We'll ask one more question on this
- 14 subject.
- 15 BY MR. STAMBAUGH:
- 16 Q. Dr. Tag, can you explain why BHI board members were not
- 17 given any details on these three protocols until three days ago,
- 18 despite it starting in March?
- 19 A. I quess we've been too busy to send an update. If an update
- 20 | had been requested, it would have been sent.
- 21 Q. Okay. Let me ask a few questions about this update version.
- 22 I'll make the representation that I have compared them, and it
- 23 appears that the only change, other than the addition of these
- 24 | three protocols, is the addition of a particular research
- 25 | assistant, is that right?

- 1 A. I'm sorry, that's correct, yes, that's a change.
- 2 Q. Is that Sara Skiba?
- 3 A. Yes.
- 4 Q. Who is Sara Skiba?
- 5 A. She's our research coordinator.
- 6 Q. For ACCI?
- 7 A. Yes.
- 8 Q. Does she work with you?
- 9 A. She does.
- 10 Q. Does she work with you in Georgia?
- 11 A. She will actually. She was recently, I think -- my
- 12 | recollection now is February -- was hired as a research
- 13 | coordinator. I believed I described the details of that
- 14 position earlier. She graduated from the University of
- 15 | Wisconsin, Madison, did an internship at the Lincoln Park Zoo in
- 16 | Chicago, moved here on or around mid to end of February of 2015,
- 17 began working as research coordinator for us and will begin
- 18 | graduate school at Kennesaw State under my direction in -- I
- 19 guess in August.
- 20 Q. So apparently she is an undergraduate student?
- 21 A. No. She's a postgraduate student would be the technical
- 22 term.
- 23 Q. Is she a Ph.D. candidate?
- 24 A. She is not a Ph.D. candidate.
- 25 Q. She's not yet in graduate school?

- 1 A. That's correct.
- 2 | Q. Dr. Tag, do you ever recall telling a reporter that you were
- 3 going to start from scratch at ACCI?
- 4 A. I don't recall that; but that sounds like something I might
- 5 | have said.
- 6 Q. Why would you have said that you were going to start from
- 7 | scratch?
- 8 | A. Well, from a branding perspective -- I've had a lot of
- 9 opportunity to talk to people in this community about the
- 10 | facility, educators, people that could give us money,
- 11 philanthropists, and they are extremely sour, for lack of a
- 12 better word, on the organization, the facility, just the
- 13 prospect of all aspects of the bonobos being in Iowa. And that
- 14 | I guess is sort of my, although I'm not an expert in branding is
- 15 | my sort of way of saying no, no, we're going to do things --
- 16 | we're taking a fresh start maybe is a better way to say it.
- 17 Q. Fresh start from Dr. Savage-Rumbaugh's research?
- 18 A. No. I don't remember the context that I told this reporter,
- 19 but it would not be that. It would be more of an operational
- 20 standpoint.
- 21 | Q. Would it refresh your recollection if I showed you a copy?
- 22 A. Sure. That would be great.
- 23 MR. STAMBAUGH: Your Honor, may I approach?
- 24 THE COURT: You may.
- 25 BY MR. STAMBAUGH:

- 1 | Q. Is it true that you told a reporter in August 2014 that you
- 2 | were going to start from scratch?
- 3 A. Again, it looks like I did.
- 4 Q. Dr. Tag, do you know what a Form 990 is, an IRS Form 990 is?
- 5 A. Not off the top of my head, sorry.
- 6 Q. Do you know if ACCI has filed a Form 990 for the years 2013
- 7 or 2014?
- 8 A. I do not know. That's beyond my pay grade.
- 9 MR. STAMBAUGH: Your Honor, one moment, please.
- 10 (Pause.)
- 11 BY MR. STAMBAUGH:
- 12 Q. Dr. Tag, have you ever read the December 2012 resolutions
- 13 regarding the role of BHI and the oversight of the science?
- 14 A. I don't specifically recall them, but maybe looking at them
- 15 | might --
- 16 Q. You don't recall as you sit here today --
- 17 A. No.
- 18 | Q. -- reading about the role of BHI when that board was
- 19 | created?
- 20 A. I don't.
- 21 Q. What sort of data do you collect in the lab today?
- 22 A. Are you referring specifically to ACCI or my research
- 23 | program in general?
- 24 Q. Correct, ACCI. You mentioned you're there about six days a
- 25 month?

- 1 A. Yes.
- 2 Q. You said you collected data?
- 3 A. On occasion.
- 4 Q. I'm sorry?
- 5 | A. I said on occasion because I'm also doing other
- 6 administrative things, but yes.
- 7 Q. All right. You mentioned, in fact, that you were so busy as
- 8 | we've heard to respond to e-mails, to schedule appointments,
- 9 | that you clean the cages; that was your testimony?
- 10 A. That I was so busy that I cleaned the cages? I was cleaning
- 11 | the cages because we needed help in that area. So, yes, I do
- 12 that.
- 13 Q. Despite having the busiest schedule of anyone in this room?
- 14 A. Well, to be quite frank, you cannot answer an e-mail, but
- 15 | you cannot clean a cage, so I'm triage.
- 16 Q. How often do you clean the cages?
- 17 A. It doesn't happen very often.
- 18 Q. So let's go back to my original question. What sort of data
- 19 have you been collecting the last 18 months?
- 20 A. So the data that we've collected is we take two forms. It's
- 21 | all behavioral data. It's either observational behavioral data
- 22 or experimental behavioral data, okay. Observational behavioral
- 23 | data usually involves setting up some sort of scenario, okay.
- 24 | For example, we're doing a study looking at social grouping and
- 25 communication as it relates to sort of a feeding patch size. So

we would distribute, let's say, some food and then we would let the apes into the area, in their outdoor yards, for example, and we videotape it and take real-time pen and paper data. So I would describe it as an observational study.

Experimental behavioral data is collected. You present the ape with a scenario and they have to make a selection. So, for example -- we don't do this, but just to give you a very simple example, you have three, you know, cups or something and one of them is labeled each with a lexigram, and you would, say, hide a food under one and you ask the ape to find it or something like that. They're often videotaped, and we often take real-time pen and paper data as well.

The final data we collect are computer-based data, so the ape is usually given a computer game, for lack of a better way to explain it, and they do that and actually the computer logs the data of those times.

- Q. Where do you keep all of this data?
- A. So the behavioral data, the observational study data is stored on videotape, obviously, and then it's scored back in my lab in Kennesaw. There's also pen and paper data, which is kept in notebooks, and then it's transferred to digital -- some individual cataloging. Sometimes it's Excel. Sometimes it's a software program called Bentel. It's like a -- I can't think of the term -- a database program, and then the computer data logs itself, and we, again, keep that on hard drives.

- 1 | Q. How many in-person meetings has ACCI had since July of last
- 2 | year?
- 3 A. In person?
- 4 Q. Correct.
- 5 A. One in October, we had one in December, and I can't
- 6 remember -- we have had at least two conference calls, but I
- 7 | don't remember off the top of my head an in-person one, but
- 8 | I'm -- so at least two in-person meetings.
- 9 | Q. I'm sorry, you said just a second ago at least two
- 10 | conference calls.
- 11 | A. No, no. I'm sorry. At least two in-person meetings; one
- 12 in, to the best of my recollection, October and one in December,
- 13 | and then at least two additional, sorry, conference calls.
- 14 Q. So you think there's been two in-person meetings over the
- 15 | last nine months of ACCI?
- 16 A. That is my recollection as of this moment in time.
- 17 Q. You mentioned earlier something about, was it a scientific
- 18 | advisory board?
- 19 A. Scientific advisory panel, yes.
- 20 Q. Panel. How many times have they met in the last year?
- 21 A. We have not met as a group. Individuals have come and
- 22 | visited, but we haven't met as a group.
- 23 | Q. You have never met together?
- 24 | A. That is my recollection, we've never met as a group
- 25 together.

- 1 Q. But you consider it a panel?
- 2 A. I consider it a panel.
- 3 Q. Do you have any minutes of any proposed meetings or --
- 4 A. No, we do not.
- 5 Q. -- or strategic plans?
- 6 A. We have a strategic plan to bring, again, you know -- if you
- 7 | will, 30 seconds of indulgence -- when we came on board, they
- 8 | were literally, you know, tens of thousands of dollars in the
- 9 | hole. The lights were going to be shut off. We've done
- 10 | considerable efforts to reverse that course. We have a plan, a
- 11 strategic plan hopefully as soon as the end of the summer to
- 12 bring those individuals here for a face-to-face sort of
- 13 | conference.
- 14 Q. Have you produced this written strategic plan?
- 15 | A. There may be a file on my computer somewhere with some
- 16 notes. I've discussed it with members of the panel. In
- 17 particular certain individuals have visited.
- 18 | Q. So there's not actually a formal written plan?
- 19 A. No. There is no formal written plan, that is correct.
- 20 Q. And the scientific advisory panel has never actually met?
- 21 | A. The scientific advisory panel has never actually met in
- 22 person all as a group.
- 23 | Q. Let's talk about funding and trying to keep the lights on.
- 24 | You spoke with Mr. Miller about numerous grants that you were
- 25 | looking forward to?

- 1 A. Yes, sure.
- 2 Q. How much money has come into ACCI from those grants for the
- 3 | last 12 months?
- 4 A. I don't have that number off the top of my head.
- 5 Q. You can just give an approximation.
- 6 A. Considering we've been paying staff off of those grants
- 7 | since last fall, I'm going to guess that portion would be
- 8 | somewhere around 100, 150 thousand. We've gotten at least --
- 9 | I'm sorry, I wasn't prepared for this question -- perhaps
- 10 another 35 to 45 in collaborator per diems, which I described
- 11 earlier. I, myself, have gotten some pilot grants and bridging
- 12 | funds that are probably in the neighborhood of 30, and then
- 13 | we've had private donations, which I've not had the opportunity
- 14 to add up; but I would still say it's probably under \$30,000, in
- 15 | the neighborhood, and then corporate sponsorships which are
- 16 probably in the neighborhood of around 10 to 20 thousand right
- 17 | now.
- 18 Q. Funding is important, correct, Dr. Tag?
- 19 A. Funding is very important.
- 20 | Q. But you can't tell us exactly how much ACCI has received?
- 21 A. No, I can't tell you that.
- $22 \mid Q$. And the research is important, correct, Dr. Taglialatela?
- 23 A. Yes.
- 24 | Q. But there haven't been any peer-reviewed publications since
- 25 | you've been in charge?

- 1 A. Well, there haven't been any peer-reviewed publications.
- 2 Q. Correct.
- 3 A. There have not.
- 4 Q. The scientific advisory panel you believe is important,
- 5 | correct?
- 6 A. I think it's important.
- 7 Q. But they've never met?
- 8 A. No, but individuals have been in contact with us and
- 9 visited. They haven't met as a group.
- 10 | Q. I want to talk a little bit about Matata's death.
- 11 A. Yes.
- 12 Q. Is it true that Liz Pugh was not permitted access to the lab
- 13 24 hours before Matata died?
- 14 A. That is not my recollection, no.
- 15 | Q. You testified that -- did you testify that you were there
- 16 | the day before Matata died?
- 17 A. No, I was not there the day before Matata died.
- 18 | Q. Were you at the lab the day that she died?
- 19 A. I was not.
- 20 | Q. Do you know if Matata was playing outside the day before she
- 21 | died?
- 22 | A. My understanding she was and I saw a video to that effect.
- 23 | Q. Is it possible that Matata died because of something she ate
- 24 | outdoors?
- 25 A. I'm not a veterinarian. I have no idea.

- 1 Q. Do you know if it's possible?
- 2 A. I do not know if it's possible. I would imagine it's not
- 3 possible.
- 4 Q. Even though you're not a veterinarian?
- 5 A. Even though I'm not a veterinarian. You asked me my
- 6 opinion.
- 7 Q. You testified about the bonobos foraging all day?
- 8 A. No, not all day, but they do forage during the course of the
- 9 day.
- 10 Q. And that's important?
- 11 A. I think that's very important.
- 12 Q. Have you had the water toxicity evaluated since you were
- 13 | appointed Director of Research?
- 14 A. I don't understand what you mean by the water. What water?
- 15 Q. The water that's outside, the groundwater.
- 16 A. The groundwater. In the pond?
- 17 Q. In the pond, in the area. Have you seen it rain in
- 18 | Des Moines?
- 19 A. You mean when there's puddles, have I tested the water
- 20 | that's in the puddles?
- 21 Q. Have you tested the groundwater?
- 22 A. I don't know what the term "groundwater" means, and I'm a
- 23 | Ph.D. with no biology behavior. I don't know what groundwater
- 24 is.
- 25 | Q. Do you understand that there's water under the ground?

- 1 A. I understand that there is water under the ground. I have
- 2 | not tested the water under the ground.
- 3 Q. Wonderful. Have you tested the pond water?
- 4 A. I have not tested the pond water. The apes have no access
- 5 to the water under the ground nor the pond. The apes drink
- 6 | water as it comes out of the tap that I just drank a couple of
- 7 cupsful.
- 8 Q. Dr. Tag, do you know who Heather Housh is?
- 9 A. I do.
- 10 | Q. Is it correct that Heather has worked and cared for the
- 11 | bonobos for a long time?
- 12 A. It's my understanding she's been there for at least a year,
- 13 | but I think more.
- 14 Q. Are you aware that she resigned?
- 15 A. Yes, of course, I'm aware she resigned.
- 16 Q. Are you aware that she resigned because she was fed up with
- 17 | you?
- 18 | A. I am not aware of that, and I have e-mails from her and
- 19 personal conversations that directly contradict that.
- 20 Q. Dr. Tag, we've heard about these research protocols that you
- 21 | have on the screen here. Can you give us any further written
- 22 details about this underlying list of protocols?
- 23 A. At this moment?
- 24 Q. As we sit here today, trying to determine --
- 25 A. Sure.

- 1 Q. -- the appropriate location for these bonobos and the
- 2 research trajectory, correct.
- 3 A. Do you want me to go through and explain what each one is?
- \mathbb{Q} . That isn't my question.
- 5 A. I'm sorry; I misunderstood.
- 6 Q. My question is, can you provide us or the court with any
- 7 | written details regarding these research protocols?
- 8 A. Okay. I just want to be clear. Can I provide this court
- 9 | with written details, meaning I would type it out, or provide
- 10 | you with a document that details what's in the protocol?
- 11 | Q. Dr. Tag, this is not a complicated question. We have a
- 12 page-and-a-half of written protocols.
- 13 A. Yes.
- 14 | Q. And I'm not a scientist. I know His Honor is not a
- 15 | scientist. Do you have any other written materials as we sit
- 16 | here today?
- 17 A. We have the full written protocols that these all refer to.
- 18 Q. What does that mean; full written protocols?
- 19 A. There's something called an animal use protocol. It's
- 20 basically an application. I think I described this earlier. It
- 21 describes to a layperson so that they may evaluate the science,
- 22 evaluate the need to do the science, evaluate the value of what
- 23 | is the data that are collected and their implications for
- 24 | science, whether it be for human health or otherwise. A
- 25 layperson needs to be able to understand those so that the IACUC

- 1 | committee can evaluate that.
- 2 Q. Why haven't those been provided to BHI?
- 3 A. It's my impression, based on my reading of the rules, that
- 4 | those are confidential documents.
- 5 Q. Is BHI on the ACCI IACUC?
- 6 A. No. There's no member of the Bonobo Hope Initiative board
- 7 on the ACCI IACUC.
- 8 Q. You testified that you're willing to give BHI any
- 9 information regarding the research, correct?
- 10 A. Okay. I would have to check more closely, but I don't think
- 11 | that I could give them IACUC protocols because I believe them to
- 12 be -- again, not -- I believe them to be confidential.
- 13 Q. Have you given any written description of these protocols
- 14 other than the IACUC protocol?
- 15 A. I think I gave a presentation about some of them during that
- 16 | joint meeting, which would only qualify to some of them because
- 17 | it would have been ones that would have been by June, when we
- 18 | met.
- 19 Q. Dr. Tag, you've heard testimony that BHI has rescinded the
- 20 resolution appointing you to the board, correct?
- 21 A. I didn't hear that testimony.
- 22 Q. You didn't hear the testimony regarding rescinding of the
- 23 | resolution appointing you to the board?
- 24 A. I thought I heard --
- 25 | Q. By Dr. Savage-Rumbaugh?

- 1 A. Appointing me to the BHI board?
- 2 | Q. There seems to be some confusion about which board you were
- 3 appointed to. Did you hear any testimony that BHI appointed you
- 4 to the ACCI board?
- 5 A. I don't see how BHI could have appointed me to the ACCI
- 6 board.
- 7 Q. So it's your testimony here today that BHI votes were not
- 8 | necessary to appoint you to the combined board which became,
- 9 according to you, ACCI?
- 10 A. I'm -- no, I'm sorry. I don't -- I think that there's facts
- 11 out of whack there.
- 12 Q. Let me ask a better question.
- Do you believe that BHI appointed you Director of
- 14 Research of ACCI, the BHI board members?
- 15 A. No, I don't believe that. I believe they passed a
- 16 resolution that gave me the autonomy to lead the research
- 17 program.
- 18 | Q. And was that part of your appointment as Director of
- 19 Research?
- 20 A. I don't know.
- 21 | Q. And you know that that resolution has been rescinded? Did
- 22 | you hear testimony to that effect?
- 23 A. You know, I heard --
- MR. MILLER: Objection. It misstates the record.
- THE COURT: He asked if he's heard testimony to that

- 1 effect.
- 2 A. To be quite frank, I didn't understand what the testimony
- 3 | was. You are now making it very clear to me what -- I
- 4 understand that now. I didn't -- I heard Dr. Savage-Rumbaugh
- 5 | say rescind to that effect. I didn't understand what it meant.
- 6 I am now clear as to what it means.
- 7 | Q. Okay. I'll make that representation to you --
- 8 A. Okay.
- 9 Q. -- that BHI has rescinded that resolution. So do you have
- 10 any plans for vacating the lab?
- 11 A. No.
- 12 Q. Didn't Mr. George Caudill tell Dr. Savage-Rumbaugh when he
- 13 banned her that she no longer had any connection to IPLS?
- 14 | A. I have no knowledge of what George told -- George Caudill
- 15 | told Dr. Savage-Rumbaugh. In fact, I didn't know anything about
- 16 | that entire ordeal, or whatever, until long after it had been
- 17 over.
- 18 | Q. Did you understand that the BHI board members have any role
- 19 | in appointing you as Director of Research, putting the
- 20 resolution aside?
- 21 A. Can you restate it one more time?
- $22 \mid Q$. Absolutely. Did you believe that BHI was required, that
- 23 | their votes were required to appoint you as Director of Research
- 24 of this entity?
- 25 A. Did I believe that BHI needed to vote on my appointment as

- 1 | Director of Research?
- 2 Q. Correct; taking over the lab.
- 3 A. I -- it's a separate board, in my understanding, so it seems
- 4 like one board can't vote members onto another board. That
- 5 | would be my understanding. It probably was my understanding at
- 6 | the time.
- 7 Q. Do you know what the settlements say about who owns the
- 8 bonobos?
- 9 A. I know what's been advised to me by counsel of what the
- 10 settlement agreement says.
- 11 | Q. And you don't know what the resolutions say in December of
- 12 | 2012 regarding the role of BHI and the oversight of the science?
- 13 A. In December of 2012, I'm fairly certain that those
- 14 resolutions -- there's this whole discussion that's gone on
- 15 | about everyone on BHI resigning and then joining another board.
- 16 | You'll note that I was never a part of those individuals because
- 17 | the e-mails were sent to an e-mail address that I no longer use.
- 18 | So I was never a part of that discussion, which is probably why
- 19 | I didn't see those fall, December 2012 ones anyway.
- 20 Q. Dr. Tag, I have to ask then, do you know what you're a part
- 21 of? This is an important issue in the case. You don't believe
- 22 that one board can vote a board member onto another board.
- 23 | Who voted you as Director of Research?
- 24 A. The ACCI board.
- 25 Q. The ACCI board wasn't in existence, correct?

- 1 | A. My understanding -- and, again, this is -- you know,
- 2 Mr. Simpson is counsel and advised us that ACCI was created on
- 3 December 18, 2013, and when that was created, I was given the
- 4 position of whatever, Director of Research, or however it's
- 5 stated in our minutes.
- 6 Q. So your understanding was not based upon the settlements,
- 7 | correct?
- 8 A. Yes, that's my understanding.
- 9 Q. Your understanding was not based upon the May 2013
- 10 resolution giving Dr. Savage-Rumbaugh unfettered access,
- 11 | correct?
- 12 A. I didn't even know that.
- 13 Q. Your understanding was not based upon the December 2012
- 14 resolutions describing the role of BHI, is that correct?
- 15 A. Yes.
- 16 Q. Dr. Tag, can you tell us what happened on November 9th,
- 17 | November 10th when Dr. Savage-Rumbaugh was kicked out of the
- 18 | lab?
- 19 A. I have no idea.
- 20 | Q. It was her testimony that you heard it was based on an
- 21 e-mail sent to you?
- 22 | A. Again, that's all -- I have no idea. Wasn't there, never
- 23 discussed.
- 24 | Q. You didn't read the e-mail?
- 25 A. Wait. Oh, no, I'm sorry.

- 1 | Q. Do you know what she's referring to?
- 2 A. I'm sorry. I thought you were saying -- she testified that
- 3 | it was an e-mail that I sent?
- 4 Q. She testified that after she sent that e-mail, she was asked
- 5 | to leave by Mr. Caudill, sent an e-mail to you.
- 6 A. I don't recall that.
- $7 \mid Q$. Do you recall reading that e-mail?
- 8 A. That she sent an e-mail to me?
- 9 Q. Yes.
- 10 A. No, I don't recall reading that.
- 11 Q. You don't recall reading that e-mail?
- 12 A. Well, I don't know what e-mail you're even talking about.
- 13 Q. This is --
- 14 A. At this minute I have 420 e-mails in my inbox.
- 15 THE COURT: Just a minute.
- 16 BY MR. STAMBAUGH:
- 17 Q. This was at the time that you were appointed to the board.
- 18 | We've heard about your inbox.
- 19 A. I wasn't appointed to the board until December 18, 2013. So
- 20 | November is not the time I was appointed to the board. Show me
- 21 the e-mail.
- 22 | Q. Would you agree that it's around the time that you were
- 23 | appointed to the board?
- 24 A. Sure. Can you show me the e-mail?
- 25 Q. I want to make sure that we understand that the court

- 1 | understands what actually happened in November 2013. We've
- 2 heard a number of things that you didn't read or don't remember
- 3 reading. And my question is, do you recall the e-mail that
- 4 Dr. Savage-Rumbaugh has testified about which led to her being
- 5 banned from the campus?
- 6 A. I don't recall that specific e-mail.
- 7 Q. Dr. Tag, you don't want Dr. Savage-Rumbaugh in the lab
- 8 | screwing up your research, do you?
- 9 | A. I don't want Dr. -- I don't want Dr. Savage-Rumbaugh in the
- 10 lab because I think she poses a danger to the humans and the
- 11 apes that work with her or are around her.
- 12 Q. It's fair to say you don't want her screwing up your
- 13 research?
- 14 A. That is false -- that is a half truth.
- 15 | Q. And you don't want her to take away from your professional
- 16 development at this point, correct?
- 17 A. I don't understand what you mean by take away from my
- 18 | professional development.
- 19 Q. Well, this is the way we started our discussion. You have a
- 20 professional interest in this case. We've established that,
- 21 | correct?
- 22 A. Is that what I testified, that I did? I mean, I've been up
- 23 | here for a long time, and I feel like at times I've been kind
- 24 of -- I just want to make sure.
- 25 Q. I'm asking you, do you have a professional interest?

- 1 A. I have an interest in this case or --
- 2 | Q. And you don't want Dr. Savage-Rumbaugh to screw that up,
- 3 isn't that right?
- 4 A. I don't want Dr. Savage-Rumbaugh to pose a physical threat
- 5 to any of the people or the bonobos that work there.
- 6 Q. Or a professional threat to your career?
- 7 MR. MILLER: Your Honor, objection. It's been asked
- 8 and answered repeatedly.
- 9 THE COURT: It has, yes.
- 10 BY MR. STAMBAUGH:
- 11 Q. Dr. Tag, I've been authorized by BHI to advise you that you
- 12 have been relieved of your duties and that they would forbid you
- 13 from research of any kind with the bonobos. They intend to
- 14 report this to Kennesaw and Yerkes at the conclusion of this
- 15 hearing.
- 16 My final question would be, do you care to comment on
- 17 | that?
- 18 A. No.
- 19 MR. MILLER: Your Honor -- hold on for a second.
- 20 MR. STAMBAUGH: I have no further questions.
- 21 MR. MILLER: -- objection. Objection, Your Honor.
- 22 THE COURT: That last question and answer, that last
- 23 exchange is stricken from the record. You can give him official
- 24 | notification in other more appropriate ways.
- MR. STAMBAUGH: Thank you, Your Honor.

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 1
              No further questions at this time.
 2
              THE COURT: Anything further?
 3
              MR. MILLER: Yes, Your Honor. Thank you.
                          REDIRECT EXAMINATION
 4
 5
   BY MR. MILLER:
    Q. Dr. Taglialatela, based on recent discussions with Yerkes,
 6
   have they sent chimpanzees to the facility?
 7
   A. No.
 8
    Q. Do they have immediate plans to send chimpanzees to the
10
    facility?
   A. No, they don't.
11
12
    Q. Was your testimony that you received direct questions, four
13
    requests for visits from BHI board members to the facility?
14
   A. I'm sorry, could you restate?
15
    Q. How many requests do you recall receiving from BHI board
16
   members to visit the facility?
17
       Specific requests for individuals to visit the facility?
18
    O. Yes.
19
   A. I -- the most fresh in my memory are the four that I
20
   described earlier.
    Q. And other than requests that you received since January 1,
21
22
    2015 with this hearing imminent, how many requests have you
   received?
23
24
   A. Laurent Dubreuil had a request. I think he visited in
   August of 2014. I don't remember any others off the top of my
25
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- 1 | head -- oh, and I'm sorry, Dr. Coxe visited in June of 2014
- 2 after our joint meeting.
- 3 Q. And other than visits, you've had phone calls with at least
- 4 Dr. Wildman, is that correct?
- 5 A. Yes. I also had one early on with Dr. Dubreuil, but I can't
- 6 remember the exact date.
- 7 Q. You were asked some questions about Exhibit 1006 and the
- 8 demonstrative we used earlier. Were the backup information to
- 9 those protocols in the binder that you offered to Dr. Wildman
- 10 | when he visited in April?
- 11 A. Yes. All the protocols are kept for regulations in binders
- 12 at the facility on site. I offered them to Dr. Wildman.
- 13 Q. Can you explain why Dr. Wildman didn't take time to look at
- 14 | the details of those exhibits, other than he was too busy?
- 15 A. I think he was --
- MR. STAMBAUGH: Objection; foundation, totally
- 17 | irrelevant.
- 18 THE COURT: You can ask him what Dr. Wildman said
- 19 about that.
- 20 MR. MILLER: Okay. Thank you.
- 21 THE COURT: There's a lot of speculation in this
- 22 record about what other people are thinking and what they're
- 23 | doing on both sides. Focus.
- MR. MILLER: Thank you, Your Honor.
- 25 A. Dr. Wildman drove down for the day. He visited. I invited

- 1 | him to stay as long as he did. He testified that he wanted
- 2 to -- he felt this time would be spent talking to me, so I
- 3 | assumed I'm taking him on his word and that he didn't want to go
- 4 through the details at the time and waste the opportunity to
- 5 | speak with me. That would be what I would guess.
- 6 BY MR. MILLER:
- 7 Q. Are you guilty of occasionally not responding to an e-mail
- 8 | while you were working to keep the lights on at the facility?
- 9 A. Yes.
- 10 Q. Have you received financial contributions from the purported
- 11 | co-owners of the bonobos?
- 12 A. No -- oh, I'm sorry. We did receive, I think -- to the best
- 13 of my recollection, it was a thousand dollars. It may have been
- 14 | 1,500 or on or around a thousand dollars. I believe the timing
- 15 was last summer, and it was for medical, to go towards some
- 16 | medical expenses.
- I wrote Dr. Savage-Rumbaugh explaining that we would
- 18 | use that money for that purpose, but I also indicated that we
- 19 | are planning for an anesthetic survey, a full comprehensive
- 20 | survey like that described by Dr. Wildman. She wrote me back
- 21 | indicating that she had some -- I got the impression from that
- 22 | message, her response that she wasn't completely happy with
- 23 | that. I offered to return the money to her, and I did not hear
- 24 | another word on that subject.
- 25 Q. And on cross-examination you were asked about a number of

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600
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- 1 | events in December 2012, in May of 2013, July of 2013, and you
- 2 | readily admitted you didn't rely on those events in your
- 3 decision to become part of the leadership at ACCI, is that
- 4 | correct?
- 5 A. Yes. In fact, there was some e-mail I vaguely remember in
- 6 May of 2013 where Steve Boers wrote me an e-mail asking me to
- 7 | take up the directorship then, and I told him it wasn't a
- 8 possibility.
- 9 Q. What did you rely on when you decided to become that
- 10 | director?
- MR. STAMBAUGH: Objection; asked and answered and
- 12 extremely cumulative at this point.
- 13 THE COURT: Well, I agree, but I'm going to allow it
- 14 one more time as to what he relied on in taking his position.
- THE WITNESS: I may answer?
- 16 THE COURT: You may, briefly.
- 17 A. Okay. I relied on the phone conversations I had with
- 18 | Dr. Duane Rumbaugh, I relied on e-mails written by
- 19 Dr. Savage-Rumbaugh, as well as phone conversations, and I
- 20 relied on the advice of board members, as well as board counsel
- 21 at that time, Lyle Simpson.
- MR. MILLER: That's all I have, Your Honor.
- 23 Thank you.
- 24 THE COURT: Anything further?
- MR. STAMBAUGH: Your Honor, I have two questions that

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                                                                    601
1
    I inadvertently left out, very specific questions, during my
    cross-examination.
 2
                           RECROSS-EXAMINATION
 3
    BY MR. STAMBAUGH:
 4
 5
    Q. Dr. Tag, is it your opinion -- or is it correct that when a
 6
    bonobo spits water, it is an indication of its stress level or
7
    displeasure with something?
    A. I think there are probably very limited contexts where that
 8
    would not be the case.
10
    Q. And my final question is, do you have a professional opinion
    as to whether Kanzi is any worse off for not having interacted
11
    with Dr. Sue Savage-Rumbaugh?
12
13
        I do have a professional opinion about that.
14
       What is that opinion?
    Q.
15
       My professional opinion is that Kanzi is not worse off.
16
              MR. STAMBAUGH: Thank you.
17
              Nothing further, Your Honor.
18
              THE COURT: Anything further?
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MR. MILLER: No, Your Honor.

Thank you.

We'll be in recess until 1 o'clock.

THE COURT: You may step down, sir.

(Recess at 12:02 p.m., until 1:00 p.m.)

THE COURT: We'll take our noon recess at this time.

(Witness excused.)

19

20

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22

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24

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602
 1
                      AFTERNOON SESSION 1:04 p.m.
 2
              (In open court.)
 3
              THE COURT: Please be seated.
              We're going to hear from Dr. Tuttle now?
 4
 5
              MR. STAMBAUGH: Yes, Your Honor. We call Dr. Russ
 6
    Tuttle to the stand.
 7
              THE COURT: Please come forward.
 8
              THE CLERK: Raise your right hand.
 9
            RUSSELL HOWARD TUTTLE, DEFENDANTS' WITNESS, SWORN
10
                           DIRECT EXAMINATION
   BY MR. STAMBAUGH:
11
12
      Good afternoon, Dr. Tuttle.
13
       Hello.
   Α.
14
       Can you please tell the court who you are and why you're
15
   here.
16
   A. I'm Russell Howard Tuttle. I'm Professor of Anthropology,
17
    Evolutionary Biology, the Morris Fishbein Center for the study
18
    of the history and biology of medicine, social sciences, and
19
   biological sciences, Collegiate Division of the University of
20
    Chicago, the director of the anthropology program, the
21
    undergraduate program, and director of the Paris Center.
22
   have a Paris Center, the University of Chicago program on
   primates and human evolution, and I'm the innovator of that.
23
24
    Q. Thank you, Dr. Tuttle.
25
              Because we have a court reporter taking down
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- 1 | everything you say, if you could just try to slow down a little
- 2 | bit, we'd appreciate it. Thank you so much.
- 3 How long have you been a professor at the University
- 4 of Chicago?
- 5 A. Fifty-one years.
- 6 Q. Doctor, what is primatology?
- 7 A. It's the study of primates. It's living humans, nonhuman
- 8 | and human, from lemurs, lorises, bushbabies, monkeys of various
- 9 sorts, apes, and us.
- 10 Q. How long have you been studying primatology?
- 11 A. Fifty-one years.
- 12 Q. How long have you been studying apes?
- 13 A. Fifty-one years.
- 14 | Q. How many publications have you had, peer-reviewed
- 15 | publications regarding apes and/or primatology?
- 16 A. I don't know.
- 17 Q. Is it --
- 18 | A. I haven't counted them. I have a 60-page CV, and a lot of
- 19 that is publications, government appearances, whatever, you
- 20 know.
- 21 Q. Has it been hundreds of articles?
- 22 A. No, I don't think so. More than a hundred, yeah.
- 23 \mid Q. Let's go ahead and identify your CV, which is Exhibit No.
- 24 | 97. There is a notebook, a large notebook right in front of you
- 25 | that has the exhibit numbers.

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604
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- 1 A. Uh-huh.
- 2 Q. If you could turn to Exhibit 97. I think it may be the
- 3 larger one underneath there.
- 4 A. I've got it, I think. Well, I can't really open this.
- 5 MR. STAMBAUGH: May I approach, Your Honor, to assist
- 6 | the witness?
- 7 THE COURT: Sure.
- 8 THE WITNESS: I'm sorry, I had surgery on this
- 9 shoulder.
- 10 MR. STAMBAUGH: That's okay. We've run into this
- 11 problem before.
- 12 BY MR. STAMBAUGH:
- 13 Q. Dr. Tuttle, referring to what's been marked as Exhibit 97, I
- 14 | would like you to take a look at it. My question would be, does
- 15 | that appear to be a true and correct copy of your CV?
- 16 A. It's dated May 6, yes, that's close enough.
- 17 Q. Does it appear to be accurate in terms of reflecting your
- 18 | qualifications and credentials?
- 19 A. I think so, yes.
- 20 MR. STAMBAUGH: At this time I move 97 into evidence.
- 21 (Defendants' Exhibit 97 was
- 22 offered in evidence.)
- MR. MILLER: No objection.
- 24 THE COURT: 97 is received.

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                                                                   605
 1
                                   (Defendants' Exhibit 97 was
                                   received in evidence.)
 2
    BY MR. STAMBAUGH:
 3
        Dr. Tuttle, are you being paid for your time here today?
 4
    Α.
 5
        No.
 6
       What awards have you received in the field of primatology?
 7
       Okay. I was recently given the Charles R. Darwin Lifetime
    Achievement Award by the American Association of Physical
 8
   Anthropologists, and I was Distinguished Anthropologist of the
10
    Midwest Primate Interest Group a couple of years back. I would
    have to look for the date here. And I've received a national
11
12
    teaching award in anthropology from the American Anthropological
13
    Association of Students, and also there's an award on campus
    that is also a highest teaching honor you can get for teaching
14
    on my campus.
15
16
       Who awards the Darwin Award?
17
       The American Association of Physical Anthropologists.
        Is that awarded every year?
18
    Q.
19
       No, no.
    Α.
20
    Q.
       How often is it awarded?
21
        I have no idea. But I was the first -- it's been a couple
22
    of years before I got it, and then last year a former teacher of
```

mine got it, so it's hit and miss. It's when somebody feels,

takes the time -- and it takes quite a bit of time to nominate

these things, the supporting documents and whatever.

23

24

- 1 | Q. Any other awards or accolades you haven't mentioned already?
- 2 | A. I'm a Fellow of the American Association for the Advancement
- 3 of Science. I guess that's considered an honor. That will be
- 4 enough, I think.
- 5 Q. Dr. Tuttle, have you authored any books regarding the
- 6 | subject of great apes and/or primatology?
- 7 A. Yes.
- 8 Q. How many?
- 9 A. Well, I've authored two, truly authored and written them
- 10 entire cover to cover two, and I have edited many, many books,
- 11 some under my name, but then as the editor of a book series on
- 12 all of primatology, and also I edited the International Journal
- 13 of Primatology for 20 years, which --
- 14 Q. What was the most recent book that you wrote?
- 15 | A. "Apes and Human Evolution," 2014, Harvard University Press.
- 16 Q. I'm holding a copy of Apes and Human Evolution. By the size
- 17 of it, does that appear this publication?
- 18 A. Yes.
- 19 Q. Dr. Tuttle, what courses are you currently teaching?
- 20 A. I'm teaching the course Apes and Human Evolution. I teach
- 21 | it in Paris in the autumn and also on campus at the University
- 22 of Chicago in the spring. I should be there doing that right
- 23 now. Also in the winter I taught a course on hominoid
- 24 | evolution. That is the evolution of apes to humans. We have a
- 25 | huge cap collection of tracing 35 million years of evolution

- 1 | through fossils, fossil primates and whatever, and it's a hands
- 2 on course where students can really dig in.
- 3 Q. Do you utilize your publication "Apes and Human Evolution"
- 4 in your --
- 5 A. Of course, it's an outgrowth of that course.
- 6 Q. And that's a text that's actually used in the curriculum?
- 7 A. Yes.
- 8 | Q. Dr. Tuttle, now I want to talk about your relationship with
- 9 Dr. Sue Savage-Rumbaugh and Dr. Duane Rumbaugh. When did you
- 10 | first meet Dr. Savage-Rumbaugh?
- 11 A. In 1976, perhaps.
- 12 Q. Have you worked with her during the time from 1976, did you
- 13 | say?
- 14 A. Yeah, I've interacted with her. I haven't actually worked
- 15 | on a project with her. I knew Duane before, incidentally.
- 16 | Q. Let me ask you this first, Dr. Tuttle. What experience do
- 17 | you have with bonobos?
- 18 A. Well, I've watched the project involving the bonobos from
- 19 the time Kanzi was a tiny kid. I was bitten by him once in the
- 20 | love handle. He ran back behind me. We lived in the woods
- 21 where they had the bonobos where they could be walked, and he
- 22 | was allowed to run freely in the woods, and he would come and
- 23 | peek in the window of the trailer where my family and I were
- 24 | staying. And then as he grew up, I've also visited and seen
- 25 | him; but I haven't actually worked directly with him.

- Q. Tell the court what you do know about Dr. Sue Savage-Rumbaugh's work with these bonobos.
- 3 A. It's more than groundbreaking. It's -- well, it's
- 4 incredible. She has shown that -- there's a field called
- 5 | symbiotics, which is the study of symbols and science, and so
- 6 | forth, and she has managed to create an environment in which
- 7 | Kanzi spontaneously learned about this and also to understand
- 8 | spoken English to a pretty good level. And it was almost by not
- 9 | manipulating him, but letting him experience what was being done
- 10 | with Matata, his foster mother, that he was just running around
- 11 | the room and somehow he absorbed a lot. We learn this from
- 12 children. Children learn a lot about language before they can
- 13 barely babble and whatever. So he picked that up, and it's been
- 14 | carried forward then to others who are fortunately not deceased.
- 15 Q. How long have you followed this groundbreaking work of
- 16 Dr. Savage-Rumbaugh?

1

- 17 A. From the outset. I go way back to Atlanta, which is where
- 18 | Yerkes was invented by Duane Rumbaugh, to see about whether they
- 19 | could command symbols, and that lexigram was made up of Yerkes
- 20 symbols and many more, Atlanta manipulated. It went through the
- 21 | study of Sherman Austin. I was on the site visit as to whether
- 22 | the Sherman Austin study should be continued, and it was. And
- 23 | then I was on the grant review committee three times, three
- 24 | reviews more recently of Sue's project. Unfortunately, it
- 25 | didn't do so well with the committee because it had been -- the

- 1 principal investigator was not a qualified academic. He was uncredentialed entirely, and it's very difficult to support that 2 when you have Sue doing the work, when it's her study. 3 Are you aware if Dr. Savage-Rumbaugh received an honorary 4 degree from the University of Chicago? 5 6 I think so. I promoted it and nominated her. It was the -we were inaugurating a new president, Hugo Sonnenschein, and 7 there was a call for the college to have some representative. 8 9 It's tricky for the college because they have four collegiate --10 five collegiate divisions in our college. So it would have to pass muster with physical sciences, biological sciences, social 11 12 sciences -- well, I won't mention the fifth one, and the 13 humanities, and it got high marks from all of those when I first 14 presented it. There was a linguist on the nominating committee 15 with me and someone else I can't remember, historian or something. And this goes through many, many filters. It then 16 17 goes to the council of the senate. The senate by university is 18 of the entire faculty, but then it comes to the council who are 19 representatives of the faculty, and then it goes to the 20 committee of the council and the honorary degree committee, and 21 on and on. And it came through with flying colors I must say. Can you -- I'm sorry, were you finished?
- 22
- 23 Α. Yes.
- 24 Can you explain to the court the significance of receiving
- 25 an honorary degree from the University of Chicago?

```
1
    A. Yes, we're one of the few -- first of all, the stature of
 2
    the university, you know, that should be clear, the University
    of Chicago, we're on a par internationally with Oxford and
 3
    Cambridge and Harvard and many other universities.
 4
    differences with us is that we do not give honorary degrees to
 5
 6
    anyone other than people of scientific, high level scientific
    accomplishments, innovative and high level scientific
7
    accomplishments.
 8
 9
              Bill Clinton nibbled around, didn't get it. Elizabeth
    the II, her minions tried. We just do not give it to
10
11
    celebrities and just anyone. It has to be based on one's
12
    research programming success.
13
    Q. Dr. Tuttle, explain to the court what you know about the
    history of Dr. Savage-Rumbaugh's work with the bonobos here in
14
15
    Des Moines, starting with the Ted Townsend era as it's been
16
    called.
17
    A. Well, I was -- I saw the plans in Atlanta, Georgia, when
18
    Duane was retiring. There was a faction organized by David
19
    Washburn, I believe, and after one of the dinners, we were
20
    presented by a representative of Ted's to present this plan and
21
    talk about it. It sounded wonderful, and I remember looking at
22
    the plans, and I asked two questions because it looked like it
    was near a river. I asked, does the river flood? I was told
23
24
    no. And I asked if they had tested the soil because it had been
25
    some sort of Army or Navy place where they exercised, and so
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forth, and you can imagine there might be elements, heavy
elements in the water, and that I don't think has ever been
done.
```

Well, Sue came, and then within a year, 2007, I received an invitation to come to be on a scientific advisory board, and I was surprised to find orangutans there, that there was another project. The building was much smaller than I remembered from the plans. I mean, they cut back quite a bit, I think to accommodate building the orangutan building, and Sue was nowhere to be seen initially.

So we had a meeting the first day, and one wondered why the people, the scientists weren't called in for us to talk to. The second day Ted came in and sat down and opened with he hadn't fired many people in his career, but he wanted to fire Sue. And I must say tears started.

- 16 Q. Whose tears?
- 17 A. Mine.

4

5

6

7

8

10

11

12

13

14

- 18 Q. What was your reaction?
- 19 A. That's never happened ever, in court or in a meeting.
- 20 Q. What hasn't happened?
- 21 A. That I would become just instantly -- it was horrible. I
- 22 | mean, you could just see everything going poof (indicating).
- 23 | Q. Dr. Tuttle, you mentioned the river that's next to the
- 24 | facility. Are you aware of the possibility for flooding in this
- 25 facility?

- 1 A. Well, it's happened twice, once in 1993, which I didn't know
- 2 about in 2007, and then more disastrously I think in 2008 or '9.
- 3 I can't remember.
- $4 \mid Q$. Have you had a concern about the flooding of this facility?
- 5 A. Yes. Today, in fact, you know, what's going on in Houston
- 6 and elsewhere, the climate is changing.
- 7 Q. Have you recently read any reports regarding the possibility
- 8 for flooding in this facility?
- 9 A. I was sent one, yeah.
- 10 Q. What report was that?
- 11 A. As I remember, it was about various cycles of predictability
- 12 of damage to the area where the center is, you know, 11 -- or
- 13 predicting if it happened in 11 years, the 10 years, that kind
- 14 of stuff. And, of course, that's all --
- MR. MILLER: Your Honor, I'm sorry, I don't mean to
- 16 | interrupt the witness, but I think the question was if he had
- 17 reviewed a report. He's now describing what the report was.
- 18 | It's hearsay.
- 19 THE WITNESS: Well, I wasn't asked as an expert to
- 20 review it, no.
- THE COURT: Dr. Tuttle, he'll ask another question.
- 22 THE WITNESS: Okay.
- 23 | MR. STAMBAUGH: And, Your Honor, I will say that
- 24 Dr. Tuttle has the stature of an expert in this proceeding, and
- 25 | I would ask that he be allowed to rely on hearsay documents.

- THE COURT: Well, my ruling has consistently been I'll receive it, subject to the objection, and it is in.
- 3 MR. STAMBAUGH: Thank you, Your Honor.
- 4 BY MR. STAMBAUGH:
- 5 | Q. Dr. Tuttle, you were describing this flood report you had
- 6 read.
- 7 A. Uh-huh.
- 8 Q. Please continue.
- 9 A. Well, I think I have said what I have to say about it. I
- 10 | don't know what basis they premise this on. It must be
- 11 | meteorological long-term satellite stuff; but supposedly you
- 12 | should be able to predict -- we don't have the technology I
- 13 | think to do it yet -- when a flood is likely to occur or not in
- 14 | a particular area. And, of course, you can miss, and if you
- 15 | miss, then you lose. You lose your home, you lose valuable
- 16 research.
- 17 Q. In your opinion after reading this flood report, do you
- 18 | think that this facility is a safe place for the bonobos?
- 19 A. No.
- 20 Q. Why not?
- 21 A. Well, it can flood. The last time I understand the water
- 22 | was way up, you couldn't even get to the bonobos for a while.
- 23 | They had to wade through high water just to get there finally,
- 24 | and, fortunately, they were up near the top of the exhibit or
- 25 page.

```
1
        Dr. Tuttle, as someone with your standing in the field and
 2
    knowledge of Dr. Savage-Rumbaugh's work, in your opinion, what
    is the significance of Dr. Savage-Rumbaugh's work both for the
 3
    field and humanity?
 4
    A. Well, as an anthropologist, I will say that, first of all,
 5
    she broke one barrier, the language thing. Language is thought
 6
7
    to underpin human culture, and the linguists at my university --
    anthropological linguists teach courses called Language in
 8
 9
    Culture. What is the role of language in mediating culture?
10
    do not want to get into what culture is, but we know -- social
    sciences across the board will agree that symbols and symbolic
11
12
    mediation of beliefs and ideals and behavior and social codes
    and morality codes and all are symbolically mediated. That's
13
14
    what culture is. It's the output of this symbolic mediation.
15
              Language is one of those, but Sue has crossed one
              She has the bonobos and -- actually the chimpanzees
16
    barrier.
17
    first, Sherman Austin, let's stay with that; but she has that
18
    capacity, they have that capacity. It's like having a computer
19
    with a new capacity. Now, can we go forward and get them to
20
    use -- can that be used and cap this cognitive aspect of their
21
    machinery, if you will, to start having using that and becoming,
22
    I hate to say it, moral social beings. I mean, socially
    mediated and social beings with the sense of feelings where you
23
24
    can tell us whether their altruistic behavior is based on
25
    feelings or whether it's just a mechanism, you know, to get by.
```

- 1 | Q. Do you have an opinion as to whether that research should
- 2 | continue?
- 3 A. Of course, it should.
- 4 Q. Dr. Tuttle, have you recently visited the Great Ape Trust?
- 5 A. Yes. I was out there yesterday for about 45 minutes.
- 6 Q. Please tell us about that visit.
- 7 A. Well, it's showing the wear and tear. That's my opener. Of
- 8 | course, what can you tell in 45 minutes? I did see all five of
- 9 the bonobos. Some were more active than others. Kanzi was not
- 10 terribly interactive until he was asked if he wanted a surprise,
- 11 | and then he became very animated; but before that he was just
- 12 | sitting with his back -- he knew we were there. We were in
- 13 masks and gloves. I don't know if that had any effect --
- 14 | fiddling through some vegetation. And it was like, I don't know
- 15 | just -- I'm not in -- I don't know about stereotypings and
- 16 | stuff, but it didn't look like -- but it could have been the
- 17 | rain. I don't know.
- 18 The others, most of the others -- initially two were
- 19 covered by blankets. They wanted to be outside it seemed rather
- 20 | than inside, which was interesting, and I got spit on.
- 21 Q. Who spit on you?
- 22 A. Nyota.
- 23 | Q. I'm sorry?
- 24 A. Nyota.
- 25 Q. How did Nyota look?

- 1 A. He was more animated, but he was displaying for my benefit I
- 2 think.
- 3 Q. Did that have any significance to you that Nyota spit on
- 4 you?
- 5 A. I didn't like it, obviously. Yeah, well, he was sick, too.
- 6 He was hacking, you know, very wet hacking cough and stuff, so
- 7 | I'm trying to get over the flu. I think I might have a new
- 8 | kind, but it wasn't too helpful. I don't know what the mask was
- 9 doing, whether that -- I think it's just something that he's
- 10 taken up.
- 11 Q. Were the bonobos active in communicating and running around?
- 12 A. When surprise was said, then -- when Kanzi started to
- 13 | vocalize, then Nyota who was standing above him started to
- 14 | vocalize, and the ones across the way also joined in in also
- 15 | vocalizing.
- 16 Q. Was the communication that you saw yesterday consistent with
- 17 | the research trajectory of Dr. Savage-Rumbaugh as you understand
- 18 | it?
- 19 A. It was bonobo communication. It wasn't through symbols or
- 20 | whatever.
- 21 | Q. Did you see lexigram keyboards?
- 22 | A. I saw it a short distance away, yeah, the one outside where
- 23 | the surprise was asked and --
- 24 | Q. To your knowledge, were all of the lexigram keyboards
- 25 | functioning?

- 1 A. I saw nothing lit on that keyboard. It could have been
- 2 | scratched up. I don't know. Inside there's another keyboard
- 3 | that seemed to be working with -- I think it was on a big yellow
- 4 dot. It looked to me like what had been used on the orangutan
- 5 | project, but I don't know. I didn't ask.
- 6 Q. Who was with you on this visit?
- 7 A. Shane, Sue's son. I think his last name is Rumbaugh. I
- 8 | can't remember. He grew up, and he was communicating with
- 9 Kanzi.
- 10 Q. Who visited you and welcomed you to the center?
- 11 A. Bill Hopkins.
- 12 Q. Do you know Bill Hopkins?
- 13 A. Yes. I've known him his entire career.
- 14 Q. And how do you know him professionally? What do you know
- 15 | about him professionally?
- 16 A. I just have him in high regard as a professional. He's an
- 17 expert on laterality. We have two hemispheres of our brains and
- 18 other mammals do, going way back to fish for certain. Certain
- 19 behaviors are lodged in one or the other. The theory is that
- 20 | the neural activity -- if you had to have both engage, it would
- 21 | slow down the neural activity, your ability to respond, and so
- 22 forth.
- 23 | Q. Did Kanzi recognize Shane when you went in?
- 24 | A. I'm not sure, I'm not sure, because we were masked, and
- 25 | there was certainly no immediate response. As I say, he had his

- 1 back to us and sort of, you know, looked around and back and
- 2 | finally he came and got in the tunnel near the keyboard.
- 3 Q. You mentioned you had to wear masks and gloves. Did the
- 4 | bonobos, were they able to go into the forest?
- 5 A. There is a woods there, but I did ask about that. No, they
- 6 can be in the grassy area before that I think. I don't know how
- 7 | far out, but --
- 8 Q. Were you taking -- I'm sorry. Were you taken to an
- 9 | infirmary?
- 10 A. I asked if there was an infirmary. There's one that is
- 11 going to be developed, but the room was empty.
- 12 Q. Was there an infirmary there yesterday?
- 13 A. No.
- 14 Q. What was it?
- 15 A. It was an empty room.
- 16 | Q. Dr. Tuttle, in your opinion, did it appear to be a
- 17 | functional research center?
- 18 A. There were two people there. Bill was there and another
- 19 person, Sara, and I don't know what they were doing before, but
- 20 there was no other activity going that I could see; but we could
- 21 | have distracted them from whatever they were doing research
- 22 wise.
- 23 | Q. Dr. Tuttle, are you familiar with the settlement agreements
- 24 | entered into in this case?
- 25 A. I've seen them, yes.

- 1 | Q. Have you read the research trajectory provisions in those
- 2 | settlement agreements?
- 3 A. Yes.
- 4 Q. Do you know what that research trajectory is?
- 5 A. Well, I know what it is to Sue, and as I read it, that is
- 6 | what it is to Sue.
- 7 | Q. Do you have a personal opinion as to what that research
- 8 | trajectory looks like?
- 9 A. Yes. I think it carries forward from the linguistic
- 10 capabilities to instantiated in culture to test out now whether
- 11 | we can move ahead and see if you have symbolically deviated
- 12 | culture, if that can be achieved in bonobos, and even further
- 13 | than that if you can, can you start really finding out what
- 14 | they're thinking. We know they think, but can we really get at
- 15 | thinking, and do they have any kind of goals, do they have
- 16 belief systems, do they have ideals even.
- 17 Q. You mentioned that you are familiar with Dr. Bill Hopkins,
- 18 | is that right?
- 19 A. Yes.
- 20 | Q. Do you know who Dr. Jared Taglialatela is?
- 21 A. Yes, of course.
- 22 Q. Are you familiar with his work?
- 23 A. Yes. I've even helped it I think at times as an editor of a
- 24 | journal and whatever throughout his career.
- MR. STAMBAUGH: Your Honor, at this time I would like

- 1 to publish the amended version of Exhibit 1006 that has already
- 2 been admitted in evidence.
- THE COURT: Okay.
- 4 THE WITNESS: I have it. No, I have --
- 5 BY MR. STAMBAUGH:
- 6 Q. And, Dr. Tuttle, you can also look at the screen right in
- 7 | front of you if that would help.
- 8 A. Oh, thanks.
- 9 Q. And there's a screen to your left behind you.
- 10 A. Okay. I'm looking.
- 11 | Q. I'm going to ask you to go ahead and take a look at what's
- 12 been marked as Exhibit 1006.
- If you want to go ahead and scroll down.
- 14 | A. It says out of range.
- 15 | Q. Oh, your screen is not working. It's not the first time.
- 16 It's nothing against you personally, Dr. Tuttle.
- 17 Have you seen this document before?
- 18 | A. What is it? I have a paper copy here of something called
- 19 ACCI Active Research Protocols. Is that what we're talking
- 20 about?
- 21 Q. Correct. Why don't you go ahead and take a look at that
- 22 | paper copy first. And why don't we take just a second to see if
- 23 | we can fix your screen.
- 24 A. This is old, I think. It has eight. I've seen one that has
- 25 11.

MR. MILLER: I'm sorry, Your Honor. Objection; lack

of foundation. Objection; relevance.

24

```
1
              THE COURT: I'll receive it, subject to the objection.
 2
              MR. STAMBAUGH: You can answer.
   A. Oh, okay. Well, I have been, as I said, on site -- not
 3
    exactly a site -- well, one site, not others. I do know how to
 4
    read a title and tell perhaps what they might want to do. What
 5
 6
    I can't do here is to tell exactly how they're going to
7
    accomplish all of this. In fact, you have five subjects, five
   bonobos to work with, and 11 projects. It just doesn't seem
 8
 9
    feasible to me that you're going to be able to do this in a
10
    reasonable amount of time. It should be pruned down to a couple
11
    of really central things to look at and justify that.
12
    BY MR. STAMBAUGH:
13
    Q. You mentioned the amount of time it might take. Is someone
14
    who is present at the lab approximately six days a month able to
15
    accomplish the research necessary to continue
    Dr. Savage-Rumbaugh's research trajectory?
16
17
              MR. MILLER: Objection; lack of foundation.
    Objection; relevance.
18
19
              Thank you.
20
              THE COURT: I'll receive it, subject to the objection.
21
        They couldn't do it themselves certainly if you have
22
    obligations elsewhere, and so forth, and that means you would
23
    have to get assistants and students and whatever to be doing the
24
    actual work, and that can have its pitfalls.
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25 BY MR. STAMBAUGH:

- 1 | Q. You mentioned that you know Dr. Bill Hopkins, correct?
- 2 A. Yes.
- 3 | Q. And you know of Dr. Jared Taglialatela?
- 4 A. Yes.
- 5 Q. In your professional opinion, Dr. Tuttle, do you think that
- 6 Dr. Hopkins and Dr. Taglialatela are well situated to continue
- 7 | the research trajectory of Dr. Savage-Rumbaugh?
- 8 A. I think, in fact, it's quite the opposite.
- $9 \mid Q$. Why is that?
- 10 | A. Because we have the language thing, now we want to move it
- 11 forward into areas that aren't really represented here. It's
- 12 more comparative psychology than it's something that would be --
- 13 | I'm sure my colleagues in social anthropology wouldn't accept
- 14 | this. It's more anthropological. You're really getting at what
- 15 | it is to be a human being, a culture, a culture bearing
- 16 | symbolically deviated being. And she's the only one who has the
- 17 | faith in this to carry it forward, and she had started it even,
- 18 | but because of that grant -- the grant had all of that in it;
- 19 but when you have an unqualified person to be the project
- 20 director, as long as she's willing to do it herself, I think we
- 21 | can make some progress.
- 22 | Q. Dr. Tuttle, have you seen any recent videos in addition to
- 23 | your site visit yesterday showing what's going on at the Great
- 24 | Ape Trust today?
- 25 A. Well, I saw Jared talking to some news people about biking

- 1 | for bonobos and stuff, but I don't recall there being any
- 2 | footage in there about bonobos. The preceding one from March, I
- 3 | think, done by Cedar Rapids was -- again, it was more, you know,
- 4 | an entertainment piece almost. I mean, it was trying to make
- 5 | the point that they're all happy and everything is going fine.
- 6 | There was one statement about the research that's going on, but
- 7 | actually what Sara said was that she's there to get things
- 8 | started so that they can get -- have some data, in essence. I'm
- 9 paraphrasing.
- 10 | Q. And just for the record, you're talking about an earlier
- 11 | video?
- 12 A. March, I think, March.
- 13 Q. Of the bonobos at the Great Ape Trust?
- 14 A. Yeah, yeah.
- 15 Q. What did that indicate?
- 16 A. It had Teco in it and Nyota, and there was one very silly
- 17 | thing. Nyota was sitting with his mouth pressed to the glass
- 18 | and a girl runs by and kisses him, kisses the glass and runs on,
- 19 and he wiped his mouth off on his arm, which was humorous; but I
- 20 | don't know why that's going on. It's not good health for people
- 21 to be kissing the glass, I wouldn't think.
- 22 | Q. What do the videos indicate to you, in your opinion,
- 23 regarding the actual research that was happening at the lab?
- 24 A. There was no research apparent. There was a promise of
- 25 | things to come, but there was no research apparent. And one of

- 1 | the chimpanzees had a slash on his back, too, a deep open wound,
- 2 | which was not there when we saw it, so apparently it had been
- 3 treated.
- 4 Q. Dr. Tuttle, you mentioned Sara few minutes ago. Who is
- 5 | Sara?
- 6 A. Her name is Sara Skiba. She said on the phone that she's
- 7 | the coordinator of the research.
- 8 Q. I'm going to ask you to take a look at Exhibit 1006 to see
- 9 if you recognize the Sara you're talking about?
- 10 A. Yes. She's on the first one, the second one, the third one,
- 11 | the fourth one, the fifth one, the sixth one, the seventh,
- 12 eighth and ninth. She's not on one or two of them, as a
- 13 research assistant.
- 14 | Q. Sara Skiba is listed as a research assistant on the majority
- 15 of these protocols?
- 16 A. Yes.
- 17 Q. Do you know who Sara Skiba is?
- 18 A. I met her.
- 19 Q. When did you meet her?
- 20 A. Yesterday, apart from seeing her on the video, but yes, I
- 21 | met her yesterday. She seemed to be very bright, eager. I
- 22 think she's a master's student at Jared's school.
- 23 | Q. Dr. Tuttle, just a few final questions for you. I'll
- 24 represent to you that Dr. Taglialatela testified here in court
- 25 | that, in his opinion, Kanzi is not any worse off for not having

```
1
    interacted with Dr. Sue Savage-Rumbaugh. What is your
 2
    professional opinion of that statement having just seen Kanzi
 3
    yesterday?
              MR. MILLER: Objection; lack of foundation.
 4
 5
    Objection; relevance.
 6
              THE COURT: I'll receive it, subject to the objection.
 7
              THE WITNESS: And can I answer or not?
 8
              MR. STAMBAUGH: You can answer it.
 9
       Yeah. Let me sort of answer this in a somewhat different
10
    way. We talk about enrichment of captive animals. There are
11
    two zoos in Chicago that I take our students to, and I won't
12
    tell you the project, but it's on this kind of thing.
13
    done everything you can imagine almost to enrich the environment
14
    of the gorillas, chimpanzees, et cetera, in their zoos; but it
15
    can't compare with what Sue was doing. Sue was the enrichment
16
    for Kanzi. He grew up with her, et cetera. She was his
17
    enrichment. I mean, the things she brought to him, not just her
    presence, but the way she interacted, the things she introduced
18
19
    him to, the things she exposed him to that he learned on his
20
    own, you know, by just being around, et cetera. That was the
21
    enrichment.
22
              None of that was going on when I went Thursday.
    sitting out in the grass, fiddling with the grass, but that's 45
23
24
    minutes. But I don't think you can match that. I don't think
25
    you can take someone, some being that's had that kind of thing
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1 and then just stop it.
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- 2 BY MR. STAMBAUGH:
- 3 Q. Dr. Taglialatela also testified for us that in his 20 years
- 4 experience he thought that the emotional health of these bonobos
- 5 | was good. In your professional opinion, what do you think the
- 6 emotional health of these bonobos will be going forward without
- 7 Dr. Sue Savage-Rumbaugh?
- 8 MR. MILLER: Objection; lack of foundation.
- 9 | Objection; relevance. Objection; also calls for speculation.
- 10 THE COURT: I'll receive it, subject to the question.
- 11 | A. Would you --
- 12 BY MR. STAMBAUGH:
- 13 Q. With your fifty years of experience?
- 14 A. Rephrase it. I mean without the 50 years. Tell me what
- 15 | you're asking again.
- 16 Q. Yes, absolutely. Dr. Tuttle, in your opinion, what do you
- 17 | think will happen to the emotional health of these bonobos if
- 18 | Dr. Sue Savage-Rumbaugh is absent from their lives forever?
- 19 A. I can't -- it's too broad a question in a sense. I mean,
- 20 | they're going to continue to live on. I mean, people live on
- 21 after all kinds of awful things happen to them, and they can be
- 22 | re -- what is it; reconstituted, if you will, to some extent,
- 23 | but it doesn't -- the question would have to be, if you want me
- 24 | to speculate, would he be a happier, more productive for
- 25 | humankind bonobo if he had stayed with Sue, yes, absolutely, and

- 1 | the others as well. We would be well along in knowing yes or no
- 2 | about, again, what I've spoke of of the cultural part of
- 3 | language and culture.
- 4 Q. And, finally, Dr. Tuttle, you've seen Mr. Ryan Sheldon's
- 5 | PowerPoint presentation?
- 6 A. Yes.
- 7 | Q. Have you?
- 8 A. Yes.
- 9 | Q. And what was your opinion of his PowerPoint presentation in
- 10 terms of a viable alternative location for these bonobos?
- 11 A. I would move them immediately if it were ready or as soon as
- 12 | they are and without -- we've talked about many things. I think
- 13 | the flooding threat is the big thing and the toxicity of the
- 14 | soil. I just don't understand why the soil isn't tested and
- 15 | whatever. They shouldn't be there until the place is ready to
- 16 serve them well. And this is not faulting Jared and Bill, Bill
- 17 | Hopkins and Jared Taglialatela; but the place I think has
- 18 | become -- it can't help but become more toxic. They have been
- 19 dying. I mean, what kind of -- what more do you want? I don't
- 20 know if it's directly related, but something is going on there
- 21 | that they die. If they're subject to respiratory stuff and it's
- 22 | going through the colony and the fourth one dies, Panbanisha,
- 23 then why aren't remedial things taken, why don't you look at the
- 24 | whole context of things. Is that an enriched environment?
- 25 | Q. In your professional opinion, it's not an enriched

- 1 | environment?
- 2 | A. No. It isn't for people and it wouldn't be for apes.
- 3 MR. STAMBAUGH: Your Honor, I have no further
- 4 | questions at this time and pass the witness.
- 5 THE COURT: Cross-examination.
- 6 CROSS-EXAMINATION
- 7 BY MR. MILLER:
- 8 Q. Good afternoon, Dr. Tuttle. My name is Bill Miller. We met
- 9 in the hall briefly.
- 10 You were just discussing you're aware that four
- 11 bonobos died through Panbanisha, is that right?
- 12 A. No. I said there were four ill. The report that I read,
- 13 | the obituary -- not obituary; the autopsy Panbanisha stated that
- 14 | four had been ill.
- 15 Q. Okay. At the time that Panbanisha died four were ill?
- 16 A. They had been.
- 17 Q. Okay.
- 18 A. It was as if the virus was going from A to B to C to D,
- 19 which is common with people. It takes them awhile for a virus,
- 20 | if you get it, to start showing symptoms.
- 21 | Q. Okay. And you're concerned there was no followup on that
- 22 | issue at that time?
- 23 A. From when the first one got sick, obviously.
- 24 | Q. Right. And you understand at that time Dr. Taglialatela and
- 25 Dr. Hopkins were not on the scene?

- 1 A. I just said that, that I didn't hold them responsible for
- 2 that.
- 3 Q. Right. Dr. Rumbaugh was the scientist in residence,
- 4 | correct?
- 5 A. I have no idea. I just don't remember any mention of Bill
- 6 or -- I don't know if they were in charge of the center at the
- 7 | time. I don't know who was in charge of the center at the time.
- 8 Q. You have very impressive credentials, and I will establish
- 9 | that; but you have no credentials with respect to flooding or
- 10 expertise with regard to flooding, correct?
- 11 A. No.
- 12 Q. And same thing with toxicity of water or soil; is that
- 13 | accurate?
- 14 A. I know if it's there, it should be eradicated. My point is
- 15 | it should be tested and, to my knowledge, it has not been. Even
- 16 today I don't know.
- 17 Q. Right. And you came to that conclusion when you first
- 18 visited the center in 2008 or before?
- 19 A. No. I had asked the question when I saw the plans, has it
- 20 | been tested? I was told -- I wasn't really answered, but it
- 21 | wasn't my business to start asking them to test the soil.
- 22 | People heard it. I assumed it would proceed.
- 23 | Q. Okay. And that was made in --
- 24 | A. A year later I was invited to be on the scientific advisory
- 25 | board, and I'm sure if I would have stayed on it without Ted

- 1 | saying he would fire Sue, I would have demanded it.
- 2 Q. Did you tell either Dr. Duane Rumbaugh or
- 3 Dr. Savage-Rumbaugh, you can't leave Georgia until somebody
- 4 | shows you a test or a report about flooding?
- 5 A. No. I hadn't seen the plans yet.
- 6 Q. The same thing, you didn't say to them, you cannot leave
- 7 | Georgia until you are satisfied that there's no toxic soil here?
- 8 A. No.
- 9 Q. And Dr. Duane and Dr. Sue are close friends of yours for
- 10 | decades; fair?
- 11 A. Yes, I would say that. You would have to ask them.
- 12 Q. Sure.
- 13 A. But I know where my heart is.
- 14 | Q. Now their son drove you around yesterday for your visit here
- 15 | in town?
- 16 A. Yes. It was nice to see him again. And I told him he
- 17 | probably would have to wait outside.
- 18 | Q. Right. But he was welcomed into the center?
- 19 A. He grew up with Bill.
- 20 | Q. Why did Dr. -- or excuse me, why did Mr. Townsend want to
- 21 | fire Dr. Savage-Rumbaugh?
- 22 | A. You don't ask Ted why. He just does what he wants.
- 23 | Q. Well, did he tell you why?
- 24 A. No. And, in fact, if you -- if I can talk at length, I
- 25 | did -- I wrote to him when I got home and told him, you can take

- 1 | me off the board, too. In fact, while I was there, he asked me
- 2 | if I would chair the board -- this is the first day -- and also
- 3 | would I be willing to come and be the director of the center,
- 4 | this new center.
- 5 | Q. Ted was asking you to replace Dr. Savage-Rumbaugh?
- 6 A. No. I don't know that Sue was meant to be the director.
- 7 | She was the researcher, lead researcher.
- 8 Q. During your visit yesterday, did you ask Dr. Hopkins to
- 9 review the IACUC protocols or any and all documents backing
- 10 | Exhibit 1006 that you looked at?
- 11 A. No. You mean the actual texts of them?
- 12 Q. Right.
- 13 A. No. No, I did not.
- 14 | Q. But you told the court those are important, you wanted to
- 15 | see them?
- 16 A. No. I would like to see them I said before I would judge
- 17 | it, but it looks too busy to me -- regardless what's in them, it
- 18 | looks like a very busy agenda, especially if you're not set up.
- 19 Q. Okay. But you testified you wanted to review them and you
- 20 | didn't ask to review them while you were there?
- 21 A. No. I wasn't there for that. I was to see the condition of
- 22 the animals and the facility, et cetera.
- 23 | Q. Did you ask to review any documents while you're visiting?
- 24 A. No.
- 25 | Q. Is another common reaction for an ape in captive environment

- 1 to spit on a person they don't know?
- 2 A. Well, he knew -- no, he wouldn't know Shane either. I don't
- 3 know. How would he know me, if he did know me, with a mask on?
- 4 Q. Okay. But that reaction, spitting on you, may have been a
- 5 | reaction to the fact that he did not know you, correct?
- 6 A. That's speculation.
- 7 Q. It's one possibility, correct?
- 8 A. If you want to make it so, yes. I don't know.
- 9 Q. And you were wearing a mask because you had just recovered
- 10 from the flu and you had been asked to --
- 11 A. No, no. It's the rule, you have to. If you go in certain
- 12 areas of the ape places, you're supposed to wear a mask and
- 13 rubber gloves.
- 14 Q. You had a question about Dr. Savage-Rumbaugh's research
- 15 | trajectory. Have you reviewed Bonobo Hope's research
- 16 | trajectory?
- 17 A. Yes, not in this, but I've seen it.
- 18 | Q. Okay. What did you review?
- 19 A. I think I was asked to be on that board, too, at some point.
- 20 | I don't remember. It would have been sometime when it was
- 21 | forming, but I don't remember.
- 22 Q. What is the research trajectory?
- 23 | A. The research trajectory is -- it's in a paragraph in a
- 24 | couple of things where you go from things that have already been
- 25 done into art and agriculture, and I forget what all. I would

- 1 have to have it in front of me.
- 2 Q. I appreciate that. I think you're referring to the research
- 3 | trajectory you read and you testified to about the settlement
- 4 agreements. I'm saying, what did you review that Bonobo Hope
- 5 | gave you with the research trajectory?
- 6 A. I don't know that Bonobo Hope gave me anything.
- 7 Q. And you haven't reviewed their research trajectory?
- 8 A. No, no.
- 9 Q. Do they have a research trajectory that they've shared with
- 10 you?
- 11 A. I assume it's the same as was in those documents, the
- 12 | settlement agreements.
- 13 Q. And you would not move the bonobos to Missouri until -- you
- 14 | said at least until the facility is finished, is that right?
- 15 A. Well, of course.
- 16 Q. You were okay with the proposal with respect to caging of
- 17 | the bonobos?
- 18 A. I don't understand.
- 19 Q. You had an opportunity to review Mr. Sheldon's proposal,
- 20 | correct?
- 21 A. Yes. I've seen the presentation of it. It looks wonderful,
- 22 but I would certainly not start moving them immediately. It's
- 23 | too bad we can't do that. It's not finished.
- 24 | Q. And you're comfortable with that proposal, even though there
- 25 are cages for the bonobos?

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A. There will have to be.
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- 2 Q. And you're comfortable moving them, even though it will
- 3 require anesthetizing them before you move them down to
- 4 | Missouri?

- 5 | A. I'm not sure it would require anesthetization before moving
- 6 them. In fact, I think you could probably get around that,
- 7 unless there's a law about it or something, you know.
- 8 Q. So if it's a USDA requirement, you would agree that that
- 9 | would be necessary?
- 10 A. It wouldn't be my place to agree or disagree. I would have
- 11 nothing, no reason to be commenting on it really.
- 12 MR. MILLER: Dr. Tuttle, thank you for your time.
- 13 Have a safe trip back.
- 14 THE COURT: Doctor, I do have a question for you. I
- 15 | didn't pick it up. You might have mentioned it. When was it
- 16 | that Mr. Townsend told you that he wanted to fire
- 17 Dr. Savage-Rumbaugh?
- 18 THE WITNESS: It was at the first meeting of the
- 19 | scientific advisory -- what was it -- council anyway -- well, an
- 20 advisory council, the first meeting. It was in 2007. There's a
- 21 copy of the invitation somewhere in the records. I have -- no,
- 22 | it's not. But I have the invitation to do it, yeah.
- 23 THE COURT: Thank you, Doctor.
- 24 THE WITNESS: Thank you.
- THE COURT: Anything further?

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              MR. STAMBAUGH: Nothing further, Your Honor.
 2
              Thank you.
              THE COURT: You may step down, sir. Thank you.
 3
              May this witness be excused?
 4
 5
              MR. MILLER: Yes.
 6
              MR. STAMBAUGH: Yes.
 7
              THE COURT: You're excused.
              THE WITNESS: What's the short way out?
 8
 9
              THE COURT: Directly to the door.
10
                                          (Witness excused.)
              MR. STAMBAUGH: Your Honor, with the conclusion of
11
12
    Dr. Tuttle's testimony, again, Your Honor, defendants do rest
    their case. There's one very small housekeeping issue with
13
14
    documents that I've spoken to opposing counsel about. I think
15
    we can deal with that at the end if necessary so we can move
16
    along.
17
              So for present purposes, reserving those rights,
18
    defendants rest.
19
              THE COURT: Ready to call your next witness,
20
   Mr. Miller?
21
              MR. MILLER: We are, Your Honor. And just to
    confirm -- and I apologize -- my understanding, I believe
22
    counsels' understanding as well, is the court intends to defer
23
24
    any motion, oral motion that we might make to the briefing that
25
    we're contemplating for after the hearing?
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1
              THE COURT: You can join such a motion if you want
 2
    with your briefing, yes. I will accept that. Nobody needs to
    make -- you're talking about a motion for judgment as a matter
 3
    of law?
 4
 5
              MR. MILLER: For instance, Your Honor, I was not
 6
    intending to do that. I just didn't know if you were expecting
7
    we could do that. I think both of our understanding was we were
    going to be briefing these issues, but we didn't want to
 8
    surprise the court if we didn't address that I guess.
10
              THE COURT: It wouldn't surprise me. I always hope
11
    people tell me.
12
              So we're clear, can it be stipulated and agreed that
13
    all rule 50 type motions which might be made at the conclusion
14
    of one party's record or the other party's record, all of those
15
    things may be reserved until the posttrial briefing and may be
    included therein as a part of the briefing? Is that
16
17
    satisfactory?
18
              MR. STAMBAUGH: So stipulated, Your Honor.
19
              MR. MILLER: Same, Your Honor.
20
              Thank you.
21
              MR. MELHUS: Plaintiffs call Dr. William Hopkins to
22
    the stand.
23
              THE COURT: Dr. Hopkins, if you would come forward,
24
    please, and face the clerk, she'll administer the oath to you.
25
              THE CLERK:
                          If you would stop right there. Raise your
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1 right hand.
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2 WILLIAM HOPKINS, PLAINTIFF'S WITNESS, SWORN

3 DIRECT EXAMINATION

- 4 BY MR. MELHUS:
- 5 Q. Good afternoon, Dr. Hopkins.
- 6 Could you please state your name and spell your last
- 7 name for the record, please.
- 8 A. William Hopkins, H-O-P-K-I-N-S.
- 9 Q. And could you please describe your educational background
- 10 | for the court?
- 11 A. I have a bachelor's degree in psychology from the University
- 12 of Wisconsin, a master's and Ph.D. from Georgia State
- 13 University. That's my academic background.
- I have held three faculty appointments. I worked from
- 15 | 1994 to 2005 at Berry College in Rome, Georgia. In 2005 I moved
- 16 to Agnes Scott College, an all women's liberal arts college in
- 17 Atlanta, from 2006 to 2011. And then in 2012 I went to the
- 18 | Neuroscience Institute at George State University, which is
- 19 where I am now. I've also held an appointment as research
- 20 associate scientist of Yerkes National Primate Research Center
- 21 | since 1990, and I continue with that appointment today.
- 22 | Q. And you're currently a member of the ACCI Board of
- 23 | Directors, is that correct?
- 24 | A. That's correct -- I'm sorry, I'm president of ACCI.
- 25 Q. Okay. And could you please direct your attention to what's

- 1 | been marked as Plaintiffs' Exhibit 1008. It's in the small
- 2 binder in front of you.
- 3 A. Okay.
- 4 | Q. And could you just describe that document generally for the
- 5 | court?
- 6 A. I just want to make sure -- could you say the document
- 7 | again; I'm sorry?
- 8 Q. I'm sorry. It's Plaintiffs' Exhibit 1008.
- 9 THE COURT: It should be in the small notebook.
- 10 THE WITNESS: Right there?
- 11 THE COURT: No, not that.
- 12 MR. MELHUS: May I approach, Your Honor?
- 13 THE COURT: You may.
- 14 THE WITNESS: Sorry. I want to make sure I'm looking
- 15 | at the -- oh, 1008. I'm sorry. Oh, I'm sorry, it's my CV.
- 16 Okay. It's an exhibit now.
- 17 BY MR. MELHUS:
- 18 | Q. I think I may have missed it, but you said that was your CV?
- 19 A. That's correct.
- 20 Q. Okay. And just in the general sense, are CVs created in the
- 21 | normal course of business as a Professor of Neuroscience at
- 22 | Georgia State University?
- 23 A. A CV would be an academic's, essentially their resume, and
- 24 | they're continually updated with changes in appointments or
- 25 | publications, grants, any other academic responsibilities you

- 1 | might have such as serving to the college or community.
- 2 | Q. And did you prepare that CV?
- 3 | A. I did.
- 4 | Q. And did you prepare it in the normal course of business as
- 5 | you just described?
- 6 A. That's correct.
- 7 | Q. Is it a complete and accurate representation of your
- 8 accomplishments to date?
- 9 A. Pretty close.
- 10 Q. Okay. Did you make each of the entries on the CV or update
- 11 | them at or about the time that the accomplishments occurred?
- 12 A. Yes.
- MR. MELHUS: Plaintiffs offer Exhibit 1008 into
- 14 | evidence at this time.
- 15 (Plaintiffs' Exhibit 1008 was
- offered in evidence.)
- MR. STAMBAUGH: No objection, Your Honor.
- 18 THE COURT: 1008 is received.
- 19 (Plaintiffs' Exhibit 1008 was
- 20 received in evidence.)
- 21 BY MR. MELHUS:
- 22 Q. Could you please describe for the court how you became
- 23 | involved with the bonobos at ACCI?
- 24 A. Okay. In the fall of 2013, Dr. Taglialatela was approached
- 25 by Bonobo Hope and Sue and Duane Rumbaugh to assume leadership

- 1 of the organization, and Jared approached me and asked me if I
- 2 | would be willing to help. So I said yes, I'm certainly willing
- 3 to consider that, given the history that I had also had with
- 4 those particular bonobos, and we came to visit in the fall of
- 5 | 2013 and decided -- I decided at that point that I would work
- 6 | with Jared toward, you know, resurrecting the facility and the
- 7 organization.
- 8 | Q. Okay. Prior to making that decision, did you have any
- 9 | conversations with Dr. Sue Savage-Rumbaugh?
- 10 A. We did. We talked by phone, and we had a number of e-mail
- 11 exchanges that I was copied on, including the correspondence
- 12 | with Jared, and we were assured that we would have autonomy to
- 13 | move the research in the direction that we thought would be most
- 14 | beneficial for garnering private or public sector support for
- 15 | the work and to try and, you know, essentially, you know,
- 16 | reactivate, you know, start the program of research again and
- 17 | try to improve the visibility of the institution both nationally
- 18 | and internationally, which I think it was suffering at that
- 19 time.
- 20 Q. Okay. And I think you mentioned some e-mail communications
- 21 | with Dr. Savage-Rumbaugh, is that correct?
- 22 A. That's correct.
- 23 | Q. Could you please direct your attention to what's been marked
- 24 as Plaintiffs' Exhibit 1005.
- 25 A. I'm sorry, I don't -- 1005? There doesn't seem to be

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1
    anything in the 1005, unless I'm missing something.
 2
              THE COURT: Why don't you help him out.
              MR. MELHUS: May I approach the witness, Your Honor?
 3
              THE COURT: You may.
 4
 5
              THE WITNESS: I'm sorry, I can't read a folder.
 6
              MR. MELHUS: That's okay.
 7
              THE WITNESS: 1005?
 8
              MR. MELHUS: One moment, Your Honor.
 9
              (Pause.)
10
              THE COURT: I have my copy here if that would help
11
    speed things up.
12
              MR. MELHUS: Well, I think we have another copy here.
13
              May I approach, Your Honor?
14
              THE COURT: You may.
15
              THE WITNESS: Okay.
16
    BY MR. MELHUS:
17
    Q. Could you please briefly describe that document for the
18
    court?
19
   A. This document is a series of correspondence principally
20
   between Sue and the board members of IPLS encouraging them to
21
    endorse and support Jared and my control over essentially IPLS.
22
   And, you know, the rest of the document just describes her
    support for us, our credentials to manage the facility and to
23
24
    take care of the apes. And the rest of the document is the
25
    support that we received from the Bonobo Hope board endorsing
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- our appointments to the IPLS and to the management of the operation.
- 3 Q. And based on that e-mail and some of the conversations you
- 4 described earlier, what was your understanding of your role at
- 5 | the Iowa Primate Learning Sanctuary and the research it was
- 6 conducting moving forward?
- 7 A. My understanding was that essentially the organization was
- 8 | being handed to Jared and I and that we were being asked, and I
- 9 would even go so far to say as we were being, you know, begged
- 10 to take over the management and the care of the animals, and
- 11 | that's what was my understanding. It was my understanding we
- 12 had complete authority to do that, to take the research in the
- 13 direction it needed to go, and we had the complete authority to
- 14 | make decisions about the welfare of the animals, and that was my
- 15 understanding of the agreement. And, quite frankly, I would not
- 16 | have got involved without that stipulation.
- 17 Q. Okay. And you understand that prior to those conversations
- 18 | and that e-mail that's marked as an exhibit, Plaintiffs' Exhibit
- 19 | 1005, that Dr. Savage-Rumbaugh had a more active role in IPLS?
- 20 A. No.
- 21 Q. Excuse me. Prior to the conversations and e-mail
- 22 communications prior to you joining IPLS, did
- 23 Dr. Savage-Rumbaugh have a more active role at any point
- 24 | historically?
- 25 A. At IPLS, yes, she did. Prior to our involvement, yes. The

- 1 | plan was not for her to be involved going forward.
- 2 Q. Okay. I would like to talk about some of the research
- 3 | that's being conducted at ACCI currently. Could you please
- 4 direct your attention and I'm going to ask you to flip to the
- 5 exhibit book in front of you again. It's Plaintiffs Exhibit
- 6 1006.
- 7 A. Okay.
- 8 Q. And could you describe that document just briefly?
- 9 A. It looks like a brief description of the active IACUC or
- 10 research protocols that have been approved at ACCI.
- 11 Q. Okay. And would you be able to describe some of those --
- 12 some of that research that's reflected in this document for the
- 13 benefit of the court, starting with, for example, what's
- 14 described as AUP No. 140423-01? It's the very first protocol on
- 15 | the list.
- 16 A. Well, the first project is Dr. Taglialatela's proposal. In
- 17 | that particular IACUC, the goal is to go back and to
- 18 | characterize the speech comprehension abilities of all of the
- 19 | bonobos at ACCI. We know that Kanzi's abilities are well
- 20 documented, but the rest we know much less about, although
- 21 | clearly we interact with them, we have a sense they understand
- 22 | many, many words, but we don't really have a sense of that.
- 23 For me, I guess, this complements the work from the
- 24 past in important ways in that we're establishing, you know,
- 25 what other individuals are capable of doing this. And then the

- 1 | larger goal of that project is to incorporate experimental
- 2 | psychological methods into assessing what are the underlying
- 3 | mechanisms that allow for these bonobos to comprehend spoken
- 4 | English. It's really a remarkable ability that they have, but
- 5 | we really, really don't understand beyond their capacity to do
- 6 that much about how they're capable of doing that. And if you
- 7 look in the literature and you look for theories for an
- 8 explanation of that, there's very few of them, and it's really
- 9 difficult to reconcile what they're capable of doing with what's
- 10 out there theoretically.
- So to me this is a very important project and
- 12 certainly one that complements the work from the past.
- 13 Q. When you describe the work from the past, could you kind of
- 14 explain what you mean?
- 15 A. Well, I'm talking about the work that's been started at the
- 16 | Language Research Center in the early 1970s, and that is the use
- 17 of symbols, the ability -- there's documentation of the
- 18 | linguistic capacities of chimpanzees and bonobos. So that's
- 19 essentially what I mean by the past.
- 20 Q. Okay. And would it be fair to characterize that type of
- 21 research as experimental psychology?
- 22 A. Absolutely.
- 23 | Q. Would it be fair to characterize that type of research as
- 24 | the use of language?
- 25 A. Yes.

that theme as a research theme as a whole, sure.

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- Q. Would it be fair to characterize that type of research as involving ape intelligence?
- A. Absolutely, sure. I mean, again, as, you know, their
 capacity to acquiring and use the symbols and their capacity to
 particularly comprehend the speech certainly reflects something
 about their intelligence. So it certainly captures, you know,
- Q. Okay. And I think the court may be curious, and there's certainly some questions about some of the other research that's going on at ACCI, so I'll ask you just to describe one more research protocol.

Let's move to the third on the list, which is marked as AUP No. 140611-02. Could you describe that protocol and the research that's being conducted under it?

A. So this is Dr. Lyn's protocol, so she's really interested in examining the role that social linguistic experiences have on the developmental cognition. And so in this particular set of studies, she's developed or is employing something called a primate cognition test battery. So it's sort of a standardized intelligence test, for lack of a better term, that's used to measure sort of social and physical intelligence in apes specifically.

So her goal in that project is to explore, you know, whether acquiring the use of a symbol system, you know, in some way alters or it selectively picks certain forms of intelligence

over others, so that's a pretty significant part of that project.

The other part of that project is trying to get at an old question that's been around a long time in the literature, and that is, what is the motivation behind ape communication. So starting back in the seventies, it was sort of argued that apes only sign or use chips or use symbols for instrumental things, so they only produce keyboard utterances for the purposes of asking for something, I want this, I want that, I want that. They never engage in communication just for the purposes of communication. So, you know, look, there's an airplane up in the sky, which is -- you know, so this has been an argument that's been around starting with Paris, but more recently it was argued in the literature, not just in the context of simple use, but also in the context of just normal forms of gestural and vocal communication in apes.

So there's an element of that proposal as well that aims to look at, you know, whether or not particularly apes that have been raised in these sort of rich sociolinguistic environments are more prone to engage in more declarative form of communication, which are these forms where you talk about something other than asking for something.

Q. Okay. Thank you for that description.

I think we talked earlier about how you would characterize that type of research. Are you familiar with

- Dr. Savage-Rumbaugh's research historically?
- 2 A. Yes, I am.
- 3 Q. Would you characterize that type of research as continuing
- 4 on the same type of research trajectory?
- 5 A. I would. In fact, I would argue that this work is all, you
- 6 know, being framed in a way that complements that work very
- 7 | well.

- 8 Q. Since you've been involved at ACCI, have there been any
- 9 changes to policies or protocols that are designed to benefit
- 10 | the bonobos' care and well being?
- 11 A. Yes. So we have done a number of things in terms of
- 12 | sanitation. So we've removed some -- at least early on, some of
- 13 | the greenhouse areas had a bark in them instead of the natural
- 14 | floors, and so we removed some of those substrates just because
- 15 | we had some mold building in there and that was we thought a
- 16 health problem, so we removed some of that.
- We've instituted some policies around how the care
- 18 | staff and also the volunteers interact with the animals in terms
- 19 of, you know, certain levels of sophistication and what they're
- 20 allowed in terms of contact policy with the animals. So we have
- 21 | instituted some of those policies as well, and we've also
- 22 | adopted the policies of having both the volunteers and the care
- 23 | staff to wear masks and gloves when working with the apes.
- 24 | Q. And what would be the benefit of having the volunteers and
- 25 caretakers wear masks and gloves when interacting with the

bonobos?

- 2 A. So it's principally for the health of the apes, so we worry about human pathogen transmission to the apes, all right. 3 apes are in the facility. They're confined. We're not worried 4 so about the contact, what they encounter with each other. What 5 6 we worry about is the volunteers and the staff go home every day and socialize with other people and other people, and so each 7 time they do that, you know, they're putting themselves at risk, 8 you know, of picking up some type of pathogen that could then be 10 brought back and transmitted to the chimps and the bonobos. 11 we just were worried about that. And what prompted that was the 12 fact that Teco had been ill when we first came on board, and we 13 were -- it was a respiratory infection. They only get them from us, no other way. This was on the heels of Panbanisha passing 14 15 away from a respiratory infection essentially. So we just 16 didn't want to put the apes at any more risk, so we instituted 17 this policy, and it's a policy that's used in many institutions that house great apes. 18 Q. Are there exceptions to the policy to allow for research
- 19 Q. Are there exceptions to the policy to allow for research 20 interaction with the bonobos?
- 21 A. Certainly.
- 22 Q. Could you describe that?
- A. Yeah. If there's a research justification for not wearing
 the masks or the gloves, that can be placed in the IACUC, it can
- 25 be presented and evaluated, and a decision can be made. So it's

- 1 | a cost benefit or it's a risk benefit analysis. Does the
- 2 benefit of doing whatever research you want to do with them
- 3 | without wearing a mask or gloves, is that greater than the risk
- 4 | that it presents to the apes, right. So if it's deemed to be
- 5 | the case, then that can move forward, and we're not opposed to
- 6 that as long as it's scientifically justified.
- 7 Q. Okay. So it's not the case that you're trying to block
- 8 | interaction with the apes by requiring people to wear masks and
- 9 | gloves?
- 10 \mid A. No, absolutely not. That is not the motive whatsoever.
- 11 | Q. Are you trying to erase Dr. Savage-Rumbaugh's research
- 12 | trajectory by requiring the use of masks and gloves?
- 13 A. Absolutely not. We're simply trying to keep the animals
- 14 healthy.
- 15 Q. Are you trying to eliminate Dr. Savage-Rumbaugh's research
- 16 | trajectory in any way at ACCI?
- 17 A. No, absolutely not.
- $18 \mid Q$. Do you have a secret agreement or any other type of
- 19 agreement with Yerkes to block Dr. Savage-Rumbaugh's access to
- 20 | the laboratory?
- 21 A. No, absolutely not.
- 22 | Q. Is there any type of invasive biomedical research going on
- 23 | at the facility?
- 24 A. No.
- 25 | Q. Going forward, what would you like to see happen with these

1 | bonobos in Des Moines?

A. I would -- going forward, I would like the original agreement that we thought we had signed on to to be enforced, and that is we would like to be given the autonomy and control over both the scientific direction and the health and management of the five bonobos here and the research program forward.

7 Q. Why is that so important?

A. Because I think the program has suffered in the past and it hasn't been terribly productive, and it's been muddled in controversies with the Bonobo 12 and other kinds of groups constantly — there's just been constant, sort of a circus atmosphere around its management and operation. You know, these apes deserve more than that. They're an incredibly valuable research population, and we have an opportunity and we have an obligation I think to continue investigating what they're capable of doing. And I think if they were to leave and go anywhere else, that wouldn't be possible.

When we first came on board, I mean there was some discussion about moving some of the bonobos to zoos, and I remember thinking that would just be a tragedy. This is the worst thing that could happen, because we wouldn't be able to continue to work with them, and they probably wouldn't be in as good of an environment than they are now.

So that's why I really feel like they should stay here and they should stay and continue to be under our control.

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                                                                    652
 1
              MR. MELHUS: No further questions for this witness,
 2
    subject to any redirect, Your Honor.
 3
              Thank you, Dr. Hopkins.
              THE COURT: Cross-examination?
 4
 5
              MR. STAMBAUGH: Very briefly, Your Honor.
 6
                            CROSS-EXAMINATION
7
   BY MR. STAMBAUGH:
 8
       Good afternoon, Dr. Hopkins.
   Α.
       Hello.
10
        Do you know who Dr. Russ Tuttle is?
11
        I do.
   Α.
        Is Dr. Russ Tuttle one of the most widely acclaimed and
12
    respected primatologists in the world?
13
14
       He's well known.
    Α.
15
       Do you respect his opinion?
16
        I think it would depend on the topic.
17
       Do you respect his opinion with respect to the topic of
18
   primatology?
19
       Primatology globally, maybe, yeah.
20
        And you would agree with me that Dr. Tuttle is more familiar
21
    with the research trajectory of Dr. Sue Savage-Rumbaugh than you
22
    are, correct, sir?
23
   A. No.
```

How long have you worked with Dr. Sue Savage-Rumbaugh?

I've known Dr. Savage-Rumbaugh for 30 years now.

24

- 1 Q. And it's your opinion that you know the nature of her
- 2 research trajectory better than Dr. Russ Tuttle at the
- 3 University of Chicago?
- 4 A. I do.
- 5 | Q. But you believe his opinions should be respected?
- 6 A. I do.
- 7 | Q. Dr. Hopkins, you mentioned on direct examination that
- 8 | there's no secret agreement between ACCI and Yerkes?
- 9 A. That's correct.
- 10 Q. But is it your understanding that it's a necessary condition
- 11 of any funding from Yerkes that Dr. Sue be absent from the lab?
- 12 A. Yes, but that's not secret. That's not a secret.
- 13 Q. Dr. Hopkins, you attended a board meeting of ACCI on
- 14 July 29, 2014, is that correct?
- 15 A. I'm sorry, what was the date?
- 16 Q. July 29, 2014.
- 17 A. Yes.
- 18 | Q. Do you recall a discussion about individuals from Yerkes
- 19 being sent to ACCI during that board meeting?
- 20 A. I don't recall that, but that might have come up.
- 21 Q. Would it refresh your recollection if I showed you a copy of
- 22 | the board minutes?
- 23 A. Sure.
- MR. STAMBAUGH: May I approach, Your Honor?
- THE COURT: You may.

On those dates in this --

- 1 | Q. July 30th and July 31st, 2014?
- 2 A. That's correct.
- 3 Q. Did they stay for those two days?
- 4 A. I would say it was a day-and-a-half.
- 5 Q. Did you speak with them?
- 6 A. Sure.
- 7 Q. Did Dr. Taglialatela speak with them?
- 8 A. Yes.
- 9 Q. Did a PR person come from Yerkes on those dates as well?
- 10 A. She did.
- 11 Q. Did you talk with her?
- 12 A. Yes.
- 13 Q. Did Dr. Taglialatela talk with her?
- 14 A. Yes.
- 15 Q. What did you talk about?
- 16 A. In terms of public relations or --
- 17 Q. Yes.
- 18 | A. -- what did we talk about on the whole? We talked about the
- 19 prospects here.
- 20 Q. Why was she there from Yerkes?
- 21 | A. Why was the public relations individual there? I think from
- 22 the standpoint of the Yerkes Primate Center, they would want to
- 23 know something about where they were sending their apes in the
- 24 | event that they did produce a press release to announce that.
- 25 Q. Did you talk about Sue Savage-Rumbaugh with the vet?

- 1 A. On that occasion, I don't recall talking about Sue during
- 2 | that time.
- 3 | Q. Did you talk about Sue Savage-Rumbaugh with a vet from
- 4 Yerkes on any other occasion?
- 5 A. We have had conversations about Dr. Savage-Rumbaugh with,
- 6 | yes, veterinarians from the Yerkes Primate Learning Center, not
- 7 | necessarily on the date of that meeting, but perhaps another
- 8 meeting.
- 9 Q. Subsequent to July 2014?
- 10 A. I don't think subsequent to that, no.
- 11 Q. Did you talk about Sue Savage-Rumbaugh with the PR person
- 12 | from Yerkes?
- 13 A. Yes.
- 14 Q. What did you talk about?
- 15 | A. I don't remember really everything about that conversation.
- 16 | I think principally it centered around Sue and Duane's
- 17 | involvement in ACCI and the institution itself going forward.
- 18 | Q. Was that conversation the basis for your understanding that
- 19 | it was a necessary condition of funding from Yerkes that Sue and
- 20 Duane be banned from the lab?
- 21 A. Well, not essentially with the PR person. That was from
- 22 e-mail exchanges that we had with the then director and also
- 23 some of the other folks from the Yerkes center that would be
- 24 | involved in any decision to bring chimps here. The person who
- 25 was given the task of spearheading, you know, that discussion

- 1 was the PR person.
- 2 | Q. Did you speak with the colony manager?
- 3 A. He was here, and we did talk, yes.
- 4 Q. Did you talk about Dr. Sue Savage-Rumbaugh?
- 5 A. Honestly, I don't remember talking with him about Sue
- 6 Savage-Rumbaugh.
- 7 | Q. So there was no secret agreement, Dr. Hopkins, but as a
- 8 result of your conversations and e-mails with these individuals
- 9 | from Yerkes, you knew that it was a condition that Sue and Duane
- 10 be banned from the lab if they brought chimpanzees there,
- 11 | correct?
- 12 A. I'm not exactly sure I understand the question.
- 13 Q. You testified on direct that there was no secret agreement?
- 14 A. Right.
- 15 Q. But there had been conversations about Dr. Savage-Rumbaugh?
- 16 A. Uh-huh.
- 17 Q. There had been e-mails about --
- 18 A. Yes, Sue and Duane knew that.
- 19 Q. Let me finish my question.
- 20 A. Okay.
- 21 | Q. There had been e-mails about Dr. Sue Savage-Rumbaugh, okay,
- 22 | and you now have an understanding, based upon conversations and
- 23 | e-mails, that if Yerkes brings chimpanzees, Sue and Duane will
- 24 be banned, correct?
- 25 A. No. We don't have an agreement with Yerkes at this point.

- 1 Q. That wasn't my question.
- 2 A. Okay.
- 3 Q. My question was, you now have an understanding, based upon
- 4 the e-mails, the conversations with individuals from Yerkes,
- 5 | that Sue and Duane will be banned, correct?
- 6 A. No. I disagree with that. I would not say that's a fair
- 7 | statement.
- 8 Q. Your impression is --
- 9 A. I would say that, you know, I think that if we want to
- 10 pursue the opportunity to bring chimpanzees from the Yerkes
- 11 | Center to ACCI, all right, I believe that the Yerkes Center
- 12 | would put the kibosh on that if Sue and Duane were involved, and
- 13 | I think Sue and Duane also would agree with that statement.
- 14 Q. Does ACCI have immediate plans to bring chimpanzees from
- 15 | Yerkes?
- 16 A. We would -- not necessarily Yerkes. We have a vacant
- 17 | building. We would very much like to put some chimpanzees in
- 18 | it. If Yerkes isn't interested or doesn't want to or chooses,
- 19 | for whatever reason it decides, not to work with us toward that
- 20 endeavor, then we will look for another organization to work
- 21 with.
- 22 Q. I appreciate that, Dr. Hopkins, and I don't want to mince
- 23 | words; but can you tell the court, have there been any immediate
- 24 plans with Yerkes, whether or not they come to fruition?
- 25 | A. We have had discussions with Yerkes about bringing

- 1 | chimpanzees to ACCI, yes.
- 2 Q. And it's a true statement that as a condition of doing that,
- 3 | Sue and Duane will be banned from the lab, correct, sir?
- 4 A. No, that's not correct.
- 5 | Q. It's your understanding that Yerkes would ban Sue and Duane
- 6 | from the lab should they bring chimpanzees, correct?
- 7 A. My thoughts on that are that Yerkes will never agree to send
- 8 | the apes here, all right, if Sue and Duane are involved. I'm
- 9 | not sure --
- 10 | Q. Okay. So let's go at it from that angle. If Sue and Duane
- 11 are involved, you understand from your conversations and e-mails
- 12 | with Yerkes that they will never send chimpanzees here, correct?
- 13 A. That's correct, yeah.
- 14 Q. Okay. Would you consider that a condition of Yerkes sending
- 15 | chimpanzees here?
- 16 A. Yeah, I would.
- 17 Q. Okay. Dr. Hopkins, you have enormous respect for Dr. Sue
- 18 | and Dr. Duane Rumbaugh's contributions to science, correct?
- 19 A. I do.
- 20 | Q. Why was it necessary to ban Sue from the lab, in fact, to
- 21 deny her access in order for you and Dr. Taglialatela to pursue
- 22 | a scientific course for ACCI?
- 23 A. I didn't ban Sue from the lab.
- 24 | Q. So you would have no objection to Dr. Savage-Rumbaugh
- 25 | becoming part of the research at the lab today, is that correct?

- 1 A. No. I would oppose that.
- 2 Q. And why is that?
- 3 A. Because I don't think it would be successful.
- 4 Q. If Dr. Tuttle opined that it would be successful if Dr. Sue
- 5 | Savage-Rumbaugh was involved, would you disagree with him?
- 6 A. I would.
- 7 MR. STAMBAUGH: One moment, Your Honor.
- 8 (Pause.)
- 9 BY MR. STAMBAUGH:
- 10 Q. Dr. Hopkins, a couple of final questions.
- 11 You mentioned in your direct testimony that you needed
- 12 | autonomy in your research; is that accurate?
- 13 A. Yes.
- 14 Q. Why does that necessitate banning Sue to have autonomy?
- 15 A. I think that's the definition of autonomy is working alone
- 16 and independently.
- 17 Q. You have other individuals assisting you and
- 18 Dr. Taglialatela, correct?
- 19 A. We do, yeah.
- 20 | Q. So why is it so important that you completely deny access to
- 21 | the woman who has reared these bonobos over the last four
- 22 decades?
- 23 A. Again, I think it's because if Sue is involved in the
- 24 organization, I believe we will not be successful, and I think
- 25 | if you look at the last ten years and the opportunity that's

- 1 been squandered to this point, I would say that's the basis for
- 2 | that argument.
- 3 Q. Well, ACCI hasn't produced any peer-reviewed research since
- 4 | you've been in charge, correct?
- 5 A. That's not correct.
- 6 Q. Have there been publications from ACCI, peer-reviewed
- 7 publications --
- 8 A. Yes.
- Q. -- since you were in charge?
- 10 A. Even before now, we've had papers that I've published with
- 11 | Sue in the past.
- 12 Q. I've talking about research done at ACCI since you became --
- 13 and forgive me, I've forgotten your title; Director of Science?
- 14 A. Yes.
- 15 | Q. Okay. There's been no peer-reviewed publications from ACCI
- 16 | regarding the bonobos?
- 17 A. I'm not exactly sure about that, so I would have to look
- 18 | exactly to determine if any of the papers that we published say,
- 19 | for example, in 2015 have an ACCI affiliation. I would say -- I
- 20 think the answer to that is no. So if the definition of
- 21 | productivity is, does it have an ACCI title or recognition of
- 22 the institution, at this point I would say no; but that doesn't
- 23 | mean that isn't the case. At professional conferences certainly
- 24 | work that's been done at ACCI has been presented at professional
- 25 meetings.

- 1 | Q. You would agree with Dr. Taglialatela's opinion as to
- 2 | whether there have been peer-reviewed publications from ACCI
- 3 | since you've been in charge?
- 4 A. I'm sorry?
- 5 | Q. You would agree with Dr. Taglialatela's opinion on the issue
- 6 as to whether there has been peer-reviewed publications from
- 7 | ACCI since you've been in charge?
- 8 MR. MELHUS: Objection.
- 9 A. I don't know what his opinion is.
- 10 MR. MELHUS: Before you answer, objection to lack of
- 11 | foundation. I don't think that the basis for that question has
- 12 been established with this witness, Your Honor.
- THE COURT: Well, he's answered it, and I'll receive
- 14 | it, subject to the objection. He said he didn't know what Dr.
- 15 | Taglialatela's opinion was.
- 16 BY MR. STAMBAUGH:
- 17 Q. In other words, would you defer to Dr. Taglialatela's
- 18 opinion as to whether there have been peer-reviewed publications
- 19 | from ACCI?
- 20 A. Sure.
- MR. STAMBAUGH: Okay. No further questions, Your
- 22 Honor.
- THE COURT: Redirect?
- MR. MELHUS: Just briefly, Your Honor.

663

REDIRECT EXAMINATION

2 BY MR. MELHUS:

- 3 Q. Dr. Hopkins, you've testified that Yerkes does not want
- 4 Dr. Savage-Rumbaugh to be involved with any chimpanzees that may
- 5 | be transported to the facility at ACCI. Do you have any idea
- 6 | why that might be?
- 7 A. Yes.
- 8 MR. STAMBAUGH: Objection; lacks foundation.
- 9 THE COURT: You may answer --
- 10 A. Yes.
- 11 THE COURT: -- subject to the objection. Go ahead.
- 12 | I'm sorry.
- 13 A. I mean, again, I think -- there was a PR person who came to
- 14 | visit, and I think there's a reason because I think if you type
- 15 | into any Internet search engine, you can find a lot of negative
- 16 | publicity, negative press, negative things that took place at
- 17 | GAT and IPLS, and I think the Yerkes Center and anyone
- 18 | interested in bringing chimps, probably not just Yerkes, would
- 19 be quite concerned about what might happen if Sue were involved
- 20 | with the organization and they brought chimpanzees there, would
- 21 people be put at risk. I think, you know, given what's been put
- 22 out there for public discussion, the answer to that is they
- 23 | would have -- the answer is yes, they would have a concern about
- 24 | that.
- 25 Honestly, the Language Research Center started at the

1 Yerkes Primate Center in the 1970s, and for many, many years the Language Research Center, where Sue and Duane did a lot of their 2 important work, was part of the Yerkes Primate Center, and they 3 had a long history with Sue and Duane, and that relationship 4 ended, and I don't think that relationship ended on the best of 5 6 terms, and I think there were some concerns by the center at the 7 time about some safety issues and things like that, with the operation, even when it was at George State, even before it came 8 to Iowa.

So it's not as if that anyone from the Yerkes Primate

Center had never heard of Sue or Duane or had no background or

experience working with them. Many people did. I think the

players in the discussions have changed over the years, all

right; but, you know, there's still some legacy there, if you

will, in terms of, you know, the actions or some of the

unfortunate events that happened at the language center when the

Yerkes Center was still involved in it.

18 BY MR. MELHUS:

10

11

12

13

14

15

16

17

23

24

25

- Q. And are there other organizations that share or validate
 Yerkes' concern about working with Dr. Sue Savage-Rumbaugh
 moving forward?
- MR. STAMBAUGH: Objection; lacks foundation.

THE COURT: I'll receive it, subject to the objection; but I must say, although the witness has some ideas as to why and impressions about Yerkes' reasons that they may or may not

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665
1
   have for the position that they may or may not have taken with
    regard to Dr. Savage-Rumbaugh and her husband being involved,
 2
   but I think -- well, I want you to know that this is of little
 3
   probative value to me because the witness has opinions and --
 4
   but I think they're far enough removed that they're not
 5
 6
   particularly helpful on that subject.
 7
              MR. MELHUS: Okay. I apologize if I'm getting too far
 8
    off course. I just wanted to establish the record with respect
    to dispelling any conspiracy theory that might be out there as
10
    far as ACCI and Yerkes is concerned. And with that
    understanding, Your Honor, I'll move along.
11
12
              THE COURT: I know this is difficult for you to sit in
13
    the stands, but move along and ask another question.
14
    BY MR. MELHUS:
15
    Q. Are there any other reasons, sitting Yerkes aside, that you
16
    would not want to work with Dr. Savage-Rumbaugh moving forward
17
    that would explain why she has been denied access to the
18
    laboratory?
19
              MR. STAMBAUGH: Objection; lacks foundation,
20
    cumulative, improper credibility testimony -- character
21
    testimony, excuse me.
22
              THE COURT: I'll receive it, subject to the
23
    objections.
24
              MR. MELHUS: Answer if you can.
```

Okay. Can you -- I'm sorry. Can you ask it again?

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666
1
   BY MR. MELHUS:
 2
               Sitting aside the concerns that Yerkes may or may not
   have with Dr. Savage-Rumbaugh, are there any reasons that would
 3
    justify or explain why she has been not allowed access to the
 4
 5
    lab up until this point?
 6
              MR. STAMBAUGH: Same objections.
 7
              THE COURT:
                          Same ruling.
   A. Okay. I think on the whole the belief is that the animals
 8
    are at risk, to some extent, as are the people that work with
10
    the animals. I think this is a concern that would be a concern
    for me going forward, and that's largely a big motivating factor
11
12
    for why it is I feel like we must work independent from
13
    Dr. Savage-Rumbaugh in order to be successful.
14
              MR. MELHUS: Dr. Hopkins, thank you for your time.
15
    have no further questions, subject to any recross -- or
16
    redirect.
17
                              No further questions, Your Honor.
              MR. STAMBAUGH:
              THE COURT: You may step down, sir.
18
19
              Thank you.
20
                                          (Witness excused.)
21
              MR. MILLER: Your Honor, at this point in time, I know
22
    counsel have a couple of issues with respect to some documents
    or exhibits we want to discuss. I believe we are done with the
23
24
    presentation of our evidence, although I would like to briefly
```

huddle with my co-counsel and our representative, and I

```
1
    respectfully ask if we could perhaps take an early break to
    address these items, and we may be done.
 2
              THE COURT: That is perfectly fine. Will you need --
 3
    is 15 minutes going to be enough time?
 4
 5
              MR. MILLER: Yeah. I was going to say, I think we
 6
   need to review some exhibits, so we may need a little bit
7
    longer.
              THE COURT: Okay. We'll play it by ear. We'll be in
 8
    recess for 15 minutes, maybe a little longer.
10
              MR. STAMBAUGH: Thank you, Your Honor.
11
              (Recess at 2:34 p.m., until 3:13 p.m.)
              THE COURT: Be seated, please.
12
13
              There was some record you wanted to make about some
14
    exhibits I think?
15
              MR. STAMBAUGH: Yes.
                                    Thank you, Your Honor.
16
              Counsel has conferred and worked out some agreements.
17
    For claimant's purposes, we would like to enter the following
    exhibits into evidence: No. 95, which was the CV of Dr. Laurent
18
19
    Dubreuil that was discussed by Dr. Dubreuil but not moved into
20
    evidence, and also Exhibit No. 98, Your Honor, which is a
21
    collection of videos. Your Honor has it on a disk in the
    exhibit binder. These are videos that show the relationship and
22
23
    interplay between Dr. Savage-Rumbaugh and the bonobos and would
    be used essentially in lieu of any rebuttal testimony regarding
24
25
    her relationship with these bonobos, whether or not that was a
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safe relationship.
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With respect to -- and, again, I confess that I'm not as familiar with the local procedure. We have a number of exhibits, Your Honor, they were e-mails authored by George Caudill. That was an individual that was on the guaranteed to appear witness list by the plaintiffs in this case in the pretrial order. I can represent to the court that we would have introduced these exhibits through Mr. Caudill because they were authored by him. We believe that they are party opponent admissions in any event; but the reason that we did not use them during the proceeding is because Mr. Caudill was not here, even though his presence was guaranteed.

Those exhibit numbers, the e-mails offered by Mr. George Caudill, are Nos. 43, 48, 69, 71, 74, 76 and 103. So we would -- claimants would move all of those exhibit numbers into evidence as well.

(Defendants' Exhibits 43, 48, 69, 71, 74, 76, 95, 98 and 103 were offered in evidence.)

THE COURT: Any objection, Mr. Miller, to those exhibits?

MR. MILLER: Your Honor, I would restate the objections in the pretrial order. Further, we do think the offer here of these exhibits is inappropriate. We did note Mr. Caudill as a witness, guaranteed presence here. We notified

```
1
    counsel beforehand he had personal issues that arose that
    prevented him from being here. We think this is somewhat
 2
   punitive, but, Your Honor, we understand the circumstances.
 3
                                                                 So
    we would restate -- or we would state that objection and restate
 4
 5
    our objections in the pretrial order.
              Then I would also ask with respect to the videos, are
 6
7
    we able to stipulate to a time frame of those videos? I don't
    think that that's identified in that.
 8
 9
              MR. ZIFCHAK: I believe the videos predate Iowa.
10
              MR. MILLER: Okay. And can we so stipulate?
11
              MR. ZIFCHAK: We can so stipulate to that.
12
              MR. STAMBAUGH: So the videos would reflect the
13
    interplay between Dr. Savage-Rumbaugh and the bonobos before
14
    coming to Iowa.
15
              MR. MILLER: Right, as I understand it.
16
              And the only other thing, we would restate the
17
    objection asserted to Dr. Dubreuil's CV in the pretrial order.
18
              Thank you.
19
              THE COURT: Mr. Stambaugh, did you want to make a
20
    record that it was a business record like Mr. Melhus did with
    one of the CVs?
21
22
              MR. STAMBAUGH: I was impressed, Your Honor.
23
    done.
24
              THE COURT: 95 and 98 are received, subject to
25
    objection.
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670
 1
                                   (Defendants' Exhibits 95 and 98
                                  were received in evidence.)
 2
              THE COURT: Now, with regard to what I'll call the
 3
    Caudill e-mails, is there any issue about the authenticity of
 4
 5
    these e-mails and were they written by Mr. Caudill or at the
 6
    time he was -- was he -- I'm not quite sure, chair of the board
    of the IPLS?
7
 8
              MR. ZIFCHAK: Yes, Your Honor.
              THE COURT: Is all of that true?
 9
10
              MR. MILLER: He was chair. He wrote e-mails, Your
11
    Honor.
12
              THE COURT:
                         Did he write these?
13
              MR. MILLER: What's that?
14
              THE COURT: Did he write these?
15
              MR. MILLER: Well, to be honest, I mean, I have looked
16
    through the stack. You know, if I didn't say it before, I think
17
    several of these could have been admitted through others. That
    being said, for instance, 43, you know, we've had this, not a
18
19
    pattern but occasional e-mail, where it seems like something was
20
    copied and pasted over another document. That's what it appears
21
    to be with 43 as well as 103, Your Honor.
22
              So I don't have a reason to believe these aren't what
    are being represented by counsel, but, you know, I don't know
23
24
    either. So I guess -- I think from the appearance of the
25
    document, you can draw whatever weight or conclusion you may
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1
    from that. I don't have any reason to object beyond that.
 2
   have no knowledge.
              THE COURT: All right. I'll receive the Caudill
 3
    e-mails, 43, 48, 69, 71, 74 and 76 and 103, subject to the
 4
 5
    objections made. Are they hearsay objections?
 6
              MR. MILLER: Hearsay. Also there would be relevance
7
    objections. We've stated objections in the pretrial order, and
8
    I would rely on those; but hearsay, I'm certain there would be
    some hearsay objections. I can go through document objections
10
    if you prefer.
              THE COURT: You don't need to. My main concern was
11
12
    that these are statements by Dr. Caudill, who's chair of IPLS,
13
    and I assume is writing these things in his capacity as such.
14
              MR. MILLER: That appears to be the case, Your Honor,
15
    and I think you'll see they're e-mail trails or strings with
16
    other people involved as well.
17
              THE COURT: Well, I'll receive them subject to those
    objections then.
18
19
                                  (Defendants' Exhibits 43, 48, 69,
20
                                  71, 74, 76 and 103 were received
21
                                  in evidence.)
22
              THE COURT: Is there anything else evidentiary wise
   before we close the record?
23
24
              MR. MILLER: Yes. Thank you, Your Honor.
25
              We had one exhibit that we discussed with Mr. Simpson,
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1
    No. 1000, which had I been on top of things would have moved to
    admit and would do so now.
 2
 3
                                   (Plaintiffs' Exhibit 1000 was
                                   offered in evidence.)
 4
 5
              MR. STAMBAUGH:
                              Your Honor, we have no objections.
                          1000 is received.
 6
              THE COURT:
 7
                                   (Plaintiffs' Exhibit 1000 was
                                   received in evidence.)
 8
 9
              MR. MILLER: Oh, and one other matter of housekeeping.
10
    We do have this exhibit that has the certification for Secretary
11
    of State that we would like to three-hole punch and switch out.
12
              THE COURT: You can do that and put it in the official
13
    court record, which the official court record exhibits are the
14
    ones up here.
15
              THE CLERK: And they will be taking those, Judge.
16
              Do you need a copy with that stamp on it for your own
17
    records?
18
              THE COURT: No, I don't.
19
              THE CLERK: Do you want the official to be used to
20
    consider or do you want to use the ones they provided to you?
21
              THE COURT: We'll keep the official ones they provide.
22
              THE CLERK: Then I won't prepare the receipts because
    the practice is to give counsel the official exhibits back now,
23
24
    so I will.
25
              THE COURT: I will keep the official.
```

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                                                                  673
              THE CLERK: That's fine, but that's what I was
1
 2
    preparing, so I won't do that.
 3
              THE COURT: Anything else on the exhibits? Any
 4
    other --
 5
              MR. STAMBAUGH: That's it for evidentiary issues, Your
 6
    Honor.
 7
              MR. MILLER: I agree, Your Honor.
              THE COURT: Now, we've talked about written argument,
 8
9
    and in my last order I proposed maybe 21 days, but I'm flexible
10
    as your schedules may dictate. I think the efficient way to do
    it would be to have you both file them simultaneously and you
11
12
    can both reply to the other's. So I'll give you a brief period
13
    of time for that. Now, when do you think you can have that
14
    done?
15
              MR. STAMBAUGH: I'm going to defer to Mr. Zifchak.
16
              MR. ZIFCHAK: Your Honor, would you expect us to have
17
    the transcripts before we prepare the briefs?
18
              THE COURT: Are you going to be ordering transcripts?
19
              MR. ZIFCHAK: Yes.
20
              THE COURT: All right. Well, yes, though I don't --
21
    why don't we move it out by another week, and if for some reason
22
    the transcript is not ready -- should we make it 28 days?
23
    really don't know how long the transcripts will take. I'm
```

24 resisting the temptation to fluff up the court reporter after 25 all I know about her high skills and prompt service, but she has

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1
    other things to do as well.
              MR. ZIFCHAK: Friday, June 26th?
 2
              THE COURT: Let's make it June the 26th. Now, they're
 3
    due at the same time, so if somebody can't get it done, talk,
 4
    and if I need to grant an extension, I want to do it mutually so
 5
 6
    that you both have them at the same time.
 7
              And shall we allow, oh, say, ten days after that for
    reply, which would be -- is that sufficient?
 8
 9
              MR. MILLER: Your Honor, normally ten days would be
10
    sufficient. I note the July 4th holiday would be in there.
    Maybe we could go with 14 just to avoid that holiday.
11
12
              THE COURT: All right. What day would that be?
13
              MR. MILLER: I'm showing July 10th then.
14
              THE COURT: Okay. Replies due by the 10th, and it
15
    will be submitted at that time.
16
              Now, as you work on these, one thing that will really
17
    help the court, you know, different witnesses remembered things
    happening at slightly different times and sometimes the record
18
19
    was a little boggy as to what was going on when. So if you
20
    could include a time line of significant events with your
21
```

arguments, that would be helpful to me in keeping that straight, the chronology. So if you would do that for me, I would appreciate that. You don't have to -- just a description of what happened, but your argument by the dates. I just want to be able to track the chronology as accurately as I can.

22

23

24

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1
    the exhibits will help with that, but if you would do that for
 2
    me, I would appreciate that.
              Anything else we need to talk about?
 3
              MR. STAMBAUGH: Your Honor, as a personal matter, and
 4
 5
    I know that I speak for all of us out-of-towners, we very much
 6
    appreciate the court's patience and courtesy in this proceeding.
7
    I just wanted to say that.
              THE COURT: Well, you're quite welcome.
 8
 9
   pleasure to have you all here. You know, as pro bono
10
    presentations go, this has been ranked very high in the pantheon
    of such presentations I've seen. You've all done an excellent
11
12
    job, and it's apparent to me that all of you share the passion
13
    of your respective clients for the subjects as to the inquiry of
14
    the animals concerned, and I think that reflects the quality of
15
    the presentation.
16
              I'll look forward to your posttrial submissions and,
17
    of course, I'll rule as quickly as I am able to.
18
              Good day to you all. Safe travels.
19
              (Proceedings concluded at 3:25 p.m.)
20
21
22
23
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25
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Case 4:10-cv-00052-RAW Document 137 Filed 07/24/15 Page 704 of 704 CERTIFICATE I, the undersigned, a Certified Shorthand Reporter of the State of Iowa, do hereby certify that I acted as the official court reporter at the hearing in the above-entitled matter at the time and place indicated. That I took in shorthand all of the proceedings had at the said time and place and that said shorthand notes were reduced to computer transcription under my direction and supervision, and that the foregoing computer transcription pages are a full and complete transcript of the shorthand notes so taken. Dated at Des Moines, Iowa, this 15th day of June, 2015. /s/ Terri L. Martin CERTIFIED SHORTHAND REPORTER